

THE HASSE-WITT-MATRIX OF SPECIAL PROJECTIVE VARIETIES

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The Hasse-Witt-matrix of a projective hypersurface defined over a perfect field k of characteristic p is studied using an explicit description of the Cartier-operator. We get the following applications. If L is a linear variety of dimension $n + 1$ and X a generic hypersurface of degree d , which divides $p - 1$, then the Frobenius-operator \mathcal{F} on $H^n(X \cdot L; \mathcal{O}_{L \cdot X})$ is invertible.

As another application we prove the invertibility of the Hasse-Witt-matrix for the generic curve of genus two. We don't study the Frobenius \mathcal{F} directly, but the Cartier-operator [1]. It is well-known, that for curves Frobenius and Cartier-operator are dual to each other under the duality of the Riemann-Roch theorem. A similar fact is true for higher dimension via Serre duality. We have therefore to extend to the whole "De Rham" ring the description of the Cartier-operator given in [4] for 1-forms. We give this extension in §1. Diagonal hypersurfaces are studied in §2 and the invertibility of the Hasse-Witt-matrix is proved, if the degree divides $p - 1$. The same theorem for the generic hypersurface follows then from the semicontinuity of the matrix rank. The §3 is devoted to hyperelliptic curves and is intended as a preparation for a detailed study of curves of genus two.

1. The Cartier-operator of a projective hypersurface. We extend the explicit construction of the Cartier-operator given in [4] to the whole "De Rham" ring, but restrict ourself to projective hypersurfaces.

As an application we show: Let V be a projective hypersurface of dimension $n - 1$, defined by a diagonal equation $F(X) = \sum_{i=0}^n a_i X_i^r$, $a_i \in k$ a perfect field of char $k = p > 0$, $a_i \neq 0$. Let X be a linear variety of dimension $t + 1$. If r divides $p - 1$, then

$$\mathcal{F}: H^t(X \cdot V, \mathcal{O}_{X \cdot V}) \rightarrow H^t(X \cdot V, \mathcal{O}_{X \cdot V})$$

is invertible, \mathcal{F} being the induced Frobenius endomorphism. We have to rely on a technical proposition, which is a collection of some lemmas in [4]. We give first the proposition.

PROPOSITION 1. *Let*

$$\psi: k[T] \rightarrow k[T] \quad (T = (T_1, \dots, T_n))$$

be k p^{-1} -linear and

$$\psi(T^\nu) = \begin{cases} T^\nu & \text{if } \mu = p \cdot \nu \\ 0 & \text{else .} \end{cases}$$

Then the following holds:

- (1) $\psi(T_{\mu_1} \cdots T_{\mu_r} h) = T_{\mu_1} \cdots T_{\mu_r} \bar{h}$, for some $\bar{h} \in k[T]$
- (2) Let $D_\mu = T_\mu (\partial/\partial T_\mu)$ and $D_\mu g = 0$ for a given $1 \leq \mu \leq n$, then $\psi(D_\mu h \cdot g) = 0$
- (3) Let $D_\mu g = 0$, then $\psi(h^{p^{-1}} D_\mu h \cdot g) = D_\mu h \psi(g)$.

Proof.

- (1) By the p^{-1} -linearity of ψ we may assume h to be a monomial. The statement follows then directly from the definition of ψ .
- (2) ψ is p^{-1} -linear, so we may assume h to be a monomial

$$h = T_1^{r_1} \cdots T_n^{r_n}, \quad 0 \leq r_i \leq p - 1$$

(say $\mu = n$), then $D_n h = r_n \cdot h$. If $r_n = 0$ then (2) is trivially true. So $r_n \neq 0$. Again because of p^{-1} -linearity we may also assume g to be monomial.

But $D_n g = 0$, so

$$g = T_1^{v_1} \cdots T_{n-1}^{v_{n-1}} \quad 0 \leq v_i \leq p - 1 .$$

So the exponent of T_n in $D_n h \cdot g$ is r_n and $0 < r_n \leq p - 1$, therefore not divisible by p . The definition of ψ gives

$$\psi(D_n h \cdot g) = 0 .$$

- (3) We may write

$$h = f_0 + f_1 \cdot T_\mu + \cdots + f_r \cdot T_\mu^r, \quad 0 \leq r \leq p - 1$$

and

$$D_\mu f_i = 0 .$$

We proceed by induction on T . $r = 0$ clear. Let $r \geq 1$, then $h = f + T_\mu \bar{h}$ with $D_\mu f = 0$ $\deg_{T_\mu} \bar{h} < r$. Now

$$T_\mu^{p-1} \bar{h}^{p-1} D_\mu (T_\mu \bar{h}) = (T_\mu \bar{h})^p \left(\frac{D_\mu T_\mu}{T_\mu} + \frac{D_\mu \bar{h}}{\bar{h}} \right) .$$

