

## Generalized Hasse-Witt invariants and unramified Galois extensions of an algebraic function field

By Hidenori KATSURADA

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### Introduction.

In this paper, we give a certain generalization of the Hasse-Witt theory (cf. [4]).

Let  $K$  be an algebraic function field with an algebraically closed constant field  $k$  of characteristic  $p > 0$ , and  $g$  be its genus. Let  $M$  be the maximum unramified Galois extension of  $K$ . Let  $\Delta_g$  be the group generated by  $2g$  elements  $u_i, v_i$  ( $i=1, \dots, g$ ) with the following fundamental relation:

$$(u_1 v_1 u_1^{-1} v_1^{-1}) \cdots (u_g v_g u_g^{-1} v_g^{-1}) = 1.$$

Let  $\bar{\Delta}_g$  be the completion of  $\Delta_g$  with respect to subgroups of finite index. Then, it is well known that there is a surjective homomorphism of  $\bar{\Delta}_g$  onto  $Gal(M/K)$ , and that its kernel is contained in the intersection of kernels of continuous homomorphism from  $\bar{\Delta}_g$  to finite groups with order prime to  $p$ . (cf. [3]).

It is obvious that the structure of  $Gal(M/K)$  (as an abstract group) depends on  $g$  and  $p$ . We note that for any finite group  $G$  with order prime to  $p$ , the number of unramified Galois extensions of  $K$  whose Galois group is isomorphic to  $G$  is determined by  $g$ . Moreover, it is well-known that the structure of the Galois group of the maximal unramified abelian extension of  $K$  is determined by  $g, p$ , and the invariant  $\gamma_K$  that was introduced by Hasse-Witt (cf. [4]). Hence if  $g=1$ ,  $Gal(M/K)$  is determined by  $g, p$ , and  $\gamma_K$ . But if  $g \geq 2$ , the structure of  $Gal(M/K)$  is not determined only by  $g, p$  and  $\gamma_K$ .

In §1, we define an unramified  $D_{np^m}$ -extension of  $K$  as an unramified Galois extension of  $K$  whose Galois group is isomorphic to

$$D_{np^m} = \langle \sigma, \tau \mid \sigma^{p^m} = \tau^n = 1, \tau \sigma \tau^{-1} = \sigma^i, \text{ where } i \text{ is a primitive } n\text{-th} \\ \text{root of unity in } (\mathbf{Z}/p^m\mathbf{Z})^\times \rangle.$$

In §2, we construct a certain invariant of  $K$  depending on  $n$ , and state our main theorem. Let  $\mathfrak{A}_n$  be the set of full representatives of divisor classes of degree 0 of  $K$  whose orders are  $n$ . Then the invariant is the set  $\{\gamma_A\}_{A \in \mathfrak{A}_n}$ , where  $\gamma_A$  is an integer which is determined by the class of  $A$ . Then, our main theorem gives the number of unramified  $D_{np}$ -extension of  $K$  in terms of this invariant (cf. [4]).

In §3, we give some lemmas and in §4, we prove the main theorem and its corollaries.

In §5, we give some remarks which are mainly concerned with unramified  $D_{np^m}$ -extensions of  $K$ .

In §6, we give some examples. In particular, we give examples of algebraic function fields which have the same  $g$ ,  $p$ , and  $\gamma_K$  but have different numbers of unramified  $D_{2p}$ -extensions. Hence, our invariant is essentially new.

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### §1. Preliminaries and notations.

We shall use the following notations.

$\#(A)$ : the cardinal of a set  $A$ .

$(a, b)$ : the greatest common divisor of integers  $a$  and  $b$ .

Let  $k$  be an algebraically closed field of positive characteristic  $p$ . Let  $K$  be an algebraic function field over  $k$ , and  $g$  be its genus. We assume that  $g \geq 2$ . Let  $L$  be a finite Galois extension of  $K$ . We denote by  $[L:K]$  its degree over  $K$ , and by  $Gal(L/K)$  the Galois group.

Let  $\mathfrak{z}$  be a prime divisor of  $K$ , and  $\nu_{\mathfrak{z}}$  be the corresponding normalized additive valuation of  $K$ . We denote by  $K_{\mathfrak{z}}$  the completion of  $K$  at  $\mathfrak{z}$ , and put

$$\mathfrak{O}_{\mathfrak{z}} = \{a \in K_{\mathfrak{z}} \mid \nu_{\mathfrak{z}}(a) \geq 0\}.$$

We denote by  $K^*$  the multiplicative group  $K - \{0\}$ , and by  $K^{*n}$  the subgroup of  $K^*$  consisting of  $n$ -th powers of all elements of  $K^*$ . We denote by  $K^p$  the image of  $K$  under  $p$ -th power map. Finally, we denote by  $F_p$  the field with  $p$  elements.

Let  $G$  be a group,  $N$  be a subgroup of  $G$ , we put  $C_G(N) = \{\sigma \in G \mid \sigma\tau = \tau\sigma \text{ for all } \tau \in N\}$ , the centralizer of  $N$  in  $G$ . We denote by

$$\langle u_1, u_2, \dots, u_r \mid f_i(u_1, u_2, \dots, u_r) = 1; i = 1, 2, \dots, s \rangle$$

the group generated by  $r$  elements  $u_1, u_2, \dots, u_r$  and with a fundamental relations  $f_i(u_1, u_2, \dots, u_r) = 1$ .

Let  $L$  be an unramified abelian extension of  $K$  of degree  $n$ . We put

$$\Delta_L = \{\theta \in L^* \mid \theta^m \in K^* \text{ for some integer } m \geq 1\},$$

and for each  $\theta \in \Delta_L$ , we define an element  $\chi_\theta$  of  $\text{Hom}(\text{Gal}(L/K), k^*)$  by

$$\chi_\theta : \text{Gal}(L/K) \ni \sigma \longrightarrow \theta^{-1} \theta^\sigma \in k^*.$$

Then it follows from the Kummer theory that the above homomorphism  $\Delta_L \ni \theta \rightarrow \chi_\theta$  gives an isomorphism of  $\Delta_L/K^*$  onto  $\text{Hom}(\text{Gal}(L/K), k^*)$ . Let  $\mathfrak{D}_0$  be the group consisting of all divisors of  $K$  of degree 0 and let  $\mathfrak{D}_H$  be the subgroup of all principal divisors. We denote  $A \bmod \mathfrak{D}_H$  by  $\bar{A}$ . For any element  $\theta$  of  $\Delta_L$ , we associate an element  $A_\theta$  of  $\mathfrak{D}_0$  such that  $A_\theta = (\theta)$  in  $L$ . This correspondence induces an injective homomorphism of  $\Delta_L/K^*$  into  $\mathfrak{D}_0/\mathfrak{D}_H$ . We denote its image by  $cl_{L/K}$ , and call it the divisor class group corresponding to an extension  $L$  over  $K$ .

We define the action of the operator  $\mathfrak{p}$  on a subset of an extension field of  $K$  in the following manner :

$$\mathfrak{p}(a) = a^p - a.$$

For any  $\text{Gal}(L/K)$ -submodule  $A$  of  $L$ , we put  $U_A = \bigcap_{\mathfrak{p}} (A \cap \mathfrak{p}K_i)$ , and call an element of  $U_A$  an unramified element of  $A$ . We note that, for any  $\alpha \in L$ ,  $L(\alpha/\mathfrak{p})$  is unramified over  $L$  if and only if  $\alpha \in U_L$ , where  $\alpha/\mathfrak{p}$  means a root of the equation  $\mathfrak{p}(X) = \alpha$  in the algebraic closure  $\bar{L}$  of  $L$ . If we have  $\mathfrak{p}A \subset A$ , we denote by  $W_A$  a quotient of a group  $U_A$  by a subgroup  $\mathfrak{p}A$ .

Let  $\Omega(K/k)$  be the space of  $k$ -differentials of  $K$ , and for any divisor  $A$  of  $K$ , let

$$\Omega(A) = \{\omega \in \Omega(K/k) ; \nu_{\mathfrak{p}}(\omega) \geq \nu_{\mathfrak{p}}(A) \text{ for all primes } \mathfrak{p} \text{ of } K\}.$$

Now the Cartier operator  $\mathcal{C}$  of  $\Omega(K/k)$  is defined as follows. Let  $x$  be an element of  $K$  which is not contained in  $K^p$ . Then, for any element  $\omega$  of  $\Omega(K/k)$ ,  $\omega$  can be expressed uniquely as

$$\omega = \sum_{i=0}^{p-1} a_i^p x^i dx \quad (a_i \in K).$$

Then,  $\mathcal{C}\omega = a_{p-1} dx$ .

This operator  $\mathcal{C}$  has the following properties :

- (1)  $\mathcal{C}(\omega_1 + \omega_2) = \mathcal{C}(\omega_1) + \mathcal{C}(\omega_2)$  for  $\omega_1, \omega_2 \in \Omega(K/k)$
- (2)  $\mathcal{C}(x^p \omega) = x \mathcal{C}(\omega)$  for  $x \in K$  and  $\omega \in \Omega(K/k)$
- (3)  $\mathcal{C}(dx) = 0$
- (4)  $\mathcal{C}(x^{-1} dx) = x^{-1} dx$

$$(5) \quad \nu_i(C(\omega)) > \nu_i(\omega)/p-1 \quad (\text{cf. Cartier [1], [2]}).$$

We denote by  $L_K(A)$  the subspace of  $K$  such that  $\nu_i(x) \geq -\nu_i(A)$  for all prime divisors  $\mathfrak{p}$  of  $K$ , and put  $l(A) = \dim_k L_K(A)$ .

## § 2. Definition of invariants and the main theorem.

Let  $A$  be an  $n$ -division point of  $\overline{\mathfrak{D}_0} = \mathfrak{D}_0/\mathfrak{D}_H$ . Then, the dimension  $l$  of  $\Omega(A)$  is given by

$$l = \begin{cases} g & \text{if } A \in \mathfrak{D}_H \\ g-1 & \text{if } A \notin \mathfrak{D}_H. \end{cases}$$

Now, we assume that  $n$  divides  $p-1$ . Let  $\{\omega_i\}$  be a basis of  $\Omega(A)$ , and let  $x$  be an element of  $K$  such that  $(x) = A^{p-1}$ . Then, it follows from the basic properties of the Cartier operator that

$$C\Omega(A^p) \subset \Omega(A).$$

Since  $\{x\omega_i\}$  is a basis of  $\Omega(A^p)$ , there is a matrix  $C_A = (c_{ij})$  of  $M_l(k)$  such that

$$C((x\omega_k)) = C_A(\omega_k), \quad \text{that is, } C(x\omega_k) = \sum c_{ki}\omega_i.$$

Let  $\gamma_A$  be the rank of  $C_A C_A^{(p)} \dots C_A^{(p^{l-1})}$ , where  $C_A^{(p^k)}$  is the matrix  $(c_{ij}^{p^k})$ .

