

Relative algebraic correspondences and mixed motivic sheaves

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Abstract: We introduce the notion of a *quasi DG category*, and give a procedure to construct a triangulated category associated to it. Then we apply it to the construction of the triangulated category of mixed motivic sheaves over a base variety.

Key words: Chow group; motive; triangulated category.

Introduction. We will introduce the notion of a *quasi DG category*, generalizing that of a DG category. To a quasi DG category satisfying certain additional conditions, we associate another quasi DG category, the quasi DG category of *C-diagrams*. We then show the homotopy category of the quasi DG category of *C-diagrams* has the structure of a triangulated category (see §1).

The main example of a quasi DG category comes from algebraic geometry, as explained in §2. We establish a theory of complexes of *relative correspondences*; it generalizes the theory of complexes of correspondences of smooth projective varieties, as developed in [4–6]. The class of smooth quasi-projective varieties equipped with projective maps to a fixed quasi-projective variety S , and the complexes of relative correspondences between them constitute a quasi DG category, denoted by $\text{Symb}(S)$.

We apply the above procedure to $\text{Symb}(S)$ to obtain $\mathcal{D}(S)$, the triangulated category of mixed motives over S . If the base variety is the Spec of the ground field, this coincides with the triangulated category of motives as in [4–6].

The full details of this article will appear elsewhere (see [7] for §2, [8] for §1).

Notation and conventions. (a) A double complex $A = (A^{i,j}; d', d'')$ is a bi-graded abelian group with differentials d' of degree $(1, 0)$, d'' of degree $(0, 1)$, satisfying $d'd'' + d''d' = 0$. Its total complex $\text{Tot}(A)$ is the complex with $\text{Tot}(A)^k = \bigoplus_{i+j=k} A^{i,j}$ and the differential $d = d' + d''$.

Let (A, d_A) and (B, d_B) be complexes. Then the tensor product complex $A \otimes B$ is the graded abelian

group with $(A \otimes B)^n = \bigoplus_{i+j=n} A^i \otimes B^j$, and with differential d given by

$$d(x \otimes y) = (-1)^{\deg y} dx \otimes y + x \otimes dy.$$

Note this differs from the usual sign convention. Alternatively one obtains the same complex by viewing $A \otimes B$ as a double complex with differentials $(-1)^j d \otimes 1$ and $1 \otimes d$ on $A^i \otimes B^j$ and taking its total complex.

More generally for $n \geq 2$ one has the notion of n -tuple complex. An n -tuple complex is a \mathbf{Z}^n -graded abelian group A^{i_1, \dots, i_n} with differentials d_1, \dots, d_n , d_k raising i_k by 1, such that for $k \neq \ell$, $d_k d_\ell + d_\ell d_k = 0$. A single complex $\text{Tot}(A)$, called the total complex, is defined in a similar manner. For n complexes $A_1^\bullet, \dots, A_n^\bullet$, the tensor product $A_1^\bullet \otimes \dots \otimes A_n^\bullet$ is an n -tuple complex.

(b) Let I be a non-empty finite totally ordered set (we will simply say a finite ordered set), so $I = \{i_1, \dots, i_n\}$, $i_1 < \dots < i_n$, where $n = |I|$. Set $\text{in}(I) = i_1$, $\text{tm}(I) = i_n$, and $\overset{\circ}{I} = I - \{\text{in}(I), \text{tm}(I)\}$. For example, for a positive integer n , $I = [1, n] = \{1, \dots, n\}$ is finite ordered set. In this case, if $n \geq 2$, $\overset{\circ}{I} = (1, n) := \{2, \dots, n-1\}$. If $I = \{i_1, \dots, i_n\}$, a subset I' of the form $[i_a, i_b] = \{i_a, \dots, i_b\}$ ($1 \leq a \leq b \leq n$) is called a *sub-interval*.

For a subset $\Sigma = \{j_1, \dots, j_{a-1}\}$ of $\overset{\circ}{I}$, where $a \geq 1$ and $j_1 < j_2 < \dots < j_{a-1}$, one has a decomposition of I into the sub-intervals I_1, \dots, I_a , where $I_k = [j_{k-1}, j_k]$, with $j_0 = i_1$, $j_a = i_n$. Thus the sub-intervals satisfy $I_k \cap I_{k+1} = \{j_k\}$ for $k = 1, \dots, a-1$. The sequence I_1, \dots, I_a is called the *segmentation* of I corresponding to Σ .

§1. Quasi DG categories and triangulated categories. The notion of a quasi DG category is a generalization of that of a DG category. Recall that a DG category is an additive category \mathcal{C} , such

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that for a pair of objects X, Y the group of homomorphisms $F(X, Y)$ has the structure of a complex, and the composition $F(X, Y) \otimes F(Y, Z) \rightarrow F(X, Z)$ is a map of complexes.

