

CYCLIC VECTORS IN THE α -BLOCH SPACES

SHANLI YE

ABSTRACT. In this paper we try to identify the functions whose polynomial multiples are weak* dense in the β_α spaces. We obtain that if $|f(z)| \geq |g(z)|$ in the open unit disk and g is cyclic in β_α , then f is cyclic in β_α . Especially, for $0 < \alpha < 1$, f is cyclic in β_α if and only if f has no zeros in the closed unit disc.

Introduction. Let D be the open unit disk in the complex plane \mathbf{C} . For each $\alpha > 0$, the α -Bloch space of D , denoted by β_α , consists of analytic functions f on D such that

$$\|f\|_{\beta_\alpha} = \sup\{(1 - |z|^2)^\alpha |f'(z)| : z \in D\} < \infty.$$

We give a norm in β_α as follows

$$(1) \quad \|f\|_\alpha = |f(0)| + \|f\|_{\beta_\alpha}.$$

With this norm, β_α is a Banach space and $\beta_{\alpha,0}$ a closed subspace. Here $\beta_{\alpha,0}$ denotes the set of those f in β_α for which $(1 - |z|^2)^\alpha |f'(z)| \rightarrow 0$ as $|z| \uparrow 1$. The space β_α with the norm (1) is isometric to the second dual $\beta_{\alpha,0}^{**}$, see [9]. Furthermore, the polynomials are norm dense in $\beta_{\alpha,0}$ and in $\beta_{\alpha,0}^*$, and are weak* dense in β_α . We refer to [1, 9] for more information about β_α and $\beta_{\alpha,0}$.

In this paper, we study (weak*) cyclic vectors in β_α . These are the function f in β_α whose polynomial multiples are weak* dense in β_α , i.e., they are cyclic vectors in the weak* topology for the operator of multiplication by z on β_α . If $f \in \beta_\alpha$, let $[f]$ be the weak* closure in β_α of the polynomial multiples of f . Thus, f is cyclic if and only if $[f] = \beta_\alpha$. Note that a duality argument yields the fact that, if f is in $\beta_{\alpha,0}$, then f is (norm) cyclic in $\beta_{\alpha,0}$ if and only if it is weak* cyclic in β_α .

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When we refer to cyclic vectors in β_α , the weak* is always understood. In a special case of $\alpha = 1$, Brown and Shields have shown the following theorems.

Theorem A [3, Theorem 2]. *If $f, g \in \beta_1$, $|f(z)| \geq |g(z)|$ in D , and g is cyclic in β_1 , then f is cyclic in β_1 .*

Theorem B [3, Theorem 3]. *If $f \in \beta_1$, f is an outer function, then f is cyclic in β_1 .*

The main results in this paper are

Theorem 1. *For $\alpha > 0$, $f, g \in \beta_\alpha$, $|f(z)| \geq |g(z)|$ in D , and g is cyclic in β_α , then f is cyclic in β_α .*

Theorem 2. *For $\alpha \geq 1$, f is an outer function in β_α , then f is cyclic in β_α .*

Theorem 3. *For $0 < \alpha < 1$, $f \in \beta_\alpha$, then f is cyclic in β_α if and only if f has no zeros in the closed unit disc.*

Throughout this paper, C 's are positive constants which are not necessarily the same in each appearance.

1. Some sufficient conditions for cyclic. In this section we shall prove Theorems 1 and 2. For this purpose, we need the following lemmas.

Lemma 1. *Let $f \in \beta_\alpha$, $|z| = r$, then*

- (a) $|f(z)| \leq (1 + (1/2) \ln(1 + r/1 - r)) \|f\|_\alpha$, $\alpha = 1$,
- (b) $|f(z)| \leq (1 + (1/(\alpha - 1)(1 - r)^{\alpha-1})) \|f\|_\alpha$, $\alpha > 1$,
- (c) $|f(z)| \leq (1 + (1/1 - \alpha)) \|f\|_\alpha$, $0 < \alpha < 1$,
- (d) $|f(z) - f(tz)| \leq (1/1 - \alpha) \|f\|_{\beta_\alpha} [(1 - tr)^{1-\alpha} - (1 - r)^{1-\alpha}]$, $0 < \alpha < 1$, $0 \leq t < 1$.