We claim that this  $\gamma_A$  does not depend on the choice of a basis of  $\Omega(A)$  and a representative of a class of  $A$ . To see this, let  $\{\eta_i\}$  be another basis of  $\Omega(A)$ , and  $C'_A$  be the matrix such that

$$C((x\eta_k)) = C'_A((\eta_k)).$$

Then, there is a regular matrix  $S$  of  $GL_l(k)$  such that

$$(\eta_k) = S(\omega_k).$$

Then, we have

$$C(x\eta_k) = C(S(x\omega_k)).$$

It follows from the basic properties of Cartier operator (see §1) that

$$C(S(x\omega_k)) = S^{(1/p)} C((x\omega_k)) = S^{(1/p)} C_A(\omega_k) = S^{(1/p)} C_A S^{-1}(\eta_k) = C'_A(\eta_k).$$

Hence, we have  $C'_A = S^{(1/p)} C_A S^{-1}$ . Therefore,

$$\begin{aligned} C'_A C_A^{(p)} \dots C_A^{(p^{l-1})} &= (S^{(1/p)} C_A S^{-1}) (S^{(1/p)} C_A S^{-1})^{(p)} \dots (S^{(1/p)} C_A S^{-1})^{(p^{l-1})} \\ &= S^{(1/p)} C_A C_A^{(p)} \dots C_A^{(p^{l-1})} (S^{-1})^{(p^{l-1})}. \end{aligned}$$

Since  $S$  is regular,

$$\text{rank } C'_A C'^{(p)} \dots C'^{(p^{l-1})} = \text{rank } C_A C_A^{(p)} \dots C_A^{(p^{l-1})}.$$

Hence  $\gamma_A$  does not depend on the choice of basis of  $\Omega(A)$ .

Let  $A_1$  be another representative of  $A$ . Then, there exists a function  $y$  of  $K$  such that  $(y)A_1=A$ . Let  $x_1$  be a function of  $K$  such that  $A_1^{p-1}=(x_1)$ . Then,  $\{y\omega_i\}$  is a basis of  $\Omega(A_1)$  and  $(x_1)=(y^{p-1}x)$ . Hence,

$$C((x_1 y\omega_k))=C((y^p x\omega_k))=yC_A((\omega_k))=C_{A_1}((y\omega_k)).$$

We have  $C_A=C_{A_1}$ , and  $\gamma_A=\gamma_{A_1}$ . Hence,  $\gamma_A$  does not depend on the choice of representative of class  $A$ . Therefore,  $\gamma_A$  is uniquely determined by  $\bar{A}$ . If we call  $\bar{\mathfrak{A}}_n$  the set of all  $n$ -division points of  $\mathfrak{D}_0/\mathfrak{D}_H$ , the set  $\{\gamma_A\}_{\bar{A}\in\bar{\mathfrak{A}}_n}$  is an invariant of  $K$  (depending on  $n$ ). Especially if  $n=1$ ,  $\{\gamma_A\}_{\bar{A}\in\bar{\mathfrak{A}}_n}$  consists of one element  $\gamma_K$ , which was introduced by Hasse-Witt [4].

DEFINITION 1. A group  $G$  is said to be  $(m, n)$  type if there exists abelian groups  $A$  of order  $m$  and  $H$  of order  $n$  such that  $G$  is a semi-direct product of  $H$  and  $A$ , with  $H$  as its normal subgroup.

DEFINITION 2. An unramified Galois extension of  $K$  is said to be  $(m, n)$  type if its Galois group is  $(m, n)$  type. Especially, an unramified Galois extension of  $K$  of  $(n, p^m)$  type is said to be  $D_{np^m}$ -type if its Galois group is isomorphic to

$$D_{np^m} = \langle \sigma, \tau \mid \sigma^{p^m} = \tau^n = 1, \tau\sigma\tau^{-1} = \sigma^i \text{ with } i \text{ a primitive } n\text{-th root of unity mod } p^m \rangle.$$

Then, we note that  $n$  divides  $p-1$  if  $n$  is prime to  $p$ .

Now, the main results of this paper can be stated as:

THEOREM. Let  $K$  be an algebraic function field with an algebraically closed constant field of positive characteristic  $p$ , and let  $g$  be its genus. We assume that  $g \geq 2$ . Let  $n$  be a positive integer such that  $n$  divides  $p-1$ . Then, the number of unramified  $D_{np}$ -extensions of  $K$  is equal to

$$\sum_A (p^{\gamma_A} - 1) / (p - 1),$$

where  $A$  runs over full representatives of divisor classes of  $K$  of order  $n$ .

COROLLARY 1. Let  $K$  be as in Theorem. Let  $n$  be a positive integer prime to  $p$ . Then, the number of unramified Galois extensions of  $K$  of  $(n, p)$  type is determined by  $\{\gamma_A\}$ , where  $\{A\}$  are full representatives of divisor classes of  $K$  of degree 0 whose orders divide  $p-1$  and  $n$ .

COROLLARY 2. *Let  $K$  be as in Theorem. Let  $L$  be an unramified abelian extension of  $K$  of exponent  $p-1$ . Then, the Hasse-Witt invariant of  $L$  is equal to*

$$\sum_A \gamma_A,$$

where  $A$  runs full representatives of divisor classes of  $K$  of degree 0 which correspond to  $L$  over  $K$ .

COROLLARY 3. *Let  $K$  and  $n$  be as in Theorem, and  $m$  be a positive integer. Then, the number of unramified  $D_{np^m}$ -extensions of  $K$  is equal to*

$$\sum_A \frac{p^{m\gamma_A} - p^{(m-1)\gamma_A}}{p^m - p^{m-1}},$$

where  $\{A\}$  are as in Theorem.

### § 3. Some lemmas.

Let  $K$  be an algebraic function field with an algebraically closed constant field of characteristic  $p$ , and  $L$  be an unramified abelian extension of exponent  $p-1$ .

Let  $W_L = \bigcap_i (pK_i \cap L) / pL = U_L / pL$ . Since  $n$  divides  $p-1$ ,  $p(\theta K) \in \theta K$  for  $\theta \in \Delta_L$ . Hence we can define a sub-module  $W_{\theta K}$  of  $W_L$  by

$$W_{\theta K} = U_{\theta K} / p\theta K \quad (\text{cf. §1}).$$

Let  $A$  be a  $\text{Gal}(L/K)$ -module. Then we put for any element  $\chi$  of  $\text{Hom}(\text{Gal}(L/K), F_p^*)$ ,

$$A^\chi = \{u \in A \mid u^\sigma = \chi(\sigma)u\}.$$

LEMMA 1. *Let  $L$  be an abelian extension of  $K$  of exponent  $p-1$ . Then,*

$$W_L \cong \bigoplus_{\bar{\theta} \in \Delta_L / K^*} W_{\theta K}$$

and

$$W_{\theta K} = W_L^{\chi_\theta}$$

where  $\chi_\theta$  is an element of  $\text{Hom}(\text{Gal}(L/K), F_p^*)$  corresponding to  $\theta$  (cf. §1).

PROOF. Let  $u$  be an element of  $U_L$ . Since  $L = \bigoplus_{\theta} \theta K = \bigoplus_{\theta} L^{\chi_\theta}$ ,  $u$  can be expressed as

$$u = \sum_{\theta} a_{\theta},$$

where  $a_{\theta} \in \theta K = L^{\chi_\theta}$  and the sum runs full representatives of  $\Delta_L / K^*$ . Then for

any element  $\sigma$  of  $Gal(L/K)$ ,

$$u^\sigma = \sum_{\theta} \chi_{\theta}(\sigma) a_{\theta}.$$

We note that

$$\sum_{\sigma \in Gal(L/K)} \chi_{\theta}(\sigma) = \begin{cases} n & \text{if } \theta \in K^* \text{ where } n = \#Gal(L/K), \\ 0 & \text{if } \theta \in K^{\times}. \end{cases}$$

Hence  $a_{\theta}$  can be expressed as

$$a_{\theta} = \frac{1}{n} \sum_{\sigma \in Gal(L/K)} \chi_{\theta}(\sigma)^{-1} u^{\sigma},$$

that is,  $a_{\theta} \in U_{\theta K}$ .

Since  $L = \bigoplus_{\theta} \theta K$  and  $n$  divides  $p-1$ ,

$$U_L = \bigoplus_{\theta} U_{\theta K} \quad \text{and} \quad \mathfrak{p}L = \bigoplus_{\theta} \mathfrak{p}\theta K. \quad \text{Hence,}$$

$$W_L = U_L / \mathfrak{p}L = (\bigoplus_{\theta} U_{\theta K}) / (\bigoplus_{\theta} \mathfrak{p}\theta K) \cong \bigoplus_{\theta} W_{\theta K}.$$

So the first assertion holds.

On the other hand, since  $n$  divides  $p-1$ ,  $W_L$  can be expressed as

$$W_L = \bigoplus_{\theta} W_L^{\chi_{\theta}}$$

and  $W_{\theta K} \subset W_L^{\chi_{\theta}}$ . Then the second assertion holds from these facts and the first assertion. q. e. d.

LEMMA 2. *Let  $K, L$  be as in Lemma 1. Let  $M$  be an unramified Galois extension of  $K$  of  $(n, p)$  type containing  $L$ . (For the definition of  $(n, p)$  type, see §2). Then there is an element  $\theta$  of  $\Delta_L$  and a subgroup  $\langle a \bmod \mathfrak{p}\theta K \rangle$  of  $W_{\theta K}$  of order  $p$  such that  $M$  is generated over  $L$  by an element  $1/\mathfrak{p}(a)$ . Moreover  $\theta \bmod K^*$  and the subgroup  $\langle a \bmod \mathfrak{p}\theta K \rangle$  is uniquely determined by  $M$ . Conversely for a subgroup  $\langle a \bmod \mathfrak{p}\theta K \rangle$  of  $W_{\theta K}$  of order  $p$ ,  $L(1/\mathfrak{p}(a))$  is an unramified Galois extension of  $K$  of  $(n, p)$  type containing  $L$ .*

PROOF. It follows from the Artin-Shreier theory that  $M$  is an unramified cyclic extension of  $L$  of degree  $p$  if and only if there exists a unique subgroup  $\langle a \bmod \mathfrak{p}L \rangle$  of  $W_L$  of order  $p$  such that  $M = L(1/\mathfrak{p}(a))$ . Moreover,  $M$  is a Galois extension of  $K$  if and only if for any  $\sigma \in Gal(L/K)$ ,

$$L\left(\frac{1}{\mathfrak{p}}(a^{\sigma})\right) = L\left(\frac{1}{\mathfrak{p}}(a)\right).$$

It holds if and only if  $\langle a^{\sigma} \bmod \mathfrak{p}L \rangle = \langle a \bmod \mathfrak{p}L \rangle$ . That is,  $\langle a \bmod \mathfrak{p}L \rangle$  is a  $Gal(L/K)$ -module. Hence there is an element  $\chi$  of  $\text{Hom}(Gal(L/K), F_p^*)$  such

that  $\langle a \bmod \mathfrak{p}L \rangle \subset W_L^\chi$ . It follows from the Kummer theory and Lemma 1 that there exists an element  $\theta$  of  $\mathcal{A}_L$  such that  $W_L^\chi = W_{\theta K}$ . Hence  $\langle a \bmod \mathfrak{p}L \rangle \subset W_{\theta K}$ .

