

## REFLEXIVE ALGEBRAS OF MATRICES

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**ABSTRACT.** We study some sufficient properties for an algebra of matrices to be reflexive. In particular we show that a semisimple algebra is reflexive. Commutative algebras are then considered, and it is seen that a commutative algebra of  $3 \times 3$  matrices is reflexive if either it can be diagonalized or it is of dimension 2. Finally we show that the algebra of all operators which leave invariant every element of a complemented lattice of subspaces forms a semisimple algebra. This is related to a result by Harrison and Longstaff on reflexive lattices of subspaces.

**1. Introduction.** Let  $V$  be a vector space of finite dimension  $n$  over the complex number  $\mathbf{C}$ . The algebra of all linear operators on  $V$  is denoted by  $\text{Hom } V$ . The algebra of  $n \times n$  matrices over  $\mathbf{C}$  is denoted by  $M_n$ .

The set of all subspaces of  $V$  is a modular lattice under the operations intersection (meet) and sum (join) of two subspaces. Further, any sublattice of this lattice is again modular.

Let  $\mathcal{L}$  be a lattice of subspaces of  $V$  and  $\mathcal{A}$  a subalgebra of  $\text{Hom } (V)$ . We define the operations  $\text{Alg}$  and  $\text{Lat}$  as follows.  $\text{Alg } \mathcal{L}$  is the set (necessarily an algebra) of all  $A \in \text{Hom } V$  which leave invariant every subspace  $W \in \mathcal{L}$ . Similarly  $\text{Lat } \mathcal{A}$  is the lattice of all subspaces of  $V$  which are left invariant by every element of  $\mathcal{A}$ .  $\mathcal{L}$  (respectively  $\mathcal{A}$ ) is called reflexive iff  $\text{Lat } \text{Alg } \mathcal{L} = \mathcal{L}$ , ( $\text{Alg } \text{Lat } \mathcal{A} = \mathcal{A}$  respectively). The classification of reflexive algebras and reflexive lattices is far from complete even in finite dimensional spaces, although some progress has been made (cf. [1, 3, 5, 6, 11]). It is worth noting, however, that every finite dimensional algebra is isomorphic to a reflexive one (cf. Brenner and Bulter, *J. London Math. Soc.* **40** (1965), 183–187). In this paper we shall study reflexivity and give a more algebraic proof of a result due to Harrison and Longstaff [7]. We shall also study some particular types of algebras such as commutative algebras of matrices. We close with a discussion of subspaces lattices which may be useful in generating examples.

In what follows all lattices will contain  $\{0\}$  and  $V$ , and all algebras will contain the identity,  $I$ , except for certain subalgebras of nilpotent matrices.

**2. Reflexive Algebras.** We begin with some lemmas concerning direct sums.

LEMMA 1. *If  $\mathcal{L}_1$  and  $\mathcal{L}_2$  are subspace lattices of  $V_1$  and  $V_2$  respectively, then  $\text{Alg } (\mathcal{L}_1 \oplus \mathcal{L}_2) = \text{Alg } \mathcal{L}_1 \oplus \text{Alg } \mathcal{L}_2$ .*

The proof is direct and is omitted as is the proof of lemma 2.

LEMMA 2. *If  $\mathcal{A}_1$  and  $\mathcal{A}_2$  are algebras of operators in  $\text{Hom } V_1$  and  $\text{Hom } V_2$  respectively, where for  $i = 1, 2$   $\dim V_i = n_i$ , then*

$$\text{Lat } (\mathcal{A}_1 \oplus \mathcal{A}_2) = \text{Lat } \mathcal{A}_1 \oplus \text{Lat } \mathcal{A}_2.$$

*Notation.* We use  $\mathbf{C}[x]$  and  $\mathbf{C}[A]$  to denote all polynomials with complex coefficients in  $x$  and  $A$ .