The proof follows from a direct calculation; we omit the details.

Lemma 2. *For each $\alpha > 0$,*

(a) *If $\{f_n\} \subset \beta_\alpha$, then $f_n \rightarrow 0$ weak* if and only if $f_n(z) \rightarrow 0$ for all z in D , and $\sup \|f_n\|_\alpha < \infty$,*

(b) *If $\{f_t\} \subset \beta_\alpha$, $0 < t \leq 1$, then $\lim_{t \rightarrow 1^-} f_t = 0$ weak* if and only if $\lim_{t \rightarrow 1^-} f_t(z) = 0$ for all z in D , and $\sup \|f_t\|_\alpha < \infty$.*

Proof. For each $z \in D$, the linear functional of evaluation at z is weak* continuous by Lemma 1, β_α is isometric to the $(L_a^1)^*$, L_a^1 (denote the set of analytic functions that are in L^1 with respect to the area measure in D) is a Banach space. Using these facts, the proof can be obtained from [4, Proposition 2].

Lemma 3. *If $f \in \beta_\alpha$, then $\|f_t\|_{\beta_\alpha} \leq \|f\|_{\beta_\alpha}$, $0 < t \leq 1$, where $f_t(z) = f(tz)$ for all $z \in D$.*

The proof follows from a direct calculation; we omit the details.

Lemma 4. *For $\alpha > 1$, if $f \in \beta_\alpha$, then $\sup(1 - |z|^2)^{\alpha-1}|f(z)| \leq C < \infty$.*

Proof. For $f \in \beta_\alpha$, then $f' \in L^1(D, (1 - |z|^2)^\alpha dA(z))$. By [10, Section 4.2.1], we have

$$f'(z) = (\alpha + 1) \int_D \frac{(1 - |w|^2)^\alpha f'(w)}{(1 - z\bar{w})^{2+\alpha}} dA(w).$$

Taking the line integral from 0 to z ,

$$f(z) - f(0) = \int_D \frac{(1 - |w|^2)^\alpha f'(w)}{\bar{w}} \left[\frac{1}{(1 - z\bar{w})^{1+\alpha}} - 1 \right] dA(w).$$

Using Taylor expansion, we get

$$\int_D \frac{(1 - |w|^2)^\alpha f'(w)}{\bar{w}} dA(w) = 0.$$

So

$$\begin{aligned}
|f(z) - f(0)| &\leq \|f\|_{\beta_\alpha} \int_D \frac{dA(w)}{|w|(1-z\bar{w})^{1+\alpha}} \\
&= \|f\|_{\beta_\alpha} \left[\int_{D/2} \frac{dA(w)}{|w|(1-z\bar{w})^{1+\alpha}} + \int_{D \setminus D/2} \frac{dA(w)}{|w|(1-z\bar{w})^{1+\alpha}} \right] \\
&\leq \|f\|_{\beta_\alpha} \left[2 \int_0^{1/2} \frac{dr}{(1-r)^{1+\alpha}} + \int_{D \setminus D/2} \frac{2dA(w)}{(1-z\bar{w})^{1+\alpha}} \right] \\
&\leq \|f\|_{\beta_\alpha} \left[(2^\alpha - 1) \frac{2}{\alpha} + 2 \int_D \frac{dA(w)}{(1-z\bar{w})^{1+\alpha}} \right].
\end{aligned}$$

However, by [10 Lemma 4.2.2],

$$\int_D \frac{dA(w)}{(1-z\bar{w})^{1+\alpha}} \leq \frac{C}{(1-|z|^2)^{\alpha-1}}.$$

Thus,

$$\sup(1-|z|^2)^{\alpha-1}|f(z)| \leq C < \infty.$$

Lemma 5. *If $g \in H^\infty$, $\alpha > 0$, $f \in \beta_\alpha$ and $fg \in \beta_\alpha$, then $fg \in [f]$.*

Proof. For $0 < t < 1$, $g_t(z) = g(tz)$, we can easily show that if P_n is the partial sum of the power series for g_t , then $P_n f \rightarrow g_t f$ (norm) as $n \rightarrow \infty$. Thus, we have $g_t f$ is in the weak* closure of polynomial of f , which implies $g_t f \in [f]$. For $z \in D$, $\lim_{t \rightarrow 1^-} g_t(z)f(z) = g(z)f(z)$, if $\sup \|g_t f\|_\alpha < \infty$, then $g_t f \rightarrow gf$ weak* by Lemma 2, thus $fg \in [f]$. Now we are going to show that $\sup \|g_t f\|_\alpha < \infty$.

For $\alpha > 1$, using Lemma 4, we see that

$$\begin{aligned}
(1-|z|^2)^\alpha |(g_t f)'| &\leq (1-|z|^2)^\alpha |f'| |g_t| + (1-|z|^2)^\alpha |g'_t| |f| \\
&\leq \|g\|_\infty \|f\|_{\beta_\alpha} + (1-|z|^2)^{\alpha-1} |f| (1-|z|^2) |g'_t| \\
&\leq \|g\|_\infty \|f\|_{\beta_\alpha} + C(1-|z|^2) \frac{1}{(1-|tz|)} \|g\|_\infty \\
&< \infty.
\end{aligned}$$

Hence,

$$\sup \|g_t f\|_\alpha < \infty.$$

For $0 < \alpha < 1$, we have

$$(1 - |z|^2)^\alpha |(g_t f)'| \leq \|g\|_\infty \|f\|_{\beta_\alpha} + (1 - |z|^2)^\alpha |f g'_t|.$$

Then

$$\begin{aligned} (1 - |z|^2)^\alpha |f g'_t| &\leq (1 - |z|^2)^\alpha |f - f_t| |g'_t| + (1 - |z|^2)^\alpha |f_t g'_t| \\ &= \varphi_1 + \varphi_2. \end{aligned}$$

By Lemma 1, we have

$$\begin{aligned} \varphi_1 &\leq \frac{1}{1 - \alpha} (1 - r^2)^\alpha \|f\|_{\beta_\alpha} [(1 - tr)^{1-\alpha} - (1 - r)^{1-\alpha}] \frac{1}{(1 - tr)} \|g\|_\infty \\ &\leq C(\alpha) \|f\|_{\beta_\alpha} \left[\left(\frac{1 - r}{1 - tr} \right)^\alpha - \frac{1 - r}{1 - tr} \right] \|g\|_\infty \\ &\leq 2C(\alpha) \|f\|_{\beta_\alpha} \|g\|_\infty < \infty. \end{aligned}$$

From Lemma 3,

$$\begin{aligned} \varphi_2 &= (1 - |z|^2)^\alpha |(f_t g_t)' - f'_t g_t| \\ &\leq (1 - |z|^2)^\alpha |(f g)'_t| + (1 - |z|^2)^\alpha |f'_t| |g_t| \\ &\leq \|(f g)_t\|_{\beta_\alpha} + \|g\|_\infty \|f_t\|_{\beta_\alpha} \\ &\leq \|f g\|_{\beta_\alpha} + \|g\|_\infty \|f\|_{\beta_\alpha} < \infty. \end{aligned}$$

Thus,

$$\sup \|g_t f\|_\alpha < \infty.$$

For $\alpha = 1$, one can obtain the proof from [3, Lemma 4]. The proof is completed. \square

Proof of Theorem 1. We have $g/f \in H^\infty$ and $(g/f)f = g \in [f]$, which implies that f is cyclic.

Corollary 1. For $\alpha > 0$, $f \in \beta_\alpha$ and $|f(z)| \geq C > 0$ in D , then f is cyclic in β_α .

To give the proof Theorem 2, we need the following proposition.