Assume that  $\langle a \bmod \mathfrak{p}L \rangle \subset W_{\theta' K}$  for  $\theta'$  of  $\mathcal{A}_L$ . Then, it follows from Lemma 1 that  $W_{\theta K} \cap W_{\theta' K} = 0$  if  $\theta \not\equiv \theta' \pmod{K^*}$ . Hence  $\theta \equiv \theta' \pmod{K^*}$ .

Conversely, let  $\langle a \bmod \mathfrak{p}L \rangle$  be a cyclic subgroup of  $W_{\theta K}$  of order  $p$ . Then it is clearly a  $\text{Gal}(L/K)$ -module. Hence  $L(1/\mathfrak{p}(a))$  is a Galois extension of  $K$  of  $(n, p)$  type containing  $L$ . q. e. d.

**COROLLARY.** *Let  $K, L$  be as in Lemma 2. Then there is a one-to-one correspondence between the set of unramified extensions of  $K$  of  $(n, p)$  type containing  $L$  and the set*

$$\bigcup_{\bar{\theta} \in \mathcal{A}_L/K^*} \{\text{subgroup of } W_{\theta K} \text{ of order } p\}.$$

**PROOF.** We put

$$U = \{\text{unramified extensions of } (n, p) \text{ type containing } L\}$$

and

$$S = \bigcup_{\bar{\theta} \in \mathcal{A}_L/K^*} \{\text{subgroups of } W_{\theta K} \text{ of order } p\}.$$

It follows from Lemma 2 that for any element  $M$  of  $U$ , there is an element  $\langle a \bmod \mathfrak{p}L \rangle$  of  $S$  such that  $M = L(1/\mathfrak{p}(a))$ , and that this  $\langle a \bmod \mathfrak{p}L \rangle$  is uniquely determined by  $M$ . Hence there is a mapping from  $U$  into  $S$ . Conversely for any element  $\langle a \bmod \mathfrak{p}L \rangle$  of  $S$ ,  $L(1/\mathfrak{p}(a))$  is an unramified extension of  $(n, p)$  type, that is, an element of  $U$ . Moreover if  $\langle a_1 \bmod \mathfrak{p}L \rangle = \langle a_2 \bmod \mathfrak{p}L \rangle$ ,  $L(1/\mathfrak{p}(a_1)) = L(1/\mathfrak{p}(a_2))$ . Hence the above correspondence is one-to-one.

q. e. d.

**REMARK 1.** Let  $K, L$  be as in Lemma 2. Let

$$S_\theta = \{\text{subgroups of } W_{\theta K} \text{ of order } p\}.$$

It follows from Lemma 1 that  $S_\theta \cap S_{\theta'} = \emptyset$  if  $\theta \not\equiv \theta' \pmod{K^*}$ . Therefore it follows from the corollary to Lemma 2 that the number of unramified extensions of  $K$  of  $(n, p)$  type containing  $L$  is equal to

$$\sum_{\bar{\theta} \in \mathcal{A}_L/K^*} \#S_\theta.$$

**REMARK 2.** Let  $L = K(\theta)$  be an unramified cyclic extension of  $K$  such that  $[L : K]$  divides  $p-1$ . We put  $n = [L : K]$ . Then it follows from Lemma 2 that an unramified Galois extension of  $K$  of  $(n, p)$  type containing  $L$  is generated over by an element  $1/\mathfrak{p}(a)$ , where  $\langle a \bmod \mathfrak{p}L \rangle$  is an element of  $S_{\theta^i}$ . Then,  $K(1/\mathfrak{p}(a))$  is an unramified  $D_{n_0 p}$ -extension of  $K$ , where  $n_0 = [K(\theta^i) : K]$ .

In fact if we put  $v=1/p(a)$ , the conjugates of  $v$  have the forms  $\zeta^j(v+i)$ , with  $i \in F_p$  and  $\zeta$  a primitive  $n_0$ -th root of unity. We define elements of  $Gal(K(v)/K)$  as follows:

$$\tau(v)=\zeta v, \quad \sigma(v)=v+1.$$

Then  $\tau^n(v)=\sigma^p(v)=1$ , and  $\tau\sigma\tau^{-1}(v)=v+\zeta^{-1}$ . Since  $\zeta$  is a primitive  $n_0$ -th root of unity and contained in  $F_p$ ,  $\langle\sigma, \tau\rangle \cong D_{n_0 p}$ . On the other hand  $\#Gal(K(v)/K) = \#D_{n_0 p} = n_0 p$ . Hence  $Gal(K(v)/K) \cong D_{n_0 p}$ .

Therefore,  $K(v)$  is an unramified  $D_{n_0 p}$ -extension of  $K$  if and only if  $\langle a \bmod pL \rangle$  is an element of  $S_{\theta^i}$ , with  $i$  an integer prime to  $n$ . Therefore, the number of unramified  $D_{n p}$ -extensions of  $K$  containing  $L$  is equal to

$$\sum_{\langle i, n \rangle = 1} \#S_{\theta^i}.$$

**§ 4. Proof of the Theorem.**

Let  $L=K(\theta)$  ( $\theta^n \in K$ ) be an unramified cyclic extension of  $K$  of degree  $n$ . We assume that  $n$  divides  $p-1$ . Let  $A$  be a divisor of  $K$  which corresponds to  $\theta$  as in §1. It follows from Remark 2 after Lemma 2 that there is one-to-one correspondence between the set of unramified  $D_{n p}$ -extensions of  $K$  containing  $L$  and the set  $\bigcup_{\langle i, n \rangle = 1} S_{\langle i \rangle}$ , where  $S_{\langle i \rangle}$  is the set of subgroups of order  $p$  as defined in Remark 1 after Lemma 2. Therefore, the proof of Theorem can be reduced to the fact

$$(*) \quad p^r A = \#W_{\theta K}.$$

If  $A \in \mathfrak{D}_H$ , this is nothing but the theory of Hasse-Witt [4]. Hence we assume that  $A \notin \mathfrak{D}_H$ . In this case, we can prove (\*) using the method shown in Hasse-Witt [4].

PROPOSITION 1. *There are distinct primes  $\mathfrak{G}_1, \dots, \mathfrak{G}_{g-1}$  of  $K$  such that  $\dim_k \Omega(A\mathfrak{G}_1 \cdots \mathfrak{G}_{g-1})=0$ , that is  $l(A\mathfrak{G}_1 \cdots \mathfrak{G}_{g-1})=0$ .*

PROOF. Since  $\dim_k \Omega(A)=g-1 > 0$ , there is a non-zero element  $\omega_1$  of  $\Omega(A)$ . The zeroes of  $\omega_1$  is finite, so there is a prime divisor of  $K$  such that  $\nu_{\mathfrak{G}_1}(\omega_1) < \nu_{\mathfrak{G}_1}(A\mathfrak{G}_1)$ . Hence  $\Omega(A) \supsetneq \Omega(A\mathfrak{G}_1)$ , so  $\dim_k \Omega(A) - \dim_k \Omega(A\mathfrak{G}_1) > 0$ . On the other hand,

$$\dim_k \Omega(A\mathfrak{G}_1) = g-2 + l(A\mathfrak{G}_1)$$

and

$$\dim_k \Omega(A) = g-1.$$

Since  $l(A\mathfrak{G}_1) \geq 0$ ,  $\dim_k \Omega(A) - \dim_k \Omega(A\mathfrak{G}_1) \leq 1$ . Hence  $\dim_k \Omega(A\mathfrak{G}_1) = g-2$ . Assume that there are distinct  $i$  primes  $\mathfrak{G}_1, \dots, \mathfrak{G}_i$  of  $K$  such that  $\dim_k \Omega(A\mathfrak{G}_1 \cdots \mathfrak{G}_i) = g-1-i$ . If  $i=g-1$ , the assertion holds. If  $i < g-1$ , then using the above arguments, we can show that there is a prime divisor  $\mathfrak{G}_{i+1}$  such that  $\dim_k \Omega(A\mathfrak{G}_1 \cdots \mathfrak{G}_i \mathfrak{G}_{i+1}) = g-2-i$ . By induction on  $i$ , the assertion holds.

Let us take a prime divisor  $\mathfrak{G}'_i$  of  $L$  which is an extension of  $\mathfrak{G}_i$  and take a prime element  $\pi_i$  with respect to  $L_{\mathfrak{G}'_i}$ . Since any prime divisor of  $K$  is completely decomposed in  $L$ ,  $K_{\mathfrak{G}'_i} = L_{\mathfrak{G}'_i}$ . Hence, we can take an element of  $K_{\mathfrak{G}'_i}$  (especially of  $K$ ) as a prime element of  $L_{\mathfrak{G}'_i}$ . Since  $\theta$  is contained in  $K_i$  for all prime divisors  $\mathfrak{p}$  of  $K$ , there is an element  $\xi$  of the adèle ring  $R_K$  of  $K$  such that  $(\xi)_i = \theta$ , where  $(\xi)_i$  is the  $i$ -th component of  $\xi$ . Hereafter, we shall denote  $\xi$  simply denote by  $\theta$ . Let  $r_i$  be an element of the adèle ring  $R_K$  such that

$$(r_i)_i = 0 \quad \text{if } \mathfrak{p} \neq \mathfrak{G}'_i$$

$$(r_i)_i = 1/\pi_i \quad \text{if } \mathfrak{p} = \mathfrak{G}'_i.$$

PROPOSITION 2. *There exists a matrix  $B_A$  of  $M_{g-1}(k)$  such that*

$$(r_i^p) \equiv B_A(r_i) \pmod{\theta K + R_K(0)},$$

where  $R_K(0) = \{r \in R_K \mid \nu_i((r)_i) \geq 0\}$ .

