

# On the linear maps which are multiplicative on complex \*-algebras

By

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## 1. Introduction

A Jordan \*-homomorphism which satisfies the Cauchy-Schwarz inequality is \*-homomorphic (E. Størmer [4], M. D. Choi [1] and T. W. Palmer [3]). In this paper, we shall give an elementary proof of this theorem under some weaker assumptions than theirs (Corollary 4). T. W. Palmer [3; Corollary 1] presents a characterization theorem of \*-homomorphisms from U\*-algebras. We shall give an elementary proof of this theorem (Corollary 6). Finally, we shall show that the linear functional on a Banach algebra which does not take the value 1 on the quasi-invertible elements is multiplicative.

## 2. Preliminaries

Let  $A$  be a \*-algebra. We use the following notations:

$$A_H = \{h \in A : h^* = h \text{ (i. e. Hermitian element of } A)\}.$$

$$A_+ = \left\{ \sum_{j=1}^n a_j^* a_j : a_j \in A, n = 1, 2, \dots \right\}.$$

For  $h, k \in A_H$  we write  $h \leq k$  if  $k - h \in A_+$ .

$$A_{qI} = \{x \in A : \text{quasi-invertible element}\}.$$

$$A_{qU} = \{u \in A : u^*u = uu^* = u + u^* \text{ (i. e. quasi-unitary element)}\}.$$

U\*-algebra, introduced by T. W. Palmer, is a \*-algebra which is the linear span of its quasi-unitary elements. Let  $A$  and  $B$  be \*-algebras. A Jordan \*-homomorphism  $\phi$  of  $A$  into  $B$  is a linear map such that

$$\begin{aligned} \phi(xy + yx) &= \phi(x)\phi(y) + \phi(y)\phi(x) & \text{and } \phi(x^*) &= \phi(x)^* \\ & \text{for all } x, y \in A. \end{aligned}$$

All algebras considered in this paper are those over the complex field  $\mathbb{C}$ .

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### 3. Results

Every Jordan homomorphism  $\phi$  of an algebra  $A$  into another one satisfies the following equality:

$$(\phi(xy-yx))^2 = (\phi(x)\phi(y) - \phi(y)\phi(x))^2 \quad \text{for all } x, y \in A.$$

(cf. N. Jacobson and C. E. Rickart [2]). This equality gives the following.

**PROPOSITION 1.** *Let  $A$  be a  $*$ -algebra and  $B$  be a  $*$ -algebra with  $\{h \in B_H : h^2 = 0\} = \{0\}$ . Suppose that either  $A$  or  $B$  is commutative. Then every Jordan  $*$ -homomorphism of  $A$  into  $B$  is automatically  $*$ -homomorphic.*

**PROOF.** Let  $\phi$  be a Jordan  $*$ -homomorphism of  $A$  into  $B$ .

(i) The case where  $A$  is commutative. We have

$$(\phi(h)\phi(k) - \phi(k)\phi(h))^2 = (\phi(hk - kh))^2 = 0$$

for  $h, k \in A_H$ . Hence

$$[i(\phi(h)\phi(k) - \phi(k)\phi(h))]^2 = 0,$$

and, it follows from the assumption for  $B$  that

$$i(\phi(h)\phi(k) - \phi(k)\phi(h)) = 0$$

i. e.  $\phi(h)\phi(k) = \phi(k)\phi(h)$ .

It follows from this equality that

$$2\phi(h)\phi(k) = \phi(h)\phi(k) + \phi(k)\phi(h) = \phi(hk + kh) = 2\phi(hk).$$

Therefore  $\phi(hk) = \phi(h)\phi(k)$ .

Since  $A = A_H + iA_H$ ,  $\phi(xy) = \phi(x)\phi(y)$  holds for every pair  $x, y$  of elements of  $A$ .

(ii) The case where  $B$  is commutative. We have

$$(\phi(hk - kh))^2 = (\phi(h)\phi(k) - \phi(k)\phi(h))^2 = 0$$

for  $h, k \in A_H$ . So  $(\phi(i(hk - kh)))^2 = 0$ , and, from the assumption for  $B$ , it follows that

$$\phi(i(hk - kh)) = 0$$

i. e.  $\phi(hk) = \phi(kh)$ ,

and

$$2\phi(hk) = \phi(hk + kh) = \phi(h)\phi(k) + \phi(k)\phi(h) = 2\phi(h)\phi(k).$$

Therefore  $\phi(hk) = \phi(h)\phi(k)$ . This shows that  $\phi$  is a  $*$ -homomorphism.

**REMARK 2.** Corollary 1 in [5] follows easily from the equality  $(\phi(xy - yx))^2 = (\phi(x)\phi(y) - \phi(y)\phi(x))^2$  for a Jordan homomorphism  $\phi$ .