REMARKS. This result is not to be confused with Lemma 1 of [3]. In that paper Brickman and Fillmore are looking at  $\text{Lat } (A_1 \oplus A_2)$  where  $A_1$  and  $A_2$  are linear transformations. Thus in [3] the algebra under consideration is  $\mathbf{C}[A_1 \oplus A_2]$ , while in Lemma 2 we are considering the generally larger algebra  $\mathbf{C}[A_1] \oplus \mathbf{C}[A_2]$ .

Let  $\mathcal{A}$  be a subalgebra of  $\text{Hom } V$ , and let  $T \in \text{Hom } V$  be nonsingular. If  $TAT^{-1} = B$ , then it is easily shown that  $T(\text{Lat } \mathcal{A}) = \text{Lat } B$ . We shall make implicit use of this observation subsequently.

LEMMA 3. *Suppose  $\mathcal{A}_1$  and  $\mathcal{A}_2$  are subalgebras of  $\text{Hom } V_1$  and  $\text{Hom } V_2$ , respectively. Then  $\mathcal{A}_1 \oplus \mathcal{A}_2$  is a reflexive subalgebra of  $\text{Hom}(V_1 \oplus V_2)$  if and only if  $\mathcal{A}_1$  and  $\mathcal{A}_2$  are reflexive.*

This is an immediate consequence of Lemmas 1 and 2.

PROPOSITION 4. *If  $\mathcal{A}$  is a semisimple subalgebra of  $\text{Hom } V$ , then  $\mathcal{A}$  is reflexive.*

PROOF. It is known (cf. [2, 96]) that by a similarity we may assume

$$\mathcal{A} = \mathcal{A}_1 \oplus \cdots \oplus \mathcal{A}_p$$

where each  $\mathcal{A}_j$  consists of block diagonal matrices  $A_j = \{\text{diag } (A, \dots, A) \mid A \in M_{n_j}\}$ . Since  $I \in \mathcal{A}$ , then  $\mathcal{A}$  has no kernel. By proposition 2.2 of [1], each such  $\mathcal{A}_j$  is reflexive. Then, by Lemma 3 (extended in the obvious way),  $\mathcal{A}$  is also reflexive.

Let  $\mathcal{A}$  be a subalgebra of  $\text{Hom } V$ . Then  $\mathcal{A}$  is a \*-subalgebra of  $\text{Hom } V$  if and only if, for each  $A \in \mathcal{A}$ , we have  $A^* \in \mathcal{A}$  as well. [ $A^*$  is the conjugate transpose matrix.]

The result cited in the preceding proof has been known for sometime. For instance, it was known to T. Molien in 1893 (cf. Math. Ann. 41

(1893), 83–156). The more recent reference is given for the convenience of the reader.

**COROLLARY 5.** *Let  $\mathcal{A}$  be a \*-subalgebra of  $\text{Hom } V$ . Then  $\mathcal{A}$  is reflexive.*

**PROOF.** By Lemma 1 of [2] a \*-subalgebra of  $\text{Hom } V$  is semisimple. Therefore by proposition, 4,  $\mathcal{A}$  is reflexive.

This is of course the finite dimensional case of a known result on von Neumann algebras (cf. [5]).

If  $A$  is a linear transformation then we call  $A$  reflexive provided  $\mathbf{C}[A]$  is reflexive. If  $\mathcal{A}$  is a commutative reflexive algebra then (cf. [5]) each  $A \in \mathcal{A}$  is a reflexive operator. There are examples ([1] and [5]) which show that the converse is false. However, we have a simple criterion for the converse.

*Notation.* If  $\mathcal{A} = \mathbf{C}[A]$  we write  $\text{Lat } A$  for  $\text{Lat } \mathbf{C}[A]$ . Also if  $\mathcal{A}_1, \dots, \mathcal{A}_p$  are subalgebras of  $\text{Hom } V$ , then  $v_{j=1}^p \mathcal{A}_j$  denotes the algebra generated by  $\mathcal{A}_1, \dots, \mathcal{A}_p$ .