PROOF. Since  $l(A\mathfrak{G}_1 \cdots \mathfrak{G}'_i \cdots \mathfrak{G}_{g-1}) = \nu + (g-2) - g + 1 = \nu - 1$ , there is an element  $v_{i,\nu}$  of  $K$  such that  $\nu_{\mathfrak{G}'_i}(\theta v_{i,\nu}) = -\nu$ ,  $\nu_{\mathfrak{G}'_j}(\theta v_{i,\nu}) \geq -1$  if  $i \neq j$ , and  $\nu_{\mathfrak{G}'}(\theta v_{i,\nu}) \geq 0$  is  $\mathfrak{G}' \neq \mathfrak{G}'_i, \mathfrak{G}'_j$  for any integer  $\nu \geq 2$ . Since  $L_{\mathfrak{G}'_i} = k((\pi_i))$ , we can express  $\theta v_{i,\nu}$  as

$$\theta v_{i,\nu} = \sum_{l \geq -\nu} c_l \pi_i^l$$

where  $c_l$  is an element of  $k$  and  $c_{-\nu} \neq 0$ . We can choose  $\theta v_{i,\nu}$  so that  $c_{-\nu} = 1$ . Then,

$$\nu_{\mathfrak{G}'_i}(\theta v_{i,p} - (1/\pi_i)^p - c_{-(p-1)} \theta v_{i,p-1}) \geq -(p-2)$$

$$\nu_{\mathfrak{G}'_j}(\theta v_{i,p} - c_{-(p-1)} \theta v_{i,p-1}) \geq -1 \quad \text{if } i \neq j,$$

$$\nu_{\mathfrak{G}'}(\theta v_{i,p} - c_{-(p-1)} \theta v_{i,p-1}) \geq 0 \quad \text{if } \mathfrak{G}' \neq \mathfrak{G}'_i \text{ and } \mathfrak{G}'_j.$$

Repeating this process, we can show that there are elements  $v_i$  of  $K$  and  $b_{ij}$  of  $k$  such that

$$\nu_{\mathfrak{G}'_i}((1/\pi_i)^p - \sum_{j=1}^{g-1} b_{ij}(1/\pi_j) - \theta v_i) \geq 0,$$

$$\nu_{\mathfrak{G}'}(\theta v_i) \geq 0 \quad \text{if } \mathfrak{G}' \neq \mathfrak{G}'_i.$$

We put  $B_A = (b_{ij})$ . Then, it follows from the above formulas that

$$r_i^p - \sum_{j=1}^{g-1} b_{ij} r_j - \theta v_i \in R_K(0). \quad \text{q. e. d.}$$

Let  $\{\mathfrak{G}_i\}_i$  be a divisor system that is defined in Proposition 1. Then, we put

$$L_L(\mathfrak{G}_1^p \cdots \mathfrak{G}_{g-1}^p) = \{x \in L \mid \nu_{\mathfrak{G}}(x) \geq -\nu_{\mathfrak{G}}(\mathfrak{G}_1^p \cdots \mathfrak{G}_{g-1}^p) \text{ for any prime } \mathfrak{G}\} \text{ of } L$$

and

$$V_{\theta K} = \bigcap_{j=1}^{g-1} (\theta K \cap \mathfrak{p}K_{\mathfrak{G}_j} \cap L_L(\mathfrak{G}_1^p \cdots \mathfrak{G}_{g-1}^p)).$$

Then in the following proposition, we shall consider the relation between  $V_{\theta K}$  and  $W_{\theta K} = \bigcap_i (\theta K \cap \mathfrak{p}K_i) / \mathfrak{p}\theta K$ .

PROPOSITION 3.  $W_{\theta K} \cong V_{\theta K}$ .

PROOF. Let  $u$  be an element of  $V_{\theta K}$ . If  $u$  is integral at a prime divisor  $\mathfrak{G}'$  of  $L$ , it follows from Hensel's lemma and the fact that  $k$  is algebraically closed that  $u$  is contained in  $\mathfrak{p}\mathfrak{D}_{\mathfrak{G}'}$ . Hence  $u \bmod \mathfrak{p}K \in W_{\theta K}$ . Conversely for any unramified element  $u$  of  $\theta K$ , we are going to prove that there exists an element  $\theta w$  of  $V_{\theta K}$  such that  $u \equiv \theta w \pmod{\mathfrak{p}\theta K}$ .

First take a prime  $\mathfrak{G}'$  of  $L$  such that  $\mathfrak{G}' \neq \mathfrak{G}'_i$ . If  $\nu_{\mathfrak{G}}(u) \geq 0$ ,  $u$  belongs to  $\mathfrak{D}_{\mathfrak{G}} = \mathfrak{p}\mathfrak{D}_{\mathfrak{G}'}$ . Assume that  $\nu_{\mathfrak{G}}(u) < 0$ . Then there exists an integer  $m$  such that  $\nu_{\mathfrak{G}}(u) = -pm$ . Let  $\mathfrak{G}$  be the restriction of  $\mathfrak{G}'$  to  $K$ . Since  $l(A\mathfrak{G}_1 \cdots \mathfrak{G}_{g-1} \mathfrak{G}^m) \geq 1$ , there is an element  $v'$  of  $K$  such that  $\nu_{\mathfrak{G}}(\theta v') = -m$ ,  $\nu_{\mathfrak{G}'_i}(\theta v') \geq -1$ , and  $\nu_{\mathfrak{G}'}(\theta v') \geq 0$  otherwise. Hence  $\theta v'$  can be expressed in  $K_{\mathfrak{G}}$  as

$$\theta v' = \sum_{i \geq -m} a_i \pi^i,$$

where  $\pi$  is a prime element of  $K_{\mathfrak{G}}$  and  $a_i \in k$ . Similarly  $u$  can be expressed as  $u = \sum_{i \geq -pm} b_i \pi^i$ , with  $b_i \in k$ . Since  $k$  is perfect field, there is an element  $a$  of  $k$  such that  $a^p = b_{-pm}$ . We can choose  $v'$  so that  $a_{-m} = a$ . Then

$$\nu_{\mathfrak{G}'}(u - \mathfrak{p}(\theta v')) \geq -p(m-1), \quad (m \geq 2), \quad \nu_{\mathfrak{G}'}(u - \mathfrak{p}(\theta v')) \geq \min(0, \nu_{\mathfrak{G}'}(u))$$

if  $\mathfrak{G}''$  is a prime of  $L$  which is different from  $\mathfrak{G}'$  and  $\mathfrak{G}'_i$ . Repeating this process, we can show that there is an element  $v''$  of  $K$  such that

$$\nu_{\mathfrak{G}'}(u - \mathfrak{p}(\theta v'')) \geq 0$$

for any prime divisor  $\mathfrak{G}'$  of  $L$  which is different from  $\mathfrak{G}'_i$ . Since  $u \in \bigcap_{i=1}^{g-1} (\theta K \cap \mathfrak{p}K_{\mathfrak{G}'_i})$ , there is the set of integers  $k_i$  such that  $\nu_{\mathfrak{G}'_i}(u) = -pk_i$ . Let  $m$  be the largest number of  $k_i$ . Then the assertion holds if we have  $m \leq 1$ . Assume that  $m > 1$ . Then since  $l(A\mathfrak{G}_1 \cdots \mathfrak{G}_i^{k_i} \cdots \mathfrak{G}_{g-1}) = k_i - 1$ , for any integer  $k_i$  such that  $k_i \geq 2$ , there is an element  $v_{k_i}$  of  $K$  such that

$$\nu_{\mathfrak{G}'_i}(\theta v_{k_i}) = -k_i$$

$$\nu_{\mathfrak{G}'_j}(\theta v_{k_i}) \geq -1 \quad \text{if } i \neq j$$

$$\nu_{\mathfrak{G}'}(\theta v_{k_i}) \geq 0 \quad \text{if } \mathfrak{G}' \text{ is a prime divisor different from } \mathfrak{G}'_i \text{ and } \mathfrak{G}'_j.$$

We can express  $u$  and  $\theta v_{k_i}$  as

$$u = \sum_{j \geq -p k_i} b_j \pi^j, \quad \theta v_{k_i} = \sum_{j \geq -k_j} a_j \pi^j,$$

with  $\pi$  a prime element of  $K$ . Then there is an element  $a$  of  $k$  such that  $a^p = b_{-p k_i}$ . We can take  $u$  as  $a_{-k_i} = a$ . Then,

$$\begin{aligned} \nu_{\mathfrak{G}'}(u - \mathfrak{p}(\theta v_{k_i})) &\geq -p(m-1) && \text{if } \mathfrak{G}' = \mathfrak{G}'_i \\ &\geq \min(-p, \nu_{\mathfrak{G}'}(u)) && \text{if } \mathfrak{G}' \neq \mathfrak{G}'_j \quad (i \neq j) \\ &\geq \min(0, \nu_{\mathfrak{G}'}(u)) && \text{if } \mathfrak{G}' \neq \mathfrak{G}'_i, \mathfrak{G}'_j. \end{aligned}$$

Repeating this process, we can show that there is an element  $v$  of  $K$  such that

$$\begin{aligned} \nu_{\mathfrak{G}'}(u - \mathfrak{p}(\theta v)) &\geq -p && \text{if } \mathfrak{G}' = \mathfrak{G}_i, \\ &\geq 0 && \text{if } \mathfrak{G}' \neq \mathfrak{G}_i. \end{aligned}$$

That is,  $u - \mathfrak{p}(\theta v) \in L_L(\mathfrak{G}_1^p \cdots \mathfrak{G}_{g-1}^p)$ . Since  $\theta^{p-1} \in K$ , there is an element  $w$  of  $K$  such that  $\theta w = u - \mathfrak{p}(\theta v)$ . Then  $w$  satisfies the required conditions.

We note that this fact implies that the homomorphism  $f$  of  $V_{\theta K} = \bigcap_{i=1}^{g-1} (\theta K \cap \mathfrak{p}K_{\mathfrak{G}_i}) \cap L_L(\mathfrak{G}_1^p \cdots \mathfrak{G}_{g-1}^p)$  into  $W_{\theta K} = \bigcap_{\mathfrak{G}} (\theta K \cap K_{\mathfrak{G}}) / \mathfrak{p}\theta K$  defined by

$$\begin{array}{ccc} f : V_{\theta K} & \longrightarrow & W_{\theta K} \\ \Downarrow & & \Downarrow \\ u & \longrightarrow & u \bmod \mathfrak{p}\theta K \end{array}$$

is a surjective homomorphism.

Finally, let  $u$  be an element of  $V_{\theta K}$  such that  $u \equiv 0 \pmod{\mathfrak{p}\theta K}$ . Then  $u$  can be expressed as  $u = (\theta x)^p - \theta x$  with an element  $x$  of  $K$ . Since  $u \in L_L(\mathfrak{G}_1^p \cdots \mathfrak{G}_{g-1}^p)$ ,  $\nu_{\mathfrak{G}'_i}(\theta x) \geq -\nu_{\mathfrak{G}'_i}(\mathfrak{G}_i)$ , that is  $\nu_{\mathfrak{G}_i}(x) \geq -\nu_{\mathfrak{G}_i}(A\mathfrak{G}_i)$  for any  $1 \leq i \leq g-1$  and  $\nu_{\mathfrak{G}}(x) \geq -\nu_{\mathfrak{G}}(A)$  for any prime divisor different from  $\mathfrak{G}_i$ . This implies  $x \in L_K(A\mathfrak{G}_1 \cdots \mathfrak{G}_{g-1})$ .

On the other hand, it follows from the choice of  $\mathfrak{G}_i$  that  $\dim_k L_K(A\mathfrak{G}_1 \cdots \mathfrak{G}_{g-1}) = 0$ . Hence we have  $x = 0$ . This implies that  $f$  is injective. q. e. d.

We put

$$R_A = \{(c_i) \in k^{g-1} \mid {}^t(c_i^n) B_A = {}^t(c_i)\}.$$

This is an  $F_p$ -vector space of finite rank. Now we are going to calculate the rank of  $W_{\theta K} = \bigcap_i (\mathfrak{p}K_i \cap \theta K) / \mathfrak{p}\theta K$  in terms of  $R_A$ .

PROPOSITION 4.  $V_{\theta K} \cong R_A$ .

PROOF. Let us take an element  $u$  of  $V_{\theta K}$ . Then there is an element  $c_i$  of  $k$  such that

$$\theta u = (c_i/\pi_i)^p - c_i/\pi_i \pmod{\mathfrak{D}_{\mathfrak{G}_i}},$$

that is,

$$\theta u \equiv {}^t(c_i^p)(r_i^p) - {}^t(c_i)(r_i) \pmod{R_K(0)}. \quad (1)$$

On the other hand, by Proposition 2,

$$(r_i^p) \equiv B_A(r_i) \pmod{R_K(0) + \theta K}.$$

That is, there is an element  $v_i$  of  $K$  such that

$$(\theta v_i) = (r_i^p) - B_A(r_i) \pmod{R_K(0)}. \quad (2)$$

Hence

$${}^t(c_i^p)(\theta v_i) = {}^t(c_i^p)(r_i^p) - {}^t(c_i^p)B_A(r_i) \pmod{R_K(0)}. \quad (3)$$

It follows from (1) and (3) that

$$\theta(u - {}^t(c_i^p)(v_i)) = {}^t(c_i^p)B_A(r_i) - {}^t(c_i)(r_i) \in L_K(A\mathfrak{G}_1 \cdots \mathfrak{G}_{g-1}).$$

It follows from the choice of  $\mathfrak{G}_1, \dots, \mathfrak{G}_{g-1}$  that

$$u - {}^t(c_i^p)(v_i) = 0.$$

Hence  ${}^t(c_i)(r_i) - {}^t(c_i^p)B_A(r_i) = 0$ . Hence  ${}^t(c_i) - {}^t(c_i^p)B_A = 0$ , that is,  ${}^t(c_i) \in R_A$ . If  $u = 0$ ,  ${}^t(c_i^p)(v_i) = 0$ . Hence we have  ${}^t(c_i^p)(\theta v_i) = 0$ . It follows from (2) that  $\{\theta v_i\}$  is linearly independent over  $k$ . Hence we have  $(c_i) = 0$ . Therefore we can define a homomorphism  $g$  of  $V_{\theta K}$  into  $R_A$  as follows:

$$\begin{array}{ccc} g : V_{\theta K} & \longrightarrow & R_A \\ \Downarrow & & \Downarrow \\ \theta u & \longrightarrow & (c_i) \end{array}$$

such that  $u = (c_i^p)(v_i)$ .

We are going to show that this homomorphism is an isomorphism. Let  ${}^t(c_i)$  be an element of  $R_A$ . Then,

$$\begin{aligned} {}^t(c_i^p)(\theta v_i) &= {}^t(c_i^p)(r_i^p) - {}^t(c_i^p)B_A(r_i) \pmod{R_K(0)} \\ &= {}^t(c_i^p)(r_i^p) - {}^t(c_i)(r_i) \pmod{R_K(0)}. \end{aligned}$$

Hence  $\theta u = \sum c_i^p \theta v_i \in V_{\theta K}$ . This implies that  $g$  is surjective. Finally if  $(c_i) = 0$ , then we have  $u = 0$ . This implies that  $g$  is injective. Hence we have  $R_A \cong W_{\theta K}$ .  
q. e. d.

It follows from Satz 10 of Hasse-Witt [4] that

$$\text{rank}_{F_p} R_A = \delta_A,$$

where  $\delta_A$  is the rank of  $B_A B_A^{(p)} \cdots B_A^{(p^{l-1})}$ . Therefore the proof of Theorem is completed if we have the following proposition.

PROPOSITION 5.  $\delta_A = \gamma_A$ .

PROOF. We put

$$R(A) = \{r \in R_K \mid \nu_{\mathfrak{p}}((r)_i) \geq -\nu_{\mathfrak{p}}(A) \text{ for any prime } \mathfrak{p} \text{ of } K\}.$$

Let  $r_i$  be elements of  $R_K$  that are defined in Proposition 2. Assume that

$$\sum_{i=1}^{g-1} c_i r_i / \theta \equiv 0 \pmod{R(A) + K} \text{ with elements } c_i \text{ of } k.$$

Let  $v$  be an element of  $K$  such that

$$\sum_{i=1}^{g-1} c_i r_i / \theta \equiv v(R(A)) \text{ for some } c_i \text{ of } k.$$

Then,  $\nu_{\mathfrak{G}_i}(\theta v) \geq -1$  for any prime divisor  $\mathfrak{G}_i$  that is defined in Proposition 1. Since  $l(A_{\mathfrak{G}_1} \cdots \mathfrak{G}_{g-1}) = 0$ , we have  $v = 0$ . That is,

$$\sum_{i=1}^{g-1} c_i r_i = 0 \pmod{R_K(0)}.$$

Therefore, we have  $c_i = 0$  for all  $i$ . This implies that  $\{r_i / \theta \pmod{R(A) + K}\}$  is linearly independent over  $k$ . On the other hand,  $\dim_k (R_K / (R(A) + K)) = \dim_k \Omega(A) = g - 1$ . Hence

$$\{r_i / \theta \pmod{R(A) + K}\} \text{ forms a basis of } R_K / (R(A) + K).$$

Therefore, we can choose the dual basis  $\omega_1, \dots, \omega_{g-1}$  of  $\Omega(A)$  such that

$$(\omega_i, r_j / \theta) = \delta_{ij},$$

where  $(\omega, \zeta) = \sum_{\mathfrak{p}} \text{Res } \omega \zeta_{\mathfrak{p}}$  for any  $\omega \in \Omega(K/k)$  and  $\zeta \in R_K$  (cf. [7]). Here the following formula holds for any  $\omega \in \Omega(K/k)$  and  $\zeta \in R_K$ ;

$$(\omega, \zeta^p) = (C\omega, \zeta)^p \text{ (cf. Lang [6]).}$$

Let  $B_A = (b_{ij})$  be as in Proposition 2. Then,

$$b_{ji} = (\omega_i, \sum b_{jk} r_k / \theta) \text{ and } r_j^p \equiv \sum b_{jk} r_k \pmod{R(A) + \theta K}.$$

Hence

$$\begin{aligned} (\omega_i, \sum b_{jk} r_k / \theta) &= (\omega_i, r_j^p / \theta) = (\omega_i, \theta^{p-1} (r_j / \theta)^p) \\ &= (\omega_i, x (r_j / \theta)^p) = (x \omega_i, (r_j / \theta)^p) = (C(x \omega_i), (r_j / \theta)^p). \end{aligned}$$

Let  $C_A=(c_{il})$  be as in §2. Then,  $C(x\omega_i)=\sum c_{il}\omega_l$ . Hence

$$b_{ji}=(\sum c_{il}\omega_l, r_j/\theta)^p=c_{ij}^p.$$

Hence  ${}^tC_A^{(p)}=B_A$ . Hence  $\delta_A=\gamma_A$ .

q. e. d.

**COROLLARY 1.** *Let  $n$  is prime to  $p$ . Let  $K$  be an algebraic function field with an algebraically closed constant field  $k$ . Then the number of unramified Galois extensions of  $K$  of  $(n, p)$  type is determined by  $\{\gamma_A\}_A$ , where  $\{A\}$  is a complete set of representatives of divisor classes of degree 0 whose orders divide  $p-1$  and  $n$ .*

**PROOF.** Let  $L$  be an unramified abelian extension of  $K$  of degree  $n$ . Then it is sufficient to prove that the number of unramified Galois extensions of  $K$  of  $(n, p)$  type containing  $L$  is determined by  $\{\gamma_A\}_A$ .

Let  $M$  be an unramified Galois extension of  $K$  of  $(n, p)$  type containing  $L$ .  $G=Gal(M/K)=Gal(L/K)\cdot Gal(M/L)$  because  $n$  is prime to  $p$ . We put  $A=Gal(L/K)$  and  $P=Gal(M/L)$ . Then,  $\#A=n$  and  $\#P=p$ , and  $P\triangleleft Gal(M/K)$ . Let  $L_1$  be the subfield of  $L$  which corresponds to the centralizer of  $P$  in  $G$ . Then,  $M$  is an abelian extension of  $L_1$ . Hence there is a unique cyclic extension  $M_1$  of  $L_1$  of degree  $p$  such that  $M=M_1\cdot L$ . It is easy to say that  $Gal(M/M_1)$  is a normal subgroup of  $Gal(M/K)$ . Since  $Gal(L_1/K)\cong G/C_G(P)$  is isomorphic to a subgroup of  $Aut(P)=F_p^*$ ,  $L_1$  is an unramified cyclic extension of degree dividing  $p-1$ . We put  $n_1=[L_1:K]$ .

Now we are going to prove that  $Gal(M_1/K)$  is isomorphic to

$$D_{n_1 p}=\langle \sigma_1, \tau \mid \sigma_1^p=\tau_1^{n_1}=1 \text{ and } \tau_1\sigma_1\tau_1^{-1}=\sigma_1^i \rangle$$

with  $i$  a primitive  $n_1$ -th root of unity mod  $p$ .

