SINGULARITIES AND BORDISM OF q-PLANE FIELDS AND OF FOLIATIONS¹

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1. Introduction. Let $\mathfrak{PR}_n(q)$ (resp. $\mathfrak{PR}_n^{or}(q)$) be the bordism group of n-dimensional smooth manifolds with arbitrary (resp. oriented) q-plane fields, and let $\mathfrak{P}\Omega_n(q)$ and $\mathfrak{P}\Omega_n^{or}(q)$ denote the corresponding groups based on oriented manifolds. In this paper we present a method which allows us in many cases to determine these groups. We use the forgetful homomorphism $f_{\mathfrak{P}} \colon \mathfrak{PR}_n(q) \to \mathfrak{R}_n(BO(q))$ (resp. $f_{\mathfrak{P}} \colon \mathfrak{PR}_n^{or}(q) \to \mathfrak{R}_n(BSO(q))$, resp. $f_{\mathfrak{P}} \colon \mathfrak{P}\Omega_n^{(or)}(q) \to \Omega_n(B(S)O(q))$), which assigns to the bordism class of a q-plane field the bordism class of (a classifying map of) the underlying vector bundle. Our point of departure is the following observation. If ξ is a q-dimensional vector bundle over an n-manifold M and $n \geq 2q - 3$, then it is always possible to find a vector bundle homomorphism $h \colon \xi \to TM$ which is injective outside of a (q-1)-dimensional submanifold S of M, and such that the kernel of h is 1-dimensional at every point of S. We investigate the behavior of h at such a singularity and obtain criteria as to when it is possible to cancel S without getting out of the original bordism class.

If M is closed and ξ is isomorphic to a q-dimensional subbundle of TM, then the element $TM - \xi$ in the K-theory of M can be represented by an (n-q)-dimensional bundle, and hence the class $[M, \xi]$ in the bordism of B(S)O satisfies the following vanishing condition:

(V) all Whitney numbers of $[M, \xi]$ containing some $w_i(TM - \xi)$, i > n - q, as a factor, vanish.

Conversely we obtain

THEOREM 1. Let n>2q-2. Then under all four orientedness assumptions $[M, \xi]$ lies in the image of $f_{\mathfrak{P}}$ if and only if condition (V) is satisfied. Furthermore, the kernel as well as the cokernel of $f_{\mathfrak{P}}$ are finite groups consisting entirely of elements of order 2.

A stable version of the first statement for the case of $\mathfrak{N}_n(BO(q))$ has previously been obtained by R. Stong [11] by other methods.

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COROLLARY 1. $\mathfrak{P}\mathfrak{N}_n(q)$ and $\mathfrak{P}\mathfrak{N}_n^{or}(q)$ are finite vector spaces over \mathbb{Z}_2 . $\mathfrak{P}\Omega_n(q)$ and $\mathfrak{P}\Omega_n^{or}(q)$ are finitely generated groups whose torsion consists entirely of elements of order 2 or possibly 4.

These results can be sharpened in many cases to give a complete description of our groups. For example

THEOREM 2. $f_{\mathfrak{P}}$ gives an isomorphism between $\mathfrak{P}\mathfrak{N}_n(q)$ and the subgroup of $\mathfrak{N}_n(BO(q))$ consisting of all elements $[M, \xi]$ which satisfy condition (V) above.

For a determination of the plane field bordism groups with other orientedness assumptions see also [6] for q=1 and [7] for q=2.

If we also take vanishing conditions for the Pontrjagin numbers into account we may in many cases avoid the restriction n>2q-2. This can be done either by also considering singularities with higher dimensional kernel, or by applying our approach to complementary (n-q)-plane fields. Thus, e.g., Corollary 1 and Theorem 2 turn out to hold whenever $0 \le q \le n$, the latter as a consequence of the following duality result.

THEOREM 3. If $0 \le q \le n$, there is a natural isomorphism $\mathfrak{PN}_n(q) \cong \mathfrak{PN}_n(n-q)$ obtained by taking complements.

This is not a priori obvious since the standard bordism relation for q-plane fields induces a different (stabilized) bordism relation for the complementary (n-q)-plane fields.

