

## DERIVATIONS OF $AW^*$ -ALGEBRAS ARE INNER

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Using the theory of spectral subspaces associated with a group of isometries of a Banach space it is proved that each derivation of an  $AW^*$ -algebra is inner. This constructive method of proof yields a generator  $b$  for the case of a skew-adjoint derivation which is seen to be the unique positive generator such that  $\|bp\| = \|\delta|Ap\|$  for each central projection  $p$  in the  $AW^*$ -algebra  $A$ .

**Introduction.** The problem of whether derivations of  $AW^*$ -algebras are inner was first studied by I. Kaplansky in [9] and settled in the affirmative for the case of a type I algebra. Later the result was extended to type III algebras and type II factors by G. A. Elliott, and to type II<sub>1</sub> algebras with central trace by J. C. Deel, ([3], [4]). It is not known whether this covers all cases.

The purpose of the present note is to show that each derivation of an  $AW^*$ -algebra is inner, avoiding type classification. The method employed is a modification of the one developed by W. B. Arveson in [1], where he proves the corresponding theorem for  $W^*$ -algebras. (See also Borchers [2].)

Specifically, we prove that the group of  $*$ -automorphisms  $e^{itb}$ , where  $\delta$  is a derivation of the  $AW^*$ -algebra  $A$  satisfying the condition  $\delta(a^*) = -(\delta(a))^*$ , is implemented by a unitary group  $e^{itb}$  with  $b$  a positive element of  $A$ .

In § 2 we prove a lemma which establishes a sufficient condition that an element of a  $C^*$ -algebra belong to a spectral subspace of the group  $u_t \cdot u_t^*$ , where  $u_t = \int_{\alpha}^{\beta} e^{itx} dp(x)$  with  $p(x)$  a given increasing family of projections on  $[\alpha, \beta]$ . This lemma is a corollary of [1, Theorem 2.3], formulated to suit the present context.

In § 3, we use Lemma 1 and the fact that each subset of an  $AW^*$ -algebra has a largest left-annihilating projection inside the algebra to construct an implementing group of unitaries for  $e^{itb}$ . The constructive method of proof yields a generator  $b$  for  $\delta$ , which is seen to be the unique positive generator for  $\delta$  such that  $\|bp\| = \|\delta|Ap\|$  for each projection  $p$  in the center of  $A$ , an observation not made in [1].

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1. **Notation.** The notation is taken from [1]. For a brief recapitulation, let us look at the special case in which we are interested,

where  $\alpha_t$  is a norm-continuous one-parameter group of isometries of a Banach space  $X$ . For each  $f$  in  $L^1(\mathbf{R})$  let  $\pi_\alpha(f)$  denote the bounded operator on  $X$  given by

$$\pi_\alpha(f) = \int \alpha_t f(t) dt ,$$

where the integral exists in the Bochner sense. With  $\hat{f}(s) = \int f(t)e^{ist} dt$  and  $-\infty \leqq t \leqq w \leqq \infty$  we denote by  $R^\alpha(t, w)$  the norm-closed subspace in  $X$  generated by the vectors  $\pi_\alpha(f)x$  where  $x \in X$  and  $\hat{f}$  has compact support in  $(t, w)$ . Note that since every norm-closed convex set in  $X$  is  $\sigma(X, X')$ -closed, with  $X'$  the dual of  $X$ , these subspaces are in fact identical to the ones defined in [1]. The spectral subspace associated with  $[t, w]$  is

$$M^\alpha[t, w] = \bigcap_{n \in \mathbf{N}} R^\alpha\left(t - \frac{1}{n}, w + \frac{1}{n}\right).$$

It follows immediately from this definition that

$$\bigcap_{s < t} M^\alpha[s, w] = M^\alpha[t, w]$$

and that the spectral subspaces are invariant under  $\alpha_t$ . As shown in [1] we have

$$M^\alpha[t, w] = \{x \in X \mid \pi_\alpha(f)x = 0 \ \forall f \in I_0[t, w]\}$$

where  $I_0[t, w]$  denotes the set of function  $f$  in  $L^1(\mathbf{R})$  such that  $\hat{f}$  has support disjoint from  $[t, w]$ . The existence of an approximate unit  $(f_i)$  in  $L^1(\mathbf{R})$  where  $(\hat{f}_i)$  consist of functions with compact support ensures that the above relation also holds if we define  $I_0[t, w]$  to be those  $L^1$ -functions  $f$  such that  $\hat{f}$  has compact support disjoint from  $[t, w]$ .

**THEOREM 2.3.** [1] states the following relation: Let  $\alpha_t, \beta_t$  be groups of isometries on  $X$ . Denote by  $\varphi_t$  the group on  $B(X)$  such that  $\varphi_t(a) = \alpha_t \cdot a \cdot \beta_t^{-1}$ , all  $a$  in  $B(X)$ . Then

$$aM^\beta[t, \infty) \subseteq M^\alpha[s + t, \infty) \forall t \iff a \in M^\alpha[s, \infty) .$$

**2. On some unitary groups.** Let  $t \rightarrow p(t)$  be an increasing projection-valued map from  $\mathbf{R}$  into the  $C^*$ -algebra  $A$ , and assume that there exist  $\alpha$  and  $\beta$  in  $\mathbf{R}$ ,  $\alpha \leqq \beta$ , such that  $p(t) = 0$  for all  $t \leqq \alpha$  and  $p(t) = 1$  for all  $t \geqq \beta$ . Let  $f$  be a continuous map from  $[\alpha, \beta]$  into  $C$ . Put

$$s_\pi(f, p) = \sum_{i=1}^n f(t_i)(p(u_i) - p(u_{i-1}))$$

where  $\pi$  denotes the division  $\alpha = u_0 \leq u_1 \leq \dots \leq u_n = \beta$  and  $t_i \in [u_{i-1}, u_i]$ . Then by a well-known theorem the limit of  $s_\pi$  exists and is

$$\int_\alpha^\beta f(t)dp(t) = \lim_{|\pi| \rightarrow 0} s_\pi$$

where  $|\pi| = \max |u_i - u_{i-1}|$ . Take  $f(t) = e^{itx}$  with  $x$  in  $R$ , and set

$$\int_\alpha^\beta e^{itx}dp(t) = u_x .$$

Then  $x \rightarrow u_x$  is a norm-continuous group of unitary elements of  $A$ . In the case where  $p(t) = 1$  for all  $t > \beta$ ,  $u_x$  as above denotes the common value of the integrals from  $\alpha$  to  $\beta + \varepsilon$ , all  $\varepsilon > 0$ .

LEMMA 1. Let  $u_x = \int_\alpha^\beta e^{itx}dp(t)$  and put  $\varphi_x = u_x \cdot u_x^*$ . Then for  $a$  in  $A$  and  $s$  in  $R$

$$p(t + s)a p(t) = p(t + s)a \forall t \in R \implies a \in M^\circ[s, \infty) .$$

*Proof.* Assume  $A$  to be represented faithfully on a Hilbert space  $H$ . By Stone's theorem we know the existence of a unique increasing left-continuous spectral measure  $q(t)$  such that

$$u_x = \int e^{itx}dq(t) ,$$

and from the relations

$$M^u[t_0, \infty) \subset R^u(t, \infty) \subset [(1 - q(t))H] \subset M^u[t, \infty)$$

for all  $t_0 > t$  we see that

$$M^u[t, \infty) = [(1 - q(t))H] .$$

Now  $p(t)$  tends strongly to  $q(t_0)$  as  $t \nearrow t_0$ , and so  $p(t + s)a(1 - p(t))$  tends strongly to  $q(t_0 + s)a(1 - q(t_0))$  for all  $a$  in  $A$ . From this it follows that if  $a$  satisfies the hypothesis of the lemma, it also satisfies the relation

$$q(t + s)a q(t) = q(t + s)a \forall t \in R ,$$

but this is equivalent to

$$aM^u[t, \infty) \subset M^u[t + s, \infty) \forall t \in R ,$$

which by [1, Theorem 2.3] implies that  $a \in M^\circ[s, \infty)$ .

3. Construction of the generator for  $\delta$ . Recall that a  $C^*$ -algebra  $A$  is an  $AW^*$ -algebra, (see [8]) if for any subset  $S$  of  $A$

there is a unique projection  $p$  in  $A$  such that

$$\{a \in A \mid as = 0 \forall s \in S\} = Ap.$$

$p$  is called the left-annihilating projection of  $S$ .

**THEOREM 2.** *If  $\delta$  is a derivation of the  $AW^*$ -algebra  $A$  there is an element  $b$  in  $A$  such that  $\delta = ad_b$ . If  $\delta = -\delta^*$ ,  $b$  can be chosen positive and with norm equal to the norm of  $\delta$ .*

*Proof.* Since each derivation  $\delta$  has a unique decomposition  $\delta = \delta_1 + i\delta_2$ , with  $\delta_i = \delta_i^*$ , it suffices to prove the last statement.

Let  $\delta = -\delta^*$ . Denote by  $\alpha_t$  the  $*$ -automorphism group  $e^{it\delta}$ , and let  $p(t)$  be the left-annihilating projection of the spectral subspace  $M^\alpha[t, \infty)$ . The map  $t \rightarrow p(t)$  taking  $\mathbf{R}$  into the fixed-point algebra  $M^\alpha[0]$  is increasing. As  $1 \in M^\alpha[0]$ , we have  $p(0) = 0$ . The claim  $p(t) = 1$  for  $t > \|\delta\|$  is seen as follows: We want to prove that whenever  $f \in L^1(\mathbf{R})$  such that  $\hat{f}$  has compact support in  $(\|\delta\| + \varepsilon, \infty)$ , then  $\pi_\alpha(f) = 0$ , or equivalently that for all  $g \in L^1(\mathbf{R})$  where  $\hat{g}$  has compact support in  $(0, \infty)$ ,  $\pi_\alpha(g \cdot e^{-i(\|\delta\| + \varepsilon)\cdot}) = 0$ .

Now  $g$  extends to an  $H^1$  function in the lower half plane if we define

$$g(z) = \frac{1}{2\pi} \int_0^\infty \hat{g}(t) e^{-itz} dt$$

and for the  $L^1$ -norms of  $x \rightarrow g_y(x) = g(x + iy)$ ,  $y$  fixed, we have

$$\|g_y\|_1 \leq \|g\|_1$$

(see [6], p. 124-128 and p. 131). Now

$$\begin{aligned} g_y(x) = g(x + iy) &= \frac{1}{2\pi} \int_0^\infty \hat{f}(t + \|\delta\| + \varepsilon) e^{-i(x+iy)t} dt \\ &= \frac{1}{2\pi} \int_{\|\delta\| + \varepsilon}^\infty \hat{f}(w) e^{-izw} e^{+\hat{\varepsilon}z(\|\delta\| + \varepsilon)} dw \\ &= e^{+\hat{\varepsilon}z(\|\delta\| + \varepsilon)} f(z) = e^{+\hat{\varepsilon}x\|\delta\|} e^{-y(\|\delta\| + \varepsilon)} f_y(x) \end{aligned}$$

so

$$\|g_y\|_1 = e^{-y(\|\delta\| + \varepsilon)} \|f_y\|_1$$

from which it follows that

$$e^{-y(\|\delta\| + \varepsilon)} \|f_y\|_1 \leq \|f\|_1$$

and so we get (see [2])

$$\begin{aligned} \left\| \int f(x)\alpha_x dx \right\| &= \left\| \int f(x + iy)\alpha_{x+iy} dx \right\| \\ &\leq e^{y(\|\delta\|+\varepsilon)} \|f\|_1 \cdot \|e^{i(x+iy)\delta}\| \leq e^{y(\|\delta\|+\varepsilon)} \|f\|_1 \cdot e^{-y\|\delta\|} \\ &= e^{y\varepsilon} \|f\|_1 \longrightarrow 0 \quad \text{as } y \longrightarrow -\infty. \end{aligned}$$

According to § 2, the group  $u_t = \int e^{itx} dp(x)$  is well-defined. We want to show that it implements  $\alpha_t$ , i.e., that

$$\alpha_t = u_t \cdot u_t^* .$$

Denoting the right side by  $\varphi_t$ , it suffices to see that

$$M^\alpha[t, \infty) \subseteq M^\varphi[t, \infty) \quad \forall t \in \mathbf{R} .$$

Indeed, as  $\varphi_t$  and  $\alpha_t$  are both norm-continuous one-parameter groups of self-adjoint (i.e., adjoint-preserving) operators on  $A$ , the group  $\beta_t(\gamma) = \varphi_t \cdot \gamma \cdot \alpha_t^{-1}$ ,  $\gamma \in B(A)$ , is a norm-continuous adjoint-preserving group on  $B(A)$ . It follows that  $M^\beta[t, \infty)^* = M^\beta(-\infty, -t]$  for all  $t$  in  $\mathbf{R}$ , so whenever a self-adjoint element  $\gamma$  in  $B(A)$  belongs to  $M^\beta[t, \infty)$ ,  $\gamma$  belongs to  $M^\beta[t, -t]$ . We know by [1, Theorem 2.3] that the inclusion  $M^\alpha[t, \infty) \subseteq M^\varphi[t, \infty)$  implies that  $id \in M^\beta[0, \infty)$ . The preceding argument shows that  $id$  is then in  $M^\beta[0]$ , so  $\varphi_t id \alpha_t^{-1} = id$  for all  $t$ , thus  $\varphi_t = \alpha_t$ .

Using the multiplicative property of  $\alpha_t$  a rather straightforward calculation shows that for all  $t$  and  $s$  in  $\mathbf{R}$

$$R^\alpha(t, \infty)R^\alpha(s, \infty) \subseteq R^\alpha(t + s, \infty) .$$

Indeed, for  $f, g \in L^1(\mathbf{R})$  such that  $\hat{f}, \hat{g}$  have compact support

$$\begin{aligned} \pi_\alpha(f)x\pi_\alpha(g)y &= \iint f(t)g(u)\alpha_t(x\alpha_{u-t}y)dtdu \\ &= \iint f(t)g(w+t)\alpha_t(x\alpha_wy)dt dw \\ &= \int \left( \int f(t)g_w(t)\alpha_t(x\alpha_wy)dt \right) dw \\ &= \int \left( \int (\hat{f} * \hat{g}_w)^\vee(t)\alpha_t(x\alpha_wy)dt \right) dw \\ &= \int z_w dw . \end{aligned}$$

So if  $\text{supp } \hat{f} \subset (t, \infty)$  and  $\text{supp } \hat{g} \subset (s, \infty)$  we have  $z_w \subset R^\alpha(t + s, \infty)$ , as  $\text{supp } \hat{f} * \hat{g}_w \subset (t + s, \infty)$  ( $g_w(t) = g(t + w)$ ), so  $\hat{g}_w(s) = e^{-isw}\hat{g}(s)$ .

From this it follows immediately that for all  $t$  and  $s$  in  $\mathbf{R}$

$$M^\alpha[t, \infty)M^\alpha[s, \infty) \subseteq M^\alpha[t + s, \infty) ,$$

so if  $a \in M^\alpha[t, \infty)$  and  $d \in M^\alpha[s, \infty)$  we get that

$$p(t+s)ad = 0.$$

However, this implies that  $p(t+s)a$  belongs to the left-annihilator of  $M^\alpha[s, \infty)$ , thus

$$p(t+s)a p(s) = p(t+s)a.$$

The desired conclusion now follows from Lemma 1.

The generator for  $\delta$  thus constructed is

$$b = \int_0^{\|\delta\|} t dp(t).$$

It is obvious that  $\|b\| \leq \|\delta\|$ . On the other hand,  $b - (\|b\|/2) \cdot 1$  is also a generator for  $\delta$ , and  $\|b - (\|b\|/2) \cdot 1\| = \|b\|/2$ . So we get that  $\|b\| = \|\delta\|$ .

In [5] it is shown that for each inner derivation  $\delta$  of an  $AW^*$ -algebra  $A$  there is a unique generator  $a$  of norm  $\|\delta\|/2$  such that

$$\|ap\| = \frac{1}{2} \|\delta|_{Ap}\|$$

for each projection  $p$  in the center of  $A$ . This generalizes a result in [7] concerning self-adjoint derivations of von Neumann algebras. Here we have the following result:

**PROPOSITION 3.** *With  $\delta = -\delta^*$ , the element  $b$  in  $A$  as constructed above is the unique positive generator for  $\delta$  such that*

$$\|bp\| = \|\delta|_{Ap}\|$$

for each projection  $p$  in the center  $C$  of  $A$ . If  $\delta = ad_c$ ,  $c \geq 0$ , then  $c \geq b \geq 0$ , so  $b$  is the minimal positive generator for  $\delta$ .

*Proof.* Let  $p$  denote a central projection in  $A$ . We want to see that  $\|bp\| = \|\delta|_{Ap}\|$ . Since  $\alpha_t = e^{it\delta}$  leaves  $C$  pointwise invariant it follows from the definition of spectral subspaces that

$$M^\alpha[t, w] \cap Ap = M^{\alpha p}[t, w],$$

where  $\alpha p$  denotes the group of automorphisms of  $Ap$  obtained by restricting the  $\alpha_t$ 's to  $Ap$ . Consequently the construction carried out in the proof above will produce  $bp$  as a generator for  $\delta$  on  $Ap$ . Thus

$$\|bp\| = \|\delta|_{Ap}\|.$$

Now assume  $c$  to be another positive generator for  $\delta$ . Using nothing but the fact that  $b$  is a positive generator for  $\delta$  satisfying the above condition on the norm we can prove  $c \geq b$ , as follows: Since

$b$  and  $c$  are both positive generators for  $\delta$ , the difference  $b - c$  is in  $C$ . Suppose  $\lambda$  was a positive scalar in  $sp(b - c)$ . Given a sufficiently small  $\varepsilon > 0$  we could then find a nonzero projection  $p$  in  $C$  such that

$$(b - c)p \geq \varepsilon p .$$

But as  $cp$  is a positive generator for  $\delta \upharpoonright Ap$  we have, arguing as before

$$\|bp\| = \|\delta \upharpoonright Ap\| \leq \|cp\| ,$$

and combining we get

$$0 \leq cp \leq bp - \varepsilon p \leq (\|bp\| - \varepsilon)p \leq (\|cp\| - \varepsilon)p ,$$

a contradiction. Therefore,  $sp(b - c) \subseteq (-\infty, 0)$ , i.e.,  $c - b \geq 0$ . The uniqueness of the positive generator satisfying the above norm condition follows from the fact that it is the smallest positive generator.

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