By p^{-1} -linearity of ψ and induction assumption for \bar{h} we get

$$\begin{aligned} \psi(g \cdot T_\mu^{p-1} \bar{h}^{p-1} D_\mu (T_\mu \bar{h})) &= T_\mu \bar{h} \psi(g) + T_\mu \psi(g \cdot \bar{h}^{p-1} D \bar{h}) \\ &= \psi(g) (T_\mu \bar{h} + T_\mu D_\mu \bar{h}) \\ &= D_\mu (T_\mu \bar{h}) \cdot \psi(g) . \end{aligned}$$

On the other hand

$$T_\mu^{p-1}\bar{h}^{p-1} = (h - f)^{p-1} = h^{p-1} + \frac{\partial P}{\partial h},$$

where P is a polynomial in f and h . We have

$$D_\mu(T_\mu\bar{h}) = D_\mu(h - f) = D_\mu h.$$

So

$$T_\mu^{p-1}\bar{h}^{p-1}D_\mu(T_\mu\bar{h}) = h^{p-1}D_\mu h + D_\mu P.$$

Multiply by g and apply ψ , then one gets

$$D_\mu h \cdot \psi(g) = D_\mu(T_\mu\bar{h})\psi(g) = \psi(h^{p-1}D_\mu h \cdot g) + \psi(D_\mu P \cdot g).$$

But by (2)

$$\psi(D_\mu P \cdot g) = 0.$$

Let $F(X_0 \cdots X_n)$ define a absolutely irreducible hypersurface V/k in $\mathcal{S}_{n,k}$ char $k = p > 0$. We denote by $f(X_1 \cdots X_n)$ an affinization of F . Let $F_\mu = (\partial/\partial X_\mu)F$, similar f_μ $1 \leq \mu \leq n$. We assume f_n not to be the zero function on V . Let $K = K(V)$ be the function field of V . We assume that $K = K^p(x_1 \cdots \check{x}_j \cdots x_n)$ for any index j . The x_i are the coordinate functions and \check{x}_j means omit x_j . As a consequence of these assumptions, we have that for a given index j any function $z \in K$ can be represented modulo F by a rational function $G(X_1 \cdots X_n)$, which is X_j -constant, i.e. such that $\partial G/\partial X_j = 0$. Write

$$F_{i_1, \dots, i_r, n} = (X_{i_1} \cdots X_{i_r} \cdot X_n)^{-1} F.$$

DEFINITION 1. Let

$$\psi_{F_{i_1, \dots, i_r, n}} = F_{i_1, \dots, i_r, n} \circ \psi \circ F_{i_1, \dots, i_r, n}^{-1}.$$

Let $\omega = \sum_{i_1 \cdots i_r} h_{i_1, \dots, i_r} \cdot dx_{i_1} \wedge \cdots \wedge dx_{i_r}$ be r -form on V . Put

$$\omega_{i_1, \dots, i_r} = \frac{dx_{i_1} \wedge \cdots \wedge dx_{i_r}}{f_n}.$$

Define

$$C(\omega) = \sum_{i_1, \dots, i_r} \psi_{F_{i_1, \dots, i_r, n}}(h_{i_1, \dots, i_r} - f_n)\omega_{i_1, \dots, i_r}.$$

The definition is justified by the following theorem.

- THEOREM 1.** (1) C is p^{-1} -linear
 (2) If $\omega = d\varphi$, then $C(\omega) = 0$

(3) If $\omega = z_{i_1}^{p-1} \cdots z_{i_r}^{p-1} dz_{i_1} \wedge \cdots \wedge dz_{i_r}$ then $C(\omega) = dz_{i_1} \wedge \cdots \wedge dz_{i_r}$. In other words, if one restricts C to $Z_{V/k}^r$, the closed forms, then

$$C: Z_{V/k}^r \rightarrow \Omega_{V/k}^r$$

is the Cartier-operator of V [1].

Proof of the theorem.