Next define $\mathfrak{FN}_n(q)$, $\mathfrak{FN}_n^{or}(q)$, $\mathfrak{F}\Omega_n(q)$ and $\mathfrak{F}\Omega_n^{or}(q)$ to be the bordism groups of closed n-manifolds with smooth q-codimensional foliations, satisfying the indicated (co)-orientedness conditions. For $q \ge 2$ Thurston [13] has shown recently that a foliation on a compact manifold M is essentially given by an $(S)\Gamma$ -structure γ on M (in the sense of Haefliger [3]) together with a bundle monomorphism from the normal bundle $\nu(\gamma)$ of γ into TM. Thus when we compare our foliation bordism groups with the corresponding usual bordism groups of Haefliger's classifying spaces $B\Gamma(q)$ and $BS\Gamma(q)$, we are only confronted with a plane field problem and can apply our approach. We obtain for the forgetful homomorphism $f_{\mathfrak{F}} \colon \mathfrak{FN}_n^{(or)}(q) \to \mathfrak{N}_n(B(S)\Gamma(q))$, resp. $f_{\mathfrak{F}} \colon \mathfrak{FO}_n^{(or)}(q) \to \Omega_n(B(S)\Gamma(q))$:

THEOREM 1'. Let $q \ge 2$ and n > 2q-2. Then under all four orientedness assumptions, an element $[M, \gamma]$ of the n-dimensional bordism group of $B(S)\Gamma(q)$ lies in the image of $f_{\mathfrak{F}}$ if and only if the vanishing condition (V) is satisfied by the normal bundle $\xi = v(\gamma)$. Furthermore the kernel as well as the cokernel of $f_{\mathfrak{F}}$ are finite groups consisting entirely of elements of order 2.

² ADDED IN PROOF. More recent work of Thurston implies that the results of this paper still hold for foliations of codimension q=1.

This contrasts with the fact that the foliation bordism groups themselves need not even be countably generated. E.g., $\mathcal{F}\Omega_{2q+1}^{or}(q)$ surjects onto R for even positive q (see [14]).

THEOREM 2'. If $q \ge 2$ and $n \ge 2q - 2$, then $f_{\mathfrak{F}}$ gives an isomorphism between $\mathfrak{F}\mathfrak{N}_n(q)$ and the subgroup of $\mathfrak{N}_n(B\Gamma(q))$ consisting of all elements $[M, \gamma]$ for which the normal bundle $\xi = \nu(\gamma)$ satisfies condition (V).

As a corollary to the proof we have

THEOREM 4. For $q \ge 1$, $n \ge 2q - 2$, every q-plane field on a closed n-manifold is bordant (in $\mathfrak{PR}_n(q)$) to one which is transversal to a foliation of codimension q.

The case q=1 (where Thurston's results are not available³) was settled in [8] by an explicit construction of enough foliations to generate $\mathfrak{PM}_n(1)$ by their normal linefields.

Finally, note that the singularity approach can also be fruitfully applied to the bordism of manifolds with tangent q-frames, or to the bordism of immersions and, more generally, of k-mersions. More details on this point will appear elsewhere (see also [9]).

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2. The singularity isomorphism. Let $\mathfrak{N}_n(BO(q), \mathfrak{P})$ (resp. $\mathfrak{N}_n(B\Gamma(q), \mathfrak{F})$) be the bordism group of triples (M, ξ, h') (resp. (M, γ, h')) where M is a compact smooth n-manifold, ξ is a q-plane bundle over M (resp. γ is a $\Gamma(q)$ -structure on M, and we write ξ for its normal bundle $\nu(\gamma)$), and $h': \xi \mid \partial M \to T(\partial M)$ is a bundle monomorphism. Denote the normal bundle map from $\mathfrak{N}_n(B\Gamma(q), \mathfrak{F})$ into $\mathfrak{N}_n(BO(q), \mathfrak{P})$ by ν_* .

Now for $0 \le p \le q$ consider the $p \cdot (n-q+p)$ -codimensional submanifold A_p of the total space of the homomorphism bundle $\operatorname{Hom}(\xi, TM)$ where $A_p = \bigcup_{x \in M} A_p(x)$ and $A_p(x) = \{g : \xi_x \to T_x M | g \text{ linear, dim}(\ker g) = p\}$ (cf. [5, p. 120]). If $n \ge 2q-3$, or equivalently, if 2(n-q+2) > n, then, by transversality we can extend h' to a vector bundle morphism $h : \xi \to TM$ which, as a section in $\operatorname{Hom}(\xi, TM)$, goes entirely into $A_0 \cup A_1$ and intersects A_1 transversally. Denote by S the closed (q-1)-dimensional submanifold $h^{-1}(A_1)$ of the interior of M. Since $h \mid S$ has constant rank, there are canonical vector bundles Ker , Coker , and Im over S of dimension 1, n-q+1, and q-1, respectively, where e.g., the fiber of Ker at $x \in S$ is the kernel of $h_x : \xi_x \to T_x M$. These bundles are related to $\xi \mid S$, $TM \mid S$ and the

³ See footnote 2.