PROPOSITION 3. Let  $A$  and  $B$  be \*-algebras, and  $\phi$  be a linear map of  $A$  into  $B$ . Then  $\phi$  is a homomorphism iff  $\phi(x^*x) = \phi(x^*)\phi(x)$  for all  $x \in A$ .

PROOF. If  $\phi(x^*x) = \phi(x^*)\phi(x)$  for all  $x \in A$ , we have

$$\phi((x+y)^*(x+y)) = \phi((x+y)^*)\phi(x+y) \text{ for all } x, y \in A.$$

Hence  $\phi(x^*y) + \phi(y^*x) = \phi(x^*)\phi(y) + \phi(y^*)\phi(x)$ .

Replacing  $y$  by  $iy$  and then multiplying by  $-i$ , we have

$$\phi(x^*y) - \phi(y^*x) = \phi(x^*)\phi(y) - \phi(y^*)\phi(x).$$

Thus  $\phi(x^*y) = \phi(x^*)\phi(y)$ . This shows that  $\phi$  is a homomorphism. The convers is evident.

COROLLARY 4. Let  $A$  be a \*-algebra,  $B$  be a \*-algebra with  $B_+ \cap (-B_+) = \{0\}$ , and  $\phi$  be a linear map of  $A$  into  $B$ . Then  $\phi$  is a \*-homomorphism iff  $\phi$  is a Jordan \*-homomorphism and satisfies the Cauchy-Schwarz inequality  $\phi(x^*x) \geq \phi(x^*)\phi(x)$  for all  $x \in A$ .

PROOF. Let  $\phi$  be a Jordan \*-homomorphism and let  $\phi$  satisfy the Cauchy-Schwarz inequality. Then we have

$$\phi(x^*x + xx^*) = \phi(x^*)\phi(x) + \phi(x)\phi(x^*),$$

that is,  $\phi(x^*x) - \phi(x^*)\phi(x) = -(\phi(xx^*) - \phi(x)\phi(x^*))$  for  $x \in A$ .

The left hand side of this equality belongs to  $B_+$  and the right hand side belongs to  $-B_+$ . Hence  $\phi(x^*x) = \phi(x^*)\phi(x)$  holds by the assumption for  $B$ . Therefore it follows from Proposition 3 that  $\phi$  is a \*-homomorphism.

PROPOSITION 5. Let  $A$  be a \*-algebra,  $B$  be a \*-algebra with  $B_+ \cap (-B_+) = \{0\}$  and  $\phi$  be a linear \*-map of  $A$  into  $B$ . Suppose that there is a subset  $S$  of  $A$  such that

- (i)  $A$  is the linear span of  $S$ ,
- (ii)  $S$  is self-adjoint, i. e.  $S = S^*$ .

Then  $\phi$  is a \*-homomorphism iff  $\phi(x^*x) = \phi(x^*)\phi(x)$  holds for  $x \in S$  and the Cauchy-Schwarz inequality  $\phi(x^*x) \geq \phi(x^*)\phi(x)$  holds for  $x \in A \setminus S$ .

PROOF. Let  $\phi(x^*x) = \phi(x^*)\phi(x)$  hold for  $x \in S$  and let  $\phi(x^*x) \geq \phi(x^*)\phi(x)$  hold for  $x \in A \setminus S$ . Then  $\phi(x^*x) = \phi(x^*)\phi(x)$  holds for  $x \in C \cdot S = \{\alpha x : \alpha \in C, x \in S\}$ .

We have for  $x, y \in C \cdot S$ ,

$$\phi((x+y)^*)\phi(x+y) \leq \phi((x+y)^*(x+y)),$$

$$\phi((x-y)^*)\phi(x-y) \leq \phi((x-y)^*(x-y)).$$

It follows from these inequalities that

$$\phi(x^*)\phi(y) + \phi(y^*)\phi(x) \leq \phi(x^*y) + \phi(y^*x),$$

$$-(\phi(x^*)\phi(y) + \phi(y^*)\phi(x)) \leq -(\phi(x^*y) + \phi(y^*x)).$$

That is

$$\begin{aligned}
 &(\phi(x^*y) + \phi(y^*x)) - (\phi(x^*)\phi(y) + \phi(y^*)\phi(x)) \in B_+, \\
 &(\phi(x^*)\phi(y) + \phi(y^*)\phi(x)) - (\phi(x^*y) + \phi(y^*x)) \in B_+.
 \end{aligned}$$

Therefore the assumption for  $B$  induces

$$\phi(x^*y) + \phi(y^*x) = \phi(x^*)\phi(y) + \phi(y^*)\phi(x). \dots\dots\dots(1)$$

For  $x, y \in C \cdot S$  this equality implies

$$i\phi(x^*y) - i\phi(y^*x) = i\phi(x^*)\phi(y) - i\phi(y^*)\phi(x),$$

i. e. 
$$\phi(x^*y) - \phi(y^*x) = \phi(x^*)\phi(y) - \phi(y^*)\phi(x). \dots\dots\dots(2)$$

From (1) and (2),  $\phi(x^*y) = \phi(x^*)\phi(y)$ . Hence it follows from (ii) that  $\phi(xy) = \phi(x)\phi(y)$  for all  $x, y \in S$ . Therefore it follows from (i) that  $\phi(xy) = \phi(x)\phi(y)$  for all  $x, y \in A$ . The converse is evident.