- (1) The p^{-1} -linearity follows from the p^{-1} -linearity of ψ .
- (2) Let $\varphi = \sum_{i_1, \dots, i_{r-1}} \varphi_{i_1, \dots, i_{r-1}} dx_{i_1} \wedge \cdots \wedge dx_{i_{r-1}}$ be a $(r-1)$ -form, then

$$d\varphi = \sum_j \sum_{i_1, \dots, i_{r-1}} \frac{\partial}{\partial x_j} (\varphi_{i_1, \dots, i_{r-1}}) dx_j \wedge dx_{i_1} \wedge \cdots \wedge dx_{i_{r-1}}.$$

To simplify the notation we put for the moment

$$\varphi_{i_1, \dots, i_{r-1}} = \tilde{\varphi}$$

and

$$F_{j_1 i_1, \dots, i_{r-1} n} = \tilde{F}.$$

To compute $C(d\varphi)$ we have to compute

$$\varphi_{\tilde{F}} \left(\frac{\partial}{\partial x_j} \tilde{\varphi} \cdot f_n \right)$$

for every system (j, i_1, \dots, i_{r-1}) .

Now remembering the definition of $\psi^{\tilde{F}}$ we have to show

$$\psi(F^{p-1} D_n F X_{i_1} \cdots X_{i_{r-1}} D_j \varphi) = 0$$

in order to get $C(d\varphi) = 0$.

We have to use the above proposition. We apply first (3) and then (2) and get:

$$\psi(F^{p-1} D_n F X_{i_1} \cdots X_{i_{r-1}} D_j \varphi) = D_n F \psi(X_{i_1} \cdots X_{i_{r-1}} D_j \varphi) = 0.$$

Remark, that we assume $j \neq (i_1, \dots, i_{r-1})$ otherwise

$$dx_j \wedge dx_{i_1} \wedge \cdots \wedge dx_{i_{r-1}} = 0.$$

That shows $C(d\varphi) = 0$

- (3) Let $\omega = z_{i_1}^{p-1} \cdots z_{i_r}^{p-1} dz_{i_1} \wedge \cdots \wedge dz_{i_r}$.

We have

$$dz_{i_1} \wedge \cdots \wedge dz_{i_r} = \sum_{j_1 \cdots j_r} D_{j_1 z_{i_1}} \cdots D_{j_r z_{i_r}} \frac{dx_{j_1} \wedge \cdots \wedge dx_{j_r}}{x_{j_1} \cdots x_{j_r}},$$

$$D_j = x_j \frac{\partial}{\partial x_j}.$$

To Compute $C(\omega)$, we have to work out

$$U = \psi(F^{p-1} D_n F \cdot Z_{i_1}^{p-1} \cdot D_{j_1} Z_{i_1} \cdots Z_{i_r}^{p-1} D_{j_r} Z_{i_r}) \text{ modulo } F.$$

$$Z_j \text{ mod } F = z_j.$$

We apply several times (3) of the proposition and get

$$U \equiv D_n F D_{j_1} Z_{i_r} \cdots D_{j_r} Z_{i_r} \text{ mod } (F).$$

Therefore

$$C(\omega) = \sum_{j_r j_r} D_n f D_{j_1 z_{i_1}} \cdots D_{j_r z_{i_r}} \frac{dx_{j_1} \wedge \cdots \wedge dx_{j_r}}{x_n f_n x_{j_1} \cdots x_{j_r}},$$

$$= dz_i \wedge \cdots \wedge dz_{i_r}.$$

All forms of highest degree $n - 1$ are closed. We use the fact, that $H^0(V, \Omega^{n-1})$ has a basis of the following form

$$\omega_u = x_1^{u_1} \cdots x_n^{u_n} \omega_0.$$

where

$$\omega_0 = \frac{dx_1 \wedge \cdots \wedge dx_{n-1}}{x_1 \cdots x_n f_n}$$

$$\sum_{i=1}^n u_i \leq r; r = \text{deg } V \quad \text{and} \quad 1 \leq u_i.$$

Recall $x_i = X_i/X_0$ are coordinate functions on V and of the affinization of F , $f_n = \partial f / \partial x_n$.

We get the important corollary to the theorem.