**PROPOSITION 6.** *Let  $\mathcal{A}$  be an algebra for which  $\mathcal{A} \supset \text{Alg Lat } A$  for all  $A \in \mathcal{A}$ . Then  $\mathcal{A}$  is reflexive if and only if for some basis  $\{T_1, \dots, T_m\}$  of  $\mathcal{A}$  we have*

$$(*) \quad \text{Alg} \left( \bigcap_{j=1}^m \text{Lat } T_j \right) = v_{j=1}^m \text{Alg Lat } T_j.$$

**PROOF.** Note that  $\bigcap \text{Lat } T_j \supset \text{Lat } \mathcal{A}$  for any subset  $\{T_1, \dots, T_m\} \subset \mathcal{A}$ . If the set is in fact a basis, then for any  $A \in \mathcal{A}$  we have  $A = \sum \alpha_j T_j$  for suitable  $\alpha_j$ , whence  $\bigcap \text{Lat } T_j = \text{Lat } \mathcal{A}$ .

First assume  $\mathcal{A}$  is reflexive (in which case  $\mathcal{A} \supset \text{Alg Lat } A$  for all  $A \in \mathcal{A}$ ). Then  $\mathcal{A} = \text{Alg Lat } \mathcal{A}$ . Since  $\bigcap_{j=1}^m \text{Lat } T_j \subset \text{Lat } T_k$ , for all  $k$ , then  $\text{Alg} \left( \bigcap_{j=1}^m \text{Lat } T_j \right) \supset \text{Alg Lat } T_k$ , for all  $k$ . Hence  $\text{Alg} \left( \bigcap_{j=1}^m \text{Lat } T_j \right) \supset v_{j=1}^m \text{Alg Lat } T_j$  and we have

$$\mathcal{A} = \text{Alg Lat } \mathcal{A} = \text{Alg} \left( \bigcap_{j=1}^m \text{Lat } T_j \right) \supset v_{j=1}^m \text{Alg Lat } T_j = v_{j=1}^m \mathbf{C}[T_j] = \mathcal{A}$$

since  $\{T_1, \dots, T_m\}$  is a basis. Therefore equality holds.

Conversely, suppose (\*) holds for the basis  $\{T_1, \dots, T_m\}$ . Then

$$\mathcal{A} \subset \text{Alg Lat } \mathcal{A} = \text{Alg} \left( \bigcap_{j=1}^m \text{Lat } T_j \right) = v_{j=1}^m \text{Alg Lat } T_j \subset \mathcal{A}$$

since  $\text{Alg Lat } T_j \subset \mathcal{A}$  for each  $j$ . Thus  $\mathcal{A}$  is reflexive.

**REMARK.** If  $\{T_1, \dots, T_m\}$  is a set of generators for  $\mathcal{A}$  rather than a

basis (and  $\mathcal{A} \supset \text{Alg Lat } A$  for all  $A$ ), then the result remains true because we still have  $\bigcap \text{Lat } T_j = \text{Lat } \mathcal{A}$ .

**2. Polynomial algebras.** We shall consider the case of an algebra generated by a single operator  $A$  which is a polynomial in another operator  $N$ .

**LEMMA 7.** *Let  $p(x) \in \mathbb{C}[x]$  with  $p'(0) \neq 0$ , and let  $N \in \text{Hom } V$  be nilpotent. If  $A = p(N)$ , then  $\mathbb{C}[A] = \mathbb{C}[N]$ .*

**PROOF.** This is an immediate consequence of the inverse function theorem (cf. [15]) and the fact that for a differentiable function  $g$  there is a polynomial  $q$  such that  $g(A) = q(A)$  ([10], 169).

**THEOREM 8.** *Let  $N \in \text{Hom } V$  be nilpotent, and let  $A = p(N)$  for some  $p(x) \in \mathbb{C}[x]$ . Then  $A$  is reflexive if and only if either  $N$  is reflexive or  $p'(0) = 0$ .*

**PROOF.** As we noted earlier, if  $N$  is reflexive, then so is  $A$ . So suppose  $p'(0) = 0$ . Let the elementary divisors of  $N$  be of orders  $n_1 \geq n_2 \geq \dots \geq n_t$ . We have two cases depending upon the last value of  $k$  for which  $p^{(k)}(0) \neq 0$ .