(1.1) **Definition.** A quasi DG category \mathcal{C} consists of data (i)–(iii), satisfying the conditions (1)–(5). When necessary we will also impose additional structure (iv), (v), satisfying (6)–(11).

(i) *The class of objects* $Ob(\mathcal{C})$. There is a distinguished object O , called the zero object. For a pair of objects X, Y , there is the “direct sum” object $X \oplus Y$, and one has $(X \oplus Y) \oplus Z = X \oplus (Y \oplus Z)$.

(ii) *Multiple complexes* $F(X_1, \dots, X_n)$. For each sequence of objects X_1, \dots, X_n ($n \geq 2$), a complex of free abelian groups $F(X_1, \dots, X_n)$. More generally for a finite ordered set $I = \{i_1, \dots, i_n\}$ with $n \geq 2$ and a sequence of objects X_i indexed by $i \in I$, there corresponds a complex $F(I) = F(I; X) := F(X_{i_1}, \dots, X_{i_n})$.

Let I_1, \dots, I_a be the segmentation of $I = [1, n]$ corresponding to a subset S of $(1, n)$. We set $F(X_1, \dots, X_n \upharpoonright S) := F(I_1) \otimes \dots \otimes F(I_a)$; this is an a -tuple complex. More generally, for a finite ordered set I with cardinality ≥ 2 , a sequence of objects $(X_i)_{i \in I}$, and $S \subset I$, one has the complex $F(I \upharpoonright S) = F(I \upharpoonright S; X)$.

(iii) *Multiple complexes* $F(X_1, \dots, X_n | S)$ and maps $\iota_S, \sigma_{SS'}$ and φ_K .

(1) We require given a quasi-isomorphic multiple subcomplex of free abelian groups

$$\iota_S : F(X_1, \dots, X_n | S) \hookrightarrow F(X_1, \dots, X_n \upharpoonright S).$$

We assume $F(X_1, \dots, X_n | \emptyset) = F(X_1, \dots, X_n)$. The complex $F(X_1, \dots, X_n | S)$ is additive in each variable, namely the following properties are satisfied: If a variable $X_i = O$, then it is zero. If $X_i = Y_i \oplus Z_i$, then one has a direct sum decomposition of complexes

$$\begin{aligned} &F(Y_1 \oplus Z_1, X_2, \dots, X_n | S) \\ &= F(Y_1, \dots, X_n | S) \oplus F(Z_1, \dots, X_n | S). \end{aligned}$$

The same for X_n . If $1 < i < n$ and $X_i = Y_i \oplus Z_i$, then there is a direct sum decomposition of complexes

$$\begin{aligned} &F(X_1, \dots, X_{i-1}, Y_i \oplus Z_i, X_{i+1}, \dots, X_n | S) \\ &= F(X_1, \dots, Y_i, \dots, X_n | S) \\ &\oplus F(X_1, \dots, Z_i, \dots, X_n | S) \\ &\oplus F(X_1, \dots, Y_i | S_1) \otimes F(Z_i, \dots, X_n | S_2) \\ &\oplus F(X_1, \dots, Z_i | S_1) \otimes F(Y_i, \dots, X_n | S_2) \end{aligned}$$

where S_1, S_2 is the partition of S by i , namely $S_1 = S \cap (1, i)$, $S_2 = S \cap (i, n)$. We often refer to the last two terms as the *cross terms*. (Note the complex $F(X_1, \dots, X_n \upharpoonright S)$ is additive in this sense.) The inclusion ι_S is compatible with the additivity.

For a subset $T \subset S$, if I_1, \dots, I_c is the segmentation corresponding to T , and $S_i = S \cap I_i$, one requires there is an inclusion of multiple complexes

$$F(I | S) \subset F(I_1 | S_1) \otimes \dots \otimes F(I_c | S_c) \tag{1.1.1}$$

where the latter group is viewed as a subcomplex of $F(I \upharpoonright S) = F(I_1 \upharpoonright S_1) \otimes \dots \otimes F(I_c \upharpoonright S_c)$ by the tensor product of the inclusions $\iota_{S_i} : F(I_i | S_i) \hookrightarrow F(I_i \upharpoonright S_i)$.

