

**NOTE ON DIRICHLET SERIES (V)  
ON THE INTEGRAL FUNCTIONS DEFINED  
BY DIRICHLET SERIES (I)**

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**1. Introduction.** Let us put

$$(1.1) \quad F(s) = \sum_{n=1}^{\infty} a_n \exp(-\lambda_n s) \quad (s = \sigma + it, 0 \leq \lambda_1 < \lambda_2 < \dots < \lambda_n \rightarrow +\infty).$$

Let (1.1) be uniformly convergent in the whole plane, i. e. for any given  $\sigma$  ( $-\infty < \sigma < +\infty$ ), (1.1) be uniformly convergent for  $\sigma \leq \Re(s)$ . Then (1.1) defines the integral function, and for any given  $\sigma$ ,  $\text{Sup}_{-\infty < t < +\infty} |F(\sigma + it)|$  has the finite value  $M(\sigma)$ . After J. Ritt ([1], pp. 18-19) we can define the order and type of (1.1) as follows:

DEFINITION. *The order of (1.1) is defined by*

$$(1.2) \quad \rho = \overline{\lim}_{\sigma \rightarrow -\infty} (-\sigma)^{-1} \cdot \log^+ \log^+ M(\sigma),$$

where  $M(\sigma) = \text{Sup}_{-\infty < t < +\infty} |F(\sigma + it)|$ ,  $\log^+ x = \text{Max}(0, \log x)$ . If  $0 < \rho < +\infty$ , the type  $k$  of (1.1) is defined by

$$(1.3) \quad k = \overline{\lim}_{\sigma \rightarrow -\infty} 1/\exp((-\sigma)\rho) \cdot \log^+ M(\sigma).$$

J. Ritt [2], S. Izumi [3] and K. Sugimura [4] have given formulas determining  $\rho$  and  $k$  in terms of  $\{a_n\}$  ( $n = 1, 2, \dots$ ) under some additional conditions imposed upon  $\{\lambda_n\}$  ( $n = 1, 2, \dots$ ). In this note, we shall establish more general formulas determining  $\rho$  and  $k$  in terms of  $\{a_n\}$  ( $n = 1, 2, \dots$ ).

**2. Theorem.** The main theorem reads as follows:

MAIN THEOREM. *Let (1.1) be uniformly convergent in the whole plane. Then we have*

$$(2.1) \quad \overline{\lim}_{x \rightarrow +\infty} (x \log x)^{-1} \cdot \log T_x = -\rho_u^{-1},$$

$$\text{where } \left\{ \begin{array}{l} \text{(i)} \quad T_x = \text{Sup}_{-\infty < t < +\infty} \left| \sum_{[x] \leq \lambda_n < x} a_n \exp(-i \lambda_n t) \right|, \\ \text{(ii)} \quad M_u(\sigma) = \text{Sup}_{\substack{-\infty < t < +\infty \\ 1 \leq k < +\infty}} \left| \sum_{n=1}^k a_n \exp(-\lambda_n(\sigma + it)) \right|, \\ \text{(iii)} \quad \rho_u = \overline{\lim}_{\sigma \rightarrow -\infty} (-\sigma)^{-1} \cdot \log^+ \log^+ M_u(\sigma) \quad (\geq 0). \end{array} \right. *$$

\*  $[x]$  means the greatest integer contained in  $x$ .

If furthermore  $0 < \rho_u < +\infty$ , then we get

$$(2.2) \quad \overline{\lim}_{x \rightarrow +\infty} (x^{-1} \cdot \log T_x + \rho_u^{-1} \cdot \log x) = \rho^{-1} \cdot \log (e \rho_u k_u),$$

where 
$$k_u = \overline{\lim}_{\sigma \rightarrow -\infty} 1/\exp((-\sigma)\rho_u) \cdot \log^+ M_u(\sigma).$$

REMARK. (1) By M. Kuniyeda's theorem ([5], pp. 8-9), the uniform convergence-abscissa  $\sigma_u$  of (1.1) is given by

$$-\infty = \sigma_u = \overline{\lim}_{x \rightarrow +\infty} x^{-1} \cdot \log T_x.$$

(2) Since  $M(\sigma) \leq M_u(\sigma)$ , this main theorem can not give the exact value of  $\rho$  and  $k$  in terms of  $\{a_n\}$  ( $n = 1, 2, \dots$ ).

From this main theorem follow next theorems, whose proof we shall give later.

THEOREM I. Let (1.1) be uniformly convergent in the whole plane. Then we have

$$(2.3) \quad -1/\rho_c \leq -1/\rho \leq -1/\rho_u \leq -1/\rho_c + \overline{\lim}_{x \rightarrow +\infty} (x \log x)^{-1} \cdot \log^+ N(x)$$

where 
$$\begin{cases} \text{(i)} & -1/\rho_c = \overline{\lim}_{n \rightarrow +\infty} (\lambda_n \log \lambda_n)^{-1} \cdot \log |a_n|, \\ \text{(ii)} & N(x) = \sum_{\substack{|\nu| \leq \lambda_n < x \end{cases}} 1.$$

REMARK. By a lemma ([6], p. 50) we have

$$(2.4) \quad 0 \leq \sigma_s - C \leq \overline{\lim}_{n \rightarrow +\infty} \lambda_n^{-1} \cdot \log n,$$

where 
$$\begin{cases} \text{(i)} & \sigma_s: \text{simple convergence-abscissa of (1.1),} \\ \text{(ii)} & C = \overline{\lim}_{n \rightarrow +\infty} \lambda_n^{-1} \cdot \log |a_n|. \end{cases}$$

Therefore, by (2.4) and  $\sigma_s = -\infty$ , we get  $C = -\infty$ , so that we can put  $\rho_c \geq 0$ .

