Comment

Susan S. Ellenberg

Dr. Fleming’s discussion of some issues currently facing statisticians who are actively involved in clinical research is both interesting and timely. His paper provides excellent examples of important methodological research stemming from day-to-day collaboration between clinicians and statisticians.

The discussion of data monitoring committees is most welcome, as such committees are becoming an increasingly standard feature of large multicenter trials, and yet there has been relatively little in either the statistical or medical literature about their operational aspects. Attempts to standardize the monitoring of clinical trials along the lines described by Dr. Fleming have been particularly controversial in AIDS trials (Ellenberg, Myers and Hoth, 1993). In particular, some pharmaceutical companies have objected to their lack of access to interim comparative data, on the grounds that this policy will lead to delays in making effective drugs available and in initiating new research projects that would be based on results of preceding studies. Although this is certainly a legitimate concern, the potential conflict of interest may be of even greater concern. It could well happen, for example, that a company provided regularly with interim results of a government-sponsored trial could decide at some point that the data were sufficiently strong to support marketing approval for its drug; an independent board, on the other hand, might believe that the data were insufficiently definitive for purposes of Public Health Service recommendations. If the company proceeded to file for marketing approval with the Food and Drug Administration based on the interim results, these results would have to be made public, which would make it difficult or impossible to complete the trial as planned.

The atmosphere in cancer trials is quite different. The example of Intergroup 0035, the study of 5-FU + levamisole as adjuvant therapy for colon cancer, is a case in point. This study was mounted specifically to confirm the results of a smaller study. The latter results were widely known in the oncologic community, had been presented at major scientific meetings and were published during the course of the intergroup trial. There was no question concerning the quality of earlier study. Yet the Intergroup trial had no trouble entering a large number of patients, one-third of whom were randomized to receive no adjuvant treatment. Such a situation is unimaginable in AIDS, where reporting networks within the patient community are so extensive that promising results from early phase I studies have led to widespread adoption of new treatment regimens even prior to the publication of these early studies. The intense interest of both the patient and the scientific communities in the potential of new agents to treat AIDS and AIDS-related disorders is due in large part to the small number and limited efficacy of the currently available agents. This extraordinary sense of urgency enhances the need to maintain strict confidentiality of interim results if there is to be any hope of completing studies that will provide reliable direction to physicians and patients.

Fleming’s comparison of the early stopping of ACTG 019 with that of the Intergroup cancer study is important for understanding yet another major difficulty in studying treatments for AIDS. Unlike the adjuvant therapy administered for a limited time period in the Intergroup study, many AIDS drugs (antiretroviral drugs as well as prophylaxis for opportunistic infections) are chronic therapies, taken (in principle) for the remainder of one’s life. Because no current therapies are curative, perhaps slowing but not reversing or even stabilizing the course of disease, and nearly all have undesirable side effects, patients are easily motivated to abandon an ongoing medication when a new therapy appears on the scene with some hope of being shown superior to current drugs, even when this hope is based on very limited data. Given the nature of the disease, it is difficult to be unsympathetic with these patients; nevertheless, it is clear that assessing the effects of these treatments on survival (the ultimate endpoint of interest for a fatal disease) will be highly problematic if patients remain on their assigned treatment for only a relatively short time—say, 6 months of a remaining lifetime of 5–7 years. The difficulty of maintaining patients on assigned study medication has led many clinical investigators to believe that we will never be able to perform comparative evaluations of survival in patients treated at early stages of disease with any acceptable degree of reliability.

The problems associated with assessing survival have led investigators to search for earlier outcomes on which to base evaluations of new therapeutic approaches. Fleming has described in convincing detail the issues surrounding the use of such “surrogate markers”