normal bundle $\nu(S, M)$ of S in M by the following isomorphisms (which are canonical up to homotopy)

(1)
$$\xi \mid S \cong \operatorname{Im} \oplus \operatorname{Ker},$$

$$TM \mid S \cong \operatorname{Im} \oplus \operatorname{Coker},$$

$$\nu(S, M) \cong \operatorname{Hom}(\operatorname{Ker}, \operatorname{Coker});$$

and consequently

(2)
$$i: Im \oplus Coker \cong TS \oplus Hom(Ker, Coker).$$

Associating the bordism class of (S, Ker, Coker) to the class of (M, ξ, h') , we obtain a well-defined homomorphism

$$\sigma \colon \mathfrak{N}_n(BO(q), \mathfrak{P}) \to \mathfrak{N}_{q-1}(BO(1) \times BO(n-q+1))$$

$$\cong \mathfrak{N}_{q-1}(BO(1) \times BO(q)),$$

provided $n \ge 2q-2$. Similarly σ is defined on the relative bordism groups $\mathfrak{N}_n(BSO(q), \mathfrak{P})$ and $\Omega_n(B(S)O(q), \mathfrak{P})$ corresponding to the other orientation cases.

We will say that an element $x=[S, \zeta, \eta]$ of $\mathfrak{N}_{q-1}(BO(1)\times BO(q))$ satisfies condition O_b (resp. O_m) for (n,q) if all those Whitney numbers vanish which either involve $w_1(S)+(n-q)w_1(\zeta)$ as a factor or which are made up entirely by a positive number of factors of the form $n\cdot w_{2k}(S)^2$ or $n\cdot w_{2k}(\eta)^2$, $k\geq 0$ (resp. if all Whitney numbers of x involving $w_1(S)+(n-q+1)w_1(\zeta)+w_1(\eta)$ vanish).

THEOREM 5. Let n > 2q - 2. Then under all four orientedness assumptions σ is an isomorphism into $\mathfrak{N}_{q-1}(BO(1) \times BO(q))$. An element x of

$$\mathfrak{N}_{q-1}(BO(1)\times BO(q))$$

lies in the image of $\mathfrak{N}_n(BO(q), \mathfrak{P})$ (resp. $\mathfrak{N}_n(BSO(q), \mathfrak{P})$, resp. $\Omega_n(BO(q), \mathfrak{P})$, resp. $\Omega_n(BSO(q), \mathfrak{P})$) under σ if and only if x is arbitrary (resp. x satisfies condition O_b , resp. O_m , resp. O_b and O_m , for (n,q)).

If in addition $q \ge 2$, then in all four orientedness cases $\sigma \circ v_*$ is also an isomorphism onto the image of σ .

In particular, for fixed q the relative bordism groups of a given orientation type depend only on the parity of n.

In the proof we use generalized surgery with core manifolds of dimension q or 1 or 2. The construction extends to the case of Γ -structures since the normal bundle map $\nu: B\Gamma(q) \rightarrow BO(q)$ has a q-connected homotopic fiber [3].

The relevance of Theorem 5 stems from the following commutative diagram and its analogues in the other orientation cases

Here the forgetful homomorphisms j and ∂ make the horizontal sequences exact.

In order to describe $\sigma \circ j$ in terms of Whitney numbers, assume M to be closed in the discussion above. In a Whitney number of (S, Ker, Coker) eliminate first w(S), and then w(Coker), using (1) and (2), and apply the identity

$$w_1(\mathbf{Ker})^k \cdot (w(TM - \xi)^{\alpha} w(M)^{\beta} \mid S)[S]$$

$$= w_{n-q+1+k}(TM - \xi)w(TM - \xi)^{\alpha} w(TM)^{\beta}[M],$$

where α , β are multi-indices.

Now Theorem 5 implies Theorem 1, Corollary 1 and Theorem 1'. To obtain a full description of the bordism groups of q-plane fields, it remains only to determine the image of j, or equivalently, of $\sigma \circ j$ (and to check for possible 4-torsion in $\mathfrak{P}\Omega_n^{(or)}(q)$). For example, a geometric construction yields

Theorem 6. For $n \ge 2q-2$, the homomorphism $\sigma \circ j : \mathfrak{N}_n(BO(q)) \to \mathfrak{N}_{q-1}(BO(1) \times BO(q))$ is onto.

Thus, if no orientation conditions are imposed, the lower horizontal line in diagram (3) breaks down into short exact sequences ($\partial=0$), and so does the upper line since the middle homomorphism ν_* is surjective here (compare [1]). This proves Theorems 2 and 2'. Theorem 4, or equivalently, the surjectivity of the left hand homomorphism ν_* , follows immediately.

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