Proposition 1. (a) f is cyclic in H^∞ (with the weak* topology) if and only if f is an outer function.

(b) For $\alpha > 1$, f is cyclic in H^∞ , then f is cyclic in β_α .

Proof. (a) is in [8, Theorem 5.5], we only need to prove (b).

Let f be cyclic in H^∞ . Then there exists a sequence of polynomials P_n such that $P_n f \rightarrow 1$ weak*; this implies that $P_n(z)f(z) \rightarrow 1$ for all z in D and $\sup \|P_n f\|_{H^\infty} < \infty$.

Moreover, for $\alpha \geq 1$, $H^\infty \subset \beta_\alpha$, gives that identity $i: H^\infty \rightarrow \beta_\alpha$ is bounded. So $\sup \|P_n f\|_\alpha < \infty$. Hence, by Lemma 2, f is cyclic in β_α .

Proof of Theorem 2. Let

$$g(z) = \exp \left\{ \frac{1}{2\pi} \int_0^{2\pi} \frac{e^{it} + z}{e^{it} - z} \log |g^*(t)| dt \right\},$$

where $f^*(t) = \lim_{r \rightarrow 1} f(re^{it})$ almost everywhere and

$$g^*(t) = \begin{cases} 1 & |f^*(t)| \geq 1, \\ |f^*(t)| & |f^*(t)| < 1. \end{cases}$$

We see that $g \in H^\infty \subset \beta_\alpha$ is an outer function and therefore cyclic in H^∞ ; by Proposition 1, g is cyclic in β_α . Furthermore,

$$\begin{aligned} |g(z)| &= \exp \left\{ \int_0^{2\pi} P_z(t) \log |g^*(t)| dt \right\} \\ &\leq \exp \left\{ \int_0^{2\pi} P_z(t) \log |f^*(t)| dt \right\} \\ &= |f(z)|, \quad z \in D, \end{aligned}$$

where P_z is the Poisson kernel for the point z , so f is cyclic by Theorem 1.

2. A sufficient and necessary condition for $0 < \alpha < 1$. As usual, by the disc algebra A we mean the space of functions continuous on the closed unit disc and analytic in D , with the supremum norm.

Lemma 6. *For every $0 < \alpha < 1$, $\beta_\alpha \subset A$.*

Proof. See [5, p. 74].

Lemma 7. *For $f \in A$, then f is cyclic in A , with the norm topology, if and only if $f(z)$ has no zeros in the closed unit disc.*

Proof. For A a Banach algebra, it can be shown that maximal ideal space is the closed unit disc, see [6, p. 189]. One shows that f is cyclic if and only if it lies in no proper closed ideal. Thus the cyclic vectors are precisely the invertible elements in the Banach algebra A , so f is cyclic in A if and only if $f(z)$ has no zeros in the closed unit disc.

Proof of Theorem 3. For $0 < \alpha < 1$, $f \in \beta_\alpha$, f is cyclic in β_α . Let $t = (1 + \alpha)/2$; then $\alpha < t < 1$. From Lemma 2, we can easily show that f is cyclic in β_t . However, $f \in \beta_{t,o}$, shows f is cyclic in $\beta_{t,o}$. Thus, there exists a sequence of polynomials $P_n(z)$ such that $P_n f \rightarrow 1$ (norm) as $n \rightarrow \infty$. By Lemma 1, we have

$$\|P_n f - 1\|_A \leq \left(1 + \frac{1}{1-t}\right) \|P_n f - 1\|_t,$$

thus f is cyclic in A . Hence, by Lemma 7, f has no zeros in the closed unit disc.

On the other hand, since f is continuous in the closed unit disc, there exists $C > 0$ such that $|f(z)| \geq C$ for all z in D . Thus, f is cyclic by Corollary 1.

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DEPARTMENT OF MATHEMATICS, FUJIAN NORMAL UNIVERSITY, FUZHOU, 350007,
P.R. CHINA
E-mail address: shanliye@fjnu.edu.cn