*Case 1.* Suppose  $p'(0) = \dots = p^{(k-1)}(0) = 0$  and  $p^{(k)}(0) \neq 0$  with  $1 < k < n_1$ . Then (cf. [12, p. 126])  $A$  has elementary divisors  $(\lambda - p(0))^{m_{ij}}$ ,  $i = 1, \dots, t, j = 1, \dots, k$ , where  $m_{ij} = [(n_i - j)/k] + 1$ , and  $[x]$  is the greatest integer function.

Since  $n_1 \geq n_2 \geq \dots \geq n_t$  we have  $m_{i1} \geq m_{i2} \geq \dots \geq m_{ik}$  and  $m_{11} \geq m_{21} \geq \dots \geq m_{t1}$ . Since either  $m_{12}$  or  $m_{21}$  is the degree of the second largest elementary divisor of  $A$ , then by the result of Deddens and Fillmore [5]  $A$  is reflexive if  $m_{11} - m_{12} \leq 1$ . But  $k > 1$  so that

$$\begin{aligned} 0 \leq m_{11} - m_{12} &= \left[ \frac{n_1 - 1}{k} \right] + 1 - \left[ \frac{n_1 - 2}{k} \right] - 1 \\ &\leq \left( \frac{n_1 - 1}{k} \right) - \left( \frac{n_1 - 2}{k} \right) + 1 \leq 1 + 1/k. \end{aligned}$$

Since  $m_{11} - m_{12}$  is an integer we have the desired inequality.

*Case 2.* If  $p'(0) = \dots = p^{(k-1)}(0) = 0$  and  $p^{(k)}(0) \neq 0$  for  $k \geq n$ , then  $A = p(N) = 0$  which is reflexive.

For the converse, if  $A$  is reflexive and  $p'(0) \neq 0$ , then by Lemma 7 we have  $N \in \mathbb{C}[A]$ , and so  $N$  is reflexive.

It is now straightforward to translate this result to the case  $A = p(C)$  where  $C$  is a general linear transformation. Essentially one considers the decomposition of  $C$  into its primary summands as in [3] or [5] and the induced decomposition of  $A$ . This we leave to the reader.

**3. Commutative algebras.** There are examples (see [1, p. 825]) of com-

mutative algebras  $\mathcal{A}$  each element of which is reflexive but such that  $\mathcal{A}$  is not reflexive. The first such example occurs for  $n = 4$ , so we shall examine the cases  $n = 2$  and  $n = 3$  as the case  $n = 1$  is trivial.

Let  $\mathcal{A}$  be a commutative algebra of  $2 \times 2$  matrices each element of which is reflexive. Then (cf. [14])  $\mathcal{A}$  can be simultaneously triangularized. If each  $A \in \mathcal{A}$  has a single eigenvalue, then since each  $A$  is reflexive, we must have  $A = \alpha I$  for some  $\alpha \in C$ . Therefore  $\mathcal{A} = \{\alpha I \mid \alpha \in C\}$  and is reflexive. The other possibility is that some  $A \in \mathcal{A}$  has (is similar to) the form  $\begin{bmatrix} \alpha & 0 \\ 0 & \beta \end{bmatrix}$  with  $\alpha \neq \beta$ . Then

$$A - \alpha I = \begin{bmatrix} 0 & 0 \\ 0 & \beta - \alpha \end{bmatrix} \in \mathcal{A},$$

whence  $\begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} \in \mathcal{A}$ . Similarly,  $\begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix} \in \mathcal{A}$ . In this case, then,

$$\mathcal{A} = \left[ \begin{bmatrix} \alpha & 0 \\ 0 & \beta \end{bmatrix} : \alpha, \beta \in C \right].$$

So  $\dim \mathcal{A} = 2$ . There are clearly  $2 = n^2 - \dim \mathcal{A}$  linearly independent rank 1 annihilators of  $\mathcal{A}$ , so by Longstaff's criterion ([11])  $\mathcal{A}$  is reflexive. Thus any algebra of  $2 \times 2$  matrices each element of which is reflexive is a reflexive algebra.

