

FABER'S POLYNOMIALS.

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§1. Fundamental Identities.

The following method in §1 can be proceeded verbatim for more general and even for multiply-connected domains, but in this Note we suppose the boundary of domain is the unit circle in order to apply our results for the coefficient problem.

Let $g(z)$ be a meromorphic, schlicht and non-vanishing function in the exterior of the unit circle $|z| > 1$, and whose Laurent expansion about the point at infinity is of the form

$$(1) \quad g(z) = z + \sum_{\nu=0}^{\infty} \frac{c_{\nu}}{z^{\nu}}.$$

Then $f(z) \equiv 1/g(1/z)$ is regular and schlicht in the unit circle $|z| < 1$ and, about the origin, it can be expanded in the form

$$(2) \quad f(z) = z + \sum_{\nu=2}^{\infty} a_{\nu} z^{\nu}.$$

Let $P_n(z)$ ($n=1, 2, \dots$) be polynomial of z of degree n , which satisfies the condition

$$(3) \quad P_n(g(z)) = z^n + \sum_{\nu=1}^{\infty} \frac{\alpha_{\nu}^{(n)}}{z^{\nu}}.$$

Then, $P_n(z)$ is called the "Faber's polynomial" of degree n with respect to $g(z)$.⁽¹⁾ By means of the Cauchy's integral formula, we have

$$(4) \quad P_n(w) = \frac{1}{2\pi i} \int_{|\zeta|=\tau} \frac{P_n(\zeta)}{\zeta-w} d\zeta,$$

where w is an arbitrary point in the circle $|\zeta| < \tau$. Making the change of variable $\zeta = g(z)$, we get, for sufficiently large τ ,

$$(5) \quad P_n(w) = \frac{1}{2\pi i} \int_{|z|=\tau} P_n(g(z)) d \lg (g(z)-w).$$

On the other hand, we can easily prove

$$(6) \quad \begin{aligned} 0 &= \frac{1}{2\pi i} \int_{|z|=\tau} \frac{P_n(g(z))}{z} dz \\ &= \frac{1}{2\pi i} \int_{|z|=\tau} P_n(g(z)) d \lg z, \end{aligned}$$

by virtue of (3). Hence, subtracting (6) from (5), we have

$$(7) \quad P_n(w) = \frac{1}{2\pi i} \int_{|z|=\tau} P_n(g(z)) d \lg \frac{g(z)-w}{z}$$

Now, putting

$$(8) \quad \lg \frac{g(z)-w}{z} = - \sum_{\nu=1}^{\infty} \frac{Q_{\nu}(w)}{\nu} \frac{1}{z^{\nu}}$$

and substituting (8) into (7), we obtain

$$(9) \quad P_n(w) = Q_n(w).$$

Since (9) holds for infinitely many values of w if we take a sufficiently large τ , also does (9) hold good identically. After all, we have the following fundamental relation:⁽²⁾

$$(10) \quad \lg \frac{g(z)-w}{z} = - \sum_{\nu=1}^{\infty} \frac{P_{\nu}(w)}{\nu} \frac{1}{z^{\nu}}$$

for an arbitrary w , the logarithm always denoting the branch which vanishes for $w=0$ and $z=\infty$.

Putting $\zeta = 1/z$, $g(z) = 1/f(\zeta)$, and comparing the coefficients of both sides of (10), we have

$$(11) \quad \begin{aligned} P_n(z) &= n \sum_{\mu=1}^n \left(\sum_{n_1+\dots+n_{\mu}=n} a_{n_1} \dots a_{n_{\mu}} \right) \frac{z^{\mu}}{\mu} \\ &\quad + P_n(0), \end{aligned}$$

and in particular $P_n(0) = n a_n$. Differentiating (10) with respect to z and making use of the same reason as above, we obtain

$$(12) \quad \begin{aligned} P_1(z) &= z - c_0, \\ P_{n+1}(z) + (c_0 - z)P_n(z) + \sum_{\mu=1}^{n-1} c_{\mu} P_{n-\mu}(z) + (n+1)c_n &= 0 \\ & \quad (n=2, 3, \dots) \end{aligned}$$

§2. Some Applications to the Distortion Theorems.