THEOREM II. Let (1.1) with  $\Re(a_n) \geq 0$  ( $n = 1, 2, \dots$ ) be uniformly convergent in the whole plane. Then we get

$$(2.5) \quad -1/\rho_u + \Delta_1 \leq -1/\rho \leq -1/\rho_u,$$

where 
$$\Delta_1 = \overline{\lim}_{n \rightarrow +\infty} (\lambda_n \log \lambda_n)^{-1} \cdot \log (\cos \theta_n), \quad \theta_n = \arg(a_n).$$

THEOREM III. Let (1.1) be uniformly convergent in the whole plane. If  $\overline{\lim}_{x \rightarrow +\infty} (x \log x)^{-1} \cdot \log^+ N(x) = 0$ , and  $0 < \rho < +\infty$ , then

$$(2.6) \quad k_c \leq k \leq k_u \leq k_c \exp \{ \rho \cdot \overline{\lim}_{x \rightarrow +\infty} x^{-1} \cdot \log^+ N(x) \},$$

where 
$$(2.7) \quad \begin{cases} \text{(i)} & \rho^{-1} \cdot \log (e \rho k_c) = \overline{\lim}_{n \rightarrow +\infty} \{ \lambda_n^{-1} \cdot \log |a_n| + \rho^{-1} \cdot \log \lambda_n \}, \\ \text{(ii)} & \rho^{-1} \cdot \log (e \rho k_u) = \overline{\lim}_{x \rightarrow +\infty} \{ x^{-1} \cdot \log T_x + \rho^{-1} \cdot \log x \}. \end{cases}$$

REMARK. On account of Theorem 1, we obtain  $\rho_c = \rho_u = \rho$ , so that we

can define  $k_c$  and  $k_u$  by (2.7).

**THEOREM IV.** *Let (1.1) with  $\Re(a_n) \geq 0$  ( $n = 1, 2, \dots$ ) be uniformly convergent in the whole plane. If  $\Delta_1 = 0$ , and  $0 < \rho < +\infty$ , then*

$$(2.8) \quad k_u \exp(\rho \Delta_2) \leq k \leq k_u,$$

where 
$$\Delta_2 = \lim_{n \rightarrow +\infty} \lambda_n^{-1} \cdot \log(\cos \theta_n), \quad \theta_n = \arg(a_n).$$

**REMARK.** By Theorem 2, we have  $\rho_u = \rho$ . Hence we can define  $k_u$  by (2.7), (ii).

**3. Lemmas.** To prove these theorems, we need some lemmas.

**LEMMA I.** *Let (1.1) be uniformly convergent in the whole plane. Suppose that*

$$(3.1) \quad M_u(\sigma) < A \exp\{\beta \exp((-\sigma)\alpha)\},$$

for sufficiently large  $-\sigma$  ( $\sigma < 0$ ), where

$$(i) \quad M_u(\sigma) = \sup_{\substack{-\infty < t < +\infty \\ 1 \leq k < +\infty}} \left| \sum_{n=1}^k a_n \exp(-\lambda_n(\sigma + it)) \right|,$$

(ii)  $A, \alpha, \beta$ : positive constants.

Then we have

$$(3.2) \quad \begin{cases} \overline{\lim}_{x \rightarrow +\infty} (x \log)^{-1} \cdot \log T_x \leq -\alpha^{-1}, \\ \overline{\lim}_{x \rightarrow +\infty} (x^{-1} \cdot \log T_x + \alpha^{-1} \cdot \log x) \leq \alpha^{-1} \cdot \log(\alpha \beta e). \end{cases}$$

**PROOF.** Let us denote by  $\{\lambda_{j,m}\}$  ( $m = 1, 2, \dots, r(x)$ )  $\lambda_n$ 's contained in  $[x] = j \leq \lambda_n < x$ , and by  $\{a_{j,m}\}$  its coefficients. Setting

$$S_{j,m}(\sigma, t) = \sum_{\lambda_1 \leq \lambda_n \leq \lambda_{j,m}} a_n \exp(-\lambda_n(\sigma + it)) \quad (\sigma < 0),$$

by Abel's transformation we get

$$\begin{aligned} \sum_{[v] \leq \lambda_n < x} a_n \exp(-it\lambda_n) &= \sum_{m=1}^j a_{j,m} \exp(-it\lambda_{j,m}) \\ &= \sum_{m=1}^{r-1} S_{j,m}(\sigma, t) \{\exp(\sigma\lambda_{j,m}) - \exp(\sigma\lambda_{j,m+1})\} \\ &\quad + S_{j,r}(\sigma, t) \exp(\sigma\lambda_{j,r}) - S_{j,0}(\sigma, t) \exp(\sigma\lambda_{j,1}), \end{aligned}$$

where

$$S_{j,0}(\sigma, t) = \sum_{\lambda_1 \leq \lambda_n < \lambda_{j,1}} a_n \exp(-\lambda_n(\sigma + it)).$$

Hence 
$$\left| \sum_{[v] \leq \lambda_n < x} a_n \exp(-it\lambda_n) \right| \leq 2 M_u(\sigma) \exp(\sigma\lambda_{j,1}) \leq 2 M_u(\sigma) \exp(\sigma[x]).$$

Since the right-hand side is independent of  $t$ , we get

$$T_x \leq 2 M_u(\sigma) \exp(\sigma[x]),$$

so that, by (3.1), for sufficiently large  $- \sigma$  ( $\sigma < 0$ ),

$$(3.3) \quad T_x < 2 A \exp \{ \beta \exp(( - \sigma)\alpha) + [\mathbf{x}]\sigma \}.$$

If we let the righ-hand side of (3.3) take its minimum, we get easily

$$T_x < 2 A \exp\{ - [\mathbf{x}]/\alpha \cdot \log([\mathbf{x}]/\alpha \beta e)\},$$

from which (3.2) immediately follows.