In fact, let  $G_1=Gal(M_1/K)$ ,  $P_1=Gal(M_1/L_1)$ , and  $A_1=Gal(L_1/K)$ . It is sufficient to show that  $C_{G_1}(P_1)$  is  $P_1$ . Since  $G_1\cong G/Gal(M/M_1)$ , for any element  $\tau$  of  $C_G(P)$ ,  $\tau \bmod Gal(M/M_1)$  belongs to  $C_{G_1}(P_1)$ . Conversely, let  $\tau$  be an element of  $G$  such that  $\tau \bmod Gal(M/M_1)$  belongs to  $C_{G_1}(P_1)$ . Then,  $\tau\sigma\tau^{-1}\sigma^{-1}\in Gal(M/M_1)\cap P=\{1\}$ . Hence,  $\tau$  is an element of  $C_G(P)$ . Hence,  $C_{G_1}(P_1)=P_1$ .

It follows from the above consideration that any unramified Galois extension of  $K$  of  $(n, p)$  type containing  $L$  is a compositum of  $L$  and an unramified  $D_{n p}$ -extension of  $K$ . Conversely, let  $L_1$  be the subfield of  $L$  whose Galois group over  $K$  is cyclic of order  $n_1$  dividing  $p-1$ . Let  $M_1$  be an unramified  $D_{n_1 p}$ -extension of  $K$  containing  $L_1$ . Then,  $M=M_1\cdot L$  is a Galois extension of  $K$  of  $(n, p)$  type containing  $L$ . Moreover  $Gal(M/L_1)=C_G(P)$ , where  $P=Gal(M/L)$ ,  $G=Gal(M/K)$ . In fact, let  $L_2$  be the subfield of  $L$  corresponding to  $C_G(P)$ . We put  $G_1=Gal(M_1/K)$  and  $P_1=Gal(M_1/L_1)$ . Since  $Gal(M/L_1)$  is abelian and  $Gal(M/L_2)$  is  $C_G(P)$ ,  $Gal(M/L_2)\supset Gal(M/L_1)$ . On the other hand, since  $G_1=D_{n_1 p}$ ,  $P_1=C_{G_1}(P_1)$ . That is,  $P_1=Gal(M_1/L_1)=Gal(M_1/L_2)$ . Hence,  $L_2=L_1$ .

It follows from the above considerations that the number of unramified Galois extensions of  $K$  of  $(n, p)$  type containing  $L$  is determined by  $\{\gamma_A\}$  where  $\{A\}$  is the set of divisors which satisfies the conditions stated in Corollary 1. q. e. d.

**COROLLARY 2.** *Let  $K$  be as in Theorem and let  $L$  be an unramified abelian extension of  $K$  of exponent  $p-1$ . Then, the Hasse-Witt invariant  $\gamma_L$  of  $L$  is equal to  $\sum_A \gamma_A$ , where  $A$  runs full representatives of divisor classes of degree 0 which correspond to  $L$  over  $K$ .*

**PROOF.** It follows from Lemma 1 that

$$W_L = \bigcap_{\theta} (\mathfrak{p}K_{\theta} \cap L) / \mathfrak{p}L = \bigoplus_{\theta} W_{\theta K},$$

where the sum runs full representatives of  $\Delta_L/K^*$ . On the other hand, it follows from the proof of Theorem that the  $F_p$ -rank of  $W_{\theta K}$  is  $\gamma_A$ , where  $A$  is a representative class of  $K$  corresponding to  $\theta$ . Therefore

$$\gamma_L = \text{rank}_{F_p} W_L = \sum_A \gamma_A. \qquad \text{q. e. d.}$$

**§ 5. Remarks and generalizations.**

Now, we shall consider unramified Galois extensions of  $K$  of  $(n, p^m)$  type. We assume that  $n$  divides  $p-1$  and mainly consider unramified  $D_{n, p^m}$ -extensions of  $K$  (cf. Corollary 3 to Theorem).

First, we review the properties of Witt vectors. Let  $R$  be a commutative ring of characteristic  $p$ . We denote by  $W_m(R)$  the ring of Witt vectors of length  $m$  with components in  $R$  (cf. [8]). Let  $a=(a_0, a_1, \dots, a_{m-1})$ ,  $b=(b_0, b_1, \dots, b_{m-1})$  be elements of  $W_m(R)$ . Then, the  $r$ -th component of  $a+b$  is expressed as

$$(a+b)_r = a_r + b_r + f_r(a_0, a_1, \dots, a_{r-1}, b_0, b_1, \dots, b_{r-1}),$$

where  $f_r$  is an element of  $F_p[X_0, X_1, \dots, X_r, Y_0, Y_1, \dots, Y_r]$ , and  $f_r(0, 0, \dots, 0) = 0$ . Similarly, the  $r$ -th component of  $a.b$  is also represented by such a form.

(a) Let  $\tilde{W}_m(R) = (a, 0, \dots, 0)$  with  $a \in R$ .

Then this forms a multiplicative semigroup. Especially, if  $R^*$  is a unit group of  $R$ , there is an isomorphism of  $R^*$  onto  $\tilde{W}_m(R^*)$ . We denote by  $\tilde{a}$  an element  $(a, 0, \dots, 0)$  of  $\tilde{W}_m(R)$ . We note that, for any element  $b$  of  $W_m(R)$ ,  $\tilde{a}.b = (b_0 a, b_1 a^p, \dots, b_{m-1} a^{p^{m-1}})$ .

(b) We define the Frobenius endomorphism  $F : W_m(R) \rightarrow W_m(R)$  by

$$F(a_0, a_1, \dots, a_{m-1}) = (a_0^p, a_1^p, \dots, a_{m-1}^p).$$

We define  $\mathfrak{p}$ -operator by  $\mathfrak{p}(a) = F(a) - a$ . We note that the Frobenius endomorphism is  $\mathbf{Z}/p^m\mathbf{Z}$ -linear, and therefore the operator  $\mathfrak{p}$  is also  $\mathbf{Z}/p^m\mathbf{Z}$ -linear.

(c) We define the shift  $V : W_m(R) \rightarrow W_{m+1}(R)$  by

$$V(a_0, a_1, \dots, a_{m-1}) = (0, a_0, a_1, \dots, a_{m-1}).$$

This is an additive operator.

(d) We define the restriction  $R : W_{m+1}(R) \rightarrow W_m(R)$  by

$$R(a_0, a_1, \dots, a_{m-1}) = (a_0, a_1, \dots, a_{m-1}).$$

This is a ring homomorphism, and commutes with the Frobenius endomorphism. Further, we have

$$RVF = FRV = RFV = \mathfrak{p}.$$

The projective limit of the system  $W_m(R)$  of rings with respect to the restriction is denoted by  $W(R)$ . It is a ring of characteristic zero on which the operators  $F$  and  $V$  are defined and satisfy the relation  $FV = VF = \mathfrak{p}$ . If  $R = k$  is a perfect field of characteristic  $p$ , then,  $W(k)$  is a complete valuation ring with the unique maximal ideal  $\mathfrak{p}W(k)$ . If  $k = F_p$ , this  $W(k)$  is nothing but the ring of  $p$ -adic integers and  $W(k)/\mathfrak{p}^m W(k) \cong \mathbf{Z}/p^m\mathbf{Z}$ .

(e) We note that if  $a_1, a_2, \dots, a_r$  are elements of  $R$  and if they are linearly independent over  $F_p$ , then,  $\tilde{a}_1, \tilde{a}_2, \dots, \tilde{a}_r$  are linearly independent over  $\mathbf{Z}/p^m\mathbf{Z}$ .

In fact, let  $c_1, c_2, \dots, c_r$  be elements of  $\mathbf{Z}/p^m\mathbf{Z}$  such that  $c = \sum_i c_i \tilde{a}_i = 0$ . Then, the first component of  $c$  has the form  $\sum_i c_i^{(0)} a_i = 0$ , with  $c_i^{(0)} \in F_p$ . Since  $\{a_i\}$  are linearly independent over  $F_p$ ,  $c_i^{(0)} = 0$  for all  $1 \leq i \leq r$ . Assume that for all  $1 \leq i \leq r$ , and  $1 \leq j \leq k-1$ , the  $j$ -th components  $c_i^{(j)}$  of  $c_i$  are zero. Then, the  $k$ -th component of  $c$  has the form

$$\sum_i c_i^{(k)} a_i^{p^k} + h_k(c_1^{(0)} a_1, c_2^{(0)} a_2, \dots, c_r^{(0)} a_r, \dots, c_1^{(k-1)} a_1^{p^{k-1}}, c_2^{(k-1)} a_2^{p^{k-1}}, \dots, c_r^{(k-1)} a_r^{p^{k-1}}).$$

Then, by the assumptions and the remark on the composition laws,  $h_r(0, 0, \dots, 0) = 0$ , so  $\sum c_i^{(k)} a_i^{p^k} = 0$ . Since,  $c_i^{(k)}$  are elements of  $F_p$ , we have  $\sum c_i^{(k)} a_i = 0$ . Since  $\{a_i\}$  are linearly independent over  $F_p$ , we have  $c_i^{(k)} = 0$  for all  $1 \leq i \leq r$ . By induction on  $k$ ,  $\{\tilde{a}_i\}$  are linearly independent over  $\mathbf{Z}/p^m\mathbf{Z}$ .

(f) Let  $L$  be a field of characteristic  $p$ . Let  $a = (a_0, a_1, \dots, a_{m-1})$  be an element of  $W_m(L)$ . We denote by  $1/\mathfrak{p}(a)$  a root of the equation

$$\mathfrak{p}(x) - a = 0.$$

Then, another root of the above equation is given by  $a+c$ , where  $c$  is an element of  $W_m(F_p)=\mathbf{Z}/p^m\mathbf{Z}$ . Especially, if  $a_0 \in \mathfrak{p}L$ ,  $M=L(b_0, b_1, \dots, b_r)$  is a cyclic extension of  $L$  of degree  $p^m$ , where  $b_0, b_1, \dots, b_{m-1}$  are the components of  $1/\mathfrak{p}(a)$ . Conversely, any cyclic extension of  $L$  of degree  $p^m$  is obtained as above.

Now, let  $L$  be an algebraic function field with an algebraically closed constant field  $k$ , and  $L_{\mathfrak{z}}$  be the completion of  $L$  at  $\mathfrak{z}$ . We put

$$W_{m,L} = \bigcap_{\mathfrak{z}} (W_m(L) \cap \mathfrak{p}(W_m(L_{\mathfrak{z}})))/\mathfrak{p}W_m(L).$$

If  $n=1$ ,  $W_{m,L}$  coincides with the set  $W_L$  defined in §1. It is well known that  $W_{m,L}$  is a  $\mathbf{Z}/p^m\mathbf{Z}$ -free module of rank  $\gamma_L$ , where  $\gamma_L$  is the Hasse-Witt invariant of  $L$ , and there is one to one correspondence between the set of unramified cyclic extensions of  $L$  of degree  $p^m$  and the set of cyclic sub-modules of  $W_{m,L}$  of order  $p^m$ .

Let  $K$  be an algebraic function field with an algebraically closed constant field  $k$  and let  $g$  be its genus. Let  $L$  be an unramified cyclic extension of  $K$  of degree  $n$ . We assume that  $n$  divides  $p-1$ , and  $L=K(\theta)$ ,  $\theta^n \in K$ . Then, we put

$$W_m(\theta K) = \{a = (a_0, a_1, \dots, a_{m-1}) \in W_m(L), a_i \in \theta K\}.$$

It follows from (a) that for any element  $(b_0, b_1, \dots, b_{m-1})$  of  $W_m(K)$ , we have  $\tilde{\theta}b = (\theta b_0, \theta^p b_1, \dots, \theta^{p^{m-1}} b_{m-1})$ . Since  $n$  divides  $p-1$ , we have  $\theta^{p^{k-1}} \in K$ . Hence, we have  $\tilde{\theta}W_m(K) = W_m(\theta K)$ . Therefore,  $W_m(\theta K)$  forms a subgroup of  $W_m(L)$ . Moreover, we have  $F(W_m(\theta K)) \subset W_m(\theta K)$ . Therefore, we can define a submodule  $W_{m,\theta K}$  of  $W_{m,L}$  by

$$W_{m,\theta K} = \bigcap_{\mathfrak{z}} (W_m(\theta K) \cap \mathfrak{p}W(K_{\mathfrak{z}}))/W_m(\theta K).$$

We say an element  $a$  of  $\bigcap_{\mathfrak{z}} (W_m(A) \cap \mathfrak{p}W(K_{\mathfrak{z}}))$  an unramified element of  $A$  for any submodule  $A$  of an unramified extension of  $K$ .

LEMMA 3. *Let  $K, L$  be as above. Then,  $W_{m,\theta^i K}$  is a free  $\mathbf{Z}/p^m\mathbf{Z}$ -module of rank  $\gamma_{A^i}$ , where  $\gamma_{A^i}$  is the integer defined in §2. Moreover, we have*

$$W_{m,L} = \bigoplus_{i=0}^{n-1} W_{m,\theta^i K}.$$

PROOF. If  $m=1$ , this is nothing but Lemma 1. Assume that  $m>1$ . It follows from the proof of Theorem that  $W_{\theta^i K}$  is an  $F_p$ -vector space of rank  $\gamma_{A^i}$ . Hence, it follows from the above remark that  $W_{m,\theta^i K}$  contains a  $\mathbf{Z}/p^m\mathbf{Z}$ -free module of rank  $\gamma_{A^i}$ .

In fact, let  $a_j^{(i)} \bmod \mathfrak{p}\theta^i K$  be a basis of  $W_{\theta^i K}$ . Then,

$$\{(a^{(i)}, 0, \dots, 0) \bmod \mathfrak{p}W_m(\theta^i K) \}_{1 \leq i \leq r}$$

Using the same arguments, we can show that

$$\{(a^{(i)}_j, 0, \dots, 0) \bmod \mathfrak{p}W_m(L) \}_{1 \leq j \leq r, 0 \leq i \leq n-1}$$

Hence we have

$$\sum_{i \neq k} W_{m, \theta^i K} \cap W_{m, \theta^k K} = 0.$$

On the other hand, it follows from Corollary 2 to Theorem that  $\gamma_L = \sum_{i=1}^{n-1} \gamma_{A^i}$ . Hence,  $W_{m, L} \cong \sum W_{m, \theta^i K}$ , and  $W_{m, \theta^i K}$  is a free  $\mathbf{Z}/p^m\mathbf{Z}$  submodule of  $W_L$  of rank  $\gamma_{A^i}$ . q. e. d.

LEMMA 4. *Let  $K, L$  be as above. Let  $M$  be an unramified  $D_{n, p^m}$ -extension of  $K$  containing  $L$ . There exists an integer  $i$  prime to  $n$  and a cyclic subgroup  $\langle a \bmod \mathfrak{p}W_m(\theta^i K) \rangle$  of  $W_{m, \theta^i K}$  of order  $p^m$  such that  $M$  is generated by the components of  $1/\mathfrak{p}(a)$  over  $K$ . This  $i$  and the subgroup is uniquely determined by  $M$ . Conversely, for such an  $a$ , a field generated by the components of  $1/\mathfrak{p}(a)$  over  $K$  is a  $D_{n, p^m}$ -extension of  $K$  containing  $L$ .*

PROOF. This is easily proved using the above lemma and the same arguments as in the proof of Lemma 2 and in Remark 2 after Lemma 2. q. e. d.

COROLLARY TO THEOREM. *We assume that  $n$  divides  $p-1$ . Let  $K$  be as in Lemma 4. Then, the number of unramified  $D_{n, p^m}$ -extensions of  $K$  is*

$$\sum_A \frac{p^{m\gamma_A} - p^{(m-1)\gamma_A}}{p^m - p^{m-1}},$$

where the sum runs full representatives of divisor classes of  $K$  of order  $n$ .

PROOF. It follows from Lemma 4 that the number of unramified  $D_{n, p^m}$ -extensions of  $K$  is equal to

$$\sum_{(i, m) \neq 1} \# \{\text{subgroups of } W_{m, \theta^i K} \text{ of order } p^m\}.$$

It follows from Lemma 3 that  $W_{m, \theta^i K}$  is a  $\mathbf{Z}/p^m\mathbf{Z}$  free module of rank  $\gamma_{A^i}$ . Hence the assertion holds.

REMARK. The above Lemmas 3 and 4 can be extended to the case when  $L$  is unramified abelian extension of  $K$  of exponent  $p-1$ . Moreover, using the same arguments as in the proof of Corollary 1 to Theorem, we can show that the number of unramified Galois extensions of  $(n, p^m)$  type is determined by

$\{\gamma_A\}$  where  $\{A\}$  are full representatives of divisor classes of  $K$  of order dividing  $p-1$  and  $n$ .

Next, we study unramified  $D_{2p}$ -extensions of  $K$  with  $ch(k) \neq p$ . Then, if  $ch(k) \neq 2$ , the number of unramified  $D_{2p}$ -extensions of  $K$  is determined by  $g$  and its characteristic. Here, we shall show that if  $ch(k)=2$ , the number of unramified  $D_{2p}$ -extensions of  $K$  is determined by  $g$  and the Hasse-Witt invariant  $\gamma_K$ .

PROPOSITION 6. *Let  $ch(k)=2$ . Then, the number of unramified  $D_{2p}$ -extensions of  $K$  is equal to*

$$(2^{rk}-1) \cdot \frac{p^{2(g-1)}-1}{p-1}.$$

For the proof of the above proposition, let  $L$  be an unramified quadratic extension of  $K$ . Since the number of such extensions of  $K$  is equal to  $2^{rk}-1$ , it is sufficient to show that the number of unramified  $D_{2p}$ -extensions of  $K$  containing  $L$  is equal to  $\frac{p^{2(g-1)}-1}{p-1}$ .

We denote  $(L^* \cap K^p)/L^{*p}$  simply by  $V_L$ . We note that  $V_L$  is an  $F_p$ -module of rank  $2(2g-1)$  and that it can be regarded as a  $Gal(L/K)$  module by the natural action of  $Gal(L/K)$  on  $L$ . Then, Proposition is proved if the following two propositions hold. They are easily to proved using the same method showed in Lemmas 1 and 2.

LEMMA 5. *Let  $K, L$  be as above. Then,  $V_L = V_K \oplus V_1$ , where  $V_1 = \{\bar{a} \in V_L \mid \bar{a}^{-1} = \bar{a}^{-1} \text{ for nontrivial automorphism } \tau \text{ of } L \text{ over } K\}$ .*

LEMMA 6. *Let  $K, L$  be as in Lemma 5. Then, let  $M$  be an unramified  $D_{2p}$ -extension of  $K$  containing  $L$ . Then there exists a subgroup  $\langle \bar{a} \rangle$  of  $V_1$  of order  $p$  such that  $M$  is generated over  $K$  by  $\sqrt[p]{\bar{a}}$ . Conversely for such an element of  $V_1$ ,  $K(\sqrt[p]{\bar{a}})$  is an unramified  $D_{2p}$ -extension of  $K$  containing  $L$ .*

## § 6. Examples.

EXAMPLE 1. Let  $K$  be an algebraic function field with an algebraically closed constant field  $k$  of genus 2. We shall consider the number of unramified  $D_{2p}$ -extensions of  $K$ . We assume that the characteristic  $p=3$ . We often identify an algebraic function field  $K$  with the birational equivalent class of complete nonsingular model  $C_K$  of  $K$ .

There exists six Weierstrass points  $\{P_i\}$  of  $K$ . Then,  $K$  can be expressed as  $K=k(x, y)$  with  $y^2 = \prod_{i=1}^5 (x-a_i)$ . We may assume that  $a_4=0, a_5=1, a_i \neq a_j$ ,

if  $i \neq j$ , and  $(x - a_i) = (P_i/P_6)^2$  for  $i = 1, 2, \dots, 5$ . The basis of  $\Omega_K$  of the space of differentials of the first kind is given by

$$\{dx/y, x^{-1}dx/y\}.$$

The full representatives of 2 division points of  $\mathbb{G}_0/\mathbb{G}_H$  are

$$\{P_i/P_6 \quad i=1, \dots, 5, P_i P_j/P_6^2 \quad i \neq j\}$$

and

$$\Omega(P_i/P_6) = \{(x - a_i)dx/y\}, \quad \Omega(P_i P_j/P_6^2) = \{(x - a_i)(x - a_j)dx/y\}.$$

Hence, the Hasse-Witt matrix of  $K$  is given by

$$\begin{bmatrix} -(a_1 a_2 a_3 + a_1 a_2 + a_2 a_3 + a_3 a_1), & 1 \\ a_1 a_2 a_3 & , \quad -(1 + a_1 + a_2 + a_3) \end{bmatrix}.$$

Let  $C_A$  be the matrix defined in §2 for any 2-division point  $\bar{A}$ . Then,

$$C_{P_i/P_6}^{(p)} = \text{the coefficient of } X^2 \text{ in } \prod_{k \neq i} (X - a_k)$$

$$C_{P_i P_j/P_6^2}^{(p)} = \text{the coefficient of } X^2 \text{ in } \prod_{k \neq i, j} (X - a_k).$$

Let  $d$  be a function of  $k$  such that

$$d(a) = 1 \quad \text{if } a \text{ is non zero,}$$

$$d(a) = 0 \quad \text{if } a \text{ is zero.}$$

Let  $N_K$  be the number of unramified  $D_{2p}$ -extension of  $K$ . Then,

$$N_K = \sum_{i=1}^5 d(C_{P_i/P_6}) + \sum_{i \neq j} d(C_{P_i P_j/P_6^2}).$$

That is, the number of unramified  $S_3$ -extensions of  $K$  is equal to

$$\sum_{i=1}^3 d(a_i + 1) + \sum_{i \neq j \leq 3} d(1 + a_i + a_j) + \sum_{i \neq j \leq 3} d(a_i + a_j) + d(a_1 + a_2 + a_3)$$

$$+ \sum_{i \neq j \leq 3} d(a_i a_j + a_i + a_j) + d(a_1 a_2 + a_2 a_3 + a_3 a_1)$$

$$+ d(a_1 a_2 + a_2 a_3 + a_3 a_1 + a_1 + a_2 + a_3).$$

Let

$$\mathfrak{M}_2 = \left\{ \begin{array}{l} \text{birationally equivalent classes of algebraic} \\ \text{curves with genus 2} \end{array} \right\}.$$

Then,  $\mathfrak{M}_2$  has the structure of 3 dimensional algebraic variety.

We put

$$N_i = \{\text{equivalent classes of } C_K \text{ such that } N_K \leq 15 - i\}.$$

We put

$$v_1 = 1 + \sum_{i=1}^3 a_i, \quad v_2 = \sum_{i \neq j \leq 3} a_i a_j + \sum_{i=1}^3 a_i,$$

$$v_3 = a_1 a_2 a_3 + \sum_{i=1}^3 a_i, \quad v_4 = a_1 a_2 a_3.$$

Moreover we put

$$J_2 = -v_4 + v_1 v_3,$$

$$J_4 = -v_1 v_3 v_4 - v_2^2 v_4 - v_1^2 v_2 v_4 - v_1^2 v_3^2 + v_1 v_2^2 v_3,$$

$$J_6 = -(-v_4^2 - v_1 v_3 v_4^2 + v_2^2 v_4^2 + v_2 v_3^2 v_4 - v_3^4 + v_1^2 v_2 v_4^2 + v_1^2 v_3^2 v_4 + v_1 v_2^2 v_3 v_4 \\ + v_1^3 v_2 v_3 v_4 - v_1^2 v_2^2 v_4 + v_1 v_2 v_3^3 - v_1^2 v_2^2 v_3^2 - v_1 v_2^4 v_3 - v_2^5 - v_2^4 v_4 - v_2^3 v_3^2 - v_1^4 v_4^2),$$

$$J_{10} = \prod_{i \neq j} (a_i - a_j)^2 \prod_{i=1}^3 (a_i - 1)^2.$$

Then it follows from the result of Igusa [5] that  $\mathfrak{M}_2$  is a subvariety of  $A^8$  and its coordinate ring is equal to

$$k \left[ \begin{array}{l} J_2^5 J_{10}^{-1}, J_2^3 J_4 J_{10}^{-1}, J_2^2 J_4 J_{10}^{-1}, J_2^2 J_6 J_{10}^{-1} \\ J_4 J_3 J_{10}^{-1}, J_2 J_6^3 J_{10}^{-2}, J_4^5 J_{10}^{-2}, J_6^5 J_{10}^{-3} \end{array} \right].$$

Then, it follows from the above fact that  $N_i$  is an algebraic set of  $\mathfrak{M}_2$ . Especially,  $N_1$  consists of 7 algebraic surfaces.  $N_2$  consists of 12 rational curves.  $N_3$  consists of 4 points.

In the following we show the above varieties and their parameter types. That is, in the following table, we denote by  $(a_1, a_2, a_3)$  the variety consists of birationally equivalent classes of curves defined by  $y^2 = x(x-1)(x-a_1)(x-a_2)(x-a_3)$ . That is, we obtain the coordinate ring of a subvariety of  $(a_1, a_2, a_3)$  type by substituting  $a_1, a_2, a_3$  in \*. In the following table  $\xi$  is a root of the following equation  $X^2 + X - 1 = 0$ . This is an 8-th root of unity.

Let  $C_K$  be the curve defined by  $y^2 = x(x^2 - 1)(x - a)(x - b)$ . Then, the Hasse-Witt invariant of  $C_K$  is always 2, but  $N_K$  varies as  $a$  and  $b$  varies. This means that Grothendieck's fundamental group of  $C_K$  is not determined only by  $g$ ,  $p$ , and  $\gamma_K$ .

Table

	type of parameter	Hasse-Witt invariant
$N_1$		
$S_1$	$-1, a, b$	2
$S_2$	$a, -1-a, b$	2
$S_3$	$a, -a, b$	2
$S_4$	$a, b, -a-b$	1, 2
$S_5$	$a, b, -b/(1+b)$	
$S_6$	$a, b, (-ab-a-b)/(1+a+b)$	2
$S_7$	$a, b, -ab/(a+b)$	
$N_2$		
$C_1=S_1 \cap S_2$	$-1, a, -1-a$	2
$C_2=S_1 \cap S_3$	$-1, a, -a$	2
$C_3=S_1 \cap S_4$	$-1, a, -a+1$	2
$C_4=S_1 \cap S_5$	$-1, a, -a/(1+a)$	2
$C_5=S_1 \cap S_6$	$-1, a, 1/a$	2
$C_6=S_1 \cap S_7$	$-1, a, a/(a-1)$	2
$C_7=S_2 \cap S_3$	$a, -1-a, -a$	2
$C_8=S_2 \cap S_5$	$a, -1-a, -a/(1+a)$	2
$C_9=S_2 \cap S_7$	$a, -1-a, -a(1+a)$	2
$C_{10}=S_3 \cap S_5$	$a, -a, a/(a-1)$	2
$C_{11}=S_3 \cap S_6$	$a, -a, a^2$	2
$C_{12}=S_4 \cap S_5$	$a, -a/(1+a), -a^2/(1+a)$	2
$N_3$		
$S_1 \cap S_2 \cap S_7$	$-1, \xi, -1-\xi$	2
$S_1 \cap S_3 \cap S_6$	$-1, \xi^2, -\xi^2$	2
$S_1 \cap S_4 \cap S_5$	$-1, \xi-1, -\xi$	2
$S_2 \cap S_3 \cap S_5$	$\xi, -1+\xi, -\xi$	2

EXAMPLE 2. We shall consider the relation between  $\{\gamma_{A^i}\}$ . Let  $K$  be an algebraic function field with an algebraically closed constant field  $k$  of characteristic  $p$  and let  $g$  be its genus. Let  $\bar{A}$  be an  $n$  division point of  $\mathfrak{G}_0/\mathfrak{G}_H$ . If  $i$  is prime to  $n$ ,  $\langle \bar{A}^i \rangle = \langle \bar{A} \rangle$ . Then, it is natural to ask whether  $\gamma_{A^i} = \gamma_A$  or not. We shall give some examples for this question.

First, let  $K=k(x, y)$  such that  $y^3=x^5-1$ . We assume  $ch(k)=11$ . Then, we have  $g=4$  and there are prime divisors  $P_{01}, P_{02}, P_{03}, P$  such that  $(x)=P_{01}P_{02}P_{03}/P^3$  and  $(y+\zeta^i)=P_{0i}^5/P^5$ , where  $\zeta$  is a primitive cubic root of unity. We put  $A=P_{03}/P$ . Then, we have

$$\Omega(A)=\{(y+1)dx/y^2, xdx/y^2, x^2dx/y^2\}$$

$$\Omega(A^3)=\{(y+1)dx/y^2, x^3dx/y^2, (y+1)xdx/y^2\}$$

$$\Omega(A^4)=\{(y+1)dx/y^2, (y+1)^2dx/y^2, (y+1)xdx/y^2\}$$

$$\Omega(A^2)=\{(y+1)dx/y^2, x^2dx/y^2, (y+1)xdx/y^2\}.$$

Moreover, we have  $((y+1)^{2k})=A^{10k}$ . Hence, we have

$$c \begin{pmatrix} (y+1)^2(y+1)dx/y^2 \\ (y+1)^2xdx/y^2 \\ (y+1)^2x^2dx/y^2 \end{pmatrix} = \begin{pmatrix} 3 & 0 & 0 \\ 0 & 4 & 0 \\ 0 & 0 & -3 \end{pmatrix} \begin{pmatrix} (y+1)dx/y^2 \\ xdx/y^2 \\ x^2dy/y^2 \end{pmatrix}.$$

Hence we have  $\gamma_A=3$ . Similarly, we have  $\gamma_{A^2}=\gamma_{A^3}=\gamma_{A^4}=3$ .

Next, let  $K=k(x, y)$  such that  $y^3=x(x^2-1)(x-i)$ , where  $i$  is a primitive 12-th root of unity and let  $ch(k)=7$ . Then, there are divisors  $P_0, P_1, P_{-1}, P_i$  such that  $(y)=P_0P_1P_{-1}P_i/P^4$  and  $(x-i)=P_i^3/P^3$ . We put  $A=P_i/P$ . Then, we have

$$\Omega(A)=\{dx/y, (x-i)dx/y^2\}$$

$$\Omega(A^2)=\{(x-i)dx/y^2, (x-i)^2dx/y^2\}$$

and  $((x-i)^2)=A^6$ .

Then,

$$c \begin{pmatrix} (x-i)^2dx/y \\ (x-i)^2(x-i)dx/y^2 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & -4 \end{pmatrix} \begin{pmatrix} dx/y \\ (x-i)dx/y^2 \end{pmatrix}.$$

Hence, we have  $\gamma_A=1$ . Similarly,

$$c \begin{pmatrix} (x-i)^2(x-i)dx/y^2 \\ (x-i)^2(x-i)^2dx/y^2 \end{pmatrix} = \begin{pmatrix} -4 & 0 \\ i+4\sqrt[3]{i} & 1 \end{pmatrix} \begin{pmatrix} (x-i)dx/y^2 \\ (x-i)^2dx/y^2 \end{pmatrix}.$$

Hence, we have  $\gamma_{A^2}=2$ .

It follows from the above two examples that in general  $\gamma_A \neq \gamma_{A^i}$ . But we don't know which relation exists between them.

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Hidenori KATSURADA  
Department of Mathematics  
Faculty of Science  
Hokkaido University  
Sapporo, Japan