COROLLARY 1. *Let $A_{u,v}$ be the matrix of the Cartier-operator on $H(V, \Omega^{n-1})$ with respect to the above basis ω_u . Then*

$$A_{u,v} = \text{coefficient of } X^v \text{ in } \psi(F^{p-1} \cdot X^u)$$

$$X^u = X_0^{u_0} \cdots X_n^{u_n}, \quad \sum_{i=0}^n u_i = \sum_{i=0}^n v_i = r$$

$$1 \leq u_i \quad \text{for } i = 1 \cdots n.$$

$$1 \leq v_i$$

Proof. By definition

$$C(\omega_u) = \psi_{F^1 \dots F^n}(x_1^{u_1-1} \dots x_n^{u_n-1}) \frac{dx_1 \wedge \dots \wedge dx_{n-1}}{f_n} \\ = \psi(f^{p-1} \cdot x^u) \omega_0 .$$

Now recall

$$\psi(f^{p-1} \cdot x^u) = \psi\left(\frac{F^{p-1} X_0^{u_0} \dots X_r^{u_r}}{X_0^{pr}}\right) \bmod F \\ \sum_{i=0}^n u_i = r, \quad 1 \leq u_i, \quad i = 1 \dots n .$$

If $A_{u,v}$ is the coefficient of X^v in $\psi(F^{p-1} \cdot X^u)$.

Then

$$C(\omega_u) = \sum_{\substack{1 \leq v_i \leq r \\ i=1 \dots n}} A_{u,v} x_1^{v_1} \dots x_n^{v_n} \omega_0 = \sum_v A_{u,v} \omega_v .$$

Notice

$$\sum_{i=0}^n u_i = \sum_{i=0}^n v_i = r, \quad 1 \leq u_i, 1 \leq v_i, \quad i = 1 \dots n .$$

REMARK. We have now an explicit description for the Cartier-operator on $H^0(V, \Omega_{V/k}^{n-1})$. We can use Serre duality $H^0(V, \Omega_{V/k}^{n-1})^\vee \cong H^{n-1}(V, \mathcal{O}_V)$. Under this duality \check{C} is the Frobenius \mathcal{F} on $H^{n-1}(V, \mathcal{O}_V)$. We have therefore also an explicit description for \mathcal{F} .

2. The Cartier-operator of a diagonal hypersurface. Let $F(X) = \sum_{i=0}^n a_i X_i^r$ define a “generic” hypersurface. To compute the Cartier-operator, by the preceding discussion we have to analyse

$$\psi(F^{p-1} X^u) \quad \left(\sum_{i=0}^n u_i = r, \quad u_i > 0 \right) .$$

Let us adapt the following notation:

$$\rho^i = \rho_0^i \dots \rho_n^i, \quad a^\rho = \prod_{i=0}^n a_i^{\rho_i}, \quad X^{u+1} = \prod_{i=0}^n X_i^{u_i+1}, \\ |u| = \sum_{i=0}^n u_i, \quad u > 0 \Leftrightarrow u_i > 0 \quad (i = 0 \dots n) .$$

THEOREM 2. *Let*

$$\text{char } k = p > 0, \quad F(X) = \sum_{i=0}^n a_i X_i^r, \quad \prod_{i=0}^n a_i \neq 0 \in k$$

V/k is defined by F . Suppose r divides $p - 1$. Then the Cartier-operator

$$C: H^\circ(V, \Omega_{V/k}^{n-1}) \rightarrow H^\circ(V, \Omega_{V/k}^{n-11})$$

is invertible.

Proof.

$$F^{p-1} = \sum_{|m|=p-1} \frac{(p-1)!}{m!} a^m X^{rm} .$$

Using p^{-1} -linearity of ψ we get

$$\psi(F^{p-1} X^u) = \sum_{|m|=p-1} \frac{-1}{m!} \bar{a}^m \psi(X^{rm+u}) = \sum_{|m|=p-1} \frac{-1}{m!} \bar{a}^m X^v .$$

We put $\bar{a} = a^{1/p}$, and $rm + u = pv$. Notice if $u > 0$ and $|u| = r$, then also $v > 0$ and $|v| = r$. If we write

$$\psi(F^{p-1} X^u) = \sum_{\substack{|v|=r \\ v>0}} A_{u,v} X^v ,$$

then we have

$$A_{u,v}^p = \begin{cases} -\frac{1}{m!} a^m & \text{if } rm = (p-1)v + v - u \\ & |u| = |v| = r \quad u > 0 \quad v > 0 \\ 0 & \text{else .} \end{cases}$$