Putting

$$(13) \quad F(z) = \begin{cases} f(z)/z & (z \neq 0), \\ 1 & (z = 0), \end{cases}$$

we get, from (10),

$$(14) \quad \lg F(z) = \sum_{\nu=1}^{\infty} \frac{P_{\nu}(0)}{\nu} z^{\nu}$$

If we consider a family of schlicht

functions $\{f(z)\}$, satisfying $|P_n(0)| \leq 2$ ($n=1, 2, \dots$), we can prove the following distortion theorems

$$(15) \quad |F^{(n)}(z)| \leq \frac{(n+1)!}{(1-|z|)^{n+2}}, \quad |f^{(n)}(z)| \leq \frac{n!(n+|z|)}{(1-|z|)^{n+2}},$$

where in each relation the equality sign holds only for the extremum functions of the form $f(z) = z/(1 - e^{i\alpha}z)^2$

(α : real). Especially, if we put $z = 0$, we obtain

$$|a_n| \leq n$$

for our family, which is the conjecture of Bieberbach.

Now, we consider the function $f(z)$ star-shaped in the unit circle $|z| < 1$. Then, $\lg F(z)$ is expressed by the formula of Herglotz:

$$(16) \quad \lg F(z) = -2 \int_0^{2\pi} \lg \frac{e^{i\theta} - z}{e^{i\theta} - \bar{z}} d\mu(\theta),$$

$$d\mu(\theta) \geq 0, \quad \int_0^{2\pi} d\mu(\theta) = 1,$$

and the inequalities

$$(17) \quad |P_n(0)| \leq 2 \quad (n=1, 2, \dots)$$

hold good. Hence, for our family we get the distortion inequalities (15). From the last inequalities, we obtain $|a_n| \leq n$ by putting $z = 0$.⁽⁴⁾

If $f(z)$ is convex in $|z| < 1$, we can represent it as before:

$$(18) \quad \lg f'(z) = -2 \int_0^{2\pi} \lg \frac{e^{i\theta} - z}{e^{i\theta} - \bar{z}} d\mu(\theta),$$

$$d\mu(\theta) \geq 0, \quad \int_0^{2\pi} d\mu(\theta) = 1,$$

and we get the following relations:

$$(19) \quad \left| \lg f'(z) \right| \leq 2 \lg \frac{1}{1-|z|},$$

$$|P_n(0)| \leq 2$$

$$|F^{(n)}(z)| \leq \frac{n!}{(1-|z|)^{n+1}},$$

$$|f^{(n)}(z)| \leq \frac{n!}{(1-|z|)^{n+1}},$$

$(n=1, 2, \dots)$
 $(n=0, 1, 2, \dots)$

Each equality sign holds only for functions of the form $f(z) = z/(1 - e^{i\beta}z)$, β : real. Putting $z = 0$, we can establish the coefficient theorem $|a_n| \leq 1$ for convex functions already proved by various ways.⁽⁵⁾

At the same time, we can, by means of (18), derive the relation, obtained by Marx,⁽⁶⁾

$$\Re \sqrt{f'(z)} \geq \frac{1}{1+|z|},$$

which is sharpened than that obtained by himself.

(*) Received September 30, 1949.

- (1) H.Grunsky: Koeffizientenbedingungen fuer schlicht abbildende meromorphe Funktionen. Math. Zeit. 45(1939), 29-61; especially, § 3.
- (2) Recently I found that M.Schiffer has proved the relation (10), but I had obtained another proof of it. Cf. M.Schiffer: Faber's polynomials in the theory of univalent functions. Bull. Amer. Math. Soc. 54(1948), 503-517.
- (3) L.Bieberbach: Ueber die Koeffizienten diejenigen Potenzreihen, welche eine schlichte Abbildung des Einheitskreises vermitteln. Sitzungsab. preuss. Akad. Wiss. Berlin (1916), 940-945.
- (4) Cf. R.Nevanlinna: Ueber die konforme Abbildung von Sterngebieten. Oeversikt av Finska Vetenskaps-Soc. Foerh. (A) 63 (1920-1), 1-21.
- (5) E.g. K.Loewner: Untersuchungen ueber die Verzerrung bei konformen Abbildungen des Einheitskreises $|z| < 1$, die durch Funktionen mit nicht verschwindenden Ableitung geliefert werden. Leipziger Berichte. 69 (1917), 89-106.
- (6) A.Marx: Untersuchung ueber schlichte Abbildungen. Math. Ann. 107 (1932), 40-67.

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