

On Some Varieties Associated with Trees

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ABSTRACT. We consider some affine algebraic varieties attached to finite trees and closely related to cluster algebras. Their definition involves a canonical coloring of vertices of trees into three colors. These varieties are proved to be smooth and to admit sometimes free actions of algebraic tori. Some results are obtained on their number of points over finite fields and on their cohomology.

Introduction

The theory of cluster algebras, introduced by S. Fomin and A. Zelevinsky around 2000 [FZ02; FZ03], was motivated initially by the study of total positivity in Lie groups and canonical bases in quantum groups. It has since then developed rapidly in many directions, among which we can cite (for example) triangulated categories [BMR⁺06], triangulations of surfaces [FST08], and Poisson geometry [GSV03; GSV10].

Because cluster algebras are commutative algebras endowed with more structure, it is natural to study them from the point of view of algebraic geometry. The geometric study of cluster algebras has nevertheless been mostly concentrated on aspects related to Poisson geometry or symplectic geometry. The appearance of the known cluster structure on coordinate rings of Grassmannians in a physical context [ABC⁺12] has raised recently the interest in the computation of integrals on the varieties associated with cluster algebras. The natural context for this is of course the cohomology ring.

In the present article, we aim to study some varieties closely related to the spectrum of cluster algebras and their cohomology rings. General cluster algebras are defined using a quiver or a skew-symmetric matrix. For our purposes, as a starting point, we need a presentation by generators and relations of the cluster algebras. This is available for cluster algebras with an acyclic quiver [BFZ05] and in a few other cases (see, e.g., [Mul13]). The choice has been made here to restrict to a still smaller class, namely cluster algebras with a quiver that is a tree, in the hope that the answers may be simpler in that case, and also because all finite Dynkin diagrams are trees.

Cluster algebras come with a subalgebra generated by so-called frozen (or co-efficient) variables, which are invertible elements. This corresponds to a morphism from the spectrum of the cluster algebra to an algebraic torus. At the start

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of this work, our intention was to study both the fibers of this map and the spectrum in full. Later it turned out that it is possible (for cluster algebras associated with trees) to define more general varieties.

Cohomology and number of points on similar varieties have been considered in some previous works [GSV05; Mul12; Cha11]. Some results of these articles will be recalled when necessary.

The article is organized as follows. In Section 1, we recall a canonical tri-coloring of the vertices of trees, originally defined in [CB04; Cou05; Zit91] and not so well known. This coloring is closely connected to matchings and independent sets in the trees. We will use it in an intensive way in the rest of the article since it enters in the very definition of the varieties under study. We introduce the notion of red–green components of a tree and define an important integer invariant, the dimension of a tree.

Section 2 is devoted to the definition of the varieties. This is rather involved, and the definition itself only appears after a long preparation. We first consider a very general family of varieties, depending on many invertible parameters. By considering these varieties as objects in a groupoid, we can reduce this family to a much smaller one with less parameters. We prove that every variety in the big family is isomorphic to a variety in the small family. We also introduce an explicit condition of genericity. Then everything is ready for the definition, which involves making an independent choice for every red–green component of the tree.

Section 3 is devoted to some geometric properties of these varieties. We prove by induction that all these varieties are smooth by finding explicit coverings by products of varieties of the same type and algebraic tori. We next show that some of these varieties are endowed with a free torus action that turns them into principal torus bundles.

Section 4 turns to the study of the number of points over finite fields. We show by induction that the number of points is a polynomial in the cardinality q of the finite field. This is done by finding an appropriate decomposition into pieces isomorphic to products of varieties of the same type and algebraic tori. We then give formulas for some classical trees, including Dynkin diagrams. We also obtain (Proposition 4.16) a general decomposition as a disjoint union of products of tori and affine spaces (indexed by independent sets), which allows us to compute the Euler characteristic.

Sections 5, 6, and 7 deal with some computations regarding the cohomology rings. Section 5 is a very short reminder about known results about differential forms on varieties associated with cluster algebras and about the general theory of (mixed) Hodge structure on the cohomology ring of algebraic varieties. Section 6 deals with some examples of trees, namely linear trees (the case of which forms a useful building stone) and some trees of shape H with no parameters. Section 7 is about varieties where parameters have been given a generic value. Our results about cohomology are rather partial, restricted to special cases, but there does not seem to be any simple general answer. The prominent missing case is in type \mathbb{A} with an odd number of vertices, where one proposes a conjecture.

The [Appendix](#) presents a simple algorithm for the computation of the canonical coloring of trees. This algorithm is not needed in the rest of the article.

Let us finish this introduction by a few side remarks.

From the few results obtained here about cohomology we can record several observations, some of them rather intriguing. First, the cohomology is of mixed Hodge–Tate type, which is not the case for a general random variety. This can nevertheless be expected from the results giving decompositions as disjoint unions of smaller varieties of the same family, which probably imply this property for the cohomology with compact support. More subtle is the fact that these mixed Hodge structures are not pure, so that there is room for possible extensions, but no extension seems to be involved.

Another interesting question that has not been considered here is the study of the real points of the same varieties and their cohomology. This is probably also rather complicated but certainly worth looking at.

There seems to be some kind of vague analogy between the counting-points polynomials considered here and the characteristic polynomials of bipartite Coxeter elements (see [\[McM02\]](#) and [\[Ste08\]](#)), namely the general look and feel of these two families of polynomials are similar in various points (including some relations to Pisot and Salem numbers).

At the end of Section 1.2 of [\[CDS80\]](#), we can find some speculations about the idea of “quadratic spectra” for graphs, which would be an analog of the usual spectrum but related to quadratic equations instead of linear equations. Maybe we can argue that the cluster varieties considered here and their counting-point polynomials are a good candidate for such a quadratic spectrum (even if they involve polynomial relations of arbitrary degree).

1. Combinatorics of Trees

In this article, a *tree* is a finite connected and simply connected graph. A *leaf* is a vertex with at most one neighbor. A *forest* is a disjoint union of trees.

1.1. Canonical Red–Orange–Green Coloring of Trees

In this section, we recall a canonical coloring of the vertices of all trees, using the colors red, orange, and green. This coloring has first appeared in an article by Zito [\[Zit91\]](#) and has been studied independently later by Coulomb [\[Cou05\]](#) and Coulomb and Bauer [\[CB04\]](#).

Let us consider a tree T . A *vertex cover* of T is a subset S of the vertices of T such that every edge of T has at least one end in S . A *minimum vertex cover* of T is a vertex cover of minimal cardinality among all vertex covers of T .

Let us use this notion to color the vertices of T according to the following rule: a vertex v is

- green if v is present in all minimum vertex covers,
- orange if v is present in some but not all minimum vertex covers, and

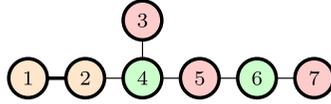


Figure 1 Canonical coloring: $\{1, 2\}$ are orange, $\{4, 6\}$ green, and $\{3, 5, 7\}$ red

- red if v is present in no minimum vertex covers.

The colors have been chosen to match this definition with traffic lights colors.

For the tree of Figure 1, the minimum vertex covers are made of the two green vertices $\{4, 6\}$ and one of the two orange vertices $\{1, 2\}$.

REMARK 1.1. By taking the complementary subset there is a bijection between minimum vertex covers and sets of nonadjacent vertices of maximal cardinality (*maximum independent sets*, also called maximum stable sets).

This coloring is also related to maximum matchings of T . A *matching* of T is a set D of edges of T such that every vertex belongs to at most one element of D . The elements of D will be called *dominoes*. A *maximum matching* is a matching of maximal cardinality among all matchings of T .

Then, a vertex v is

- green if v is present in all maximum matchings, in several different dominoes,
- orange if v is present in all maximum matchings, always in the same domino, and
- red if v is absent in some maximum matchings.

The proof of the equivalence of these two descriptions of the coloring can be found in [CB04].

For the tree of Figure 1, the maximum matchings are made of three dominoes, one of them being the edge between the two orange vertices $\{1, 2\}$.

PROPOSITION 1.2. *The orange vertices are matched in pairs by the unique domino in which they are contained in any maximum matching. In maximum matchings, green vertices are matched with red vertices in several different ways.*

Proof. This is proved in [CB04]. □

This coloring has a third equivalent description, also given in [CB04].

It is the unique coloring of the vertices such that

- the induced forest on orange vertices has a perfect matching,
- every green vertex has at least two red neighbors, and
- every red vertex has only green neighbors.

It follows from this description that the coloring is stable by any of the following operations:

- taking the induced forest on orange vertices,
- taking the induced forest on the union of red and green vertices,

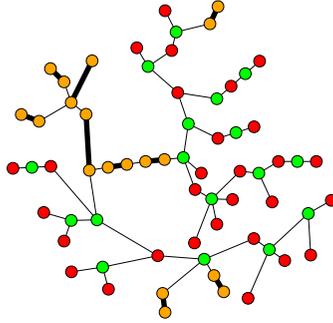


Figure 2 A typical example

- removing a matched pair of orange vertices, and
- removing a green vertex.

An algorithm to compute the coloring is presented in [Appendix](#). The coloring for a large random tree is displayed in [Figure 2](#).

1.2. Further Properties of the Coloring

Let us first state a corollary of the third description of the coloring.

LEMMA 1.3. *A tree admits a perfect matching if and only if all vertices are orange.*

Proof. If all vertices are orange, then there is a perfect matching by the first condition in the third description. If the tree has a perfect matching, then letting all the vertices be orange gives a coloring that satisfies all the required conditions and therefore is the correct one by uniqueness. \square

Note that the maximum matching is unique for these trees. We will call them *orange trees*. They are also known as perfect trees or matched trees [[Sim91](#)].

Let T be a tree. The *red–green components* of T are the connected components of the graph defined by keeping only the edges of T with one red end and one green end. Every red–green component is a tree, which is moreover bipartite with only red leaves. In these trees, every green vertex has valency at least two.

This kind of trees has been considered under the name of *bc-trees* in the study of blocks and cut-vertices of graphs; see, for example, [[Har69](#), Chap. 4].

Even trees with no orange vertex can have several such components because there can be edges with two green ends and these edges are not kept in the red–green components.

By the third description of the coloring, the coloring is stable by taking a red–green component.

A tree that is equal to its only red–green component will be called a *red–green tree*.

LEMMA 1.4. *The set of maximum matchings of a tree is in bijection with the product of the sets of maximum matchings of its red–green components.*

Proof. The dominoes are fixed on the set of orange vertices, and cannot connect two distinct red–green components by Proposition 1.2. Therefore, we can choose a maximum matching independently on every red–green component. \square

Let us denote by $r(T)$, $o(T)$, and $g(T)$ the numbers of red, orange, and green vertices in the coloring of T . Let us call the *dimension* of a tree T the quantity

$$\dim(T) = r(T) - g(T). \quad (1)$$

REMARK 1.5. The dimension of T is also the dimension of the kernel of the adjacency matrix of T (see [CB04]). The kernel and rank of the signed adjacency matrix (or B -matrix) are known to play a key role in the general theory of cluster algebras. In particular, the kernel is related with torus actions on cluster varieties; see, for instance, [Gra13; GL14]. Using the bipartition of trees, we can easily show that these two kernels are isomorphic. This also means that orange trees are exactly the trees for which the B -matrix has full rank.

LEMMA 1.6. *The dimension of T is the number of vertices not covered by dominoes in any maximum matching.*

Proof. By the precise description of maximum matchings given in Proposition 1.2, the number of dominoes in a maximum matching is $g(T) + o(T)/2$. The number of covered vertices is therefore $2g(T) + o(T)$, and the statement follows. \square

LEMMA 1.7. *The dimension of T is the sum of the dimensions of the red–green components of T . Every red–green component has dimension at least 1.*

Proof. Formula (1) for the dimension does not depend on orange vertices and is clearly additive on red–green components.

Let T be a red–green tree. The Euler characteristic is given by

$$\chi(T) = 1 = r(T) + g(T) - e(T),$$

where $e(T)$ is the number of edges of T . On the other hand,

$$e(T) \geq 2g(T)$$

because every green vertex has at least two red neighbors. \square

LEMMA 1.8. *Let T be a red–green tree. Let F be the forest obtained by removing one red vertex of T . Then $\dim(F) = \dim(T) - 1$.*

Proof. Removing the vertex makes a big difference in the colorings of F and T . The coloring of F can be obtained from the restriction of the coloring of T by some avalanche of orange vertices as follows.

At start, the restriction of the coloring of T gives a bad coloring of F , where some green vertices v may have exactly one red neighbor. If not, then the coloring

is the canonical one. Otherwise, we can turn every such vertex v and its unique red neighbor into an orange domino. Doing that may create a certain number of green vertices with exactly one red neighbor. For each of them, replace it and its unique red neighbor by a domino. Repeat this as long as there is some green vertex with exactly one red neighbor. This must stop at some point because we work in a finite union of trees. At the end of this avalanche of orange dominoes, we obtain a canonical coloring of F .

This construction implies that the dimension of F is the dimension of T minus 1 because it only involves turning pairs (green vertex, red vertex) into orange dominoes. □

LEMMA 1.9. *Let T be a red–green tree, and $u - v$ be any edge of T . Let F be the forest induced from T by removing the vertices u and v . Then the dimension of T is the sum of the dimensions of the trees in F .*

Proof. Assume that u is green and v is red. Let S_1, \dots, S_k be the trees in F attached to u , and let T_1, \dots, T_ℓ be the trees in F attached to v .

Then the coloring of every S_i is just obtained by restriction, because it still satisfies the third description of the canonical coloring.

On the other hand, let us denote by \widehat{T}_j the tree obtained from T_j by adding back the red vertex v . Then the coloring of every \widehat{T}_j is just obtained by restriction because it still satisfies the third description of the canonical coloring.

By definition (1) of the dimension we therefore find that

$$\dim(T) = \sum_i \dim(S_i) + \sum_j (\dim(\widehat{T}_j) - 1).$$

By Lemma 1.8 this is equal to the expected result. □

LEMMA 1.10. *Let T be a tree. Let $u - v$ be a red–green edge of T . There exists a maximum matching of T containing $u - v$.*

Proof. We can assume that T is a red–green component since maximum matchings of different red–green components are independent.

We can take maximum matchings of the connected components of the forest F induced from T by removing u and v . By Lemma 1.9 and Lemma 1.6 the number of vertices not covered on F is the dimension of T . Therefore, adding the domino $u - v$ gives a maximum matching of T . □

LEMMA 1.11. *Let T be a red–green tree, and let v be a leaf of T . There exists a maximum matching of T where the vertices that are not covered are leaves. Moreover, unless T consists of the single vertex v , we can find such a matching where v is in a domino.*

Proof. By induction on the size of the tree T . This is true for the tree with 1 vertex.

Let us call u the green neighbor of the red leaf v . The induced forest F defined as $T \setminus \{u, v\}$ is made of red–green trees whose sum of dimensions is the dimension

of T by Lemma 1.9. By induction we can find a maximum matching of F such that vertices that are not covered are leaves of F . Moreover, we can choose this matching such that the vertices that are not covered are in fact leaves of T .

We then obtain, by adding the domino $u - v$, a maximum matching of T with all the required properties. \square

LEMMA 1.12. *The trees obtained by removing a leaf in an orange tree are exactly the trees of dimension 1. They have exactly one red–green component.*

Proof. Let us pick an orange tree T and a leaf v with adjacent vertex w . Removing the leaf v gives a tree $T \setminus \{v\}$ with a matching covering all vertices but w . This is clearly a maximum matching; hence, $T \setminus \{v\}$ has dimension 1 by Lemma 1.6.

Conversely, consider a tree T' of dimension 1. It has exactly one red–green component since every red–green component contributes at least 1 to the dimension by Lemma 1.7. This red–green component has dimension 1. By Lemma 1.11 we can find a maximum matching of T' missing only one leaf w . Adding a vertex v attached to w gives a tree with a perfect matching, that is, an orange tree. \square

We will call the trees of dimension 1 *unimodal trees*.

REMARK 1.13. The classical Dynkin diagrams are simple examples of trees:

- Type \mathbb{A}_n : orange for even n , unimodal for odd n ,
- Type \mathbb{D}_n : unimodal for odd n ,
- Type \mathbb{E}_n : orange for $n = 6, 8$, unimodal for $n = 7$.

The type \mathbb{D}_n with n even has dimension 2.

2. Affine Algebraic Varieties

Using the coloring of the previous section, we can define several affine algebraic varieties attached to a tree T and some auxiliary choices. These varieties are closely related to cluster algebras.

First, let us consider the system of equations

$$x_i x'_i = 1 + \alpha_i \prod_{i-j} x_j \tag{2}$$

for all vertices i of T , where the product runs over vertices j adjacent to i . Here x_i and x'_i are called *cluster variables*, and α_i are called *coefficient variables*.

By a special case of [BFZ05, Corollary 1.17] this system is a presentation of the cluster algebra associated with the quiver given by a bipartite orientation of T , with one frozen vertex attached to every vertex of T (in such a way that all vertices of T remain sources or sinks). In the context of cluster algebras, equations (2) are called exchange relations.

We use the expression “coefficients variables” in a more specialized sense than the general notion of coefficients in cluster algebras. In fact, we will only need coefficients that are subsets of the principal coefficients.

We will be interested here in considering the α_i as parameters, and letting them either vary in some well-chosen families or take fixed generic values (and even a mix of these two possibilities), so that the resulting space is smooth.

Note that Cartesian products of varieties will be denoted by concatenation, omitting the symbol \times .

2.1. *Jumping Around a Groupoid*

Let us denote by $X_T(\alpha)$ the algebraic scheme defined by fixing some invertible values for all coefficient variables α_i .

Recall the following lemma ([Cha11, Lemma 2.2]).

LEMMA 2.1. *Let $u - v$ be an edge of T . Let β be defined by $\beta_w = \alpha_w/\alpha_u$ if w is a neighbor of v (in particular, $\beta_u = 1$) and $\beta_w = \alpha_w$ otherwise. Then $X_T(\alpha)$ and $X_T(\beta)$ are isomorphic by the change of variables $x_v \mapsto \alpha_u x_v$ and $x'_v \mapsto x'_v/\alpha_u$.*

We may say that the coefficient α_u has *jumped* away from u over v and its inverse has got spread over all other neighbors of v . When v has u as only neighbor, the coefficient α_u just disappears from the equations.

From now on, we will only admit the following kinds of jumps:

- a red vertex over one of its green neighbors,
- a green vertex over one of its red neighbors,
- an orange vertex over its matched orange neighbor.

Let us now define a groupoid G_T with objects the schemes $X_T(\alpha)$ indexed by invertible values of the parameters α , and isomorphisms $X_T(\alpha) \simeq X_T(\bar{\alpha})$ of the shape

$$\begin{cases} \bar{x}_i \mapsto \lambda_i x_i, \\ \bar{x}'_i \mapsto x'_i/\lambda_i, \end{cases} \tag{3}$$

where λ_i are some invertible elements. The parameters are then related by

$$\alpha_i = \bar{\alpha}_i \prod_{i-j} \lambda_j. \tag{4}$$

More precisely, a morphism in G_T is the list of parameters λ_i . These morphisms are composed and inverted as dictated by the associated isomorphisms of algebraic varieties.

Note that every jump corresponds to an isomorphism in the groupoid G_T .

PROPOSITION 2.2. *For every maximum matching M and given parameters α , there exists unique parameters β (given by monic Laurent monomials in α) such that*

- the function β is 1 except on the set of red vertices not covered by M ,
- $X_T(\alpha)$ is isomorphic to $X_T(\beta)$ by a sequence of jumps.

Moreover,

- (a) the function β only depends on the values of α on the red vertices of T ,
- (b) the values of β on a red–green component are Laurent monomials in the values of α on the same red–green component.

Proof. Let us first prove the existence of such parameters β . The main idea is to iterate Lemma 2.1 by jumping over dominoes of M .

Let us define an auxiliary oriented graph \mathcal{G} as follows: the vertices of \mathcal{G} are the vertices of T , and there is an edge $u \rightarrow w$ in \mathcal{G} if $u - v$ is a domino in M and $v - w$ is another edge in T .

With this notation, if there are edges starting from u in \mathcal{G} , then we can use Lemma 2.1 (by jumping over v) to turn the coefficient β_u into 1 and replace the coefficients β_w by β_w/β_u for all vertices at the end of an arrow $u \rightarrow w$.

We can see that the graph \mathcal{G} has no oriented cycle; otherwise, there would be a cycle in T made of concatenated dominoes. Moreover, edges in the graph \mathcal{G} can only go from green to green, from orange to orange or green, or start from red.

Then we can do these jumps starting from the sources in \mathcal{G} and then proceeding along any linear extension of the partial order defined by \mathcal{G} .

At the end of this process, all vertices covered by dominoes have coefficient 1. There only remains coefficients on the red vertices not covered by the maximum matching M . This proves the existence of the required parameters β .

The fact that the coefficients β_j are products of coefficients α_i and their inverses is immediate from the definition of jumping.

Let us now prove the uniqueness. Assume that there are two such sets of parameters β and $\bar{\beta}$. Let x and \bar{x} be the coordinates on the isomorphic $X_T(\beta)$ and $X_T(\bar{\beta})$.

Let us first prove that any isomorphism in the groupoid G_T from $X_T(\beta)$ to $X_T(\bar{\beta})$ maps \bar{x}_j to x_j for every green vertex j . This is done by induction using the auxiliary graph \mathcal{G} , starting with the green vertices that do not have any outgoing edge in \mathcal{G} . For every green vertex, we just have to consider equation (2) for the unique red vertex that is in the same domino in M .

Using then equation (2) for all red vertices i not covered by M , we obtain that $\beta_i = \bar{\beta}_i$. This proves the uniqueness.

For statement (a), consider what happens to the coefficient attached to an orange or a green vertex u . By Proposition 1.2 the domino containing u must be orange or green–red. The coefficient can therefore only jump to green or orange vertices. So they must disappear at some point because only red vertices bear coefficients at the end of the process.

Similarly for statement (b), consider the coefficient attached to a red vertex u . Again by Proposition 1.2, the domino containing u must be red–green. The coefficient can only jump to red vertices in the same red–green component or to orange and green vertices. Since the coefficients on orange or green vertices will disappear by the previous point, coefficients can only stay within a given red–green component. \square

Recall that the dimension $\dim(T)$ of T is (by Lemma 1.6) the number of red vertices that are not covered in any maximum matching of T . Proposition 2.2 justifies this terminology since this gives the number of independent parameters for the varieties $X_T(\alpha)$ (inside the groupoid G_T).

REMARK 2.3. In the particular case where the tree T is orange, all $X_T(\alpha)$ are isomorphic.

By Proposition 2.2, in order to study all isomorphism classes of such varieties, we can restrict oneself to attach parameters only to red vertices not covered by a maximum matching M .

For a maximum matching M of T , let us define a scheme $X_T^M(\alpha)$ by the set of equations (2), where α_i are invertible fixed parameters, equal to 1 if i is covered by M .

Given two matchings M and M' , we can always find by Proposition 2.2 a sequence of jumps that provides an isomorphism in G_T between $X_T^M(\alpha)$ and $X_T^{M'}(\beta)$, where the parameters β are uniquely determined Laurent monomials in α .

Let us consider now the automorphism group $\text{Aut}(X_T^M(\alpha))$ of the object $X_T^M(\alpha)$ in the groupoid G_T .

PROPOSITION 2.4. *The automorphism group $\text{Aut}(X_T^M(\alpha))$ is an algebraic torus isomorphic to $\mathbb{G}_m^{\dim(T)}$. If $(\lambda_i)_{i \in T}$ is an element of $\text{Aut}(X_T^M(\alpha))$, then $\lambda_i = 1$ on green and orange vertices of T .*

Proof. Let us consider an automorphism in G_T given by invertible elements λ_i .

The condition that equation (2) for the vertex i is preserved is

$$\prod_{j-i} \lambda_j = 1. \tag{5}$$

This just means that the λ_i belongs to the kernel of the adjacency matrix of T (seen as an endomorphism of \mathbb{G}_m^T). Looking at the induced linear equations on the tangent space at one, we can deduce from Remark 1.5 that the dimension of $\text{Aut}(X_T^M(\alpha))$ is $\dim(T)$.

By the same argument (using induction on the auxiliary graph \mathcal{G}) as in the uniqueness step of the proof of Proposition 2.2, every automorphism fixes x_j for every green vertex j .

By a similar argument (starting with orange vertices attached to green vertices in the auxiliary graph \mathcal{G}) we can then prove that every automorphism fixes x_j for every orange vertex j .

There remains to show that $\text{Aut}(X_T^M(\alpha))$ is connected. Let us prove that, given any choice for the values of λ_i for $i \notin M$, there is a unique element of $\text{Aut}(X_T^M(\alpha))$ extending this choice.

This is once again done by induction using the auxiliary graph \mathcal{G} . Let us consider a red vertex j that is pointing in \mathcal{G} only toward vertices with known λ . Then there is a unique way to fix the value λ_j such that (5) holds for the green vertex i in the domino of j .

This proves that the kernel is isomorphic to $\mathbb{G}_m^{\dim(T)}$. □

Note that the torus $\text{Aut}(X_T^M(\alpha))$ and its action on $X_T^M(\alpha)$ do not depend on α . This action therefore extends to varieties defined as the union of $X_T^M(\alpha)$ over some family of parameters α .

The torus $\text{Aut}(X_T^M(\alpha))$ can be written as a product of several tori, indexed by the red–green components. Every factor acts only on the red vertices inside a fixed red–green component C . This factorization will be useful later to describe free actions on some varieties.

REMARK 2.5. Torus actions on general cluster varieties (or equivalently gradings on cluster algebras) are known to be related to the kernel of the B -matrix; see, for example, [Gra13; GL14]. Some of the results of this section can be reformulated in the general case. For example, an extension of the first part of Proposition 2.4 would describe a torus action on the fiber of the coefficient morphism using the kernel of the B -matrix.

2.2. Genericity

A nonempty set S of red vertices in a red–green component C is called an *admissible set* if every green vertex in C has either zero or two neighbors in S .

LEMMA 2.6. *Given a red vertex u in C , there is an admissible set containing u .*

Proof. We can build an admissible set S starting from $\{u\}$ by repeated addition of red vertices. If there is a green vertex v with exactly one red neighbor in S , then add to S one of the other red neighbors of v . Repeat until the set S is admissible. □

Let us now introduce an explicit *genericity condition* on the parameters attached to a given red–green component C .

CONDITION. *For every admissible set S of red vertices of C , the alternating product*

$$\prod_{i \in S} \alpha_i^{\pm} \neq (-1)^{\#S}, \tag{6}$$

where any two red vertices sharing a common green neighbor have opposite powers in the left-hand side.

LEMMA 2.7. *The genericity condition is preserved under jumping moves.*

Proof. Indeed, consider the jumping move from a red vertex u over a green vertex v . The coefficients of all red neighbors of v are divided by α_u . Let S be an admissible set. If the vertex v has no neighbor in S , then nothing is changed in the genericity condition for S . Otherwise, the vertex v has two neighbors in S . Then two terms are changed in the left-hand side of (6), both being divided by α_u . But they appear with opposite powers, and hence the product is not changed.

The two other kinds of jumping moves (green over red and orange over orange) do not change the parameters of red vertices. □

2.3. Definition of the Varieties

Let us now carefully define the varieties that will be studied in the rest of the article.

Let us fix a tree T , a choice function φ from the set of red–green components of T to the set $\{\text{generic}, \text{versal}\}$, and a maximum matching M of T .

For every red–green component C such that $\varphi(C)$ is generic, let us fix for every vertex u of C not covered by the maximum matching M , an invertible value α_u .

To this data,¹ we associate a scheme $X_{T,\alpha}^{\varphi,M}$ as follows.

The variables are

- x_i and x'_i for all vertices of T ,
- α_i for all vertices not covered by the matching M in the red–green components C of T such that $\varphi(C)$ is versal.

The equations are

- the system of equations (2),
- all variables α_i are invertible.

In fact, there is no true dependency on the matching M . Let us consider two maximum matchings M and M' . Using Proposition 2.2, we can find an isomorphism between $X_{T,\alpha}^{\varphi,M}$ for arbitrary invertible parameters α and $X_{T,\beta}^{\varphi,M'}$ for parameters β depending on the parameters α .

We will therefore forget the matching and use the notation X_T^φ from now on, keeping the parameters α implicit as well.

Moreover, by Lemma 2.7, if the genericity condition (6) holds for the parameters α with respect to one matching M , then they will also hold for the corresponding parameters β for another matching M' .

We can therefore impose that the genericity condition (6) holds for all generic red–green components of T . This will always be assumed from now on.

Let us summarize this lengthy definition. Once the tree T is chosen, we pick a maximum matching M of T . Any choice of matching will lead to isomorphic varieties. We then decide for every red–green component of T either to take the union over all invertible parameters or to fix some generic parameters.

We will use the simplified notation X_T for orange trees since there is then no choice to be made for the function φ . We will also use the notations X_T^{generic} and X_T^{versal} when the function φ is constant.

¹The α_u will in fact be required to satisfy an additional genericity assumption, as explained in one of the next paragraphs.

REMARK 2.8. We can also consider forests instead of trees in the definition of the varieties X_T^φ , but then everything factors according to the connected components. This possibility will be used implicitly in the rest of the article.

Let us introduce the notation $U(x)$ for the open set defined by $x \neq 0$.

LEMMA 2.9. *If $a - b$ is an edge in a tree T , then the two open sets $U(x_a)$ and $U(x_b)$ cover the variety X_T^φ .*

Proof. This follows from the exchange relation

$$x_a x'_a = 1 + \alpha_a x_b y,$$

where y is some product of other cluster variables. □

REMARK 2.10. When removing red vertices or green vertices in a tree T , some red–green components may split into several red–green components. We can then define a function $\widehat{\varphi}$ on the new set of red–green components, whose value on a red green component C is the value of φ in the unique red–green component of T containing C . Abusing the notation, we will denote this induced function $\widehat{\varphi}$ simply by φ .

3. Smoothness and Free Actions

THEOREM 3.1. *For every choice of φ , the variety X_T^φ is smooth.*

Proof. The proof is by induction on the size of the tree T .

For the tree with only one vertex, the only equation is

$$x x' = 1 + \alpha. \tag{7}$$

In the `generic` case where α is considered to have a fixed value, different from -1 by the genericity condition (6), the variety is isomorphic to the punctured affine line \mathbb{G}_m and is therefore smooth.

In the `versal` case where α is considered to be a variable and assumed to be invertible, the variety is an open set in the variety defined by (7) where α is not assumed to be invertible. This last variety is isomorphic to the affine plane A_2 and hence smooth.

The rest of the proof by induction is organized as follows. We first consider the case where the tree has at least one red–green component and treat separately the case where there is a red–green component that is `generic` and the case where there is one that is `versal`. Otherwise, the tree is orange. These three cases are done in the next three subsections. □

Let us first state a few useful lemmas.

LEMMA 3.2. *If one variable x_i is assumed to be nonzero, then we can get rid of the associated variable x'_i and of the equation (2) of index i .*

Proof. Indeed, we can just use the equation to eliminate x'_i . □

LEMMA 3.3. *If one variable x_i is assumed to be zero, then x'_i becomes a free variable, and the equation (2) of index i reduces to*

$$-1 = \alpha_i \prod_{i-j} x_j.$$

Let us now introduce a useful variant of the varieties X_T^φ . Let v be a vertex of T . Let $X_T^\varphi[v]$ be defined just as X_T^φ , but with one more invertible variable γ_v attached to the vertex v as a coefficient (playing the same role as α_v in the equations). This variable defines a morphism γ_v from $X_T^\varphi[v]$ to \mathbb{G}_m .

LEMMA 3.4. *If v is an orange or green vertex, then $X_T^\varphi[v]$ is isomorphic as a variety over \mathbb{G}_m to the product $X_T^\varphi \times \mathbb{G}_m$ endowed with the projection to the second factor.*

Proof. By Proposition 2.2 and its proof we can find an isomorphism in the groupoid G_T between X_T^φ and $X_T^\varphi[v]$ that only changes the coordinates x_i for orange and green vertices. More precisely, using the auxiliary oriented graph \mathcal{G} , we can find a sequence of jumps (corresponding to edges in \mathcal{G} starting with a green or orange vertex) that makes the coefficient γ_v disappear from the equations.

The isomorphism associated with this sequence of jumps is multiplying the variables x_i by monic Laurent monomials in the parameter γ_v and hence defines an isomorphism over \mathbb{G}_m . □

LEMMA 3.5. *If v is a red vertex in a versal red–green component C , then $X_T^\varphi[v]$ is isomorphic as a variety over \mathbb{G}_m to $X_T^\varphi \times \mathbb{G}_m$ endowed with the projection to the second factor.*

Proof. If the red vertex v is not covered by the matching M chosen to define X_T^φ , then we have two coefficient variables α_v and γ_v attached to the vertex v . By the simple change of coordinates $\alpha_v := \alpha_v \gamma_v$ and $\gamma_v := \gamma_v$ we get the expected isomorphism.

Assume now that red vertex v is covered by the matching M .

By Proposition 2.2 and its proof we can find an isomorphism in the groupoid G_T between $X_T^\varphi[v]$ and a variety $X_{T,\beta}^{\varphi,M}$ that only changes the coordinates x_i for orange and green vertices and for red vertices in the red–green component C . More precisely, using the auxiliary oriented graph \mathcal{G} , we can find a sequence of jumps that moves the coefficient γ_v toward the red vertices in C not covered by the matching. At the end, every new coefficient β_i is the product of α_i by a Laurent monomial in γ_v .

The isomorphism associated with this sequence of jumps is multiplying the variables x_i by monic Laurent monomials in the parameter γ_v and hence defines an isomorphism over \mathbb{G}_m . We can then compose this isomorphism with a relabeling of the coefficients $\alpha_i := \beta_i$ in order to get the expected isomorphism, still defined over \mathbb{G}_m , between $X_T^\varphi[v]$ and $X_T^\varphi \times \mathbb{G}_m$. □

We can say that the coefficient γ_v can be *detached* from T in these cases. This will be used frequently in the rest of the article.

3.1. Trees with a Generic Component

We assume now that T has at least two vertices and a generic component C .

Let us pick an admissible set S of red vertices in C , as defined in Section 2.2.

LEMMA 3.6. *The open sets $U(x_i)$ for $i \in S$ form a covering of X_T^φ .*

Proof. Indeed, the complement of their union is the set where all variables x_i for $i \in S$ vanish. This implies that

$$\alpha_i \prod_{j-i} x_j = -1 \tag{8}$$

for every i in S . Taking the alternating product of these equalities gives

$$\prod_{i \in S} \alpha_i^\pm = (-1)^{\#S} \tag{9}$$

because for every green vertex j attached by an edge to some element of S , the cluster variable x_j appears exactly twice by definition of admissible sets and hence disappears in the alternating product.

But equation (9) is incompatible with the genericity condition (6). □

Let us now show that the open sets $U(x_i)$ are smooth.

Let F be the forest $T \setminus \{i\}$. In the forest F , the coloring is changed only on the red–green component containing i , where an avalanche of orange dominoes can take place when removing i . The red–green component C is therefore split into a number of red–green components. Let us moreover introduce a function φ on F , which is generic on every red–green component coming from C and unchanged on all other red–green components.

LEMMA 3.7. *The open set $U(x_i)$ is isomorphic to the product $\mathbb{G}_m X_F^\varphi$.*

Proof. The condition that x_i is not zero allows us to get rid of the variable x_i' by using the equation (2) of index i . What remains are the equations for the forest $F = T \setminus \{i\}$, where now x_i is treated as a parameter attached to all neighbors of i in T .

Because all neighbors of i in T are green, they become either green or orange in F . It follows from Lemma 3.4 that we can, without changing the variety, consider instead that the parameter x_i is not attached to any vertex of F .

Let us check that the genericity condition still holds on all generic red–green components. If the component D does not come from the splitting of C , then the genericity conditions are unchanged on this red–green component. Otherwise, let us choose an admissible set in D . It was then already an admissible set in C , by inspection of what happens during the avalanche of orange dominoes. Therefore, the genericity condition for D is inherited from that for C . □

We have therefore obtained an isomorphism

$$U(x_i) \simeq \mathbb{G}_m X_F^\varphi, \tag{10}$$

which is smooth by induction. Therefore, X_T^φ is also smooth.

3.2. Trees with a Versal Component

We assume now that T has at least two vertices and has a versal component C . Let us choose a red leaf v in this component. By the characterization of the coloring in terms of matchings we can find a maximum matching M not containing v . Therefore, there is a coefficient variable α_v .

Let u be the green vertex adjacent to v . By Lemma 2.9 the two open sets $U(x_u)$ and $U(x_v)$ cover X_T^φ .

Let us first prove that $U(x_v)$ is smooth.

Let T' be the tree $T \setminus \{v\}$. The coloring of T' is obtained from T by an avalanche of orange dominoes. The dimension of T' is $\dim(T) - 1$.

The avalanche may split the red–green component of T containing v into several components. Let φ be the function that maps all these new components to the versal condition and unchanged condition on all the other red–green components.

LEMMA 3.8. *The open set $U(x_v)$ is isomorphic to the product $\mathbb{G}_m^2 X_{T'}^\varphi$.*

Proof. Assuming that x_v is not zero allows us to get rid of the variable x'_v by using (2) with index v . The coefficient variable α_v also disappears from the equations; this gives one factor \mathbb{G}_m .

Then the variable x_v is seen as a coefficient attached to the vertex u in T' , which is either green or orange. The coefficient can therefore be detached by Lemma 3.4, and we obtain a factor isomorphic to $\mathbb{G}_m X_{T'}^\varphi$. □

Therefore, $U(x_v)$ is smooth by induction.

Let us now prove that $U(x_u)$ is smooth. Let us choose instead a matching M containing the domino $u - v$, thanks to Lemma 1.11. This amounts to go through an isomorphism in the groupoid G_T and hence preserves the open set $U(x_u)$.

Let F be the forest $T \setminus \{u\}$. Because u is green, the coloring of F is obtained from that of T by restriction, and the dimension of F is $\dim(T) + 1$. Let v, T_1, \dots, T_k be the connected components of the forest F . By removing the domino $u - v$ we can restrict the matching M to a matching of the forest F .

The red–green component of T containing u splits into several red–green components in F , one of them being the vertex v . We take the versal condition on all of these red–green components of F and unchanged condition on all the other red–green components.

LEMMA 3.9. *The open set $U(x_u)$ is isomorphic to*

$$X_{\{v\}}^{\text{versal}} \prod_{j=1}^k X_{T_j}^\varphi, \tag{11}$$

where the first component is the vertex v with coefficient variable x_u .

Proof. Setting $x_u \neq 0$ in the equations allows us to get rid of the variable x'_u . The result can be described as a fiber product over \mathbb{G}_m , where the same coefficient variable x_u is attached to every connected component of F at a red vertex in a versal red–green component. By repeated use of Lemma 3.5 on all connected components (but not on the isolated vertex v) we find that the open set $U(x_u)$ is isomorphic to the product

$$X_v^{\text{versal}} \prod_{j=1}^k X_{T_j}^\varphi, \tag{12}$$

where the first component is the vertex v with coefficient x_u . □

Therefore, $U(X_u)$ is smooth by induction, and hence X_T^φ is also smooth.

3.3. Orange Trees

Let us now assume that T is an orange tree, and let us choose one domino $u - v$ in the perfect matching of T . By Lemma 2.9 the two open sets $U(x_u)$ and $U(x_v)$ cover the variety X_T .

By symmetry between u and v it suffices to prove that $U(x_u)$ is smooth.

Let T_1, \dots, T_k be the trees attached to u in $T \setminus \{v\}$. The T_i are clearly orange trees.

Let R be the connected component of v in $T \setminus \{u\}$. The tree R is obtained by removing a leaf in an orange tree and hence (by Lemma 1.12) has dimension 1 and a unique red–green component. Moreover, R has a maximum matching avoiding only v , and the vertex v is red in the coloring of R .

LEMMA 3.10. *The open set $U(x_u)$ is isomorphic to the product of the varieties X_{T_i} and the variety X_R^{versal} .*

Proof. Assuming that x_u is not zero allows us to eliminate the variable x'_u and the equation (2) of index u .

There remains the equations for the union of R and the T_i , with x_u considered as a parameter attached to all of them at the former neighbors of u .

Because the trees T_i are orange, we can consider instead (by Lemma 3.4) that the parameter x_u is only attached to the vertex v of R .

This proves that the open set $U(x_u)$ is isomorphic to the product of the varieties X_{T_i} and the variety X_R^{versal} . □

By induction this proves that $U(x_u)$ is smooth. Therefore, X_T is smooth too.

3.4. Torus Actions

Let T be a tree, and let φ be a choice in $\{\text{generic}, \text{versal}\}$ for every red–green component of T . Let us also choose a maximum matching M of T .

One can deduce from Proposition 2.4 and the remarks following it that there is an action of an algebraic torus of dimension $\dim(T)$ on X_T^φ , and that this torus

(and its action) can be written as a product over red–green components C . The factors are the tori Λ_T^C defined by the additional condition that $\lambda_i = 1$ outside of the component C .

Let us define a smaller torus Λ_T^φ acting on X_T^φ as the product of Λ_T^C over all generic red–green components of T . Let us call the *rank* of (T, φ) and denote by $\text{rk}(T, \varphi)$ the sum of the dimensions of the generic red–green components of T . This is the dimension of Λ_T^φ .

PROPOSITION 3.11. *If $\varphi(C)$ is generic, then the action of Λ_T^C on X_T^φ is free.*

Proof. Let us assume that there is a nontrivial element $\lambda = (\lambda_i)_i$ of Λ_T^C that fixes a point $(x_i)_i$ in X_T^φ .

Let i be a red vertex in C such that $\lambda_i \neq 1$. For every green neighbor j of i , because of (5), we can find another red vertex k incident to j such that $\lambda_k \neq 1$. Iterating this process, we can build an admissible set S (as defined in Section 2.2) such that $\lambda_s \neq 1$ for every $s \in S$.

Because λ fixes the given point, we then have $x_s = 0$ for every $s \in S$. But this is impossible by Lemma 3.6. □

COROLLARY 3.12. *There is on X_T^φ a free action by a torus Λ_T^φ of dimension the rank $\text{rk}(T, \varphi)$.*

This gives X_T^φ the structure of a principal bundle with structure group Λ_T^φ . As we will see later, this bundle is not trivial in general (i.e., not a product), as can be seen from our results for the cohomology already in type \mathbb{A}_3 .

4. Number of Points over Finite Fields and Euler Characteristic

Let us denote by $N_T^\varphi(q)$ the number of points on X_T^φ over the finite field \mathbb{F}_q .

When the tree is orange, we will use the shorthand notation N_T . When the function φ is constant, we will use the notations N_T^{versal} and N_T^{generic} .

PROPOSITION 4.1. *The numbers $N_T^\varphi(q)$ are monic polynomials in q of degree $\dim X_T^\varphi$.*

Proof. The proof is by induction on the size of the tree.

For the tree with one vertex, the number of points is $q - 1$ in the generic case and $q^2 - q + 1$ in the versal case, by the description given at the beginning of the proof of Theorem 3.1.

Then either the tree has a red–green component, which can be generic or versal, or it is an orange tree. The proof is decomposed into the three following geometric decomposition lemmas, or rather into their obvious corollaries on the number of points over finite fields. □

Let T be a tree, and v be a red leaf in a red–green component C of T . Because red vertices have only green neighbors, this implies that v is a leaf in T . Let u be the neighbor of v . Removing the vertex v creates an orange avalanche and

may separate the red–green component C into several ones. Let φ be the induced genericity condition (as defined in Remark 2.10). Let F be the forest $T \setminus \{u, v\}$. The component C may also split into several red–green components in F . Let φ be the induced genericity condition.

As explained in Section 2, the variety X_T^φ depends on the choice of a maximum matching M , but different choices are isomorphic and related by the action of the groupoid G_T . Hence, it is always possible to choose the matching at our convenience. For the next two lemmas, let us pick a maximum matching M of T containing v . This is possible by Lemma 1.11.

Let us consider now the case of a generic red–green component C .

LEMMA 4.2. *In this situation, the variety X_T^φ can be decomposed as*

$$X_T^\varphi = \mathbb{G}_m X_{T \setminus \{v\}}^\varphi \sqcup A_1 X_F^\varphi. \tag{13}$$

Proof. Either x_v is not zero, or x_v is zero. This will give the required disjoint union. In the case where $x_v \neq 0$, we use Lemma 3.7. This gives the first term of the right-hand side.

Assume now that x_v is zero. Then x'_v is a free variable, and x_u is equal to -1 because there are no coefficients on v . We then get rid of x'_u . The coloring of the forest F is by restriction of the coloring of T . Therefore, the parameter $x_u = -1$ is attached to some red vertices of F as a coefficient.

We have to check that the genericity condition still holds on every connected component of F . Let S be an admissible set in one of these components. Either S is already an admissible set in T , and then the genericity condition still holds, or it contains exactly one of the neighbors of u in T . In this case, we can extend S by adding v to form an admissible set in T . The genericity condition for $S \sqcup \{v\}$ in T implies the condition for S because of the additional coefficient -1 attached to S in F . □

Keeping the same notations, let us consider now the case of a versal red–green component C .

LEMMA 4.3. *In this situation, the variety X_T^φ can be decomposed as*

$$X_T^\varphi = \mathbb{G}_m^2 X_{T \setminus \{v\}}^\varphi \sqcup A_1 X_F^\varphi. \tag{14}$$

Proof. Either x_v is not zero, or x_v is zero. This will give the required disjoint union. If $x_v \neq 0$, using Lemma 3.8 gives the first term of the right-hand side.

Assume now that x_v is zero. Then x'_v is a free variable, and x_u is equal to -1 because there are no coefficients on v . We then get rid of x'_u . The coloring of the forest F is by restriction of the coloring of T . Therefore, the parameter $x_u = -1$ is attached to red vertices of F . By Lemma 3.5 it can be detached, and this just gives the expected second term. □

Let T be an orange tree, and $u - v$ be a domino in T . Let $(T_{u,i})_i$ (resp. $(T_{v,j})_j$) be the connected components of $T \setminus \{u, v\}$ that were attached to u (resp. to v). All these trees are orange. Let us denote by $S_{u,i}$ and $S_{v,j}$ the forests obtained

from them by removing the vertex that was linked to u or v . These forests are unimodal in the sense that they have one unimodal connected component, all the other connected components being orange.

LEMMA 4.4. *In this situation, we have*

$$\begin{aligned}
 X_T = & \mathbb{G}_m^2 \prod_i X_{T_{u,i}} \prod_j X_{T_{v,j}} \sqcup A_1 \prod_i X_{S_{u,i}}^{\text{versal}} \prod_j X_{T_{v,j}} \\
 & \sqcup A_1 \prod_i X_{T_{u,i}} \prod_j X_{S_{v,j}}^{\text{versal}}.
 \end{aligned}$$

Proof. Because the open sets $U(x_u)$ and $U(x_v)$ are a covering by Lemma 2.9, we can cut the variety X_T into three pieces: either both x_u and x_v are not zero, or exactly one of them is zero.

If both are not zero, then we obtain the product of \mathbb{G}_m^2 (with coordinates x_u and x_v) with the product of the varieties attached to the $T_{u,i}$ and the $T_{v,j}$. Indeed, we first get that x_u becomes a parameter attached to all trees $T_{u,i}$ and x_v becomes a parameter attached to all trees $T_{v,j}$. But these trees are orange, so x_u and x_v can be detached by Lemma 3.4. This gives the first term.

If x_u is zero and x_v is not zero, then there is a free variable x'_u , and the variable x_v is determined by the variables attached to the vertices of the trees $T_{u,i}$ linked to u , which must be nonzero. We obtain therefore a versal condition on each forest $S_{u,i}$. For the trees $T_{v,j}$, the coefficient x_v is attached to all of them, but because they are orange, it can be detached. This gives the second term.

The third term is the same after exchanging u and v . □

4.1. Reciprocal Property

Recall from Section 3.4 that the rank $\text{rk}(T, \varphi)$ of the pair (T, φ) formed by a tree T and a choice function φ is the sum of the dimensions of the generic red-green components of T .

PROPOSITION 4.5. *The polynomial $N_T^\varphi(q)$ is divisible par $(q - 1)^{\text{rk}(T, \varphi)}$.*

Proof. This follows from the existence of the free action obtained in Corollary 3.12. □

Let us refine this slightly. Recall that a polynomial $\sum_{j=0}^N c_j q^j$ is called *reciprocal* (or palindromic) if $c_{N-j} = c_j$ for $0 \leq j \leq N$.

PROPOSITION 4.6. *The polynomial N_T^φ can be written as $(q - 1)^{\text{rk}(T, \varphi)}$ times a reciprocal polynomial.*

Proof. By induction. This is true for the tree with one vertex.

We just have to look carefully at the decompositions given in the three lemmas that were used to prove polynomiality by induction.

For Lemma 4.2, let D be the rank for T . Then the rank is $D - 1$ for $T \setminus \{v\}$ and D for F . Using the additional factor $q - 1$ coming from \mathbb{G}_m , we have that there is a common factor $(q - 1)^D$ to all terms involved. The factor A_1 in the codimension 1 piece ensures that the reciprocal property holds.

For Lemma 4.3, the rank D is the same in all terms involved. We use that $(q - 1)^2$ is reciprocal. The factor A_1 in the codimension 1 piece ensures that the reciprocal property holds.

For Lemma 4.4, the rank D is 0 in all terms involved since there is no generic red–green component. We use again that $(q - 1)^2$ is reciprocal. The factor A_1 in the codimension 1 pieces ensures that the reciprocal property holds. \square

4.2. Enumeration and Coincidences

In the following remarks, we will describe trees by their numbers in the tables at the end of [CDS80] and by their graph6 string (which is a standard format for graphs).

REMARK 4.7. We can find distinct orange trees with the same enumerating polynomial. This happens first for trees with 10 vertices, as shown in Figure 3. The trees 2.188 (graph6 '1hGGOC@?G') and 2.189 (graph6 '1hC_GCA?G') have the same polynomial, as well as the trees 2.172 (graph6 '1hGGOCA?G') and 2.174 (graph6 '1hGH?C@?G'). The number of different polynomials for orange trees with $2n$ vertices is the sequence

$$1, 1, 2, 5, 13, 41, 138, \dots,$$

whereas the number of orange trees is

$$1, 1, 2, 5, 15, 49, 180, \dots$$

REMARK 4.8. For unimodal trees with versal condition, we can also find pairs with the same enumerating polynomials. The smallest one is made of trees with nine vertices, shown in Figure 4, and numbered 2.83 (graph6 'HhCGOCA') and 2.85 (graph6 'HhGGGG@'). The number of different polynomials for unimodal trees with $2n + 1$ vertices is the sequence

$$1, 1, 2, 6, 19, 65, \dots,$$

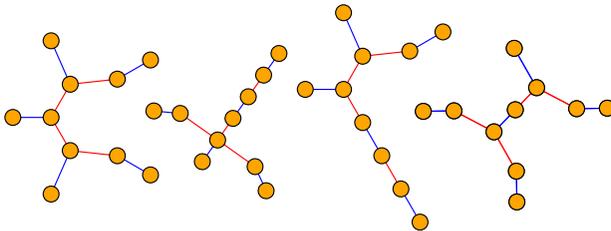


Figure 3 Trees 2.172 and 2.174, 2.188 and 2.189

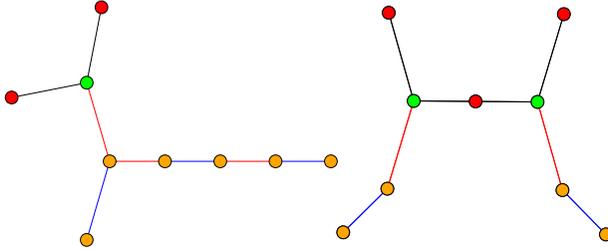


Figure 4 Trees 2.83 and 2.85

whereas the number of unimodal trees is

$$1, 1, 2, 6, 20, 76, 313, 1361, \dots$$

REMARK 4.9. For unimodal trees with generic condition, we can also find pairs with the same enumerating polynomials. The smallest one is made of the Dynkin diagrams \mathbb{A}_7 and \mathbb{E}_7 . The number of different polynomials for unimodal trees with $2n + 1$ vertices is the sequence

$$1, 1, 2, 5, 13, 46, 168, \dots$$

4.3. Linear Trees

Let us denote by \mathbb{A}_n the linear tree with n vertices.



We can check that \mathbb{A}_n is orange if n is even and unimodal if n is odd.

PROPOSITION 4.10. The number of points on varieties attached to \mathbb{A}_n is given by

$$N_{\mathbb{A}_n} = \frac{q^{n+2} - 1}{q^2 - 1} \tag{15}$$

if n is even and by

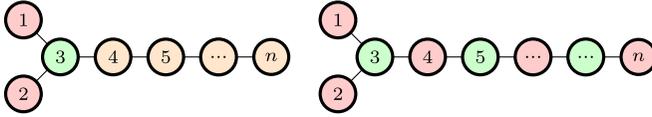
$$N_{\mathbb{A}_n}^{\text{versal}} = \frac{q^{n+2} + 1}{q + 1} \quad \text{and} \quad N_{\mathbb{A}_n}^{\text{generic}} = \frac{(q^{(n+1)/2} - 1)(q^{(n+3)/2} - 1)}{q^2 - 1} \tag{16}$$

if n is odd.

Proof. This follows easily by induction from Lemmas 4.2, 4.3, and 4.4. □

4.4. Trees of Type \mathbb{D}

Let us denote by \mathbb{D}_n the tree with n vertices associated with the Dynkin diagram of type \mathbb{D} .



We can check that \mathbb{D}_n is unimodal if n is odd and has dimension 2 if n is even.

PROPOSITION 4.11. *The number of points on varieties attached to \mathbb{D}_n is given by*

$$N_{\mathbb{D}_n}^{\text{versal}} = \frac{q^{n+3} - q^{n+2} + q^n + q^3 - q + 1}{q + 1} \quad \text{and} \quad (17)$$

$$N_{\mathbb{D}_n}^{\text{generic}} = (q^{n/2} - 1)^2$$

if n is even and by

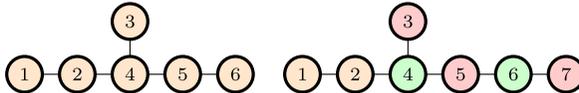
$$N_{\mathbb{D}_n}^{\text{versal}} = \frac{q^{n+3} - q^{n+2} + q^n - q^3 + q - 1}{q^2 - 1} \quad \text{and} \quad N_{\mathbb{D}_n}^{\text{generic}} = q^n - 1 \quad (18)$$

if n is odd.

Proof. This is easily deduced from the type \mathbb{A} case, using Lemmas 4.2 and 4.3 applied to a red leaf on a short branch. \square

4.5. Trees of Type \mathbb{E}

Let us consider now a family of trees containing the Dynkin diagrams of type \mathbb{E} . The tree \mathbb{E}_n is the tree with one triple point and branches of size 1, 2, and $n - 4$.



We can check that \mathbb{E}_n is orange if n is even and unimodal if n is odd.

PROPOSITION 4.12. *The number of points on varieties attached to \mathbb{E}_n is given by*

$$N_{\mathbb{E}_n} = (q^2 - q + 1) \frac{q^{n-1} - 1}{q - 1} \quad (19)$$

if n is even and by $N_{\mathbb{E}_n}^{\text{versal}} = (q^2 - q + 1)(1 + q^{n-1})$ and

$$N_{\mathbb{E}_n}^{\text{generic}} = \frac{q^{n+1} - q^n + q^{n-1} - q^{(n+3)/2} - q^{(n-1)/2} + q^2 - q + 1}{q - 1} \quad (20)$$

if n is odd.

Proof. In the even case, we use Lemma 4.4 applied to the domino on the short branch and the known type \mathbb{A} cases. In the odd case, we use Lemmas 4.3 and 4.2 applied to the red leaf on the short branch and the known type \mathbb{A} cases. \square

4.6. Orange Trees and Unimodal Trees

Let us now describe a recursion involving only the polynomials for orange trees and versal unimodal trees.

Let T be an orange tree, and v be a leaf of T . Let T' be the unimodal tree $T \setminus \{v\}$, and let F be the orange forest obtained from T by removing the domino $u - v$ containing v .

LEMMA 4.13. *There is a decomposition*

$$X_T = X_{T'}^{\text{versal}} \sqcup A_1 X_F. \tag{21}$$

Proof. This decomposition is made according to the value of x_v .

If $x_v = 0$, then we have a free parameter x'_v , which gives the factor A_1 . We also have $x_u = -1$, and we can get rid of x'_u . The value -1 is attached as a coefficient to some orange vertices of F , but we can detach this coefficient by Lemma 3.4. There remain the equations for X_F .

If $x_v \neq 0$, then we can use Lemma 3.10. In the special case of a leaf, this gives an isomorphism with $X_{T'}^{\text{versal}}$. □

We can use Lemma 4.13 to compute the enumerating polynomials for orange trees and versal unimodal trees only by the following algorithm.

Step 0: if the tree T is of type \mathbb{A}_n with n even, then use the known value from (15) in Proposition 4.10.

Step 1: if the tree T is orange, then find a leaf v whose branch has minimal length. Here the branch is the longest sequence of vertices of valency 2 starting at the unique neighbor of the leaf (it could be empty). Then use Lemma 4.13 applied to the leaf v to compute N_T .

Step 2: if the tree T is unimodal, then find a red leaf w whose branch has maximal length. Adding a vertex v at the end of this branch gives an orange tree T' . Then use Lemma 4.13 (backwards) applied to the tree T' and its leaf v to compute N_T .

This will work because each step either shortens the shortest branch or adds some vertex to the longest branch. This makes sure that the tree become more and more linear and that at some point we are reduced to the initial step. This is a decreasing induction on the number of points of valency at least 3 and the length of the longest branch.

REMARK 4.14. For orange trees, we can use instead in this algorithm Lemma 4.4, maybe choosing a domino close to the center of the tree for a better complexity.

4.7. Euler Characteristic and Independent Sets

Let us denote by $\text{vc}(T)$ the number of minimum vertex covers of T . This is also the number of maximum independent sets.

Let us now describe a decomposition of the versal varieties according to independent sets (not necessarily maximum).

If S is a subset of the vertices of T , we can define $W_T(S)$ as the set of points in X_T^{versal} where

$$x_u = 0 \quad \text{if } u \in S, \tag{22}$$

$$x_u \neq 0 \quad \text{if } u \notin S. \tag{23}$$

The sets $W_T(S)$ are obviously disjoint in X_T^{versal} .

LEMMA 4.15. *If the set $W_T(S)$ is not empty, then S is an independent set in T .*

Proof. This follows from Lemma 2.9. □

PROPOSITION 4.16. *Let S be an independent set in T . There is an isomorphism*

$$W_T(S) \simeq (\mathbb{G}_m)^{t+\dim(T)-2s} (A_1)^s,$$

where t is the size of T , and s is the size of S .

Proof. Let us fix a maximum matching M of T .

For every u not in S , we can use the hypothesis $x_u \neq 0$ to get rid of x'_u and of the equation of index u . There remain only the equations of index v for $v \in S$. Because $x_v = 0$ when $v \in S$, the variables x'_v for $v \in S$ do no longer appear in the equations, and hence they are free. This gives the factor $(A_1)^s$.

Then there remain s equations of the general shape

$$-1 = \alpha_i \prod_{j-i} x_j, \tag{E_i}$$

involving the $t - s$ invertible variables x_u and the $\dim(T)$ coefficient variables α_i . The factor α_i is present in this equation only if the vertex i is not covered by the chosen maximum matching M .

We will use the following auxiliary graph \widehat{T} . The vertices are the vertices of T and new vertices Z_i indexed by coefficient variables α_i for $i \notin M$. The edges of \widehat{T} are edges of T and new edges between the vertex Z_i and the vertex i for every $i \notin M$. Clearly, this graph is still a tree and admits a perfect matching \widehat{M} by adding dominoes $i - Z_i$ to the matching M .

Because S is an independent set in T , there is at most one element of S in every edge of \widehat{T} . Let us orient every edge containing an element of S toward this element if the edge is a domino and in the other way otherwise. This defines a partial order on the vertices of \widehat{T} , decreasing along the chosen orientation of edges.

Consider now the equation (E_i) associated with a vertex $i \in S$. There is a unique domino $i - j$ in \widehat{T} containing i . The equation can then be used to express the variable x_j in terms of variables of lower index in the partial order.

We can therefore eliminate one variable for every equation. At the end, we obtain an algebraic torus whose dimension is the difference between the number $t - s + \dim(T)$ of initial variables and the number s of equations. □

COROLLARY 4.17. *The Euler characteristic of X_T^{versal} is $\text{vc}(T)$.*

Proof. Every set $W_T(S)$ contributes either 0 or 1 to the Euler characteristic. It contributes by 1 if and only if the exponent $t + \dim(T) - 2s$ is zero.

This exponent can be expressed as

$$(r(T) + o(T) + g(T)) + (r(T) - g(T)) - 2s.$$

It is therefore zero if and only if $s = r(T) + o(T)/2$, which is the size of the maximum independent sets in T . □

Of course, we can also use Proposition 4.16 to give a formula for the number of points N_T^{versal} as a sum over independent sets.

COROLLARY 4.18. *The value at $q = 1$ of the polynomial N_T^{versal} is the number $\text{vc}(T)$ of maximum independent sets of T .*

5. Cohomology: General Setting and Results

In this section, we first describe some differential forms that are always present in the varieties under study and then very briefly recall the results we need about (mixed) Hodge structures. For a general reference about mixed Hodge structures, see, for example, [PS08].

5.1. Weil–Petersson Two-Form

Let T be a tree, and let S be a subset of T . Let S' be another copy of the set S . Consider the augmented tree obtained from T by adding a new edge $s - s'$ out of every vertex s in S , where $s' \in S'$ is the element corresponding to $s \in S$. Denote this tree by $T + S'$. Endow this tree with a bipartite orientation, where every vertex is either a sink or a source.

As a simpler variant of the definition of the variety X_T^φ , we can define a variety $X(T; S)$ attached to this data, with invertible variables associated to the new vertices in S' . The equations are given by one exchange relation (2) for each vertex of T . The invertible variables attached to vertices in S' are playing the role of coefficients in these equations (as the α do).

Let ω_i denote $d \log(x_i)$. The following lemma has been proved by Greg Muller [Mul12] in a more general context.

LEMMA 5.1. *The differential form*

$$\text{WP} = \sum_{i \rightarrow j} \omega_i \omega_j, \tag{24}$$

where the sum is running over edges of $T + S'$, is an algebraic differential form on the variety $X(T; S)$.

Proof. Let us prove that it has no pole. Let us fix i . To study the possible pole along $x_i = 0$, it suffices to look at the sum $\sum_{j \leftrightarrow i} \omega_i \omega_j$ restricted to the edges containing i .

By the relation $x_i x'_i = 1 + \prod_{j \leftrightarrow i} x_j$ we have

$$x_i dx'_i + x'_i dx_i = \sum_{j \leftrightarrow i} \left(\prod_{\substack{k \neq j \\ k \leftrightarrow i}} x_k \right) dx_j, \tag{25}$$

and therefore

$$x_i dx'_i dx_i = \sum_{j \leftrightarrow i} \left(\prod_{\substack{k \neq j \\ k \leftrightarrow i}} x_k \right) dx_j dx_i. \tag{26}$$

This implies

$$dx'_i dx_i / \prod_{k \leftrightarrow i} x_k = \sum_{j \leftrightarrow i} \omega_j \omega_i, \tag{27}$$

where the left-hand side clearly has no pole at x_i . □

Note that here WP stands for Weil–Petersson. This differential form has been introduced (for general cluster algebras) and given this name in [GSV05], and it is closely connected to the relations between cluster algebras and Poisson or symplectic geometry.

The varieties X_T^φ can be obtained from the varieties $X(T; S)$ (where S is taken to be the set of red vertices not covered by a maximum matching) by fixing the values of some of the coefficient variables, namely those belonging to red–green components where φ is generic. It follows that this differential form still makes sense (with the same description) on the varieties X_T^φ by pullback.

Abusing notations, we will use the same symbol WP to denote these differential forms on different varieties. The ambient variety should be clear from the context.

5.2. Hodge Structures

We will use the notation $\mathbb{Q}(-i)$ to denote a one-dimensional vector space over \mathbb{Q} endowed with a pure Hodge structure of Tate type, of weight $2i$ and type (i, i) . The tensor product of $\mathbb{Q}(-i)$ and $\mathbb{Q}(-j)$ is $\mathbb{Q}(-i - j)$.

Recall that the cohomology of \mathbb{G}_m has a Hodge structure described by

$$H^k(\mathbb{G}_m) = \mathbb{Q}(-k) \tag{28}$$

for $0 \leq k \leq 1$.

There is no morphism between pure Hodge structures of distinct weights. The Künneth isomorphism is compatible with the Hodge structures. The Mayer–Vietoris long exact sequence is an exact sequence of Hodge structures.

6. Cohomology: Orange and Versal Cases

This section deals with the cohomology in several cases where either varieties do not depend on parameters or versal conditions are assumed on all parameters. The first part is devoted to linear trees; the results there can then be used as building blocks.

6.1. Linear Trees \mathbb{A}

Let \mathbb{A}_n be the linear tree with n vertices numbered from 1 to n . As seen in Section 4.3, this is an orange tree if n is even and an unimodal tree otherwise. Some of the results of this section were already obtained in [Cha11] using instead the cohomology with compact supports.

6.1.1. *Cohomology of Some Auxiliary Varieties for \mathbb{A} .* Let us introduce three varieties $X_n, Y_n,$ and Z_n with dimensions $n, n + 1,$ and $n + 1$.

The variety Z_n is defined by variables $x_1, \dots, x_n, x'_1, \dots, x'_n,$ and α such that

$$x_1 x'_1 = 1 + \alpha x_2, \tag{29}$$

$$x_i x'_i = 1 + x_{i-1} x_{i+1}, \tag{30}$$

$$x_n x'_n = 1 + x_{n-1}. \tag{31}$$

The variety Y_n is the open set in Z_n where α is invertible. The variety X_n is the closed set in Y_n where α is fixed to a generic invertible value (where generic means distinct from $(-1)^{(n+1)/2}$ if n is odd). In our general notation, Y_n is $X_{\mathbb{A}_n}^{\text{versal}}$, and X_n is $X_{\mathbb{A}_n}^{\text{generic}}$.

Let us first describe the variety Z_n .

PROPOSITION 6.1. *There exists an isomorphism between Z_n and the affine space \mathbb{A}_{n+1} .*

Proof. This has been proved in [Cha11, Prop. 3.6]. □

Therefore, the cohomology of Z_n is known for all n :

$$H^k(Z_n) = \begin{cases} \mathbb{Q}(0) & \text{if } k = 0, \\ 0 & \text{if } k > 0. \end{cases} \tag{32}$$

The constant function 1 gives a basis of $H^0(Z_n)$.

Let us now compute the cohomology of Y_n by induction. This uses the Mayer-Vietoris long exact sequence for the covering of Z_n by the two open sets $U(x_1)$ and $U(\alpha)$.

First, let us note that $U(\alpha) \simeq Y_n$ by definition. Next, we find that $U(x_1) \simeq \mathbb{A}_1 Y_{n-1}$. Indeed, we can eliminate x'_1 using the first equation. Then α becomes a free variable, and there remain the equations for Y_{n-1} , with x_1 now playing the role of α . Last, the intersection $U(\alpha) \cap U(x_1)$ is isomorphic to $\mathbb{G}_m Y_{n-1}$ by the same argument.

Let us write ω_α for $d \log(\alpha)$.

PROPOSITION 6.2. *The cohomology ring of Y_n has the following description:*

$$H^k(Y_n) = \mathbb{Q}(-k) \tag{33}$$

for $0 \leq k \leq n + 1$. It has a basis given by powers of WP in even degrees and by powers of WP times ω_α in odd degrees. It is generated by the 1-form ω_α and the 2-form WP.

Proof. Because of the vanishing of $H^k(Z_n)$ for $k > 0$, the Mayer–Vietoris long exact sequence gives short exact sequences

$$0 \rightarrow H^0(Z_n) \rightarrow H^0(Y_n) \oplus H^0(U(x_1)) \rightarrow H^0(U(\alpha) \cap U(x_1)) \rightarrow 0$$

and

$$0 \rightarrow H^k(Y_n) \oplus H^k(U(x_1)) \rightarrow H^k(U(\alpha) \cap U(x_1)) \rightarrow 0$$

for every $k > 0$. This determines by induction the Hodge structure of the cohomology of Y_n .

Let us now proceed to the expected basis. We already know that WP and ω_α are indeed algebraic differential forms on Y_n . By those short exact sequences we can check that, for $k > 0$, the union of the expected basis of $H^k(Y_n)$ with the known basis of $H^k(U(x_1))$ is mapped to a basis of $H^k(U(\alpha) \cap U(x_1))$. This implies the statement. \square

6.1.2. *Cohomology for \mathbb{A}_n with Even n .* Let us now consider the linear tree \mathbb{A}_n for even n and compute the cohomology of X_n .

PROPOSITION 6.3. *The Hodge structure of the cohomology of X_n is*

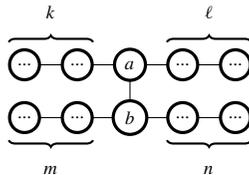
$$H^k(X_n) = \mathbb{Q}(-k) \tag{34}$$

for all even k between 0 and n , and 0 otherwise. A basis is given by powers of WP. The cohomology ring is generated by WP.

Proof. This follows from the known cohomology of Y_n and the Künneth theorem applied to the isomorphism $Y_n \simeq X_n \mathbb{G}_m$ given by Lemma 3.4. The Künneth theorem gives immediately the Hodge structure.

For the basis, it suffices to recall that the \mathbb{G}_m factor is given by the value of α and to check that fixing the value $\alpha = 1$ maps WP (for Y_n) to WP (for X_n). \square

6.2. Cohomology for Orange Trees of Shape H



Let us denote by $H_{k,\ell,m,n}$ the tree described as two chains joined by an edge such that by removing the joining edge and its extremities a and b we get two chains of lengths k and ℓ on the a side (top) and two chains of lengths m and n on the b side (bottom).

We assume now that $H_{k,\ell,m,n}$ is an orange tree. This implies that either k , ℓ , m , and n are even if the middle edge is an orange domino or that (without loss of generality) k and m are odd and l and n are even otherwise.

Then we can compute the cohomology of $H_{k,\ell,m,n}$ using the Mayer–Vietoris long exact sequence for the open covering by $U(x_a)$ and $U(x_b)$.

When the middle edge is an orange domino, we have

$$\begin{aligned} U(x_a) &\simeq X_k X_\ell Y_{m+n+1}, \\ U(x_b) &\simeq Y_{k+\ell+1} X_m X_n, \\ U(x_a) \cap U(x_b) &\simeq (\mathbb{G}_m)^2 X_k X_\ell X_m X_n. \end{aligned} \tag{35}$$

When the middle edge is not an orange domino, we find instead

$$\begin{aligned} U(x_a) &\simeq Y_k X_\ell X_{m+n+1}, \\ U(x_b) &\simeq X_{k+\ell+1} Y_m X_n, \\ U(x_a) \cap U(x_b) &\simeq Y_k X_\ell Y_m X_n. \end{aligned} \tag{36}$$

Let us introduce some notation: let K, L, M, N be the subsets of vertices corresponding to the four branches of H (i.e., the connected components of $H \setminus \{a, b\}$).

Let us denote by W_S the Weil–Petersson 2-form associated with a subset S of the vertices of H . For conciseness, we will use shortcuts such as W_{KaL} or W_{MabN} . Note that there hold

$$\omega_a W_{aL} = \omega_a W_L$$

and other similar simplifications by definition (24) of these forms.

Let us now describe generators and bases of the cohomology of the open sets $U(x_a), U(x_b)$, and $U(x_a) \cap U(x_b)$. This can be computed using the isomorphisms (35) and (36) and the known cohomology of varieties X and Y . It turns out that the result does not depend on whether or not the middle edge $a - b$ is an orange domino.

The cohomology of $U(x_a)$ is generated by ω_a, W_{Ka}, W_{aL} , and W_{MabN} . A basis is given by

$$W_{Ka}^\kappa W_{aL}^\lambda W_{MabN}^B \quad \text{and} \quad \omega_a W_K^\kappa W_L^\lambda W_{MbN}^B, \tag{37}$$

where $0 \leq \kappa \leq k/2, 0 \leq \lambda \leq l/2$, and $0 \leq B \leq (m + n + 2)/2$ (left) or $0 \leq B \leq (m + n)/2$ (right).

Similarly, the cohomology of $U(x_b)$ is generated by ω_b, W_{Mb}, W_{bN} , and W_{KabL} . A basis is given by

$$W_{Mb}^\mu W_{bN}^\nu W_{KabL}^A \quad \text{and} \quad \omega_b W_M^\mu W_N^\nu W_{KaL}^A, \tag{38}$$

where $0 \leq \mu \leq m/2, 0 \leq \nu \leq n/2$, and $0 \leq A \leq (k + l + 2)/2$ (left) or $0 \leq A \leq (k + l)/2$ (right).

The cohomology of $U(x_a) \cap U(x_b)$ is generated by $\omega_a, \omega_b, W_{Mb}, W_{bN}, W_{Ka},$ and W_{aL} . A basis is given by

$$\begin{aligned} W_{Ka}^\kappa W_{aL}^\lambda W_{Mb}^\mu W_{bN}^\nu, & \quad \omega_a W_K^\kappa W_L^\lambda W_{Mb}^\mu W_{bN}^\nu, \\ \omega_a \omega_b W_K^\kappa W_L^\lambda W_M^\mu W_N^\nu, & \quad \text{and} \quad \omega_b W_{Ka}^\kappa W_{aL}^\lambda W_M^\mu W_N^\nu, \end{aligned} \tag{39}$$

with the same conditions as before on κ, λ, μ , and ν .

There is a bigrading corresponding to the top and bottom parts of the H shape. Every differential form involved in the bases just described is a sum of products of ω_i . The bidegree of a monomial in the ω_i is the pair (the number of ω_i where

i is in the top row, the number of ω_i where i is in the bottom row). Among the various Weil–Petersson forms involved, only the differential forms W_{KabL} and W_{MabN} are not homogeneous for the bidegree but have terms in bidegrees $(2, 0)$ and $(1, 1)$ (resp. $(0, 2)$ and $(1, 1)$).

We now need to compute explicitly the following maps in the Mayer–Vietoris long exact sequence:

$$H^i(U(x_a)) \oplus H^i(U(x_b)) \xrightarrow{f_i} H^i(U(x_a) \cap U(x_b)).$$

Because we have bases of all these spaces, this is a matter of matrices.

For odd degree i , let us show that the differential is injective. Because in this case all basis elements (given by right columns of (37), (38), and (39)) are homogeneous for the bigrading, we can separate the cases of bidegree congruent to $(0, 1)$ and to $(1, 0)$ modulo $(2, 2)$. Let us give details only for the first possibility, the other case being similar after exchanging the top and bottom of H . The basis of the corresponding bihomogeneous subspace of $H^i(U(x_b))$ is given by $\omega_b W_{KaL}^A W_M^\mu W_N^\nu$ with $i = 1 + 2A + 2\mu + 2\nu$. The corresponding bihomogeneous subspace of $H^i(U(x_a))$ is zero. The basis of the corresponding bihomogeneous subspace of $H^i(U(x_a) \cap U(x_b))$ is given by $\omega_b W_{Ka}^\kappa W_{aL}^\lambda W_M^\mu W_N^\nu$ with $i = 1 + 2\kappa + 2\lambda + 2\mu + 2\nu$. But W_{KaL}^A can be written as a linear combination of $W_{Ka}^\kappa W_{aL}^\lambda$ with $\kappa + \lambda = A$. Therefore, the basis elements are mapped to linear combinations with disjoint supports. It follows that the map f_i is injective.

Let us now turn to even degrees.

PROPOSITION 6.4. *For even degree $2i$, the kernel of the differential f_{2i} has dimension 1, spanned by the i th power of the form WP.*

Proof. First, note that we can define an injective map Δ from the space $H^{2i}(U(x_a) \cap U(x_b))$ to the space D_i spanned by all products of i 2-forms of the shape $\omega_s \omega_t$ for $s - t$ an edge of the tree (always written in the order given by a fixed alternating orientation of the tree). Indeed, both terms in the left column of (39) can be written as linear combinations of such products. The injectivity holds because distinct elements in this part of the basis are mapped to linear combinations with disjoint supports. To recover a basis element B from any monomial in its image by Δ , first, count in $\Delta(B)$ if the number of ω_k in the top row is odd or even. This tells if the basis elements B contains $\omega_a \omega_b$ or not. Then it is easy to recover the exponents $(\kappa, \lambda, \mu, \nu)$ defining B by counting in $\Delta(B)$ how many ω_k there are in the different parts of the tree.

To prove the statement of the proposition, it therefore suffices to compute the kernel of the composite map $\Delta \circ f_{2i}$.

It turns out that the matrix of this composite map has a nice description. First, every monomial d made of i 2-forms $\omega_s \omega_t$ as before appears in exactly two images, the image of a form $W_{Ka}^\kappa W_{aL}^\lambda W_{MabN}^B$ and the image of a form $W_{Mb}^\mu W_{bN}^\nu W_{KabL}^A$ (with opposite signs). Let us denote these two forms by $F_a(d)$

and $F_b(d)$. On the other hand, the image of every basis element is the sum of several monomials (at least one) with constant sign.

Let us pick an element z of the kernel of f_{2i} . Then for every monomial d in D_i , the coefficients of $F_a(d)$ and $F_b(d)$ in z must be the same. We can make a graph with vertices given by all forms in the basis and edges corresponding to the relations $F_a(d) - F_b(d)$ for all monomials d .

By a combinatorial argument we can check that this graph is connected. For this, we just have to show that we can go from any monomial d to any monomial d' using two kinds of moves: replace d by another monomial appearing in the same $F_a(d)$, or replace d by another monomial appearing in the same $F_b(d)$. This is not difficult once translated in terms of dominoes, and details are left to the reader.

From the connectedness of this graph we deduce that the kernel is spanned by the sum of all basis elements of $H^{2i}(U(x_a)) \oplus H^{2i}(U(x_b))$, which is just (WP^i, WP^i) . □

This proposition and the injectivity in the case of odd degree allow us to give a description of the weights of the Hodge structure on the cohomology. This can easily be made explicit, but we will not do that here.

There would remain to find explicit expressions for the cohomology classes coming from the coimage of the differentials f_i .

In the case of the Dynkin diagrams \mathbb{E}_6 and \mathbb{E}_8 , we can go further and compute explicit representatives of the cohomology classes.

By the general proof the cohomology for \mathbb{E}_6 is described by

$$\mathbb{Q}(0) \mid 0 \mid \mathbb{Q}(-2) \mid 0 \mid \mathbb{Q}(-3) \oplus \mathbb{Q}(-4) \mid 0 \mid \mathbb{Q}(-6),$$

where the $\mathbb{Q}(-i)$ with i even correspond to the powers of WP.

Using the connection homomorphism in the long exact sequence, we find that the form

$$dx_2 dx_3 dx_5 \omega_4 \tag{40}$$

corresponds to $\mathbb{Q}(-3)$.

Similarly, the cohomology for \mathbb{E}_8 is described by

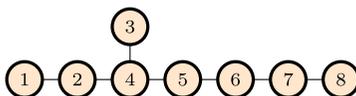
$$\mathbb{Q}(0) \mid 0 \mid \mathbb{Q}(-2) \mid 0 \mid \mathbb{Q}(-3) \oplus \mathbb{Q}(-4) \mid 0 \mid \mathbb{Q}(-5) \oplus \mathbb{Q}(-6) \mid 0 \mid \mathbb{Q}(-8),$$

where the even $\mathbb{Q}(-i)$ are the powers of WP.

We find that the form

$$dx_2 dx_3 dx_5 \omega_4 \tag{41}$$

corresponds to $\mathbb{Q}(-3)$ and its product by WP corresponds to $\mathbb{Q}(-5)$.



7. Cohomology: Generic Cases

This section contains one conjecture and one result in some specific cases about the cohomology of generic fibers.

7.1. Cohomology for \mathbb{A} Odd and Generic

Let us now consider the linear tree \mathbb{A}_n for odd n , which is unimodal. In this section, we propose a conjectural description for the cohomology of the variety $X_{\mathbb{A}_n}^{\text{generic}}$ (which is also denoted X_n in Section 6.1.1).

CONJECTURE 7.1. *The Hodge structure on the cohomology of X_n is given by*

$$H^k(X_n) = \mathbb{Q}(-k) \tag{42}$$

for even k in $0 \leq k \leq (n - 1)$, and

$$H^n(X_n) = \bigoplus_{i=(n+1)/2}^n \mathbb{Q}(-i). \tag{43}$$

The cohomology ring has a basis given by all powers WP^i for $0 \leq i \leq (n - 1)/2$ and by a basis of $H^n(X_n)$. The cohomology ring is generated by WP in degree 2 and by the elements of $H^n(X_n)$ in degree n .

One approach for this computation would be using the covering of X_n by the $(n + 1)/2$ open sets $U(x_i)$ (i odd) given by Lemma 3.6. We can then consider the spectral sequence for this covering (where d_1 is the deRham differential, and d_2 is the Čech differential).

The intersection of open sets in this covering has a simple description: they are products \mathbb{G}_m times two varieties of the type X_k with k even, times some varieties of type Y_k with k odd.

LEMMA 7.2. *This spectral sequence degenerates at E_2 .*

Proof. This follows from the purity of the Hodge structure on the cohomology of the open sets in the covering. □

It would therefore be sufficient to understand the behavior of the Čech differential acting on the cohomology groups of the open sets. This is still a rather intricate question. The conjecture has been checked by computer for $n \leq 11$. Maybe, we should look for a better approach.

REMARK 7.3. To give an explicit description of the generators of the top cohomology group seems to be an interesting problem.

7.2. Cohomology for \mathbb{D} Odd and Generic

Let us now consider the tree \mathbb{D}_n for odd n , which is unimodal. Our aim is to compute the cohomology of the variety $X_{\mathbb{D}_n}^{\text{generic}}$.

We will assume that the generic parameter α is attached to the vertex 1, where 1 and 2 are the two red vertices on the short branches. By Lemma 3.6 we have a covering by $U(x_1)$ and $U(x_2)$. We will use the Mayer–Vietoris long exact sequence for this covering. We have

$$\begin{aligned} U(x_1) &\simeq \mathbb{G}_m X_{n-1}, \\ U(x_2) &\simeq \mathbb{G}_m X_{n-1}, \\ U(x_1) \cap U(x_2) &\simeq \mathbb{G}_m Y_{n-2}. \end{aligned}$$

Given the known explicit description of the cohomology rings of X_{n-1} and Y_{n-2} , we can write very explicitly the long exact sequence.

First, note that the Hodge structure of $H^k(U(x_1)) \oplus H^k(U(x_2))$ is $2\mathbb{Q}(-k)$ for $0 \leq k \leq n$. Similarly, the Hodge structure of $H^k(U(x_1) \cap U(x_2))$ is $2\mathbb{Q}(-k)$, unless $k = 0$ or n where it is $\mathbb{Q}(-k)$.

Using the known basis of the cohomology, we can describe the map ρ_k from $H^k(U(x_1)) \oplus H^k(U(x_2))$ to $H^k(U(x_1) \cap U(x_2))$. We can see that this map has rank 1 if k is even. We can also check that it is an isomorphism if k is odd, unless $k = n$ where it has rank 1.

It follows that the Hodge structure on $H^k(X_{\mathbb{D}_n}^{\text{generic}})$ is given by

$$\begin{cases} \mathbb{Q}(-k) & \text{if } k \equiv 0 \pmod{2}, \\ \mathbb{Q}(-k + 1) & \text{if } k \equiv 1 \pmod{2}, k \notin \{1, n\}, \\ \mathbb{Q}(-n + 1) \oplus \mathbb{Q}(-n) & \text{if } k = n. \end{cases} \tag{44}$$

Moreover, it also follows from the explicit knowledge of the long exact sequence that the classes in even cohomological degree are just the powers of the 2-form WP.

We can also see that the Hodge structure $\mathbb{Q}(-n)$ in cohomological degree n is given by the differential form $\Lambda_{i=1}^n \omega_i$.

There remains to understand the even Hodge structures present in odd cohomological degrees.

By a small diagram chase and using the formula

$$\frac{1 - \alpha}{x_1 x_2} = \frac{x'_1}{x_2} - \alpha \frac{x'_2}{x_1}, \tag{45}$$

we find that a basis of the $\mathbb{Q}(-2)$ part of $H^3(X_{\mathbb{D}_n}^{\text{generic}})$ is given by the differential form

$$dx_3 \omega_1 \omega_2. \tag{46}$$

Moreover, a similar computation shows that products of this form by powers of WP give a basis for the even Hodge structures in odd cohomological degrees.

The cohomology ring is therefore generated by one generator in each degree 2, 3, and n (of Hodge type $\mathbb{Q}(-2)$, $\mathbb{Q}(-2)$, and $\mathbb{Q}(-n)$).

Appendix: Algorithm for the Canonical Coloring of Trees

Let us now describe an algorithm to find the red–orange–green coloring. Let T be a tree.

1. At start, all vertices are considered to be red.
2. Then, we change the colors according to the following rule:
 - If a vertex v has exactly one red neighbor w , this red neighbor becomes green.
 - If moreover v is green, then we put a domino on the edge $v - w$.
3. We repeat the previous step until no color can change.
4. Then we color in orange the green vertices that do not have a red neighbor.

We get in that way a coloring of the tree with green, orange, and red vertices, together with a collection of dominoes.

PROPOSITION A.1. *This algorithm defines the same coloring as in Section 1. Moreover, the dominoes obtained are those that are present in all maximum matchings.*

Proof. At the end of step 3, we have obtained a tree with red and green vertices, with the property that every vertex has either no red neighbor or at least two red neighbors.

Let us prove that a red vertex cannot have at least two red neighbors. Assume that there is such a vertex v_1 . Let v_2 be one of its red neighbors. Then v_2 must also have at least two red neighbors. Hence, we can find another red neighbor v_3 of v_2 . Going on in this way and because T is a tree, we can build an infinite sequence of red vertices, which is absurd.

So, after step 3, we have three kinds of vertices: red vertices (they have only green neighbors), green vertices with no red neighbors, and green vertices with at least two red neighbors.

It follows that after step 4, we have the following situation: red vertices with only green neighbors, green vertices with at least two red neighbors, and orange vertices with no red neighbors.

Using the third characterization of the coloring, it just remains to prove that the induced forest on orange vertices has a perfect matching. This matching is provided by the set of dominoes computed by the algorithm. When a domino is introduced, both its vertices are green. We need a lemma.

LEMMA A.2. *During the algorithm, the configuration*

$$u - v - w$$

where u is red and $v - w$ is a domino does not appear.

Proof. Let us assume the contrary, and let $u - v - w$ be such a configuration.

Because v still has a red neighbor, the domino $v - w$ must have been created by turning green the vertex v as the last red neighbor of the green vertex w .

Let us go back to this previous step of this algorithm, where u and v are red, and w is green with v as only red neighbor:

$$u - v - w.$$

So w must have another neighbor z such that w has turned green as the last red neighbor of z :

$$u - v - w - z.$$

We can assume, by changing maybe the order in which the algorithm has been performed, that z has turned green before w . This is because trees are bipartite, and the algorithm can be run independently on the two parts of the bipartition.

Therefore, w has turned green as the last red neighbor of the green vertex z and hence belongs to a domino $w - z$. Hence, we have found a configuration $v - w - z$ similar to the initial one:

$$v - w - z.$$

This can be iterated to provide an infinite sequence of vertices. This is absurd. \square

It follows from the lemma that once a domino is created, its vertices do not have any red neighbors. Therefore, they will be orange at the end.

This also implies that the dominoes are disjoint because the creation of a domino takes a red vertex with only green neighbors and a green vertex with exactly one red neighbor and produces a pair of green vertices with only green neighbors. Therefore, a vertex can only enter once in a domino.

Moreover, every orange vertex v is in a domino. This is because green vertices surrounded only by green vertices can only be introduced during the creation of a domino. \square

REMARK A.3. From the previous proof we can see that we can modify the algorithm as follows: when creating a new domino, color in orange its two vertices and forget step 4.

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