Let us now assume:

$$p - 1 = r \cdot s .$$

If r divides $v - u$ put $v - u = r \cdot E(u, v)$ then

$$A_{u,v}^p = \begin{cases} -\frac{1}{m!} a^m & \text{if } r|v - u \quad \text{and} \quad m = sv + E(u, v) \\ 0 & \text{else .} \end{cases}$$

We fix now a total ordering of u, v . Let us order the n -tuples $(u_1 \cdots u_n)$ resp $(v_1 \cdots v_n)$ lexicographically and put

$$u_0 = r - \sum_{i=1}^n u_i \quad \text{resp.} \quad v_0 = r - \sum_{i=1}^n v_i$$

$v < u$ means now, that either $v_1 < u_1$ or $v_i = u_i$ for $i = 1 \cdots j - 1$ but $v_j < u_j$. If any case, if $v < u$, then $v_j < u_j$ for some j . We claim if $v < u$, the $A_{u,v} = 0$.

Case 1. r does not divide $u - v$, then $A_{u,v} = 0$.

Case 2. r divides $u - v$. Now if $v < u$ then for some j $u_j - v_j > 0$

and r divides $u_i - v_j$. But $r \geq u_j$ and $v_j \geq 1$, so $r - 1 \geq u_j - v_j$, therefore r cannot divide $u_j - v_j$. This contradiction shows, if $v < u$, then $A_{u,v} = 0$. $A_{u,v}$ is therefore a triangle matrix.

What is the diagonal?

$$A_{u,u}^p = -\frac{1}{m!} a^m$$

with $m = s \cdot u$. Therefore

$$(\det A_{u,v})^p = \prod_u \left(-\frac{1}{(su)!} \right) a^{s \sum u} \neq 0$$

COROLLARY 2. *The assumptions are the same as in the theorem. Then*

$$\mathcal{F}: H^{n-1}(V, \mathcal{O}_V) \rightarrow H^{n-1}(V, \mathcal{O}_V) \quad (\mathcal{F} \text{ is the Frobenius morphism})$$

is invertible.

Proof. Clear by Serre duality and the fact that $\tilde{C} = \mathcal{F}$.

The Cartier-operator of $W \cdot H$. The differential operator C as given in Definition 1 on Ω^1 is by p^{-1} -linearity completely determined on Ω^1 by its value on $\omega = h \cdot dx$, where x runs through a set of coordinate functions.

We have $C(\omega) = x^{-1} \psi(xh) dx$, that notation is only intrinsic, if $d\omega = 0$, because ψ depends on the coordinate system. If we choose a different coordinate system, then we get in general a different operator; but for ω with $d\omega = 0$, we get the same, namely the Cartier-operator.

That fact can be exploited in the following way. Suppose

$$W = \{x_1 = x_2 \cdots = x_t = 0\} \cap H.$$

We write now C_H resp. C_W for the the operators. The above definition shows $\bigoplus_{i=1}^t K dx_i$ is stable under C_H . But by the property of ψ , $\psi(X_i H) = X_i \bar{H}$ for some \bar{H} , we have for

$$\begin{aligned} \omega &= x_i h dx_j \quad i \neq j \quad i, j \text{ arbitrary} \\ C_H(\omega) &= x_i \bar{h} dx_j. \end{aligned}$$

Let $\mathfrak{X} = \{x_1 \cdots x_t\}$, then $\mathfrak{X} \Omega_{H/k}^1 \oplus \bigoplus_{i=1}^t \mathcal{O}_H dx_i$ is stable under C_H . By the exact sequence

$$0 \rightarrow \mathfrak{X} \Omega_{H/k}^1 + \bigoplus_{i=1}^t \mathcal{O}_H dx_i \rightarrow \Omega_{H/k}^1 \rightarrow \Omega_{W/k}^1 \rightarrow 0$$

C_H induces an operator C_W on $\Omega_{W/k}^1$. C_W has again the properties

- (1) C_W is p^{-1} -linear
- (2) $C_W(dh) = 0$
- (3) $C_W(h^{p-1}dh) = dh$.

If we restrict C_W to the closed forms on W , then C_W is the Cartier-operator.

Let now L be an arbitrary linear variety. After a suitable coordinate change we may assume L is the intersection of some coordinate hyperplanes. $W = L \cdot H$ has then the above shape.

Let us assume that the hypersurface H has a diagonal defining equation of degree d dividing $p - 1$, $p = \text{char } k$. Then the above Theorem 1 shows that C_W is semisimple on $Z_{W/k}^1$. In the same way as before we can extend C_W to any $\Omega_{W/k}^r$, in particular to $\Omega_{W/k}^m$, where $m = \dim W$. As result of this discussion we get:

THEOREM 3. *If L is a linear variety of dimension $m + 1$, then there exists a hypersurface H of degree d , which divides $p - 1$, such that*

$$\mathcal{F}: H^m(L \cdot H, \mathcal{O}_{L \cdot H}) \rightarrow H^m(L \cdot H, \mathcal{O}_{L \cdot H})$$

is invertible.

3. The Cartier-operator of plane curves. For curves the explicit description of the Cartier-operator is of special interest if one wants to study, how the Cartier-operator varies with the moduli of the curve. Unfortunately one is restricted to plane curves, because the above explicit form of the Cartier-operator is available only for hypersurfaces.

If one specializes the above results to plane curves, one has to assume, that the curve is singularity free.

The space $W = \{\text{homogenous forms of degree } d - 3\}$ is for non-singular curves V of degree d isomorphic to $H^0(V, \Omega_{V/k}^1)$ under

$$\begin{aligned} W &\simeq H^0(V, \Omega_{V/k}^1) \\ P(X) &\rightarrow P(x)\omega_0 \end{aligned}$$

where the coordinate functions are given by

$$x = X_1/X_0, \quad y = X_2/X_0 \quad \text{mod } F,$$

F being the defining equation for V and $f(x, y)$ the affinization, f_y denotes $\partial f / \partial y$. With that notation $\omega_0 = dx/f_y$.

But it is important to know, that one can give a similar description also for singular curves. Then W is the space of $P(X)$, which define the ‘‘adjoint’’ curves to V . These are those curves, which cut out at least the ‘‘double point divisor’’.

To give an explicit basis depends on nature of the singularities.

Hyperelliptic curves: Let $p = \text{char } k > 2$.

For a detailed study of the Hasse-Witt-matrix of hyperelliptic curves one needs the explicit Cartier-operator with respect to various "normal forms".

Let the hyperelliptic V be given by $y^2 = f(x)$, $\text{deg } f(x) = 2g + 1$ and such that $f(x)$ has no multiple roots. V has a singularity at "infinity". One could apply the above method and work out the adjoint curves in order to get a basis for $H^0(V, \mathcal{O}_{V/k})$. But we have already a basis, namely if $\omega = dx/y$ then $\{x^i \omega \mid i = 0 \cdots g - 1\}$ form a basis.

We specialize the results of §2 and get from Corollary 1 as matrix for the Cartier-operator with respect to the above basis (let us put $p - 1/2 = m$):

$$A_{u,v} = \text{coefficient of } x^{v+1} \text{ in } \psi(f(x)^m x^{u+1}) \quad 0 \leq \frac{u}{v} \leq g - 1 .$$

Legendre form: We assume now the defining equation in Legendre form.

$$f(x) = x(x - 1) \prod_{i=1}^r (x - \lambda_i) \quad \begin{array}{l} r = 2g - 1 \\ \lambda_i \neq \lambda_j \neq 0, 1 . \end{array}$$

Notation: Let

$$\begin{aligned} |\rho| &= \rho_1 + \cdots + \rho_r \\ \lambda^\rho &= \lambda_1^{\rho_1} \cdots \lambda_r^{\rho_r} . \end{aligned}$$

The permutation group of r elements S_r operates on the monomials

$$\lambda^\rho \rightarrow \lambda^{\tau(\rho)}, \quad \tau \in S_r .$$

Let G_ρ be the fix group of $\lambda^{m-\rho}$ and $G^{(\rho)} = S_r/G_\rho$. Let

$$H^{(\rho)}(\lambda) = \sum_{\tau \in G^{(\rho)}} \lambda^{m-\tau(\rho)} .$$

Apparently

$$H^{(\rho)} = H^{(\bar{\rho})} , \quad \text{iff } \bar{\rho} = \bar{\pi}(\rho) .$$

We may therefore assume

$$0 \leq \rho_1 \leq \rho_2 \leq \rho_r \leq m .$$

For given

$$0 \leq \frac{u}{v} \leq g - 1 \quad \text{let } \rho_0 = |\rho| - vp + u .$$

Put

$$a_{u,v}^{(\rho)} = (-1)^{u+v+m} \binom{m}{\rho_0} \cdots \binom{m}{\rho_r}$$

and

$$A_{u,v}^p = \sum_{\rho} a_{u,v}^{(\rho)} H^{(\rho)}(\lambda) \quad 0 \leq \frac{u}{v} \leq g-1, r = 2g-1$$

the summation condition being:

$$\begin{aligned} 0 \leq \rho_1 \leq \cdots \leq \rho_r \leq m, \quad \rho_0 = |\rho| - vp + u, \quad 0 \leq \rho_0 \leq m \\ vp - u + m \geq |\rho| \geq vp - u. \end{aligned}$$

We state as a proposition

PROPOSITION 2. Let be $A_{u,v}$, $0 \leq \frac{u}{v} \leq g-1$, as defined above, and $\omega = dx/y$, then

$$C(x^u \omega) = \sum_{0 \leq v \leq g-1} A_{u,v} x^v \omega$$

is the Cartier-operator.

Applications: We want to investigate, when the Cartier-operator is invertible. It seems that an answer to that question, without any restrictions is not available. It is therefore worthwhile to have various methods even in special cases.¹

We restrict ourself to genus 2, although the method could be applied to higher genus, but the calculations would be very easy. Let $p > 2$ and $g = 2$

$$\text{i.e. } y^2 = x(x-1)(x-\lambda_1)(x-\lambda_2)(x-\lambda_3), \quad \lambda_i \neq \lambda_j \neq 0, 1 \quad i \neq j.$$

The notation is the same as above.

$H^{(\rho)}(\lambda)$ is homogeneous in the λ 's of degree $3m - |\rho|$, $m = (p-1)/2$. We have

$$\begin{aligned} A_{u,v}^p = \sum_{0 \leq \rho_0 \leq \rho_1 \leq \rho_2 \leq \rho_3 \leq m} a_{u,v}^{(\rho)} H^{(\rho)}(\lambda) \quad 0 \leq \frac{u}{v} \leq 1 \\ \rho_0 = |\rho| - vp + u \quad vp - u \leq |\rho| \leq vp - u + m. \end{aligned}$$

We want to know of $A_{u,v}^p$, what the forms of lowest homogeneous degree in the λ 's are. We have to give $|\rho|$ the maximal possible value.

We use the shorthands

¹ *Added in proof:* We settled this question in the meantime, see [6].

$$\binom{m}{\rho} = \prod_{i=1}^3 \binom{m}{\rho_i}$$

and $D(u, v)$ = degree of the lowest homogeneous term in $A_{u,v}^p$. In the list below is $\rho_0 = \max |\rho| - vp + u$.

(u, v)	$\max \rho $	ρ_0	$D(u, v)$
$(0, 0)$	m	m	$p - 1$
$(0, 1)$	$3m$	$m - 1$	0
$(1, 0)$	$m - 1$	m	p
$(1, 1)$	$3m$	m	0

We get therefore:

$$A_{0,c}^p A_{1,1}^p = \text{terms of degree } p - 1 + \text{higher terms}$$

$$A_{0,1}^p A_{1,0}^p = \text{terms of degree } p + \text{higher terms}.$$

The lowest degree term L in $\det (A_{u,v})^p$ is given by

$$L = m \sum \binom{m}{\rho} H^{(\rho)}(\lambda)$$

$$\rho_1 + \rho_2 + \rho_3 = m$$

$$0 \leq \rho_1 \leq \rho_2 \leq \rho_3.$$

Notice, if $\rho \neq \bar{\rho}$, then $H^{(\rho)}$ and $H^{(\bar{\rho})}$ have no monomial in common. Therefore L is not the zero polynomial. We are able to specialize the variables $(\lambda_1, \lambda_2, \lambda_3)$ in the algebraic closure of k , such that $\det (A_{u,v}) \neq 0$. In other words, there exist curves of genus two with invertible Cartier-operator.

We do not know, what the smallest finite field is, over which such a curve exists.

REMARK. For large p we could push through a similar discussion for higher genus. We omit that, because there is a more elegant method for large p by Lubin (unpublished). Let $y^2 = x^{2g+1} + ax^{g+1} + x$. The claim is, that for large p (depending on g) and variable a the Hasse-Witt-matrix of that curve is a permutation matrix.

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Received June 28, 1971. This research was supported partially by the Ohio State University and partially by NSF-grant GP-20833.

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