**THEOREM 9.** *Let  $\mathcal{A}$  be a commutative algebra of  $3 \times 3$  matrices. Assume each  $A \in \mathcal{A}$  is reflexive. Then  $\mathcal{A}$  is reflexive iff and only if all  $A \in \mathcal{A}$  can be simultaneously diagonalized or  $\dim \mathcal{A} = 2$ .*

**PROOF.** Recall that we are assuming  $I \in \mathcal{A}$ . Thus if  $\dim \mathcal{A} = 2$  there is an  $A \in \mathcal{A}$  such that  $\{I, A\}$  is a basis for  $\mathcal{A}$ . Then  $\mathcal{A} = C[A]$  is reflexive.

Suppose  $\mathcal{A}$  can be diagonalized. We assume all  $A \in \mathcal{A}$  to be diagonal and note that  $\dim \mathcal{A} \leq 3$ . The cases  $\dim \mathcal{A} = 1$  or  $2$  are trivial, so we assume  $\dim \mathcal{A} = 3$ . Then there are  $6 = 3^2 - \dim \mathcal{A}$  linearly independent rank 1 annihilators of  $\mathcal{A}$ , whence  $\mathcal{A}$  is reflexive.

Conversely suppose  $\mathcal{A}$  is reflexive. Since  $\mathcal{A}$  is commutative, the algebra can be simultaneously triangularized and block diagonalized with a single eigenvalue in each block [14, p. 4]. Assume  $\mathcal{A}$  is in this form. We shall argue on the number of distinct eigenvalues possible for an  $A \in \mathcal{A}$ . If some  $A \in \mathcal{A}$  has three distinct eigenvalues, then it has three diagonal blocks and so must every other matrix in  $\mathcal{A}$ . That is,  $\mathcal{A}$  is in diagonal form. If no element of  $\mathcal{A}$  has three distinct eigenvalues but some  $A \in \mathcal{A}$  has two, then  $A$  must be of the form

$$\begin{bmatrix} \alpha & \beta \\ 0 & \alpha \end{bmatrix} \oplus [\gamma], [\alpha] \oplus \begin{bmatrix} \gamma & \beta \\ 0 & \gamma \end{bmatrix}, \text{ or } \text{diag}(\alpha, \alpha, \gamma)$$

where  $C \oplus D$  is the direct sum of matrices  $C \oplus D = \begin{bmatrix} C & \\ & D \end{bmatrix}$ . But each  $A \in \mathcal{A}$  is reflexive so  $\beta = 0$  and  $\mathcal{A}$  is again in diagonal form.

Finally suppose each  $A \in \mathcal{A}$  has only one eigenvalue. Since  $\mathcal{A}$  is triangular,  $\dim \mathcal{A} \leq 4$ . If  $\dim \mathcal{A} = 1$ , then  $\mathcal{A} = \{\alpha I \mid \alpha \in C\}$ , and if  $\dim \mathcal{A} = 2$  the conclusion is satisfied. We shall show that dimensions 3 and 4 are not possible. Let

$$E_1 = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \quad E_2 = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \quad E_3 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{bmatrix}.$$

Then  $\mathcal{A} \subseteq \text{span} \{I, E_1, E_2, E_3\}$ . If  $\dim \mathcal{A} = 4$ , equality holds and  $E_1 + E_3 \in \mathcal{A}$ . But  $E_1 + E_3$  is not reflexive. Now suppose  $\dim \mathcal{A} = 3$ . Then any  $A \in \mathcal{A}$  is of the form

$$(*) \quad A = \begin{bmatrix} \lambda & \alpha & \beta \\ 0 & \lambda & \gamma \\ 0 & 0 & \lambda \end{bmatrix}.$$