**COROLLARY 6.** *Let  $A$  be a  $U^*$ -algebra and  $B$  be a  $*$ -algebra with  $B_+ \cap (-B_+) = \{0\}$ . Let  $\phi$  be a linear map of  $A$  into  $B$ . Then  $\phi$  is a  $*$ -homomorphism iff  $\phi(A_{qU}) \subset B_{qU}$  and the Cauchy-Schwarz inequality  $\phi(x^*x) \geq \phi(x^*)\phi(x)$  holds for all  $x \in A$ .*

**PROOF.** Let  $\phi(A_{qU}) \subset B_{qU}$  and  $\phi(x^*x) \geq \phi(x^*)\phi(x)$  hold for all  $x \in A$ . Then, we know, making use of the argument in the proof of [3; Corollary 1], that  $\phi$  is a linear  $*$ -map. For any  $u \in A_{qU}$

$$\phi(u^*u) = \phi(u^* + u) = \phi(u^*) + \phi(u) = \phi(u)^* + \phi(u) = \phi(u^*)\phi(u).$$

Therefore it follows from Proposition 5 that  $\phi$  is a  $*$ -homomorphism. The converse is evident.

W. Żelazko [5] gives a characterization of the multiplicative linear functionals on complex Banach algebras. Making use of this characterization we have the following.

**PROPOSITION 7.** *Let  $A$  be a Banach algebra and  $f$  be a linear functional on  $A$ . Then  $f$  is multiplicative iff  $f(A_{qI}) \subset C \setminus \{1\}$ .*

**PROOF.** If  $f$  is multiplicative, then

$$f(x) + f(y) = f(x)f(y)$$

for  $x \in A_{qI}$  and its quasi-inverse  $y$ . So  $f(x) \neq 1$ ,

i. e. 
$$f(A_{qI}) \subset C \setminus \{1\}.$$

Conversely assume  $f(A_{qI}) \subset C \setminus \{1\}$ . Whether  $A$  has a unit element or not, we make the unitization  $A_1 = A + C$  and extend  $f$  onto  $A_1$  by putting  $f(1) = 1$ . If  $(x, \alpha)$  is an invertible element of  $A_1$ , then there exists an element  $y$  of  $A$  such that

$$(x, \alpha) \left( y, \frac{1}{\alpha} \right) = (0, 1),$$

$$\text{i. e.} \quad \left(-\frac{1}{\alpha}x\right) + (-\alpha y) - \left(-\frac{1}{\alpha}x\right)(-\alpha y) = 0.$$

$$\text{So} \quad -\frac{1}{\alpha}x \in A_{qI} \quad \text{and} \quad f\left(-\frac{1}{\alpha}x\right) \neq 1,$$

from which  $f((x, \alpha)) \neq 0$ . Therefore  $f((x, \alpha))(0, 1) - (x, \alpha)$  is a singular element for any  $(x, \alpha) \in A_1$ . Hence  $f((x, \alpha))$  belongs to the spectrum of  $(x, \alpha)$ . It is now clear from [5; Theorem 2] that  $f$  is multiplicative on  $A$ .

**PROPOSITION 8.** *Let  $A$  be a Banach algebra,  $B$  be a commutative semi-simple Banach algebra and  $\phi$  be a linear map of  $A$  into  $B$ . Then  $\phi$  is multiplicative iff  $\phi(A_{qI}) \subset B_{qI}$ .*

**PROOF.** Denote the set of all multiplicative linear functionals on  $A$  (or on  $B$ ) by  $M(A)$  (or by  $M(B)$ ).

Let  $\phi(A_{qI}) \subset B_{qI}$ . Then

$$(f \circ \phi)(A_{qI}) = f(\phi(A_{qI})) \subset f(B_{qI}) \in C \setminus \{1\} \quad \text{for any } f \in M(B).$$

So it follows from Proposition 7 that  $f \circ \phi \in M(A)$ . Therefore

$$f(\phi(xy)) = f(\phi(x)) f(\phi(y)) = f(\phi(x) \phi(y))$$

for  $x, y \in S$  and  $f \in M(B)$ .

Now, the assumption for  $B$  implies that  $\phi(x)\phi(y) = \phi(xy)$ .

**REMARK 9.** Proposition 8 is not true if  $B$  fails to be semi-simple.

### References

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