LEMMA II. *Let (1.1) be uniformly convergent in the whole plane. Assume that*

$$(3.4) \quad T_x < \exp \{ - ([\mathbf{x}] + 1)/\alpha \cdot \log([\mathbf{x}] + 1)/\alpha \beta e \}$$

for sufficiently large  $x$  ( $x > 0$ ), where  $\alpha$  and  $\beta$  are positive constants. Then, for sufficiently large  $- \sigma$  ( $\sigma < 0$ ) we get

$$(3.5) \quad M_u(\sigma) \leq A \exp \{ \beta \exp(( - \sigma)\alpha) + ( - \sigma)\alpha \},$$

where  $A$  is a suitable constant.

PROOF. On account of (3.4), we have

$$(3.6) \quad \left| \sum_{[x] \leq \lambda_n < x} a_n \exp(-it \lambda_n) \right| \leq T_x < \exp\{ - ([\mathbf{x}] + 1)/\alpha \cdot \log([\mathbf{x}] + 1)/\gamma \}$$

for arbitrary  $t$  ( $-\infty < t < +\infty$ ) and  $[\mathbf{x}] > X$ , where  $\gamma = \alpha\beta e$  and  $X$  are sufficiently large constants. Let us denote by  $\{\lambda_{j,m}\}$  ( $m = 1, 2, \dots, r(j)$ )  $\lambda_n$ 's contained in  $j \leq \lambda_n < j+1$ , and by  $a_{j,m}$  its coefficients. Put

$$S_{j,m}(t) = \sum_{k=1}^m a_{j,k} \exp(-it \lambda_{j,k}), \quad S_{j,0}(t) = 0.$$

Then, by (3.6)

$$(3.7) \quad |S_{j,m}(t)| < \exp \{ - (j+1)/\alpha \cdot \log((j+1)/\gamma) \}$$

for  $m = 1, 2, \dots, r(j), j > X$ .

Putting  $[\lambda_\nu] = N$ ,  $\lambda_\nu = \lambda_{N,s_1}$ , and  $[\lambda_\mu] = M$ ,  $\lambda_\mu = \lambda_{M,s_2}$ , ( $\nu < \mu$ ), by Abel's transformation, we obtain

$$\begin{aligned} \sum_{n=\nu}^{\mu} a_n \exp(-\lambda_n(\sigma + it)) &= \sum_{m=s_1}^{r(N)-1} S_{N,m}(t) \{ \exp(-\sigma \lambda_{N,m}) - \exp(-\sigma \lambda_{N,m+1}) \} \\ &\quad + S_{N,r(N)}(t) \exp(-\sigma \lambda_{N,r(N)}) - S_{N,s_1-1}(t) \exp(-\sigma \lambda_{N,s_1-1}) \\ &+ \sum_{j=N+1}^{M-1} \sum_{m=1}^{r(j)-1} S_{j,m}(t) \{ \exp(-\sigma \lambda_{j,m}) - \exp(-\sigma \lambda_{j,m+1}) \} + S_{j,r(j)}(t) \exp(-\sigma \lambda_{j,r(j)}) \\ &+ \sum_{m=1}^{s_2-1} S_{M,m}(t) \{ \exp(-\sigma \lambda_{M,m}) - \exp(-\sigma \lambda_{M,m+1}) \} + S_{M,s_2}(t) \exp(-\sigma \lambda_{M,s_2}). \end{aligned}$$

Hence, by (3.7) and simple computations, we have

$$(3.8) \quad \left| \sum_{n=\nu}^{\mu} a_n \exp(-\lambda_n(\sigma + it)) \right|$$

$$< 3 \sum_{\substack{[\lambda_\mu]+1 \\ [\lambda\nu]+1}}^{[\lambda_\mu]+1} \exp(-j/\alpha \cdot \log(j/\gamma) - j\sigma) < 3 \sum_{j=1}^{\infty} \exp(-j/\alpha \cdot \log(j/\gamma) - j\sigma)$$

for  $\sigma < 0$  and  $[\lambda_\nu] > X$ .

Now we can easily prove that for  $\sigma < 0$ ,

$$\left\{ \begin{array}{l} \text{(i)} \quad \text{Max}_{1 \leq j < +\infty} \exp(-j/\alpha \cdot \log(j/\gamma) - j\sigma) \leq \exp(\exp((- \sigma)\alpha)), \\ \text{(ii)} \quad \exp(-j/\alpha \cdot \log(j/\gamma) - j\sigma) < \exp(-j/\alpha) \end{array} \right.$$

for  $j > j(\sigma) = \exp((- \sigma)\alpha + 2)$ .