If  $\alpha \gamma \neq 0$ , then  $(A - \lambda I)^2 \neq 0$ , so  $\mathcal{A}$  is spanned by  $I, B$ , and  $E_2$  where

$$B = \begin{bmatrix} 0 & \alpha & 0 \\ 0 & 0 & \gamma \\ 0 & 0 & 0 \end{bmatrix}.$$

In fact,  $\mathcal{A} = C[B]$ . But the elementary divisor of  $B$  is of degree 3, whence  $B$  is not reflexive, a contradiction. Thus either  $\alpha = 0$  or  $\gamma = 0$ . Without loss of generality we assume  $\gamma = 0$ . Since  $3 = \dim \mathcal{A} = \dim \text{span} \{I, E_1, E_2\}$ , then  $\mathcal{A} = \text{span} \{I, E_1, E_2\}$ . (It is easily checked that the set of all matrices of the form (\*) with  $\gamma = 0$  is closed under addition and multiplication.) Since for any  $A \in \mathcal{A}$ ,  $A - \lambda I$  with  $\lambda$  the eigenvalue of  $A$  is nilpotent of order two, then the elementary divisors of  $A$  are of degrees 2 and 1. Thus each  $A \in \mathcal{A}$  is reflexive. We establish that  $\mathcal{A}$  is not reflexive by showing it does not have  $6 = n^2 - \dim \mathcal{A}$  linearly independent rank one annihilators. We can immediately find five. Let  $E_{ij}$  be the matrix with a 1 in position  $(i, j)$  and zeros elsewhere and let

$$E = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{bmatrix}.$$

Then  $\mathcal{R} = \{E_{21}, E_{23}, E_{31}, E_{32}, E\}$  is a set of linearly independent rank 1 annihilators of  $\mathcal{A}$  under the inner product  $\langle A, B \rangle = \text{tr } A^*B$ . Suppose there were a sixth, say  $R = [r_{ij}]$ . If we use the facts that  $\text{rank } R = 1$  and that  $0 = \langle E_1, R \rangle = \langle E_2, R \rangle = \langle I, R \rangle$ , then a direct computation shows

that  $R$  is a linear combination of the elements of  $\mathcal{R}$ . Thus  $\mathcal{A}$  is not reflexive and the result is established.

If  $\mathcal{A}$  is an algebra of  $n \times n$  matrices, the commutant (or centralizer) of  $\mathcal{A}$  is the algebra

$$\mathcal{A}' = \{B \mid AB = BA \text{ for all } A \in \mathcal{A}\}.$$

If  $\mathcal{A} = \mathcal{A}'$  we call  $\mathcal{A}$  a maximal commutative algebra. In order for a maximal commutative algebra to be reflexive it must in some sense be relatively sparse. To make this precise we first introduce some terms. If  $\mathcal{A}$  is a commutative subalgebra of  $\text{Hom } V$ , then there is a decomposition of  $V$  into  $\mathcal{A}$  indecomposable subspaces  $V = V_1 \oplus \dots \oplus V_r$  of dimensions  $n_1, \dots, n_r$ , respectively, with respect to which  $\mathcal{A}$  has a representation  $\mathcal{A} \cong \mathcal{T}_1 \oplus \dots \oplus \mathcal{T}_r$  where the elements  $T_j \in \mathcal{T}_j$  are  $n_j \times n_j$  upper triangular matrices with constant diagonal. We shall call the ideals  $\mathcal{T}_j$  the indecomposable components of  $\mathcal{A}$ . If  $N$  is a nilpotent matrix we say that  $N$  is nilpotent of class  $p$  if  $N^p = 0$  but  $N^{p-1} \neq 0$ . If  $\mathcal{N}$  is an algebra of nilpotent matrices we say  $\mathcal{N}$  is nilpotent of class  $p$  if  $p$  is the largest nilpotency class of the elements of  $\mathcal{N}$ . If  $\mathcal{T}$  is an algebra of upper triangular matrices (as in the preceding) of the form  $CI + \mathcal{N}$  where  $\mathcal{N}$  is the subalgebra of  $\mathcal{T}$  of nilpotent matrices, we call  $p$  the nilpotency class of  $\mathcal{T}$  if  $\mathcal{N}$  is of class  $p$ .