(2) For $S \subset S'$ we are given a surjective quasi-isomorphism of multiple complexes

$$\sigma_{SS'} : F(X_1, \dots, X_n | S) \rightarrow F(X_1, \dots, X_n | S').$$

For $S \subset S' \subset S''$, $\sigma_{SS''} = \sigma_{S'S''} \sigma_{SS'}$. The $\sigma_{SS'}(X_1, \dots, X_n)$ is additive in each variable, namely if $X_i = Y_i \oplus Z_i$, then $\sigma_{SS'}(X_1, \dots, X_n)$ is the direct sum of the maps $\sigma_{SS'}(X_1, \dots, Y_i, \dots, X_n)$, $\sigma_{SS'}(X_1, \dots, Z_i, \dots, X_n)$, and the maps

$$\begin{aligned} &\sigma_{S_1 S'_1} \otimes \sigma_{S_2 S'_2} : F(X_1, \dots, Y_i | S_1) \otimes F(Z_i, \dots, X_n | S_2) \\ &\rightarrow F(X_1, \dots, Y_i | S'_1) \otimes F(Z_i, \dots, X_n | S'_2), \\ &\sigma_{S_1 S'_1} \otimes \sigma_{S_2 S'_2} : F(X_1, \dots, Z_i | S_1) \otimes F(Y_i, \dots, X_n | S_2) \\ &\rightarrow F(X_1, \dots, Z_i | S'_1) \otimes F(Y_i, \dots, X_n | S'_2), \end{aligned}$$

on the cross terms.

The σ is assumed compatible with the inclusion in (1.1.1): If $S \subset S'$ and $S'_i = S' \cap I_i$ the following commutes:

$$\begin{array}{ccc} F(I | S) & \hookrightarrow & F(I_1 | S_1) \otimes \dots \otimes F(I_1 | S_1) \\ \sigma_{SS'} \downarrow & & \downarrow \otimes \sigma_{S_i S'_i} \\ F(I | S') & \hookrightarrow & F(I_1 | S'_1) \otimes \dots \otimes F(I_1 | S'_1). \end{array}$$

We write $\sigma_S = \sigma_{\emptyset S} : F(I) \rightarrow F(I | S)$. The composition of σ_S and ι_S is denoted by $\tau_S : F(I) \rightarrow F(I \upharpoonright S)$.

(3) For $K = \{k_1, \dots, k_b\} \subset (1, n)$ disjoint from S , a map of multiple complexes

$$\begin{aligned} \varphi_K : &F(X_1, \dots, X_n | S) \\ &\rightarrow F(X_1, \dots, \widehat{X_{k_1}}, \dots, \widehat{X_{k_b}}, \dots, X_n | S). \end{aligned}$$

If $K = K' \amalg K''$ then $\varphi_K = \varphi_{K''} \varphi_{K'} : F(I | S) \rightarrow F(I - K | S)$. The φ_K is additive in each variable: If $X_i = Y_i \oplus Z_i$, then $\varphi_K(X_1, \dots, X_n)$ is the sum of $\varphi_K(X_1, \dots, Y_i, \dots, X_n)$, $\varphi_K(X_1, \dots, Z_i, \dots, X_n)$, and, if $i \notin K$, the maps

$\varphi_{K_1} \otimes \varphi_{K_2}$ on $F(X_1, \dots, Y_i \upharpoonright S_1) \otimes F(Z_i, \dots, X_n \upharpoonright S_2)$,
 $\varphi_{K_1} \otimes \varphi_{K_2}$ on $F(X_1, \dots, Z_i \upharpoonright S_1) \otimes F(Y_i, \dots, X_n \upharpoonright S_2)$

on the cross terms (S_1, S_2 is the partition of S by i , and K_1, K_2 is the partition of K by i), and if $i \in K$, the zero maps on the cross terms.

In a quasi DG category, to a pair of objects X, Y , there still corresponds a complex $F(X, Y)$. But there is no composition as in the DG case. Instead there is given a third complex $F(X, Y, Z)$, a quasi-isomorphism $\tau : F(X, Y, Z) \rightarrow F(X, Y) \otimes F(Y, Z)$, and a map of complexes $\varphi : F(X, Y, Z) \rightarrow F(X, Z)$. These maps give “composition” in a weak sense. Below we will give the precise definition.

φ_K is assumed to be compatible with the inclusion in (1.1.1): With the same notation as above and $K_i = K \cap I_i$, the following commutes:

$$\begin{array}{ccc} F(I|S) & \hookrightarrow & F(I_1|S_1) \otimes \dots \otimes F(I_c|S_c) \\ \varphi_K \downarrow & & \downarrow \otimes \varphi_{K_i} \\ F(I - K|S) & \hookrightarrow & F(I_1 - K_1|S_1) \otimes \dots \otimes F(I_c - K_c|S_c). \end{array}$$

If K and S' are disjoint and $S \subset S'$, the following commutes:

$$\begin{array}{ccc} F(I|S) & \xrightarrow{\varphi_K} & F(I - K|S) \\ \sigma_{SS'} \downarrow & & \downarrow \sigma_{SS'} \\ F(I|S') & \xrightarrow{\varphi_K} & F(I - K|S'). \end{array}$$

(4) (acyclicity of σ) For disjoint subsets R, J of $\overset{\circ}{I}$ with $|J| \neq \emptyset$, consider the following sequence of complexes, where the maps are alternating sums of σ , and S varies over subsets of J :

$$\begin{array}{c} F(I|R) \xrightarrow{\sigma} \bigoplus_{\substack{|S|=1 \\ S \subset J}} F(I|R \cup S) \\ \xrightarrow{\sigma} \bigoplus_{\substack{|S|=2 \\ S \subset J}} F(I|R \cup S) \rightarrow \dots \rightarrow F(I|R \cup J) \rightarrow 0. \end{array}$$

Then the sequence is exact.

(5) (existence of the identity in the ring $H^0 F(X, X)$) Before stating the condition, note there are composition maps for $H^0 F(X, Y)$ defined as follows. For three objects X, Y and Z , let

$$\psi_Y : F(X, Y) \otimes F(Y, Z) \rightarrow F(X, Z)$$

be the map in the *derived category* defined as the composition $\varphi_Y \circ (\sigma_Y)^{-1}$ where the maps are as in

$$F(X, Y) \otimes F(Y, Z) \xleftarrow{\sigma_Y} F(X, Y, Z) \xrightarrow{\varphi_Y} F(X, Z).$$

The map ψ_Y is verified to be associative, namely the following commutes in the derived category:

$$\begin{array}{ccc} F(X, Y) \otimes F(Y, Z) \otimes F(Z, W) & \xrightarrow{\psi_Y \otimes id} & F(X, Z) \otimes F(Z, W) \\ id \otimes \psi_Z \downarrow & & \downarrow \psi_Z \\ F(X, Y) \otimes F(Y, W) & \xrightarrow{\psi_Y} & F(X, W). \end{array}$$

Let $H^0 F(X, Y)$ be the 0-th cohomology of $F(X, Y)$. ψ_Y induces a map

$$\psi_Y : H^0 F(X, Y) \otimes H^0 F(Y, Z) \rightarrow H^0 F(X, Z),$$

which is associative. If $u \in H^0 F(X, Y)$, $v \in H^0 F(Y, Z)$, we write $u \cdot v$ for $\psi_Y(u \otimes v)$.

We now require: For each X there is an element $1_X \in H^0 F(X, X)$ such that $1_X \cdot u = u$ for any $u \in H^0 F(X, Y)$ and $u \cdot 1_X = u$ for $u \in H^0 F(Y, X)$.

(iv) *Diagonal elements and diagonal extension.*

(6) For each object X and a constant sequence of objects $i \mapsto X_i = X$ on a finite ordered set I with $|I| \geq 2$, there is a distinguished element, called the *diagonal element*

$$\Delta_X(I) \in F(I) = F(X, \dots, X)$$

of degree zero and coboundary zero. In particular for $|I| = 2$ we write $\Delta_X = \Delta_X(I) \in F(X, X)$. One requires:

(6-1) If $S \subset \overset{\circ}{I}$, and I_1, \dots, I_c the corresponding segmentation, one has

$$\tau_S(\Delta_X(I)) = \Delta_X(I_1) \otimes \dots \otimes \Delta_X(I_c)$$

in $F(I \upharpoonright S) = F(I_1) \otimes \dots \otimes F(I_c)$.

(6-2) For $K \subset I$, $\varphi_K(\Delta_X(I)) = \Delta_X(I - K)$.

(7) Let I be a finite ordered set, $k \in I$, $m \geq 2$, and \tilde{I} be the finite ordered set obtained by replacing k by a finite ordered set with m elements $\{k_1, \dots, k_m\}$. If $I = [1, n]$, \tilde{I} is $\{1, \dots, k - 1, k_1, \dots, k_m, k + 1, \dots, n\}$.

There is given a map of complexes, called the *diagonal extension*,

$$\text{diag}(I, \tilde{I}) : F(I) \rightarrow F(\tilde{I})$$

subject to the following conditions (for simplicity assume $I = [1, n]$):

(7-1) If $k' \neq k$, $\varphi_{k'} \text{diag}(I, \tilde{I}) = \text{diag}(I - \{k'\}, \tilde{I} - \{k'\}) \varphi_{k'}$, namely the following square commutes: fl/

$$\begin{array}{ccc} F(I) & \xrightarrow{\text{diag}(I, \tilde{I})} & F(\tilde{I}) \\ \varphi_{k'} \downarrow & & \downarrow \varphi_{k'} \\ F(I - \{k\}) & \xrightarrow{\text{diag}(I - \{k'\}, \tilde{I} - \{k'\})} & F(\tilde{I} - \{k'\}). \end{array}$$

If $\ell \in \{k_1, \dots, k_m\}$, $\varphi_\ell \text{diag}(I, \tilde{I}) = \text{diag}(I, \tilde{I} - \{\ell\})$. If $m = 2$ the right side is the identity.

(7-2) If $k = n$, $\ell \in \{n_1, \dots, n_m\}$, let I'_1, I'' be the segmentation of I^\sim by ℓ . Then the following diagram commutes:

$$\begin{array}{ccc} F(I) & \xrightarrow{\text{diag}(I, I^\sim)} & F(I^\sim) \\ \text{diag}(I, I'_1) \downarrow & & \downarrow \tau_\ell \\ F(I'_1) & \longrightarrow & F(I'_1) \otimes F(I''). \end{array}$$

The lower horizontal map is $u \mapsto u \otimes \Delta(I'')$. Note I'' parametrizes a constant sequence of objects, so one has $\Delta(I'') \in F(I'')$. Similarly in case $k = 1$, $\ell \in \{1_1, \dots, 1_m\}$.

If $1 < k < n$ and $\ell \in \{k_1, \dots, k_m\}$, let I_1, I_2 be the segmentation of I by k , and I'_1, I'_2 of I^\sim by ℓ . One then has a commutative diagram:

$$\begin{array}{ccc} F(I) & \xrightarrow{\text{diag}(I, I^\sim)} & F(I^\sim) \\ \tau_k \downarrow & & \downarrow \tau_\ell \\ F(I_1) \otimes F(I_2) & \longrightarrow & F(I'_1) \otimes F(I'_2), \end{array}$$

where the lower horizontal arrow is $\text{diag}(I_1, I'_1) \otimes \text{diag}(I_2, I'_2)$.

Remark. From (6) and (7) it follows that $[\Delta_X] \in H^0 F(X, X)$ is the identity in the sense of (5). Indeed the following stronger property is satisfied for the maps $\psi_Y : H^m F(X, Y) \otimes H^n F(Y, Z) \rightarrow H^{m+n} F(X, Z)$ for $m, n \in \mathbf{Z}$, defined in a similar manner as in (5) above.

(5)' For each $u \in H^n F(X, Y)$, $n \in \mathbf{Z}$, one has $1_X \cdot u = u$. Similarly for $u \in H^n F(Y, X)$, $u \cdot 1_X = u$.

(v) *The set of generators, notion of proper intersection, and distinguished subcomplexes with respect to constraints.*

(8) (the generating set) For a sequence X on I , the complex $F(I) = F(I; X)$ is degree-wise \mathbf{Z} -free on a given set of generators $\mathcal{S}_F(I) = \mathcal{S}_F(I; X)$. More precisely $\mathcal{S}_F(I) = \coprod_{p \in \mathbf{Z}} \mathcal{S}_F(I)^p$, where $\mathcal{S}_F(I)^p$ generates $F(I)^p$. This set is compatible with direct sum in each variable: Assume for an element $k \in I$ one has $X_k = Y_k \oplus Z_k$; let X' (resp. X'') be the sequence such that $X'_i = X_i$ for $i \neq k$, and $X'_k = Y_k$ (resp. $X''_i = X_i$ for $i \neq k$, and $X''_k = Z_k$). Then $\mathcal{S}_F(I; X) = \mathcal{S}_F(I; X') \amalg \mathcal{S}_F(I; X'')$.

(9) (notion of proper intersection.) Let I be a finite ordered set, I_1, \dots, I_r be *almost disjoint* sub-intervals of I , which means one has $\text{tm}(I_i) \leq \text{in}(I_{i+1})$ for each i . Assume given a sequence of objects X_i on I . For any subset A of $\{1, \dots, r\}$, and an element $\{\alpha_i\}_{i \in A} \in \prod_{i \in A} \mathcal{S}_F(I_i)$, we assume given the notion of *proper intersection* satisfying the following properties:

- If $\{\alpha_i \mid i \in A\}$ is properly intersecting, for any subset B of A , $\{\alpha_i \mid i \in B\}$ is properly intersecting.
- Let A and A' be subsets of $\{1, \dots, r\}$ such that $\text{tm}(A) < \text{in}(A')$. If $\{\alpha_i \mid i \in A\}$ and $\{\alpha_i \mid i \in A'\}$ are both properly intersecting sets, the union $\{\alpha_i \mid i \in A \cup A'\}$ is also properly intersecting.
- If $\{\alpha_1, \dots, \alpha_r\}$ is properly intersecting, then for any i , writing $\partial\alpha_i = \sum c_{i\nu} \beta_\nu$ with $\beta_\nu \in \mathcal{S}_F(I_i)$, each set

$$\{\alpha_1, \dots, \alpha_{i-1}, \beta_\nu, \alpha_{i+1}, \dots, \alpha_r\}$$

is properly intersecting.

- The condition of proper intersection is compatible with direct sum in each variable. To be precise, under the same assumption as in (8), for a set of elements $\alpha_i \in \mathcal{S}_F(I_i; X')$ for $i = 1, \dots, r$, the set $\{\alpha_i \in \mathcal{S}_F(I_i; X')\}_i$ is properly intersecting if and only if the set $\{\alpha_i \in \mathcal{S}_F(I_i; X)\}_i$ is properly intersecting.

Remark. For I_i almost disjoint and elements $\alpha_i \in F(I_i)$, one defines $\{\alpha_i \in F(I_i) \mid i \in A\}$ to be properly intersecting if the following holds. Write $\alpha_i = \sum c_{i\nu} \alpha_{i\nu}$ with $\alpha_{i\nu} \in \mathcal{S}_F(I_i)$, then for any choice of ν_i for $i \in A$, the set $\{\alpha_{i\nu_i} \mid i \in A\}$ is properly intersecting.

Further, if $S_i \subset \overset{\circ}{I}_i$, one can define the condition of proper intersection for $\{\alpha_i \in F(I_i \mid S_i) \mid i \in A\}$ by writing each α_i as a sum of tensors of elements in the generating set.

(10) (description of $F(I \mid S)$) (10) (description of $F(I \mid S)$) When I_1, \dots, I_r is a segmentation of I , namely when $\text{in}(I_1) = \text{in}(I)$, $\text{tm}(I_i) = \text{in}(I_{i+1})$ and $\text{tm}(I_r) = \text{tm}(I)$, the subcomplex of $F(I_1) \otimes \dots \otimes F(I_r)$ generated by $\alpha_1 \otimes \dots \otimes \alpha_r$ with $\{\alpha_i\}$ properly intersecting is denoted by $F(I_1) \overset{\circ}{\otimes} \dots \overset{\circ}{\otimes} F(I_r)$. If $S \subset I$ is the subset corresponding to the segmentation, this subcomplex coincides with $F(I \mid S)$.

(11) (distinguished subcomplexes) Let I be a finite ordered set, L_1, \dots, L_r be almost disjoint sub-intervals such that $\cup L_i = I$; equivalently, $\text{in}(L_1) = \text{in}(I)$, $\text{tm}(L_i) = \text{in}(L_{i+1})$ or $\text{tm}(L_i) + 1 = \text{in}(L_{i+1})$, and $\text{tm}(L_r) = \text{tm}(I)$. Assume given a sequence of objects X_i on I . Let *Dist* be the smallest class of subcomplexes of $F(L_1) \otimes \dots \otimes F(L_r)$ satisfying the conditions below. It is then required that each subcomplex in *Dist* is a quasi-isomorphic subcomplex.

(11-1) A subcomplex obtained as follows is in *Dist*. Let I_1, \dots, I_c be a set of almost disjoint sub-

intervals of I with union I , that is coarser than L_1, \dots, L_r ; let $S_i \subset \overset{\circ}{I}_i$ such that the segmentations of I_i by S_i , when combined for all i , give precisely the L_i 's. Let $I \hookrightarrow \mathbf{I}$ be an inclusion into a finite ordered set \mathbf{I} such that the image of each I_a is a sub-interval. Assume given an extension of X to \mathbf{I} . Let $J_1, \dots, J_s \subset \mathbf{I}$ be sub-intervals of \mathbf{I} such that the set $\{I_i, J_j\}_{i,j}$ is almost disjoint, $T_j \subset J_j$ be subsets, and $f_j \in F(J_j|T_j)$, $j = 1, \dots, s$ be a properly intersecting set. Then one defines the subcomplex

$$[F(I_1|S_1) \otimes \dots \otimes F(I_c|S_c)]_{\mathbf{I},f},$$

as the one generated by $\alpha_1 \otimes \dots \otimes \alpha_c$, $\alpha_i \in F(I_i|S_i)$, such that the set $\{\alpha_1, \dots, \alpha_c, f_j \ (j = 1, \dots, s)\}$ is properly intersecting. We require it is in *Dist*.

The data consisting of $I \hookrightarrow \mathbf{I}$, X on \mathbf{I} , sub-intervals $J_i \subset \mathbf{I}$ and subsets $T_j \subset J_j$, and elements $f_j \in F(J_j|T_j)$ is called a *constraint*, and the corresponding subcomplex the distinguished subcomplex for the constraint.

(11-2) Tensor product of subcomplexes in *Dist* is again in *Dist*. For this to make sense, note complexes of the form $F(L_1) \otimes \dots \otimes F(L_r)$ are closed under tensor products: If I' is another finite ordered set and L'_1, \dots, L'_s are almost disjoint sub-intervals with union I' , then the tensor product

$$F(L_1) \otimes \dots \otimes F(L_r) \otimes F(L'_1) \otimes \dots \otimes F(L'_s)$$

is associated with the ordered set $I \amalg I'$ and almost disjoint sub-intervals $(L_1, \dots, L_r, L'_1, \dots, L'_s)$.

(11-3) A finite intersection of subcomplexes in *Dist* is again in *Dist*.

(1.2) **Definition.** To a quasi DG category \mathcal{C} one can associate an additive category, called its *homotopy category*, denoted by $Ho(\mathcal{C})$. Objects of $Ho(\mathcal{C})$ are the same as the objects of \mathcal{C} , and $Hom(X, Y) := H^0F(X, Y)$. Composition of arrows is induced from ψ_Y as in (5) above. (Note $u \cdot v = \psi_Y(u \otimes v)$ is denoted by $v \circ u$ in the usual notation.) The object O is the zero object, and the direct sum $X \oplus Y$ is the direct sum in the categorical sense. 1_X gives the identity $X \rightarrow X$.

(1.3) **Definition.** Let \mathcal{C} be a quasi DG category. A C -diagram in \mathcal{C}^Δ is an object of the form $K = (K^m; f(m_1, \dots, m_\mu))$, where (K^m) is a sequence of objects of \mathcal{C} indexed by $m \in \mathbf{Z}$, which are zero except for a finite number of them, and

$$f(m_1, \dots, m_\mu) \in F(K^{m_1}, \dots, K^{m_\mu})^{-(m_\mu - m_1 - \mu + 1)}$$

is a collection of elements indexed by sequences $(m_1 < m_2 < \dots < m_\mu)$ with $\mu \geq 2$. We require the following conditions:

(i) For each $j = 2, \dots, \mu - 1$

$$\begin{aligned} \tau_{K^{m_j}}(f(m_1, \dots, m_\mu)) \\ = f(m_1, \dots, m_j) \otimes f(m_j, \dots, m_\mu) \end{aligned}$$

in $F(K^{m_1}, \dots, K^{m_j}) \otimes F(K^{m_j}, \dots, K^{m_\mu})$.

(ii) For each (m_1, \dots, m_μ) , one has

$$\begin{aligned} \partial f(m_1, \dots, m_\mu) \\ + \sum (-1)^{m_\mu + \mu + k + t} \varphi_{K^{m_k}}(f(m_1, \dots, m_t, k, m_{t+1}, \dots, m_\mu)) = 0. \end{aligned}$$

(the sum is over t with $1 \leq t < \mu$, and k with $m_t < k < m_{t+1}$).

For an object X in \mathcal{C} and $n \in \mathbf{Z}$, one considers the C -diagram K with $K^n = X$, $K^m = 0$ if $m \neq n$, and $f(M) = 0$ for all $M = (m_1, \dots, m_\mu)$. We write $X[-n]$ for this.

(1.4) **Theorem.** Let \mathcal{C} be a quasi DG category satisfying the extra conditions (iv), (v) of Definition (1.1). There is a quasi DG category \mathcal{C}^Δ satisfying the following properties:

(i) The objects are the C -diagrams in \mathcal{C} .

(ii) For a sequence of C -diagrams K_1, \dots, K_n with $n \geq 2$, as part of the structure of a quasi DG category, one has the corresponding complex of abelian groups $\mathbf{F}(K_1, \dots, K_n)$, and the maps ι , σ , and φ . This complex has the following description if $n = 2$ and the diagrams K_1, K_2 are "objects of \mathcal{C} with shifts": For a pair of objects X, Y in \mathcal{C} , and $m, n \in \mathbf{Z}$, and the corresponding C -diagrams $X[m], Y[n]$, one has a canonical isomorphism of complexes

$$\mathbf{F}(X[m], Y[n]) = F(X, Y)[n - m].$$

In particular, in the homotopy category $Ho(\mathcal{C}^\Delta)$ of \mathcal{C}^Δ , one has

$$Hom_{Ho(\mathcal{C}^\Delta)}(X[m], Y[n]) = H^{n-m}F(X, Y).$$

Further, the map

$$\psi_Y : H^m F(X, Y) \otimes H^n F(Y, Z) \rightarrow H^{m+n} F(X, Z)$$

for $m, n \in \mathbf{Z}$, defined using the maps σ , φ and $F(X, Y, Z)$ (see the remark just before (v) in (1.1)) coincides with the map

$$\begin{aligned} \psi_Y : H^0 \mathbf{F}(X, Y[m]) \otimes H^0 \mathbf{F}(Y[m], Z[m+n]) \\ \rightarrow H^0 \mathbf{F}(X, Z[m+n]) \end{aligned}$$

defined similarly using the maps σ , φ and $\mathbf{F}(X, Y[m], Z[m+n])$, via the isomorphisms $H^m F(X, Y) = H^0 \mathbf{F}(X, Y[m])$, etc.

(iii) The homotopy category $Ho(\mathcal{C}^\Delta)$ of \mathcal{C}^Δ has the structure of a triangulated category.

For the proof, we must define the complexes $\mathbf{F}(K_1, \dots, K_n)$ for a sequence of C -diagrams, together with maps σ and φ , satisfying the condition (ii) of the theorem, and the axioms (i)–(iii) of a quasi DG category. We then proceed to show that the homotopy category of \mathcal{C}^Δ is triangulated. If \mathcal{C} is a DG category, there is a procedure to construct a triangulated category, as in [4–6] and [9]. The present construction may be viewed as its generalization.

§2. The quasi DG category of smooth varieties over a base. We consider quasi-projective varieties over a field k . We refer the reader to [1], [2], [3] for the definition of the cycle complexes and the higher Chow groups of quasi-projective varieties. We will use the integral cubical version, as in [3]. Thus to a quasi-projective variety X over k and $s \in \mathbf{Z}$, there corresponds the cycle complex $\mathcal{Z}_s(X, \cdot)$; the group $\mathcal{Z}_s(X, n)$ is a quotient of the free abelian group of algebraic cycles on $X \times \square^n$ of dimension $s + n$, meeting faces properly. (See [3] for the precise definition, where the indexing is by codimension.) The variety X need not be assumed equi-dimensional when we use the indexing by “dimension” instead of codimension. The higher Chow groups are the homology groups of this complex: $CH_s(X, n) = H_n \mathcal{Z}_s(X, \cdot)$.

Let S be a quasi-projective variety. Let $(\text{Smooth}/k, \text{Proj}/S)$ be the category of smooth varieties X equipped with projective maps to S . A *symbol* over S is an object the form

$$\bigoplus_{\alpha \in A} (X_\alpha/S, r_\alpha)$$

where X_α is a collection of objects in $(\text{Smooth}/k, \text{Proj}/S)$ indexed by a finite set A , and $r_\alpha \in \mathbf{Z}$.

(2.1) **Theorem.** *There is a quasi DG category satisfying the conditions (iv), (v), denoted by $\text{Symb}(S)$, with the following properties:*

(i) *The objects are the symbols over S .*

(ii) *For a sequence of symbols K_1, \dots, K_n with $n \geq 2$, as part of the structure of a quasi DG category, one has the corresponding complex of abelian groups $F(K_1, \dots, K_n)$, and the maps ι , σ , and φ . When the symbols are of the form $K_i = (X_i/S, r_i)$, the corresponding complex $F(K_1, \dots, K_n)$ is quasi-isomorphic to*

$$\mathcal{Z}_{d_1}(X_1 \times_S X_2) \otimes \cdots \otimes \mathcal{Z}_{d_{n-1}}(X_{n-1} \times_S X_n),$$

with $d_i = \dim X_{i+1} - r_{i+1} + r_i$, the tensor product of the cycle complexes of the fiber products $X_i \times_S X_{i+1}$.

We consider $\text{Symb}(S)^\Delta$, the quasi DG category of C -diagrams in $\text{Symb}(S)$, and then take its homotopy category. The resulting category is denoted by $\mathcal{D}(S)$, and called the *triangulated category of mixed motives over S* . The next theorem follows from (1.3) and (2.1).

(2.2) **Theorem.** *For X in $(\text{Smooth}/k, \text{Proj}/S)$ and $r \in \mathbf{Z}$, there corresponds an object $h(X/S)(r) := (X/S, r)[-2r]$ in $\mathcal{D}(S)$. For two such objects we have*

$$\begin{aligned} \text{Hom}_{\mathcal{D}(S)}(h(X/S)(r)[2r], h(Y/S)(s)[2s - n]) \\ = \text{CH}_{\dim Y - s + r}(X \times_S Y, n) \end{aligned}$$

the right hand side being the higher Chow group of the fiber product $X \times_S Y$.

There is a functor

$$h : (\text{Smooth}/k, \text{Proj}/S)^{opp} \rightarrow \mathcal{D}(S)$$

that sends X to $h(X/S)$, and a map $f : X \rightarrow Y$ to the class of its graph $[\Gamma_f] \in \text{CH}_{\dim X}(Y \times_S X)$.

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