Accordingly, putting

$$I = \sum_{j=1}^{\infty} \exp(-j/\alpha \cdot \log(j/\gamma) - j\sigma) = \sum_{j=1}^{j(\sigma)} + \sum_{j=j(\sigma)+1}^{\infty} = I_1 + I_2,$$

we get

$$I_1 < j(\sigma) \exp\{\exp((- \sigma)\alpha)\} = \alpha \exp\{\exp((- \sigma)\alpha) + (- \sigma)\alpha + 2\},$$

$$I_2 < \sum_{j=0}^{\infty} \exp(-j/\alpha) = \{1 - \exp(-j/\alpha)\}^{-1},$$

so that, for sufficiently large  $- \sigma(\sigma < 0)$ ,

$$I < 2 \alpha \exp\{\exp((- \sigma)\alpha) + (- \sigma)\alpha + 2\}.$$

Hence, (3.8) yields

$$(3.9) \quad \left| \sum_{n=\nu}^{\mu} a_n \exp(-\lambda_n(\sigma + it)) \right| < 6 \alpha \exp\{\exp((- \sigma)\alpha) + (- \sigma)\alpha + 2\},$$

for  $\mu > \nu$ ,  $[\lambda_\nu] > X$ , where  $\mu$  is arbitrary, but  $\nu$  is fixed.

On the other hand, for sufficiently large  $- \sigma(\sigma < 0)$ , we have evidently

$$(3.10) \quad \left| \sum_{\substack{n=1 \\ 1 \leq k < \nu}}^k a_n \exp(-\lambda_n(\sigma + it)) \right| \leq \sum_{n=1}^{\nu-1} |a_n| \exp(-\lambda_n\sigma) < \exp\{\exp((- \sigma)\alpha) + (- \sigma)\alpha\}.$$

Hence, by (3.9) and (3.10)

$$\left| \sum_{n=1}^k a_n \exp(-\lambda_n(\sigma + it)) \right| < \{6 \alpha e^2 + 1\} \exp\{\exp((- \sigma)\alpha) + (- \sigma)\alpha\},$$

for arbitrary  $k (1 \leq k < +\infty)$ ,  $t (-\infty < t < +\infty)$  and sufficiently large  $- \sigma (\sigma < 0)$ , so that immediately follows

$$M_u(\sigma) \leq A \exp\{\exp((- \sigma)\alpha) + (- \sigma)\alpha\}, \quad A = (6 \alpha e^2 + 1),$$

for sufficiently large  $- \sigma(\sigma < 0)$ , which proves Lemma 2.

#### 4. Proof of Theorems.

PROOF OF MAIN THEOREM. By definition of  $\rho_u$ , for any given  $\varepsilon (> 0)$ , there exist constants  $A$  and  $B$  depending only on  $\varepsilon$  such that

$$M_u(\sigma) < A \exp\{\exp((\rho_u + \varepsilon)(- \sigma))\}$$

for  $\sigma < B < 0$ . Hence, applying Lemma 1, in which  $\beta = 1, \alpha = \rho_u + \varepsilon$ , we get

$$-1/\rho_u^* \leq -1/(\rho_u + \varepsilon),$$

where  $-1/\rho_u^* = \overline{\lim}_{x \rightarrow +\infty} (x \log x)^{-1} \cdot \log T_x$  ( $\rho_u^* \geq 0$ ). Letting  $\varepsilon \rightarrow 0$ ,

$$(4.1) \quad \rho_u^* \leq \rho_u.$$

Since  $-1/\rho_u^* = \overline{\lim}_{x \rightarrow +\infty} \{([\mathbf{x}] + 1) \cdot \log([\mathbf{x}] + 1)\}^{-1} \cdot \log T_x$ , for any given  $\varepsilon (> 0)$ , we have

$$T_x < \exp \{ -([\mathbf{x}] + 1)/(\rho_u^* + \varepsilon) \cdot \log([\mathbf{x}] + 1) \}$$

for  $[\mathbf{x}] > X(\varepsilon)$ . Accordingly, by Lemma 2, in which  $\alpha = \rho_u^* + \varepsilon$ ,  $\alpha\beta e = 1$ , we get

$$M_u(\sigma) \leq A \exp \{ 1/e(\rho_u^* + \varepsilon) \cdot \exp((-\sigma)(\rho_u^* + \varepsilon)) \}$$

for sufficiently large  $-\sigma$  ( $\sigma < 0$ ). Therefore,  $\rho_u \leq \rho_u^* + \varepsilon$ . Letting  $\varepsilon \rightarrow 0$ ,

$$(4.2) \quad \rho_u \leq \rho_u^*.$$

Combining (4.1) with (4.2), we obtain  $\rho_u = \rho_u^*$ , which proves the first part of main theorem.

Arguing quite similarly, the second part of main theorem is also proved.