**THEOREM 10.** *Let  $\mathcal{A}$  be a maximal commutative algebra of  $n \times n$  matrices with indecomposable  $\mathcal{T}_1, \dots, \mathcal{T}_r$  of orders  $n_1, \dots, n_r$  respectively. If some  $\mathcal{T}_j$  is nilpotent of class  $n_j$ , where  $n_j > 1$ ,  $\mathcal{A}$  is not reflexive. Further, if some  $\mathcal{T}_k$  is nilpotent of class  $n_k - 1$  with  $n_k > 3$ , then  $\mathcal{A}$  is not reflexive.*

**PROOF.** If  $\mathcal{A} = \mathcal{T}_1 \oplus \dots \oplus \mathcal{T}_r$ , then  $\mathcal{A}$  is reflexive iff and only if each  $\mathcal{T}_k$  is reflexive. Further if  $\mathcal{T} = \mathcal{A}$ , then, for each  $k$ ,  $\mathcal{T}_k = \mathcal{T}'_k$  (in  $M_{n_k}$ ). So it suffices to show that if some  $\mathcal{T}_j$  is of nilpotency class  $n_j > 1$  or  $n_j - 1 > 2$ , then  $\mathcal{T}_j$  contains a nonreflexive element.

First suppose  $\mathcal{T}_j$  is of nilpotency class  $n_j > 1$ . Then  $\mathcal{T}_j$  is similar to the algebra  $CI + \mathcal{N}$  where  $\mathcal{N}$  is generated by

$$U = \begin{bmatrix} 0 & 1 & 0 & \dots & 0 & 0 \\ 0 & 0 & 1 & \dots & 0 & 0 \\ 0 & 0 & 0 & \dots & 0 & 1 \\ 0 & 0 & 0 & \dots & 0 & 0 \end{bmatrix}$$

(cf. [16], Theorem 8, p. 51). But the elementary divisor of  $U$  is of degree  $n_j > 1$ , so  $U$  is not reflexive.

Next suppose  $\mathcal{T}_j$  is of class  $n_j - 1 > 2$ . Again from [16, Theorem 1, p. 100] the nilpotent subalgebra of  $\mathcal{T}_j$  contains the matrix  $N = E_{21} + \dots + E_{n_j-1, n_j-2}$ , which is not reflexive.

For an algebra  $\mathcal{A}$  we let  $\mathcal{J}$  denote the (Jacobson) radical of  $\mathcal{A}$ . When

$\mathcal{A}$  is commutative we draw attention to this assumption by using  $\mathcal{W}$  to denote the radical which consists of all nilpotent elements of  $\mathcal{A}$ . For any algebra  $\mathcal{A}$  we have  $\mathcal{A} = \mathcal{J} \oplus \mathcal{S}$  where  $\mathcal{S}$  is a semisimple subalgebra and the sum is a vector space sum. It is easy to see that  $\text{Lat } \mathcal{A} = \text{Lat } \mathcal{J} \cap \text{Lat } \mathcal{S}$ . So if  $\mathcal{A}$  is reflexive, then

$$\mathcal{A} = \text{Alg Lat } \mathcal{A} \cong \text{Alg Lat } \mathcal{J} \vee \text{Alg Lat } \mathcal{S} = \text{Alg Lat } \mathcal{J} \vee \mathcal{S} \cong \mathcal{A}$$

Thus  $\mathcal{A} \cong \text{Alg Lat } \mathcal{J}$ . An open question is whether this condition is also sufficient for reflexivity. In the commutative case it is.

