

THE LATTICE OF GROUPS CONTAINING $PSL(n, q)$ AND ACTING ON GRASSMANNIANS

BY

JUSTINE SKALBA

Section 1

We consider here the set Ω of all subspaces of a fixed dimension inside a vector space. This set is technically called a Grassmannian. The special linear group has a natural representation on Ω , which we will show to be essentially maximal inside the symmetric group on Ω . More precisely, we have the following terminology and result.

Let V be an n -dimensional vector space over a finite field with q elements. Let $\Omega = \Omega(V, k)$ be the set of all k -dimensional subspaces of V . Then $PGL(n, q)$ has a faithful natural representation on $\Omega(n, k)$, which we will denote by $G_o = G_o(n, k)$. In the case $n = 2k$, (G_o, Ω) is permutation isomorphic to its dual, and we have natural graph automorphisms arising from the inverse transpose transformation. We define $\hat{G}_o = \langle G_o, j \rangle$ where j is any non-trivial graph automorphism of G_o . Observe that G_o has index 2 in \hat{G}_o , and all graph automorphisms are contained in \hat{G}_o . Let $S_o = S_o(n, k)$ be the representation of $PSL(n, q)$ on Ω . Denote by A_n the alternating group on Ω . Finally, let G be any subgroup of S_n containing S_o . We will prove:

THEOREM. *Suppose $1 \leq k \leq n$ and $(n, k) \neq (2, 1)$.*

If $n \neq 2k$, then $G \subseteq G_o$ or $A_n \subseteq G$.

If $n = 2k$, then $G \subseteq \hat{G}_o$ or $A_n \subseteq G$.

There are questions concerning what occurs when we represent a Chevalley group on the cosets of a maximal parabolic subgroup. In particular, when is this group maximal in the alternating or symmetric group on these cosets? A maximal parabolic subgroup is maximal as a subgroup of its Chevalley group [9]. In the case of $PSL(n, q)$, the maximal parabolics fix k -dimensional subspaces for $1 \leq k < n$. Therefore the representation of S_o on Ω is primitive. In our case, it's very easy to prove this directly. As the idea of the proof is used in a later lemma, we include it further on in our introduction.

The cases $k = 1$, $n \geq 3$ have already been solved by Kantor and McDonough [7]. Considering the dual space of V , the cases $k = n - 1$ with

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$n \geq 3$ are also done. In particular, all cases when $n = 3$ are completed. This will be used as a starting point for a proof by induction on n .

We will be considering two groups, T and H , where T is generated by a certain group of projective transvections in S_o , and H is the centralizer of T in G . The group H has been introduced to deal with special difficulties arising in the $k = 2$ case. In Section 2, we find key information about the structure of $N_G(T)$ and $N_G(H)$.

Continuing, in Section 3, we show T and H to be almost weakly closed in their Sylow p -subgroups. Finally, in Section 4, G is shown to preserve the relation $\{(\alpha, \beta) \mid \alpha, \beta \in \Omega \text{ and } \dim(\alpha \cap \beta) = k - 1\}$. Chow [2] and Dieudonné [3] have used this relation to characterize $PGL(n, q)$ acting on $\Omega(V, k)$ in such a way as to give a generalization of the fundamental theorem of projective geometry. Using the result [2] or [3], our theorem follows immediately.

A proof of this theorem has been announced by V.A. Ustimenko-Bakumovskii [10], but unfortunately contains serious errors and omissions.

At this point, we present a short, elementary proof on the primitivity of S_o .

Let $\alpha \in \Omega$. Define $\Delta_i(\alpha) = \{\beta \in \Omega \mid \dim(\alpha \cap \beta) = k - i + 1\}$, $1 \leq i \leq k + 1$. These $\Delta_i(\alpha)$ form the orbits of $(S_o)_\alpha$ on Ω .

LEMMA 1. $S_o(n, k)$ is primitive on $\Omega(V, k)$ for all $1 \leq k < n$.

Proof. Clearly $S_o(n, k)$ is transitive. Let Φ be a block of $S_o(n, k)$ with $|\Phi| \geq 2$. Then Φ contains α and β , where $\beta \in \Delta_i(\alpha)$ for some $i > 1$. Thus

$$\{\alpha\} \cup \beta^{(S_o)_\alpha} = \{\alpha\} \cup \Delta_i(\alpha) \subseteq \Phi.$$

By symmetry, $\Delta_i(\beta) \subseteq \Phi$. As an element of the projective geometry $P(V)$,

$$\alpha = \alpha' + (\alpha \cap \beta) \quad \text{and} \quad \beta = \beta' + (\alpha \cap \beta)$$

where $\dim(\alpha') = \dim(\beta') = i - 1 \geq 1$.

Let $\alpha_1 \in \Omega(\alpha', 1)$ and $\zeta \in \Omega(V, i - 2)$, where $\zeta \cap \alpha = \zeta \cap (\alpha_1 + \beta) = 0$. This makes sense as $i - 2 \leq k - 1$. Then $\gamma = (\alpha \cap \beta) + \alpha_1 + \zeta \in \Delta_i(\beta)$, so $\gamma \in \Phi$. Since $\gamma \in \Delta_{i-1}(\alpha)$ also, $\Delta_{i-1}(\alpha) \subseteq \Phi$.

Suppose $i \neq k + 1$. Thus $\dim(\alpha \cap \beta) = k - i + 1 \geq 1$. Let

$$\beta_1 \in \Omega(\beta', 1), \quad \xi \in \Omega(\alpha \cap \beta, k - i) \quad \text{and} \quad \eta \in \Omega(V, i - 1),$$

where $\eta \cap (\alpha + \beta_1) = \eta \cap \beta = 0$. (Here $i - 1 \leq k - 1$.) Then $\delta = \xi + \beta_1 + \eta \in \Delta_i(\beta)$, so $\delta \in \Phi$. Since $\delta \in \Delta_{i+1}(\alpha)$ also, $\Delta_{i+1}(\alpha) \subseteq \Phi$.

Continuing in this manner, we can show $\Phi = \Omega$.

Notation and terminology. For each element $f \in \text{Hom}(V, F_q)$ and $v \in f^{-1}(0)$ there corresponds a transvection $t_{f,v} : x \rightarrow x + f(x)v$, where $x \in V - \{0\}$. Let W be a hyperplane of V , and $T = T(W)$ be the group generated by the projective transvections fixing W . Then T is an elementary abelian p -group stabilizing the chain $V \supset W \supset 0$ for some prime p , and $|T| = |W| = q^{n-1}$.

Let Δ be the support of T on Ω , and Γ the fixed point set of T , so that

$\Gamma = \Omega(W, k)$. For $\omega \in \Omega(W, k-1)$, we define $\psi_\omega = \{\alpha \in \Delta \mid \alpha \cap W = \omega\}$. Clearly, ψ_ω is an orbit of T on $\Omega(V, k)$, and $|\psi_\omega| = |W/\omega| = q^{n-k}$. We shall use $\overline{\Delta}$ for the set $\{\psi_\omega \mid \omega \in \Omega(W, k-1)\}$ of orbits of T on Δ .

We introduce the notation

$$[s] = \prod_{i=0}^{s-1} \frac{q^{r-i} - 1}{q^{i+1} - 1} \text{ and } [r] = 1, \text{ where } r \geq s \geq 0; [s] = 0 \text{ if } s < 0.$$

Thus

$$|\Omega| = [k], |\Gamma| = [n-k], |\overline{\Delta}| = [n-k] \text{ and } |\Delta| = q^{n-k}[n-k].$$

Our proof of the theorem is by induction on n . Therefore, in all the remaining lemmas, we assume that the theorem holds for every vector space of dimension less than n . Because of the result of Kantor and McDonough, we also assume $k \neq 1, n-1$ and $n \geq 4$. In addition, we suppose that $A_n \not\subseteq G$.

Section 2

We need the following result in order to prove Lemma 2.1: If $x \geq 25$, then there is a prime r such that $x < r < 6x/5[5]$.

LEMMA 2.1. *Let N be any subgroup of G containing $N_{S_o}(T)$ and having Δ and Γ as orbits, with $\overline{\Delta}$ as a set of blocks. Then:*

(i) either

$$N^{\overline{\Delta}} \subseteq N_{G_o}(T)^{\overline{\Delta}} \cong PGL(n-1, q)$$

or

$$n = 2k - 1 \text{ and } N^{\overline{\Delta}} \subseteq (N_{G_o}^{\wedge}(T)^{\overline{\Delta}}),$$

and

(ii) either

$$N^{\Gamma} \subseteq N_{G_o}(T)^{\Gamma} \cong PGL(n-1, q)$$

or

$$n = 2k + 1 \text{ and } N^{\Gamma} \subseteq (N_{G_o}^{\wedge}(T)^{\Gamma}).$$

Proof. Since $N_{S_o}(T) \subseteq N$, it follows that $N_{S_o}(T)^{\overline{\Delta}} \subseteq N^{\overline{\Delta}}$ and $N_{S_o}(T)^{\Gamma} \subseteq N^{\Gamma}$. We observe that $(N_{S_o}(T)^{\overline{\Delta}})'$ acts like $S_o(n-1, k-1)$ on $\Omega(W, k-1)$, and $(N_{S_o}(T)^{\Gamma})'$ like $S_o(n-1, k)$ on $\Omega(W, k)$. Thus we can apply our inductive assumption.

(A) Suppose $A_{\overline{\Delta}} \subseteq N^{\overline{\Delta}}$. We now show that $A_{\overline{\Delta}} \subseteq N_{\Gamma}^{\overline{\Delta}}$ also.

If not, N^{Γ} has $A_{\overline{\Delta}}$ as a composition factor. Using induction to find the possibil-

ities for N_Γ , this can happen only if $|\Gamma| = |\bar{\Delta}|$, that is, $n = 2k$. Then $(N^\Gamma)' \cong (N^{\bar{\Delta}})' \cong (N^{\Gamma \cup \bar{\Delta}})' \cong A_{\bar{\Delta}}$. Let p_1, p_2 be projections of $(N^{\Gamma \cup \bar{\Delta}})'$ onto $(N^\Gamma)'$ and $(N^{\bar{\Delta}})'$, respectively. Thus $\phi = p_2 \circ p_1^{-1} : (N^\Gamma)' \rightarrow (N^{\bar{\Delta}})'$ is an isomorphism. As $|\Gamma| > 6$, we know that ϕ is a permutation isomorphism. Therefore, for α in Γ , the subgroup $\phi((N^\Gamma)_\alpha)$ fixes a point $\bar{\beta}$ in $\bar{\Delta}$. In particular, $\phi(N_{s_0}(T)_\omega^\Gamma)$ fixes $\bar{\beta}$ also, and we have a contradiction. Hence, $A_{\bar{\Delta}} \subseteq N_\Gamma^{\bar{\Delta}}$. As $|\bar{\Delta}| > 2$, this means that $N_\Gamma^{\bar{\Delta}}$ is transitive.

Since T is transitive on each ψ_ω , and $T \subseteq N_{\Gamma \cup \bar{\Delta}}$, it follows that N_Γ is transitive on Δ . As G is primitive, by 13.1 of [11], G must be doubly-transitive. Therefore 15.1 of [11] holds, i.e.,

$$(*) \quad m \geq \frac{|\Omega|}{3} - \frac{2\sqrt{|\Omega|}}{3}, \text{ where } m \text{ is the minimal degree of } G.$$

Case (i). $k > 2$. Let h be a p -element of N_Γ whose image in $N_\Gamma^{\bar{\Delta}}$ is a pq^{n-k} -cycle, and let $g = h^{q^{n-k}}$. Then g moves only $(pq^{n-k})q^{n-k}$ points. Since

$$|\text{sup}(g)| < \frac{|\Omega|}{3} - \frac{2\sqrt{|\Omega|}}{3},$$

this contradicts (*).

Case (ii). $k = 2$. Let L be the kernel of the homomorphism $N \rightarrow N_\Gamma^{\bar{\Delta}}$.

(a) Assume $q^{n-2} \geq 100$. Let h be an element of N_Γ whose image in $N_\Gamma^{\bar{\Delta}}$ is an r -cycle, where r is a prime such that $|\psi_\omega|/4 < r < 3|\psi_\omega|/10$. If L has no elements of order r , then $|\text{sup}(h^{|L|})| \leq rq^{n-2}$, contradicting (*) as before. Thus, we assume that L has an element of order r . Since $T \subseteq L$, the set of non-trivial orbits of L is $\bar{\Delta}$. Hence each L^{ψ_ω} has an element, say g_ω , of order r . Our g_ω consists of at most 3 r -cycles because $4r > |\psi_\omega|$.

Suppose L^{ψ_ω} is imprimitive. Let θ be a non-trivial block of L^{ψ_ω} . As $|\theta| \mid |\psi_\omega|$, we have $p \leq |\theta| \leq |\psi_\omega|/p$. Choose θ to contain a point α in $\text{sup}(g_\omega)$. Suppose $\theta \not\subseteq \text{sup}(g_\omega)$. Let $\beta \in \theta \cap \text{fix}(g_\omega)$. Clearly $\{\beta\} \cap \alpha^{<g_\omega>} \subseteq \theta$, and so $|\theta| \geq 1 + r$. As $|\psi_\omega| < 4r$, we must have $|\theta| = |\psi_\omega|/p$, where p is 2 or 3. Next, suppose $\theta \subseteq \text{sup}(g_\omega)$. In addition, assume $|\theta| > 3$. Then θ contains two points of an r -cycle of g_ω . As r is a prime, it contains the entire r -cycle, and again $|\theta| = |\psi_\omega|/p$. Combining all possibilities, we have $|\theta| = p$ or $|\psi_\omega|/p$, where $p = 2, 3$. Hence L^{ψ_ω} is contained in

$$S_p \text{ wr } S_{|\psi_\omega|/p} \quad \text{or} \quad S_{|\psi_\omega|/p} \text{ wr } S_p,$$

and has a composition factor which acts primitively on a set of degree $|\psi_\omega|/p$ and contains an r -cycle. Since $r < 3|\psi_\omega|/10$ and $|\psi_\omega| \geq 100$, we have $r + 3 \leq |\theta|$. Then we can use 13.9 of [11] to show that L^{ψ_ω} has $A_{|\psi_\omega|/p}$ as a composition factor. Thus $m \leq 6|\bar{\Delta}|$ or $15|\bar{\Delta}|$ for $p = 2$ or 3 , respectively. But this contradicts (*). We conclude that L^{ψ_ω} is primitive.

If we consider g_ω again and 13.10 of [11], we must have $A_{\psi_\omega} \subseteq L^{\psi_\omega}$. Since $q^{n-2} \neq 4$ or 6 , any non-trivial homomorphism $(L^{\psi_\omega})' \rightarrow (L^{\psi_\omega})'$ is a permutation isomorphism. This means if $g|_{\psi_\omega}$ is a 3-cycle, then $g|_{\psi_\omega}$ is a 3-cycle or the identity element. Thus $m \leq 3|\bar{\Delta}|$, a contradiction.

(b) For the cases $q^{n-2} = 25, 27, 32, 49, 64$ and 81 , let r be $7, 11, 17, 13, 17$ and 23 , respectively. We easily obtain contradictions as above. Now consider the remaining cases: $q^{n-2} = 4, 8, 9$ and 16 . Define s to be $5, 13, 11$ and 19 , respectively. Clearly N_Γ^Δ has an element of order s . Let h be a pre-image of this element in N_Γ , and $g = h^{|L|}$. Thus $\text{Inn}(g)$ acts on each L^{ψ_ω} and has order s . All groups of degrees $4, 8, 9$ and 16 are known, and in every case $s \notin \pi(L^{\psi_\omega})$. Thus g normalizes a Sylow subgroup P_t for each prime $t \in \pi(L^{\psi_\omega})$. Let $t^u \parallel |L^{\psi_\omega}|$ for some integer u , so $\text{Aut}(P_t/\Phi(P_t))$ is a subgroup of $GL(u, t)$. Since $s \nmid |GL(u, t)|$, it follows that g centralizes $P_t/\Phi(P_t)$. By a theorem of Burnside (5.1.4) [4], g centralizes P_t . This is true for all t , thus g centralizes L^{ψ_ω} , for each ω . Hence g centralizes L , and therefore T . We can choose $t \in T$ so that $\text{sup}(g) \neq \text{sup}(t)$, and consequently $t^s \neq t$, a contradiction. Therefore (i) holds.

(B) Suppose $A_\Gamma \subseteq N^\Gamma$. Then N^Γ does not have $PSL(n-1, q)$ as a composition factor, and so $A_\Gamma \subseteq N_\Delta^\Gamma$. As $|\Gamma| > |\psi_\omega|$, we have $A_\Gamma \subseteq N_\Delta^\Gamma$ and a contradiction by 13.5 of [11]. Hence (ii) holds as well.

Let v be a non-zero vector in $V-W$, and define $T' = T'(v)$ to be the group generated by the projective transvections fixing $\langle v \rangle$. Then T' is the elementary abelian p -group of order q^{n-1} stabilizing the chain $V \supset \langle v \rangle \supset 0$.

Further, let $\Delta' = \text{sup}(T')$ and $\Gamma' = \text{fix}(T') = \{\alpha \in \Omega(V, k) \mid \langle v \rangle \subset \alpha\}$. For each $\alpha \in \Omega(W, k)$, we define $\varrho_\alpha = \alpha^{T'}$. Then $\{\varrho_\alpha \mid \alpha \in \Omega(W, k)\}$ is the set $\overline{\Delta'}$ of orbits of T' on Δ' . Clearly $|\varrho_\alpha| = |\text{Hom}(\alpha, \langle v \rangle)| = q^k$. Note that

$$|\Gamma'| = \begin{bmatrix} n-1 \\ k-1 \end{bmatrix}, \quad |\overline{\Delta'}| = \begin{bmatrix} n-1 \\ k \end{bmatrix} \quad \text{and} \quad |\Delta'| = q^k \begin{bmatrix} n-1 \\ k \end{bmatrix}.$$

Since $N_{S_p}(T')$ on $\Omega(V, k)$ is permutation isomorphic to $N_{S_p}(T)$ on $\Omega(V, n-k)$, we have the following result.

COROLLARY 2.2. *Let M be any subgroup of G containing $N_{S_p}(T')$ and having Δ' and Γ' as orbits, with $\overline{\Delta'}$ as a set of blocks. Then*

(i) *either*

$$M^{\overline{\Delta'}} \subseteq N_{G_o}(T')^{\overline{\Delta'}} \cong PGL(n-1, q)$$

or

$$n = 2k + 1 \quad \text{and} \quad M^{\overline{\Delta'}} \subseteq (N_{G_o}^\wedge(T')^{\overline{\Delta'}}),$$

and

(ii) *either*

$$M^{\Gamma'} \subseteq N_{G_o}(T')^{\Gamma'} \cong PGL(n-1, q)$$

or

$$n = 2k - 1 \quad \text{and} \quad M^{\Gamma'} \subseteq (N_{G_o}^\wedge(T')^{\Gamma'}).$$

Let X be any group acting on a set Ω , and Λ some subset of Ω . Define $X_{[\Lambda]}$ to be the largest subgroup of X fixing Λ as a set.

LEMMA 2.3. *If J is the largest subgroup of G having Δ' and Γ' as orbits, then*

$$J^{\Gamma'} \subseteq N_{G_o}(T')^{\Gamma'} = G_{o|\Gamma'}^{\Gamma'} \cong PGL(n-1, q)$$

or

$$n = 2k - 1 \quad \text{and} \quad J^{\Gamma'} \subseteq (G_{o|\Gamma'}^{\Gamma'}).$$

Proof. Define M as in Corollary 2.2. Then $M \subseteq J$, and so $N_{S_o}(T')^{\Gamma'} \subseteq J^{\Gamma'}$. If the lemma does not hold, then, by induction, $A_{\Gamma'} \subseteq J^{\Gamma'}$.

(A) Suppose $J^{\Delta'}$ is imprimitive. By Corollary 2.2 some α in $\Omega(W, k)$ belongs to a non-trivial block σ distinct from ϱ_α . Since $M \subseteq J$, it follows that σ is a block of $M^{\Delta'}$ also, and so is contained in ϱ_α . As σ is non-trivial, there is a β in $\sigma - \{\alpha\}$. Define $Y = N_{S_o}(T')_\alpha$. Then $Y \subseteq J_\alpha$ and Y maps β to each point in $\varrho_\alpha - \{\alpha\}$. Thus $\sigma \supseteq \varrho_\alpha$, a contradiction.

(B) Suppose $J^{\Delta'}$ is primitive. Define R to be $J_{\Gamma'}$. Since $R \triangleleft J$ and $T' \subseteq R$, it follows that $R^{\Delta'}$ is transitive.

Case (i). Suppose $R^{\Delta'}$ is imprimitive. Let τ be a non-trivial block of $R^{\Delta'}$ of minimal length. As $R \triangleleft J$, we know that τ^g is a block of R for each $g \in J$, and, in particular, for each $g \in N_{S_o}(T')$. This means that $\tau \cap \tau^g$ is a block as well. Since τ is non-trivial and of minimal length, $|\tau \cap \tau^g| > 1$ implies that $\tau = \tau^g$.

Now suppose α and β are points of τ in distinct T' orbits on Δ' . We may assume $\alpha \in \Omega(W, k)$.

(a) We claim that we can choose β in $\Omega(W, k)$ also.

Now $\beta \in \varrho_\gamma$ for some $\gamma \neq \alpha$, $\gamma \in \Omega(W, k)$. If $\alpha \cap \gamma = 0$, then $\beta^{\tau_\alpha} = \varrho_\gamma$; so in this case, take $\beta = \gamma$. It remains to consider the situation where

$$\ell = \dim(\alpha \cap \gamma) \geq 1.$$

Let

$$\alpha = (\alpha \cap \gamma) + \alpha' \quad \text{and} \quad \gamma = (\alpha \cap \gamma) + \gamma',$$

where $\dim(\alpha') = \dim(\gamma') = k - \ell \geq 1$. Considering β^{τ_α} again, we may choose β so that $\gamma' \subseteq \beta$. Suppose $\beta \notin \Omega(W, k)$. Then $\beta = \beta' + \gamma'$, where $\dim \beta' = \ell$ and $\beta' \subseteq \alpha \cap \gamma + \langle v \rangle$. Thus $\beta' = \delta + \beta_1$, where $\delta \in \Omega(\alpha \cap \gamma, \ell - 1)$ and $\beta_1 = \langle w + av \rangle$ for some $w \in (\alpha \cap \gamma) - \delta$ and $\alpha \in F^\#$. We have $\beta = \delta + \beta_1 + \gamma'$.

Let U be the set stabilizer in $N_{S_o}(T')$ of the subspaces $\alpha' + \langle v \rangle$, δ , $\langle w \rangle$ and β_1 of V . As

$$\tau \cap \varrho_\alpha \supseteq S(\gamma) = \{(\alpha \cap \gamma) + \zeta \in \Omega \mid v \notin \zeta \subseteq (\alpha' + \langle v \rangle)\},$$

we have $\tau^u = \tau$ for each $u \in U$. But this means there is an $\epsilon = \beta_1 + \delta + \epsilon'$ in τ , where $\epsilon' \in \Omega(W - (\alpha \cup \gamma), k - \ell)$. Clearly we have a $t \in T' \subseteq J$ such that $\beta^t, \epsilon^t \in \tau \cap W$. Replacing (α, β) by (β^t, ϵ^t) , we are done.

(b) We claim that $\tau = \Delta'$.

Let α and β be distinct points in $\tau \cap \Omega(W, k)$. Since

$$\tau \cap \varrho_\alpha \supseteq S(\beta) = \{(\alpha \cap \beta) + \zeta \in \Omega \mid v \notin \zeta \subseteq (\alpha' + \langle v \rangle)\},$$

we have

$$\tau^g = \tau \quad \text{for each } g \in N_{S_0}(T')_{\alpha \cup \langle v \rangle}$$

and similarly for each $g \in N_{S_0}(T')_{\beta \cup \langle v \rangle}$. Clearly $\dim(\alpha \cap \beta) = k - i + 1$ for some i , where $2 \leq i \leq k' = \min\{k + 1, n/2\}$. Thus

$$\Delta_i(\alpha) \cap \Omega(W, k) \subseteq \tau,$$

and, by symmetry,

$$\Delta_i(\beta) \cap \Omega(W, k) \subseteq \tau$$

also. We proceed as in Lemma 1, to find points

$$\gamma \in \Delta_{i-1}(\alpha) \cap \Delta_i(\beta) \cap \Omega(W, k)$$

and (if $i \neq k'$)

$$\delta \in \Delta_{i+1}(\alpha) \cap \Delta_i(\beta) \cap \Omega(W, k).$$

Continuing, this shows $\Omega(W, k) \subseteq \tau$. Next let α be any point in $\Omega(W, k)$. Choose β in $\Omega(W, k)$ so that $\alpha \cap \beta = 0$. Then $\alpha^{T\beta} = \varrho_\alpha \subseteq \tau$, and so $\Delta' \subseteq \tau$, contradicting $R^{\Delta'}$ imprimitive.

We must have $\tau \subseteq \varrho_\alpha$ for some α , hence $|\tau| \leq q^k$. Since $R^{\Delta'} = J_{\Gamma'}^{\Delta'}$ is transitive and G is primitive, by 13.1 of [11], G must be doubly-transitive. Let α and β be distinct elements of Γ' . As $R = J_{\Gamma'}$ is transitive on Δ' and $A_{\Gamma'} \subseteq J_{\Gamma'}$, either $G_{\alpha\beta}$ has orbits of length $|\Gamma'| - 2$ and $|\Delta'|$ or G is triply-transitive. Suppose G_α is imprimitive. If β and γ are distinct points of a non-trivial block σ on $\Omega - \{\alpha\}$, then $\gamma^{\sigma\alpha\beta} \subseteq \sigma$. Since $|\Delta'| > |\Omega|/2$, the G_α blocks have length $|\Gamma'| - 1$. But $R \subseteq G_\alpha$ and $|\Gamma'| - 1 > q^k$. Hence G_α is primitive. We continue with other points in Γ' to obtain the conclusion that G is at least $|\Gamma'| - q^k + 1$ transitive.

Case (ii). $R^{\Gamma'}$ primitive. By an argument as in the above paragraph, G is at least $|\Gamma'| -$ transitive.

We now combine these two cases with a well-known transitivity formula. (See p. 21 of [11].) If we define t to be the degree of transitivity of G , then

$$t < 3 \ln |\Omega|.$$

Observe that $|\Omega| \leq |\Gamma'|^2$. Hence we obtain $|\Gamma'| - q^k + 1 < 6 \ln |\Gamma'|$. This leads to a contradiction in all cases except those when either $(n, k, q) = (5, 2, 2)$ or $(n, k) = (4, 2)$ and $q \leq 43$. Except for the $n/2 = k = q = 2$ case, our transitivity is so large that we can produce a prime s which divides $|G|$ and also satisfies $|\Omega| - t < s < |\Omega| - 2$ unless $n = 4$ and $q = 5, 8$ or 13 , in

which case $|\Omega| - t < 2s < |\Omega| - 2$. For $n/2 = k = q = 2$, if we consider $|\Delta'|$, then G has an element consisting of four 7-cycles. In all cases, we have a contradiction to a well-known theorem (13.10 in [11]) constraining elements of prime order in a primitive group. Thus $A_{\Gamma'} \not\subseteq J^{\Gamma'}$, and our result follows.

Let K be the kernel of the homomorphism $N_G(T) \rightarrow N_G(T)^{\bar{\Delta}}$.

LEMMA 2.4. *Let Q be a Sylow p -subgroup of K_{Γ} . Then, for each ψ_{ω} in $\bar{\Delta}$, we have $Q^{\psi_{\omega}} = T^{\psi_{\omega}}$. If $k > 2$, then $Q = T$.*

Proof. For a non-zero element x of W , set

$$\Gamma'_x = \{\alpha \in \Omega(V, k) \mid x \in \alpha\}.$$

Define $Y_x = G_{\Gamma'_x}$. By Lemma 2.3, using x in place of v , we know that

$$Y_x^{\Gamma'_x} \subseteq G_{\sigma(\Gamma'_x)}^{\Gamma'_x} \cong P\Gamma L(n-1, q).$$

Set $\Delta_x = \Delta \cap \Gamma'_x$. Then

$$\Delta_x = \{\alpha \in \Omega - \Omega(W, k) \mid x \in \alpha\} = \cup \{\psi_{\omega} \mid \omega \in \Omega(W, k-1) \text{ and } x \in \omega\}.$$

Any subgroup of K (thus K_{Γ}) leaves each ψ_{ω} in $\bar{\Delta}$ invariant. Hence $K_{\Gamma} \subseteq Y_x$. As $T \subseteq K_{\Gamma}$, we have $Q^{\Delta_x} = T^{\Delta_x}$. In particular, $Q^{\psi_{\omega}} = T^{\psi_{\omega}}$ for each ω in $\Omega(W, k-1)$ which contains x .

Now assume $k > 2$. Suppose $Q \supset T$ and take $h \in Q^{\#}$. Then $h|_{\Delta_x} \in T^{\Delta_x}$ for all $x \in W$. Thus $h|_{\Delta_x} = 1|_{\Delta_x}$ or $h|_{\Delta_x} = t_{g(x)}|_{\Delta_x}$ where $g(x) \in W - \{0\}$ and $t_{g(x)}$ is a projective transvection in T with $(t_{g(x)} - 1)V = \langle g(x) \rangle$. Therefore $h|_{\Delta_x} = 1|_{\Delta_x}$ if and only if $\langle g(x) \rangle = \langle x \rangle$. Now suppose that $h \notin T$. As $h \neq 1$, there is a $u \in W - \{0\}$ such that $h|_{\Delta_u} = t_{g(u)}|_{\Delta_u} \neq 1|_{\Delta_u}$. Replacing h by $ht_{g(u)}^{-1}$, we may assume that $h|_{\Delta_u} = 1|_{\Delta_u}$. Since $h \notin T$, there is a $v \in W - \langle u \rangle$ such that $h|_{\Delta_v} = t_{g(v)}|_{\Delta_v} \neq 1|_{\Delta_v}$. We take $\alpha \in \Delta_u \cap \Delta_v$. It follows that $\alpha = \alpha^h = \alpha^{t_{g(v)}}$, so $g(v) \in \alpha$. Indeed, $g(v)$ belongs to every α in $\Delta_u \cap \Delta_v$. Now,

$$\Delta_u \cap \Delta_v = \{\alpha \in \Omega \mid \langle u, v \rangle \subset \alpha \not\subseteq W\},$$

and $\langle u, v \rangle$ is the intersection of the elements of $\Delta_u \cap \Delta_v$ considered as subspaces of V . Thus $g(v) \in \langle u, v \rangle$, and so $g(v) = au + bv$, where $a, b \in F_q$ and $a \neq 0$. Replacing h by $ht_{g(au)}^{-1}$, we may assume $h|_{\Delta_u} = 1|_{\Delta_u}$ and $h|_{\Delta_v} = 1|_{\Delta_v}$.

Since $h \notin T$, there is a $y \in W - (\langle u \rangle \cup \langle v \rangle)$ such that $h|_{\Delta_y} \neq 1|_{\Delta_y}$. Suppose

$$y \in W - \langle u, v \rangle.$$

Using the pairs (u, y) and (v, y) as we did with (u, v) , we obtain

$$h|_{\Delta_y} = t_{g(y)}|_{\Delta_y} \text{ where } g(y) \in \langle u, y \rangle \cap \langle v, y \rangle = \langle y \rangle.$$

Thus $h|_{\Delta_y} = 1|_{\Delta_y}$, a contradiction. Thus $y \in \langle u, v \rangle - (\langle u \rangle \cup \langle v \rangle)$. We note that u, v and any element in $W - \langle u, v \rangle$ are linearly independent. Therefore $h|_{\Delta_y} = 1|_{\Delta_y}$ here as well. We have a contradiction. Hence $Q = T$.

We define H to be $C_G(T)$. Then $H \triangleleft N_G(T)$. Observe that $N_G(T)$ satisfies the conditions for N in Lemma 2.1. Thus, if $H^\Delta \neq 1$, then $(N_{S_o}(T)^\Delta)' \subseteq H^\Delta$. But then H does not centralize T . Hence $H \subseteq K$. As T^{ψ_ω} is regular and abelian, $H^{\psi_\omega} = T^{\psi_\omega}$ for all $\omega \in \Omega(W, k-1)$.

For the following lemma, we will need another well-known result from number theory:

Let a, b, x and y be positive integers, with $x \neq 2$. There is a prime which divides $a^x - b^x$ and, for every $y < x$, does not divide $a^y - b^y$, with the single exception $2^6 - 1$ [1].

- LEMMA 2.5. (i) $K^\Gamma = 1$.
 (ii) $Q = H$.
 (iii) If $k > 2$ and P is any Sylow p -subgroup of G normalizing T , then $P \subseteq N_G(T)$.

Proof. Let Q be defined as in Lemma 2.4. For all $\omega \in \Omega(W, k-1)$, we have shown $Q^{\psi_\omega} = T^{\psi_\omega}$. Thus Q is an elementary abelian p -group. We note that $N_G(T)$ satisfies the conditions for N in Lemma 2.1, and $K \triangleleft N_G(T)$. Suppose that (i) does not hold. By Lemma 2.1 (ii), K^Γ contains $PSL(n-1, q)$ as a composition factor.

Now suppose K^Δ has $PSL(n-1, q)$ as a composition factor also. Since $PSL(n-1, q)$ is simple, so does each K^{ψ_ω} . As $K \subseteq N_G(T)$, we must have $T^{\psi_\omega} \triangleleft K^{\psi_\omega}$. Since T^{ψ_ω} is regular and abelian, it is its own centralizer in K^{ψ_ω} . Thus $K^{\psi_\omega}/T^{\psi_\omega}$ is isomorphic to a subgroup of $GL(n-k, p)$, where $q = p^r$. If we assume $(n, q) \neq (7, 2)$ or $(4, 4)$, there is a prime dividing $q^{n-1} - 1$ and not dividing $p^i - 1$ for $i < r(n-1)$. That is, this prime divides $|PSL(n-1, q)|$ but not $|K^{\psi_\omega}|$, a contradiction. For the two exceptional cases mentioned above, a higher power of 3 divides $|PSL(n-1, q)|$ than $|K^{\psi_\omega}|$, also a contradiction. Hence K^Δ cannot have $PSL(n-1, q)$ as a composition factor.

We conclude $K^\Gamma \neq 1$. As $(N_{S_o}(T)^\Gamma)'$ is primitive, so is $N_G(T)^\Gamma$. Thus K_Δ is transitive on Γ . Since $|\Gamma| < \Omega/2$ and G is primitive, we must have $A_\Omega \subseteq G$ by 13.5 of [11], a contradiction. Hence (i) holds.

As $H \subseteq K$, we immediately obtain $Q = H$, so (ii) holds.

If $k > 2$, then $H = T$ and (iii) follows.

Section 3.

If P is a Sylow p -subgroup of G_o , we define W_o to be the 1-dimensional subspace fixed by all elements of P . We let T^* be the group generated by all projective transvections fixing W_o , so that T^* is a contragredient of T . If $n = 2k$, a graph automorphism maps T to T^* .

LEMMA 3.1. Let $k > 2$. Let P be a Sylow p -subgroup of G containing T and T^* . Suppose g is an element of G such that $T^g \subseteq P$. If $n \neq 2k$, then $T^g = T$, and if $n = 2k$, then $T^g = T$ or T^* .

Proof. Suppose $T \neq T^g = U$, where g is in G , and T and U in P . By Lemma 2.1 of [8], we may assume that T and U normalize each other. In particular, $U \subseteq N_G(T)$. By Lemma 2.5 (iii), we know $U \subseteq N_{G_o}(T)$.

Since $k > 2$, T is its own centralizer, and consequently $[T, U] \neq 1$. As $[T, U] \subseteq T \cap U$, we must have $T \cap U \neq 1$. Let $t \in (T \cap U)^\#$. Then t is a transvection with $(t-1)V = \langle w \rangle \subseteq W$ for some $w \in W^\#$.

Since T is the sole Sylow p -subgroup of K , it follows that $U \not\subseteq K$. Hence $\text{sup}(U) \cap \Gamma \neq \emptyset$. As $U = T^g$, clearly U has exponent p . Since the Sylow p -subgroups of G_o/S_o are cyclic and $U \subseteq G_o$, we see that U contains a subgroup Y of index at most p in U such that $Y = S_o \cap U$. Both T and U have orbits of length $q^{n-k} > p$, hence $\text{sup}(Y) \cap \Gamma \neq \emptyset$ also. As $Y \subseteq N_{G_o}(T)$, each $g \in Y - T$ acts non-trivially on $W = \text{fix}(T)$. Then

$$(3.1) \quad |\text{fix}(\langle t, g \rangle^\Gamma)| = |\text{fix}(g|_\Gamma)| \leq \binom{n-2}{k},$$

since g fixes a subspace of W of codimension at least one.

Case (i). As Y normalizes T , Y leaves W invariant. Hence $(g-1)V \subseteq W$ for $g \in Y$. Suppose $(g-1)V \neq (t-1)V$ for some $t \in (T \cap U)^\#$ and $g \in Y - T$. Let

$$\ell = \dim((t-1)V + (g-1)V).$$

Then $\ell \geq 2$, and $\langle t, g \rangle$ is a subgroup of U of order p^2 . Each element of Δ which is fixed by $\langle t, g \rangle$ either contains $(t-1)V + (g-1)V$ or contains $(t-1)V$ and no vectors of W moved by g . Since g moves at least one vector in W , we obtain (respectively)

$$(3.2) \quad \begin{aligned} |\text{fix}(\langle t, g \rangle^\Delta)| &\leq q^{n-k} \left(\binom{n-\ell-1}{k-\ell-1} + \binom{n-3}{k-2} \right) \\ &\leq q^{n-k} \left(\binom{n-3}{k-3} + \binom{n-3}{k-2} \right) \end{aligned}$$

Then (3.1) and (3.2) give an upper bound for $|\text{fix}(\langle t, g \rangle)|$.

Now, let S be a subgroup of T of order p^2 having two nontrivial elements with distinct supports. Then $|\text{fix}(S)| = q^{n-k} \left(\binom{n-3}{k-3} + \binom{n-1}{k} \right)$. Thus

$$|\text{fix} \langle t, g \rangle| < |\text{fix}(S)|.$$

But as $U = T^g$, U and T are permutation isomorphic. We have a contradiction.

Case (ii). It remains to consider the case that

$$(t-1)V = (g-1)V \text{ for all } t \in (T \cap U)^\# \text{ and } g \in Y.$$

Set $W'_o = (t-1)V$. Then $\dim W'_o = \ell = 1$. Consequently g is a transvection on V . Furthermore, each t in T has the form $t = t_{f,w} : v \mapsto v + f(v)w$ where $w \in W$ and $f \in \text{Hom}(V, F_q)$, $f(w) = 0$. So $T \cap U \subseteq \langle t_{f,w} \mid w \in W'_o \rangle$.

On the other hand, Y consists of transvections of the form t_{f,w_o} where

$f \in \text{Hom}(V, F_q)$, $f(w_o) = 0$ and $\langle w_o \rangle = W'_o$. Since $|Y| \geq q^{n-1}/p$, we must have $W_o = W'_o$. Also, as $|U/Y| \leq p$ and U is abelian, U cannot contain a field automorphism. Thus $U = Y = \langle t_{f, w_o} | f(w_o) = 0 \rangle = T^*$ as required. In addition, $n = 2k$ since T and T^* are permutation isomorphic.

If we inspect the proof of Lemma 3.1 for the case $k = 2$, we obtain

- (i) $T^s \subseteq H$,
- (ii) $n = 4$ and $T^s \subseteq T^*H$,

or

- (iii) $n > 4$ and $|\text{fix}\langle g, t \rangle| \leq \binom{n-2}{2} + q^{n-2} < |\Delta|$,

where (iii) leads to a contradiction.

In the next lemma, we deal with the $k = 2$ case, which means using H in place of T . Our analog to T^* is $H^* = C_G(T^*)$.

We note that $N_G(H)$ satisfies the conditions for Lemmas 2.1-2.3. We define L to be the kernel of the homomorphism $N_G(H) \rightarrow N_G(H)^{\Delta}$. Using the first paragraph of the proof of Lemma 2.4 and the proof of Lemma 2.5 (i) and (ii), we find that $L^r = 1$ and H is the Sylow p -subgroup of L .

LEMMA 3.2. *Assume $k = 2$. Let P be a Sylow p -subgroup of G containing H and H^* , and let $H^s \subseteq P$ for some $g \in G$. If $n \neq 4$, then $H^s = H$, and if $n = 4$, then $H^s = H$ or H^* .*

Proof. Let $U = H^s \subseteq P$ for some $g \in G$, and assume $U \neq H$. By Lemma 2.1 of [8], we may assume $[H, U] \subseteq H \cap U$, and in particular, $U \subseteq N_G(H)$.

Since H is Sylow in $N_G(H)_r$, we must have $\text{sup}(U) \cap \Gamma \neq \emptyset$. This means $n = 4$ and $T^s \subseteq T^*H$. Thus $U \subseteq T^*H$, and $\text{sup}(U) = \text{sup}(T^*)$ as $|\text{sup}(U)| = |\text{sup}(H)|$.

Clearly $|U^r| = q^2$, so $U \cap H$ has index q^2 in U and H . Since $U \cap H$ fixes an H -orbit ψ_ω in $\overline{\Delta}$ for some $\omega \in \Omega(W, 1)$, we have $U \cap H = \cdot H_{\psi_\omega}$. We know that $N_{S_o}(T)$ normalizes H . If $H \supset T$, then $|H_{\psi_\omega}^{\omega'}| = q^2$ for each $\omega' \in \Omega(W, 1) - \{\omega\}$. But this means that U has an orbit of length q^3 , a contradiction as U and H are permutation isomorphic. Therefore $H = T$, and so $U = T^* = H^*$.

Section 4

We define $\theta_i = \{(\alpha, \beta) | \alpha, \beta \in \Omega \text{ and } \dim(\alpha \cap \beta) = k - i + 1\}$, where $1 \leq i \leq k + 1$. These θ_i form the orbits of S_o , G_o and \hat{G}_o on $\Omega \times \Omega$.

Let C be the largest subgroup of S_n preserving θ_2 . Chow and Dieudonné have shown that $C = G_o$ if $n \neq 2k$ and $C = \hat{G}_o$ if $n = 2k$. