

### 83. Group Rings and the Norm Groups

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**1. Introduction and preliminary lemmas.** Let  $n$  be a natural number  $> 1$  and  $G$  be a cyclic group of order  $n$  generated by  $\sigma$ . We consider in this note the cyclic extension  $L/F$  of fields with the Galois group  $G$ . Let  $a \in L^\times$ . The well-known Hilbert theorem 90 asserts that  $a^{1+\sigma+\dots+\sigma^{n-1}} = 1$  if and only if there exists  $b \in L^\times$  such that  $a = b^{1-\sigma}$ . Now let  $t$  be an indeterminate and set  $D_n = \{f(t) \in \mathbf{Z}[t] \mid f(t) \text{ divides } t^n - 1\}$ . For  $f(t) \in D_n$ , we shall denote  $f^\perp(t) = (t^n - 1)/f(t)$ . Obviously one sees  $f^\perp(t) \in D_n$  and  $(f^\perp)^\perp(t) = f(t)$ . We define now:

(1.1)  $f(t) \in D_n$  is called of *H-type* if the following holds:

For any cyclic extension  $L/F$  and any  $a \in L^\times$ ,  $a^{f(\sigma)} = 1$  if and only if there exists  $b \in L^\times$  such that  $a = b^{f^\perp(\sigma)}$ .

If there is no fear of confusion, we shall abbreviate  $f(t)$  or  $f(\sigma)$  to  $f$ . It is obvious that  $a = b^{f^\perp}$  implies  $a^f = 1$ , so that the above definition can be simplified as follows:

(1.2)  $f$  is of *H-type*, if  $a^{f(\sigma)} = 1$  implies the existence of  $b$  with  $a = b^{f^\perp(\sigma)}$ .

$f = t^n - 1$  is trivially of *H-type*, and Hilbert theorem 90 says that  $f = 1 + t + \dots + t^{n-1}$  is of *H-type*. W. Hürlimann [2] has proved an interesting result ("Cyclotomic Hilbert theorem 90") saying that the  $n$ -th cyclotomic polynomial  $\Phi_n(t)$  is also of *H-type*.

The aim of this paper is to determine the set of all polynomials ( $\in D_n$ ) of *H-type*, which will be denoted with  $H_n$ . The result of [2] will be stated as

**Lemma 1.**  $\Phi_n \in H_n$ .

We denote the greatest common divisor and the least common multiple of  $f, g \in \mathbf{Z}[t]$  by  $(f, g)$  and  $\{f, g\}$ , respectively. If  $f, g \in D_n$  we have clearly  $(f, g), \{f, g\} \in D_n$ .

**Lemma 2.** If  $f, g \in D_n$  are of *H-type*, then  $(f, g)$  and  $\{f, g\}$  are of *H-type*.

*Proof.* We denote  $f_0 = (f, g)$  and  $f = f_0 f_1, g = f_0 g_1$  and  $t^n - 1 = f_0 f_1 g_1 h$ . We shall show  $f_0 = (f, g)$  is of *H-type*. For any  $a \in L^\times$  such that  $a^{f_0} = 1$ , one sees  $a^f = 1$ . Since  $f$  is of *H-type*, there exists  $b \in L^\times$  such that  $a = b^{g_1 h}$ . Then  $a^{f_0} = (b^h)^{g_1} = 1$ . Since  $g$  is of *H-type*, there exists  $c \in L^\times$  such that  $b^h = c^{f_1 h}$ . Hence  $a = (b^h)^{g_1} = c^{f_1 g_1 h} = c^{f_0}$ . In the same way as above, one sees that  $\{f, g\}$  is also of *H-type*.

For the case  $m \mid n$ , we define an injection  $\pi_{n/m}$  from  $D_m$  to  $D_n$  by putting  $\pi_{n/m}(f(t)) = f(t^l)$ , where  $l = n/m$ . We shall abbreviate  $\pi_{n/m}(f(t))$  to

$\bar{f}(t)$  when no confusion is to fear. Then from the fact  $(\bar{f})^\perp = (\overline{f^\perp})$ , we have the following

**Lemma 3.** *If  $f \in D_m$  is of  $H$ -type, then  $\bar{f} = \pi_{n/m}(f) \in D_n$  is also of  $H$ -type.*

For a subset  $\{h_1, h_2, \dots, h_r\} \subset H_n$ ,  $\langle h_1, h_2, \dots, h_r \rangle$  will denote the set consisting of all the polynomials which are obtained by applying the operations  $(, ), \{, \}$  on  $h_1, h_2, \dots, h_r$  finite number of times. From Lemma 2, one sees that  $\langle h_1, h_2, \dots, h_r \rangle$  is also a subset of  $H_n$ .  $H_n^0$  will denote the set  $\langle \pi_{n/d}(\Phi_d), (t^d - 1)^\perp \rangle$ , where  $d$  runs over all  $d \mid n$ . Then, from Lemmas 1, 2, 3, we have  $H_n^0 \subset H_n$  and the induction on the number of distinct prime factors of  $n$  yields the following proposition.

**Proposition 1.**  *$f \in H_n^0$  if and only if  $f$  satisfies the following condition. If  $\Phi_d$  divides  $f$  for some  $d \mid n$ , then for any  $d'$  such that  $d \mid d' \mid n$ ,  $\Phi_{d'}$  divides  $f$ .*

Our main theorem claims that  $H_n^0 = H_n$ .

**2. A proposition on the norm group.** In this section, we assume that  $n$  is a composite number and decomposes into  $n = ml(m, l > 1)$  and fix  $l$  for a while. We denote the invariant field associated with  $\langle \sigma^l \rangle$  by  $K$ . For any  $f \in \mathbf{Z}[G]$ ,  $\Psi_f$  denotes the  $G$ -endomorphism of  $L^\times$  defined by  $\Psi_f(x) = x^{f(\sigma)}$ . We denote by  $q_i(t)$  (or briefly by  $q(t)$ ) the polynomial  $\prod'_{d \mid l} \Phi_d(t)$ . Then we have the following proposition.

**Proposition 2.** *With the above notation, we have*

$$\text{Ker } \Psi_q = \prod_{\lambda} K_{\lambda}^{\times},$$

where  $K_{\lambda}$  runs over all the maximal subfields contained in  $K$ .

Without loss of generality, we may assume  $l = p_1 \cdots p_r$ , where  $p_1, \dots, p_r$  are distinct primes. Let  $l_j$  be the number  $l/p_j$  and  $K_j$  be the intermediate fields corresponding to  $\langle \sigma^{l_j} \rangle$ . Then the maximal subfields contained in  $K$  are  $K_1, \dots, K_r$ . When  $r = 1$ , we have  $q(t) = t - 1$  and  $K_1 = F$  and the above proposition is obvious. Next, we recall the following elementary fact.

If  $(a, b) = c$ , using an analogy of the Euclidean algorithm, we see that there exist  $h'(t), g'(t) \in \mathbf{Z}[t]$  such that

$$\left(\frac{t^a - 1}{t - 1}\right)h'(t) + \left(\frac{t^b - 1}{t - 1}\right)g'(t) = \frac{t^c - 1}{t - 1}.$$

From this fact, one can prove the following lemma using the induction on  $r \geq 2$ .

**Lemma 4.** *Let  $g_i(t)$  be the polynomial  $q(t)/(t^{l_i} - 1) \in D_n (1 \leq i \leq r)$ . Then there exist  $h_i(t) \in \mathbf{Z}[t]$  such that*

$$\sum_{i=1}^r g_i(t)h_i(t) = 1 \quad (r \geq 2).$$

*Proof.* When  $r = 2$ , we have  $l = p_1 p_2$ ,  $g_1(t) = \Phi_{p_1}(t) = \frac{t^{p_1} - 1}{t - 1}$ ,  $g_2(t) = \Phi_{p_2}(t) = \frac{t^{p_2} - 1}{t - 1}$ , so that there exist  $h_1(t), h_2(t) \in \mathbf{Z}[t]$  such that  $h_1 g_1 + h_2 g_2 = 1$  by the above remark.

Next, assume that the lemma holds for the case  $r - 1 \geq 2$ , so that for  $l_r = p_1 \cdots p_{r-1}$ , there exist  $h_1(t), \dots, h_{r-1}(t)$  with

$$\sum_{i=1}^{r-1} \frac{t^{l_i} - 1}{\Phi_{l_i}(t)(t^{l_i/p_i} - 1)} h_i(t) = 1.$$

Substituting  $t$  to  $t^{p_r}$ , we obtain

$$\sum_{i=1}^{r-1} \frac{t^{l_i} - 1}{\Phi_{l_i}(t^{p_r})(t^{l_i} - 1)} h_i(t^{p_r}) = 1.$$

Since  $\Phi_{l_i}(t^{p_r}) = \Phi_{l_i}(t)\Phi_{l_i}(t)$ , we obtain

$$\sum_{i=1}^{r-1} g_i(t)h_i(t^{p_r}) = \Phi_{l_i}(t).$$

Putting  $h_{i_r}(t) = \frac{h_i(t^{p_r})(t^{l_i} - 1)}{\Phi_{l_i}(t)(t - 1)} \in \mathbf{Z}[t]$ , we have

$$\sum_{i=1}^{r-1} g_i(t)h_{i_r}(t) = \frac{t^{l_i} - 1}{t - 1}.$$

In the same way as above, for any  $l_j$ , there exist  $h_{ij}(t) \in \mathbf{Z}[t]$  such that

$\sum g_i(t)h_{ij}(t) = \frac{t^{l_j} - 1}{t - 1}$ . Since  $(l_1, \dots, l_r) = 1$ , one can choose  $h_i(t) \in \mathbf{Z}[t]$  such that

$$\sum_{i=1}^r g_i(t)h_i(t) = 1.$$

Now we shall prove Proposition 2 for the case  $r \geq 2$ . From the fact  $(t^{l_i} - 1) \mid q(t)$ , it is obvious that  $\text{Ker } \Psi_q \supset \prod_{i=1}^r K_i^\times$ . Conversely if  $x \in \text{Ker } \Psi_q$ , put  $x_i = x^{g_i(\sigma)}$  ( $1 \leq i \leq r$ ). Then  $x_i^{\sigma^{l_i-1}} = x^{q(\sigma)} = 1$ . Hence we have  $x_i \in K_i^\times$ . From Lemma 4, there exist  $h_i(t) \in \mathbf{Z}[t]$  such that  $\sum g_i(t)h_i(t) = 1$ . Hence we have

$$x = x^{\sum g_i(\sigma)h_i(\sigma)} = \prod_{i=1}^r x_i^{h_i(\sigma)} \in \prod_{i=1}^r K_i^\times,$$

which completes the proof of Proposition 2.

**Lemma 5.** *Let  $A$  be an elementary abelian group  $(\mathbf{Z}/m\mathbf{Z})^l$  and  $A_i$  be the subgroup  $\{(x_1, \dots, x_i) \mid x_j = x_k \in \mathbf{Z}/m\mathbf{Z} \text{ when } j \equiv k \pmod{l_i}\}$ .  $A_0$  denotes the subgroup generated by  $A_1, \dots, A_r$ . Then we have  $A_0 \neq A$ .*

*Proof.* Let  $A'$  be  $\mathbf{Z}^l$  and  $A'_i$  be the subgroup  $\{(x_1, \dots, x_i) \mid x_j = x_k \in \mathbf{Z} \text{ when } j \equiv k \pmod{l_i}\}$ .  $A'_0$  will denote the subgroup generated by  $A'_1, \dots, A'_r$ . Then the  $\text{rank}_{\mathbf{Z}} A'_0 = \text{rank } M'$ . Here  $M'$  is the following matrix of  $(l_1 + \dots + l_r, l)$ -type.

$$M' = \begin{bmatrix} E_{l_1} & \cdots & E_{l_1} \\ E_{l_2} & \cdots & E_{l_2} \\ \vdots & \cdots & \vdots \\ E_{l_r} & \cdots & E_{l_r} \end{bmatrix}, \text{ where } E_{l_i} \text{ is the } l_i \times l_i \text{ unit matrix.}$$

If  $\text{rank } M' < l$ , then it is obvious that  $A'_0 \neq A'$ . So we may consider only the case  $l_1 + \dots + l_r \geq l$ . One can take  $l$  suitable row vectors  $v_1, \dots, v_l$  of  $M'$

such that the  $l \times l$  matrix  $T' = \begin{bmatrix} v_1 \\ \vdots \\ v_l \end{bmatrix}$  has the same rank  $\text{rank } T' = \text{rank}$

$M'$ . Let  $\zeta$  be the primitive  $l$ -th root of 1. Then one sees

$$T' \begin{pmatrix} 1 \\ \zeta \\ \vdots \\ \zeta^{l-1} \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix}.$$

Hence the determinant  $|T'| = 0$ . Therefore, we get  $\text{rank } M' = \text{rank } T' < l$ . Finally, similar argument modulo  $m$  implies  $\text{rank}_{\mathbf{Z}/m\mathbf{Z}} A_0 < \text{rank}_{\mathbf{Z}/m\mathbf{Z}} A = l$ , which completes the proof.

**Proposition 3.** *With the above notation, we have*

(i) *If  $L$  is an unramified local number field,  $K^\times = (\prod_\lambda K_\lambda^\times) N_{L/K} L^\times$ , where  $K_\lambda$  runs over all the maximal subfields of  $K$ .*

(ii) *If  $L$  is a global number field,  $K^\times / (\prod_\lambda K_\lambda^\times) N_{L/K} L^\times$  is an infinite abelian group, where  $K_\lambda$  runs over all the maximal subfields of  $K$ .*

*Sketch of proof.* From local class field theory, one can easily verify the result (i). Let  $v$  be a place of  $F$  which is extended to  $l$  distinct places  $v(K)$  in  $K$  and every  $v(K)$  is inert in  $L/K$ . We denote the  $l$  extensions of  $v$  to  $L$  by  $v(L)$  and the restrictions of  $v(K)$  to  $K_\lambda$  by  $v(K_\lambda)$ . We note that Chebotarev's density theorem assures the existence of infinitely many places  $v \in F$  which satisfy the above conditions. We denote the completions of  $F, K_\lambda, K, L$  by  $F_v, (K_\lambda)_{v(K_\lambda)}, K_{v(K)}, L_{v(L)}$ . We abbreviate

$$\prod_{v(K_\lambda)|v} (K_\lambda)_{v(K_\lambda)}^\times, \prod_{v(K)|v} K_{v(K)}^\times, \prod_{v(L)|v} L_{v(L)}^\times$$

to  $(K_\lambda)_v^\times, K_v^\times, L_v^\times$ . Then, from local class field theory, we have  $K_v^\times / (\prod_\lambda (K_\lambda)_v^\times) N_{L/K} L_v^\times \cong A/A_0$ , where  $A, A_0$  are those in the above lemma. Hence  $K_v^\times \neq (\prod_\lambda (K_\lambda)_v^\times) N_{L/K} L_v^\times$ . Therefore the idele groups  $K_A^\times, (K_\lambda)_A^\times, L_A^\times$  satisfies  $K_A^\times \neq (\prod_\lambda (K_\lambda)_A^\times) N_{L/K} L_A^\times$  and more precisely  $K_A^\times / (\prod_\lambda (K_\lambda)_A^\times) N_{L/K} L_A^\times$  is an infinite abelian group. Combining global class field theory and Hasse's norm theorem, one obtains that  $K^\times / (\prod_\lambda K_\lambda^\times) N_{L/K} L^\times$  is an infinite abelian group.

**3. Proof of the main theorem.** Suppose  $f$  is of  $H$ -type and  $f \notin H_0$ . Then one can choose a  $H$ -type polynomial  $g \in \langle f, H_0 \rangle (\notin H_0)$  such as  $g(t) = \Phi_l(t) (1 < l < n)$  or  $g(t) = (t^l - 1)^\perp \Phi_{l_1}(t)$ , where  $l = l_1 p_1$  ( $p_1$  is prime).