**PROPOSITION 11.** *Let  $\mathcal{A}$  be a commutative algebra with radical  $\mathcal{W}$ , and suppose that  $\mathcal{A} \cong \text{Alg Lat } \mathcal{W}$ . Then  $\mathcal{A}$  is reflexive.*

**PROOF.** Since  $\mathcal{A}$  is commutative, we can simultaneously block triangularize  $\mathcal{A}$  so that  $\mathcal{A} = \mathcal{A}_1 \oplus \cdots \oplus \mathcal{A}_p$  where, for each  $j$ , we have

$$\mathcal{A}_j = \left\{ \begin{bmatrix} \rho & * & & \\ & \cdot & \cdot & \\ & & \cdot & \\ 0 & & & \rho \end{bmatrix} : \rho \in \mathbf{C} \right\}$$

(cf. [8] page 134 or [14]). Let  $\mathcal{S}_j$  and  $\mathcal{W}_j$  be the semisimple subalgebra and the radical of  $\mathcal{A}_j$  respectively. If  $\mathcal{A} \cong \text{Alg Lat } \mathcal{W}$ , then  $\mathcal{A}_j \cong \text{Alg Lat } \mathcal{W}_j$ . Clearly  $\text{Lat } \mathcal{W}_j \cap \text{Lat } \mathcal{S}_j = \text{Lat } \mathcal{W}_j$ , so we have

$$\text{Alg Lat } \mathcal{A}_j = \text{Alg}(\text{Lat } \mathcal{W}_j \cap \text{Lat } \mathcal{S}_j) = \text{Alg Lat } \mathcal{W}_j \subseteq \mathcal{A}_j.$$

Thus each  $\mathcal{A}_j$  is reflexive and the proof is finished by Lemma 3.

**4. Subspace Lattices.** In [7] Harrison and Longstaff showed that a complemented subspace lattice which satisfies an additional condition is reflexive. Their proof involves continuous geometries. Here we consider the algebraic implications of the assumption on the lattice.

**THEOREM 12.** *Let  $\mathcal{L}$  be a complemented lattice of subspaces. Then  $\mathcal{A} = \text{Alg } \mathcal{L}$  is semisimple.*

**PROOF.** Since every complemented modular lattice is relatively complemented ([4], 31), then  $\mathcal{L}$  is both relatively complemented and atomic. Thus we can find atoms  $H_1, \dots, H_m$  of  $\mathcal{L}$  such that no one of them is contained in the sum of the remaining and such that if  $V_j = H_1 \oplus \cdots \oplus H_j$ , then  $\{0\} = V_0 \subset V_1 \subset \cdots \subset V_m = V$  is a composition series for  $V$  as a (right)  $\mathcal{A}$  module where  $\mathcal{A} = \text{Alg } \mathcal{L}$ . Since each  $V_j$  has an invariant complement, we obtain a block diagonal form for  $\mathcal{A}$ : if  $A \in \mathcal{A}$ , then  $A = A_1 \oplus \cdots \oplus A_m$ . Let  $\mathcal{A}_j$  be the restriction of  $\mathcal{A}$  to  $H_j$ . By Burnside's theorem (e.g., [8] p. 276)  $\mathcal{A}_j$  is isomorphic to  $M_{n_j}$  where  $n_j = \dim H_j$ . Using standard techniques (cf. [17 pp. 4-5]) we can write  $\mathcal{A} = \mathcal{B}_1 \oplus$

$\cdots \oplus \mathcal{B}_i$  where each  $\mathcal{B}_j$  is of the form  $\mathcal{B}_j = \{B_j \oplus \cdots \oplus B_j; B_j \in M_{n_j}\}$ . Thus  $\mathcal{A}$  is semisimple.

REMARK. By Lemmas 1 and 2

$$\text{Lat Alg } \mathcal{L} = \text{Lat } \mathcal{B}_1 \oplus \cdots \oplus \text{Lat } \mathcal{B}_i.$$

Thus  $\mathcal{L}$  is reflexive if it contains the direct sum of the lattices of the  $\mathcal{B}_j$ . However, the sets  $\text{Lat } \mathcal{B}_j$  are well known. Their atoms are the graph subspaces of the corresponding vector spaces (cf. [6 pp. 261–2] or [7 p. 1023]). The result of Harrison and Longstaff [7] now follows by a direct argument from the representation of  $\text{Alg } \mathcal{L}$  obtained in Theorem 12.

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