PROOF OF THEOREM I. Since  $M(\sigma) \leq M_u(\sigma)$ , we get immediately

$$(4.3) \quad \rho \leq \rho_u.$$

By definition of  $\rho_c$ , for any given  $\varepsilon (> 0)$ , there exists  $X(\varepsilon)$  such that

$$|a_n| < \exp(-\lambda_n \log \lambda_n / (\rho_c + \varepsilon)) \text{ for } \lambda_n > X(\varepsilon).$$

Hence

$$T_x \leq \sum_{[\mathbf{x}] \leq \lambda_n < x} |a_n| < N(x) \exp\{-[\mathbf{x}] \log [\mathbf{x}] / (\rho_c + \varepsilon)\}$$

for  $[\mathbf{x}] > X(\varepsilon)$ . Accordingly, by (2.1)

$$-1/\rho_u \leq -1/(\rho_c + \varepsilon) + \overline{\lim}_{x \rightarrow +\infty} (x \log x)^{-1} \cdot \log^+ N(x),$$

Letting  $\varepsilon \rightarrow 0$ ,

$$(4.4) \quad -1/\rho_u \leq -1/\rho_c + \overline{\lim}_{x \rightarrow +\infty} (x \log x)^{-1} \cdot \log^+ N(x).$$

Taking account of Hadamard's theorem ([7], p.15) and the uniform convergence in the whole plane of (1.1),

$$a_n = \lim_{T \rightarrow +\infty} T^{-1} \int_0^T F(\sigma + it) \exp(\lambda_n(\sigma + it)) dt \quad n = 1, 2, \dots,$$

so that

$$(4.5) \quad |a_n| \leq M(\sigma) \exp(\sigma \lambda_n) \quad (n = 1, 2, \dots).$$

By definition of  $\rho$ , we have, for any given  $\varepsilon (> 0)$ ,

$$M(\sigma) < \exp \{ \exp((\rho + \varepsilon)(-\sigma)) \}$$

for sufficiently large  $-\sigma (\sigma < 0)$ . Therefore, by (4.5),

$$(4.6) \quad |a_n| < \exp \{ \exp((\rho + \varepsilon)(-\sigma) - (-\sigma)\lambda_n) \} \quad (n = 1, 2, \dots)$$

for sufficiently large  $-\sigma$ . If we make minimum the right-hand side of (4.6), we get easily

$$|a_n| \leq \exp \{ -\lambda_n/(\rho + \varepsilon) \cdot \log(\lambda_n/(\rho + \varepsilon)e) \}$$

for sufficiently large  $n$ , so that

$$-1/\rho_\varepsilon \leq -1/(\rho + \varepsilon).$$

Letting  $\varepsilon \rightarrow 0$ ,

$$(4.7) \quad -1/\rho_\varepsilon \leq -1/\rho.$$

On account of (4.3), (4.4) and (4.7), we get (2.3).

PROOF OF THEOREM II. Let us put

$$(4.8) \quad f(s) = \sum_{n=1}^{\infty} \Re(a_n) \exp(-\lambda_n s), \quad \Re(a_n) \geq 0,$$

which is evidently absolutely convergent in the whole plane. Since

$$M(\sigma) = \sup_{-\infty < t < +\infty} |F(\sigma + it)| \geq |F(\sigma)| \geq f(\sigma) = \sup_{-\infty < t < +\infty} |f(\sigma + it)| = M_r(\sigma),$$

we have

$$(4.9) \quad \rho \geq \rho_r,$$

where  $\rho_r = \lim_{\sigma \rightarrow \infty} (-\sigma)^{-1} \cdot \log^+ \log^+ M_r(\sigma)$ . Since, by  $\Re(a_n) \geq 0$ ,

$$\sup_{\substack{-\infty < t < +\infty \\ |s| \leq k < +\infty}} \left| \sum_{n=1}^k \Re(a_n) \exp(-\lambda_n(\sigma + it)) \right| = M_r(\sigma),$$

applying main theorem to  $f(s)$ , we obtain

$$(4.10) \quad -1/\rho_r = \overline{\lim}_{x \rightarrow +\infty} (x \log x)^{-1} \cdot \log \left\{ \sup_{-\infty < t < +\infty} \left| \sum_{|x| \leq \lambda_n < x} \Re(a_n) \exp(-it\lambda_n) \right| \right\} \\ = \overline{\lim}_{x \rightarrow +\infty} (x \log x)^{-1} \cdot \log \left\{ \sum_{|x| \leq \lambda_n < x} \Re(a_n) \right\}.$$

On the other hand, we get easily

$$\sum_{|r| \leq \lambda_n < x} \Re(a_n) = \sum_{|r| \leq \lambda_n < x} |a_n| \cos \theta_n \geq \cos \theta_{n(x)} \cdot \sum_{|x| \leq \lambda_n < x} |a_n| \geq \cos \theta_{n(x)} \cdot T_x,$$

$$\text{where } \cos \theta_{n(x)} = \min_{|x| \leq \lambda_n < x} \{ \cos \theta_n \}, \quad T_x = \sup_{-\infty < t < +\infty} \left| \sum_{|x| \leq \lambda_n < x} a_n \exp(-it\lambda_n) \right|.$$

Hence, by (4.10) and (2.1), we obtain

$$-1/\rho_r \geq -1/\rho_u + \overline{\lim}_{x \rightarrow +\infty} (x \log x)^{-1} \cdot \log \{ \cos \theta_{n(x)} \} \\ \geq -1/\rho_u + \overline{\lim}_{u \rightarrow +\infty} (\lambda_u \log \lambda_u)^{-1} \cdot \log \{ \cos \theta_u \},$$

so that, by (4.9)

$$(4.11) \quad -1/\rho \geq -1/\rho_r \geq -1/\rho_u + \Delta_1.$$

By (4.3) and (4.11), we have (2.5).

PROOF OF THEOREM III. Taking account of  $\overline{\lim}_{x \rightarrow +\infty} (x \log x)^{-1} \cdot \log^+ N(x) = 0$  and Theorem 1, we have

$$1/\rho = -1/\rho_c = -1/\rho_u = \overline{\lim}_{n \rightarrow +\infty} (\lambda_n \log \lambda_n)^{-1} \cdot \log |a_n|.$$

Hence, by (2.2), we can define  $k_c$  and  $k_u$  by (2.7). Since  $M(\sigma) \leq M_u(\sigma)$ ,  $\rho = \rho_u$ , we get immediately

$$(4.12) \quad k \leq k_u.$$

By definition of  $k_c$ , there exists  $X(\varepsilon)$  for any given  $\varepsilon (> 0)$ , such that

$$|a_n| < \exp \{ -\lambda_n/\rho \cdot \log(\lambda_n/e\rho(k_c + \varepsilon)) \} \quad \text{for } \lambda_n > X(\varepsilon).$$

Accordingly

$$T_x \leq \sum_{[x] \leq \lambda_n < x} |a_n| < N(x) \exp \{ -[x]/\rho \cdot \log([x]/e\rho(k_c + \varepsilon)) \}$$

for  $[x] > X(\varepsilon)$ , so that

$$\begin{aligned} \overline{\lim}_{x \rightarrow +\infty} (x^{-1} \cdot \log T_x + \rho^{-1} \cdot \log x) &= \rho^{-1} \cdot \log(e\rho k_u) \\ &\leq \rho^{-1} \cdot \log(e\rho(k_c + \varepsilon)) + \overline{\lim}_{x \rightarrow +\infty} x^{-1} \cdot \log^+ N(x). \end{aligned}$$

Letting  $\varepsilon \rightarrow 0$ ,

$$\rho^{-1} \cdot \log(e\rho k_u) \leq \rho^{-1} \cdot \log(e\rho k_c) + \overline{\lim}_{x \rightarrow +\infty} x^{-1} \cdot \log^+ N(x), \text{ i. e.}$$

$$(4.13) \quad k_u \leq k_c \exp \{ \rho \cdot \overline{\lim}_{x \rightarrow +\infty} x^{-1} \cdot \log^+ N(x) \}.$$

By definition of  $k$ , we have, for any given  $\varepsilon (> 0)$ ,

$$M(\sigma) < \exp \{ (k + \varepsilon) \exp((-\sigma)\rho) \}$$

for sufficiently large  $-\sigma$  ( $\sigma < 0$ ). Therefore, by (4.5)

$$(4.14) \quad |a_n| < \exp \{ (k + \varepsilon) \exp((-\sigma)\rho) - (-\sigma)\lambda_n \} \quad (n = 1, 2, \dots)$$

for sufficiently large  $-\sigma$ . If we make minimum the right-hand side of (4.14), we have

$$|a_n| \leq \exp \{ -\lambda_n/\rho \cdot \log(\lambda_n/e\rho(k + \varepsilon)) \}$$

for sufficiently large  $n$ , so that

$$\overline{\lim}_{n \rightarrow +\infty} (\lambda_n^{-1} \cdot \log |a_n| + \rho^{-1} \cdot \log \lambda_n) = \rho^{-1} \cdot \log(e\rho k_c) \leq \rho^{-1} \log(e\rho(k + \varepsilon)).$$

Letting  $\varepsilon \rightarrow 0$ ,  $\rho^{-1} \cdot \log(e\rho k_c) \leq \rho^{-1} \cdot \log(e\rho k)$ . Hence,

$$(4.15) \quad k_c \leq k$$

By virtue of (4.12), (4.13) and (4.15), we obtain (2.6).

PROOF OF THEOREM IV. On account of  $\Delta_1 = 0$  and Theorem 2, we get

$$-1/\rho = -1/\rho_u = \overline{\lim}_{x \rightarrow +\infty} (x \log x)^{-1} \cdot \log T_x.$$

Hence, by (2.2), we can put

$$(4.16) \quad \rho^{-1} \cdot \log(e \rho k_u) = \overline{\lim}_{x \rightarrow +\infty} (x^{-1} \cdot \log T_x + \rho^{-1} \cdot \log x),$$

where  $k_u = \overline{\lim}_{\sigma \rightarrow -\infty} 1/\exp((-\sigma)\rho) \cdot \log^+ M_u(\sigma)$ . Accordingly, on account of  $M(\sigma) \leq M_u(\sigma)$ ,  $\rho = \rho_u$ , we have easily

$$(4.17) \quad k \leq k_u.$$

Using the same notations as in the proof of Theorem 2, and  $\Delta_1 = 0$  and (4.11), we have  $\rho = \rho_u = \rho_r$ . Therefore, applying the main theorem to  $f(s)$

$= \sum_{n=1}^{\infty} \Re(a_n) \exp(-\lambda_n s)$  with  $\Re(a_n) \geq 0$ , we get

$$(4.18) \quad \rho^{-1} \cdot \log(e \rho k_r) = \lim_{x \rightarrow +\infty} \left\{ x^{-1} \cdot \log \left( \sum_{[x] \leq \lambda_n < x} \Re(a_n) \right) + \rho^{-1} \cdot \log x \right\},$$

where

- (i)  $k_r = \overline{\lim}_{\sigma \rightarrow -\infty} 1/\exp((-\sigma)\rho) \cdot \log^+ M_r(\sigma)$ ,
- (ii)  $M_r(\sigma) = \text{Sup}_{-\infty < t < +\infty} |f(\sigma + it)| = f(\sigma)$ .

Hence, by  $M_r(\sigma) \leq M(\sigma)$ ,  $\rho = \rho_r$ , we have

$$(4.19) \quad k_r \leq k.$$

In the proof of Theorem 2, we have proved that

$$\sum_{[x] \leq \lambda_n < x} \Re(a_n) \geq \cos \theta_{n(x)} \cdot T_x,$$

where  $\cos \theta_{n(x)} = \text{Min}_{[x] \leq \lambda_n < x} \{\cos \theta_n\}$ . Hence, by (4.18) and (4.16),

$$\begin{aligned} \rho^{-1} \cdot \log(e \rho k_r) &\geq \overline{\lim}_{x \rightarrow +\infty} (x^{-1} \cdot \log T_x + \rho^{-1} \cdot \log x) + \lim_{x \rightarrow +\infty} x^{-1} \cdot \log \{\cos \theta_{n(x)}\} \\ &\geq \rho^{-1} \cdot \log(e \rho k_u) + \lim_{n \rightarrow +\infty} \lambda_n^{-1} \cdot \log \{\cos \theta_n\} \\ &= \rho^{-1} \cdot \log(e \rho k_u) + \Delta_2, \end{aligned}$$

so that

$$(4.20) \quad k_r \geq k_u \exp(\rho \Delta_2).$$

By virtue of (4.17) (4.19) and (4.20), the required question (2.8) is completely established.

**5. Corollaries.** From Theorem 1, we get immediately

COROLLARY I. *Let (1.1) be uniformly convergent in the whole plane. If*

$\lim_{x \rightarrow +\infty} (x \log x)^{-1} \cdot \log^+ N(x) = 0$ ,  $N(x) = \sum_{[x] \leq \lambda_n < x} 1$ , then its order  $\rho$  is given by

$$(5.1) \quad -1/\rho = \lim_{n \rightarrow +\infty} (\lambda_n \log \lambda_n)^{-1} \cdot \log |a_n|.$$

As its special case, we obtain

COROLLARY II. (J. Ritt. [2]) *Let (1.1) be simply convergent in the whole plane. If  $\overline{\lim}_{n \rightarrow +\infty} \lambda_n^{-1} \cdot \log n < +\infty$ , then (5.1) holds.*

REMARK. J. Ritt supposed the absolute convergence in the whole plane, but it is a consequence of  $\sigma_s = -\infty$  and  $\overline{\lim}_{n \rightarrow +\infty} \lambda_n^{-1} \cdot \log n < +\infty$ .

PROOF. By the similar arguments as a lemma in [6] p.50, we get

$$(5.2) \quad 0 \leq \sigma_a - \sigma_s \leq \overline{\lim}_{x \rightarrow +\infty} x^{-1} \cdot \log^+ N(x) \leq \overline{\lim}_{n \rightarrow +\infty} \lambda_n^{-1} \log n,$$

where  $\sigma_a(\sigma_s)$  is the absolute (simple) convergence-abscissa of (1.1). Hence, on account of  $\sigma_s = -\infty$  and  $\overline{\lim}_{n \rightarrow +\infty} \lambda_n^{-1} \cdot \log n < +\infty$ ,  $\sigma_a = -\infty$ . A fortiori, (1.1) is uniformly convergent in the whole plane. By (5.2) and  $\overline{\lim}_{n \rightarrow +\infty} \lambda_n^{-1} \cdot \log n < +\infty$ , we get evidently  $\lim_{x \rightarrow +\infty} (x \log x)^{-1} \cdot \log^+ N(x) = 0$ , so that Corollary 2 is a special case of Corollary 1.

COROLLARY III. (K. Sugimura [4]) *Let (1.1) be simply convergent in the whole plane. If  $\sum_{\lambda_n < x} 1 = O(x^{\delta x})$  for any given  $\delta > 0$ , and  $0 \leq \rho_c < +\infty$ , where  $-1/\rho_c = \lim_{n \rightarrow +\infty} (\lambda_n \log \lambda_n)^{-1} \cdot \log |a_n|$ , then (5.1) holds.*

REMARK. K. Sugimura have not assumed  $0 \leq \rho_c < +\infty$  explicitly, but he assumed it implicitly.

PROOF. By hypothesis, we get easily

$$(5.3) \quad N(x) = \sum_{[x] \leq \lambda_n < x} 1 < \sum_{\lambda_n < [x+1]} 1 = O((\lceil x \rceil + 1)^{\delta(\lceil x \rceil + 1)}).$$

Hence  $0 \leq \overline{\lim}_{x \rightarrow +\infty} (x \log x)^{-1} \cdot \log^+ N(x) \leq \delta$ . Letting  $\delta \rightarrow 0$ ,

$$(5.4) \quad \overline{\lim}_{x \rightarrow +\infty} (x \log x)^{-1} \cdot \log^+ N(x) = 0.$$

On account of hypothesis, we can determine  $X(\varepsilon)$  for any given  $\varepsilon (> 0)$ , such that, for  $\lambda_n > X(\varepsilon)$

$$|a_n| < \exp \{ -\lambda_n \log \lambda_n / (\rho_c + \varepsilon) \}.$$

Hence, by (5.3),

$$\begin{aligned} \sum_{[x] \leq \lambda_n < x} |a_n| &< N(x) \exp \{ -(\lceil x \rceil + 1) \cdot \log((\lceil x \rceil + 1) / (\rho_c + \varepsilon)) \} \\ &< O((\lceil x \rceil + 1)^{\delta(\lceil x \rceil + 1)}) \cdot \exp \{ -(\lceil x \rceil + 1) \cdot \log((\lceil x \rceil + 1) / (\rho_c + \varepsilon)) \}, \end{aligned}$$

for  $[x] > X(\varepsilon)$ . Therefore,

$$\overline{\lim}_{x \rightarrow +\infty} x^{-1} \cdot \log \left\{ \sum_{[x] \leq \lambda_n < x} |a_n| \right\} \leq \overline{\lim}_{x \rightarrow +\infty} \log(\lceil x \rceil + 1) \cdot \{ \delta - 1/(\rho_c + \varepsilon) \}.$$

Since  $0 \leq \rho_c < +\infty$ , taking sufficiently small  $\delta (> 0)$ , we can assume that  $\delta - 1/(\rho_c + \varepsilon) < 0$ . Hence,

$$\lim_{x \rightarrow +\infty} \overline{x^{-1} \cdot \log \left\{ \sum_{[x] \leq \lambda_n < x} |a_n| \right\}} \leq -\infty,$$

which proves  $\sigma_a = -\infty$ . A fortiori, (1.1) is uniformly convergent in the whole plane. Thus, by (5.4) and Corollary 1, Corollary 3 is established.

From Theorem 2 immediately follows

**COROLLARY IV.** *Let (1.1) be uniformly convergent in the whole plane. If  $\Re(a_n) \geq 0$  and  $\lim_{n \rightarrow +\infty} (\lambda_n \log \lambda_n)^{-1} \cdot \log(\cos \theta_n) = 0$ ,  $\theta_n = \arg(a_n)$ , then its order  $\rho$  is given by*

$$-1/\rho = \lim_{x \rightarrow +\infty} (x \log x)^{-1} \cdot \log T_x.$$

As a corollary of Theorem 3, we get a generalization of S. Izumi's theorem [3].

**COROLLARY V.** (S. Izumi) *Let (1.1) with  $\overline{\lim_{r \rightarrow +\infty} x^{-1} \cdot \log^+ N(x)} = 0$  be simply (necessarily absolutely) convergent in the whole plane. If  $0 < \rho < +\infty$ , then its type  $k$  is given by*

$$\rho^{-1} \cdot \log(e \rho k) = \lim_{n \rightarrow +\infty} \{ \lambda_n^{-1} \cdot \log |a_n| + \rho^{-1} \cdot \log \lambda_n \}.$$

**PROOF.** By (5.2) and hypothesis, we have  $\sigma_a = \sigma_s = -\infty$ . A fortiori, (1.1) converges uniformly in the whole plane. From  $\overline{\lim_{r \rightarrow +\infty} x^{-1} \cdot \log^+ N(x)} = 0$  we get evidently  $\overline{\lim_{t \rightarrow +\infty} (x \log x)^{-1} \cdot \log^+ N(x)} = 0$ . Hence, by Theorem 2,  $k_s = k = k_u$ , which proves Corollary 5.

As a special case of Theorem 4, we have

**COROLLARY IV.** *Let (1.1) with  $\Re(a_n) \geq 0$ ,  $\lim_{n \rightarrow +\infty} \lambda_n^{-1} \cdot \log(\cos \theta_n) = 0$ ,  $\theta_n = \arg(a_n)$  be simply (necessarily absolutely) convergent in the whole plane. If  $0 < \rho < +\infty$ , then its type  $k$  is determined by*

$$\rho^{-1} \cdot \log(e \rho k) = \overline{\lim_{t \rightarrow +\infty} (x^{-1} \cdot \log T_x + \rho^{-1} \cdot \log x)}.$$

**PROOF.** We have easily

$$\left| \sum_{[t] \leq \lambda_n < x} a_n \right| \geq \left| \sum_{[r] \leq \lambda_n < x} \Re(a_n) \right| = \sum_{[r] \leq \lambda_n < x} |a_n| \cos \theta_n \geq \cos \theta_{n(r)} \cdot \sum_{[r] \leq \lambda_n < x} |a_n|,$$

where  $\cos \theta_{n(1)} = \min_{[x] \leq \lambda_n < x} \{\cos \theta_n\}$ . Hence, by T. Kojima's theorem [8]

$$(5.5) \quad -\infty = \sigma_s = \overline{\lim_{x \rightarrow +\infty} x^{-1} \cdot \log \left| \sum_{[x] \leq \lambda_n < x} a_n \right|} \\ \geq \lim_{r \rightarrow +\infty} x^{-1} \cdot \log \{ \cos \theta_{n(r)} \} + \overline{\lim_{x \rightarrow +\infty} x^{-1} \cdot \log \left\{ \sum_{[x] \leq \lambda_n < r} |a_n| \right\}}$$

$$= \lim_{x \rightarrow +\infty} x^{-1} \cdot \log\{\cos \theta_{n(x)}\} + \sigma_a.$$

On the other hand, from  $\lim_{n \rightarrow +\infty} \lambda_n^{-1} \cdot \log(\cos \theta_n) = 0$ , we have easily

$$\lim_{x \rightarrow +\infty} x^{-1} \cdot \log\{\cos \theta_{n(x)}\} = 0,$$

so that, by (5.5),  $\sigma_a = -\infty$ . A fortiori, (1.1) converges uniformly in the whole plane. Thus, by Theorem 4 and  $\lim_{n \rightarrow +\infty} \lambda_n^{-1} \cdot \log(\cos \theta_n) = 0$ , we get easily

$k = k_n$ , which proves Corollary 4.

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