First consider the case  $g = \Phi_l$ . From the assumption that  $g$  is of  $H$ -type, we have  $\text{Ker } \Psi_g = (L^\times)^{g^\perp(\sigma)}$ . Since  $g^\perp(\sigma) = q_l(\sigma)(\sigma^l - 1)^\perp$ , we have  $x^{g^\perp(\sigma)} = (N_{L/K} x)^{q_l(\sigma)}$  for any  $x \in L^\times$ . Hence we have the equality  $\text{Ker } \Psi_g = (L^\times)^{g^\perp(\sigma)} = (N_{L/K} L^\times)^{q_l(\sigma)}$ .

On the other hand, from the fact  $g(t) \mid (t^l - 1)$ , we have  $\text{Ker } \Psi_g \subset K^\times$ . Hence, from Lemma 1, we have  $\text{Ker } \Psi_g = \{x \in K^\times \mid x^{g(\sigma)} = 1\} = (K^\times)^{q_l(\sigma)}$ . Hence we have the equality  $(N_{L/K} L^\times)^{q_l(\sigma)} = (K^\times)^{q_l(\sigma)}$ . Hence, from Proposition 2, we have  $K^\times = (\prod_\lambda K_\lambda^\times) N_{L/K} L^\times$ , where  $K_\lambda$  runs over all the maximal subfields of  $K$ , which contradicts Proposition 3.

Next consider the case  $g(t) = (t^l - 1)^\perp \Phi_{l_1}(t)$  is of  $H$ -type. Then  $g^\perp(t) = (t^l - 1) / \Phi_{l_1}(t)$ . From the assumption that  $g(t)$  is of  $H$ -type, we have  $\text{Ker } \Psi_g = (L^\times)^{g^\perp(\sigma)}$ .

On the other hand, from the fact that  $x^{g(\sigma)} = N_{L/K}(x^{\Phi_{l_1}(\sigma)})$  and Hilbert theorem 90, there exists  $y \in L^\times$  which satisfies  $x^{\Phi_{l_1}(\sigma)} = y^{\sigma^l - 1} = (y^{g^\perp(\sigma)})^{\Phi_{l_1}(\sigma)}$

for any  $x \in \text{Ker}\Psi_g$ . Then  $x/y^{g^\perp(\sigma)} \in K_1^\times$ , where  $K_1$  is the invariant fields associated with  $\langle \sigma^{i_1} \rangle$ . Since  $(x/y^{g^\perp(\sigma)})^{\phi_{i_1}(\sigma)} = 1$ , there exists  $z \in K_1^\times$  such that  $x = y^{g^\perp(\sigma)} z^{q_{i_1}(\sigma)}$  from Lemma 1. Conversely, if  $x = y^{g^\perp(\sigma)} z^{q_{i_1}(\sigma)}$  for some  $y \in L^\times$  and  $z \in K_1^\times$  then one sees  $x \in \text{Ker}\Psi_g$ . Hence we have shown  $\text{Ker}\Psi_g = (L^\times)^{g^\perp(\sigma)} (K_1^\times)^{q_{i_1}(\sigma)}$ . Hence we have  $(K_1^\times)^{q_{i_1}(\sigma)} \subset (L^\times)^{g^\perp(\sigma)}$ , that is, for any  $z \in K_1^\times$ , there exists  $y \in L^\times$  such that  $z^{q_{i_1}(\sigma)} = y^{g^\perp(\sigma)}$ . Since  $y^{\sigma^{i_1-1}} = (z^{q_{i_1}(\sigma)})^{\phi_{i_1}(\sigma)} = z^{\sigma^{i_1-1}} = 1$ , we have  $y \in K^\times$ .

Conversely for any  $y \in K^\times$ ,  $y^{g^\perp(\sigma)} = (N_{K/K_1} y)^{q_{i_1}(\sigma)} \in (K_1^\times)^{q_{i_1}(\sigma)}$ . Hence we have shown  $(K_1^\times)^{q_{i_1}(\sigma)} = (N_{K/K_1} K^\times)^{q_{i_1}(\sigma)}$ .

From Proposition 2, we have  $K_1^\times = (\prod_{\lambda'} K_{\lambda'}^\times) N_{K/K_1} K^\times$ , where  $K_{\lambda'}$  runs over all the maximal subfields of  $K_1^\times$ , which contradicts Proposition 3. Therefore we have shown the following theorem

**Theorem.** *With the above notation, we have  $H_n^0$ .*

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### References

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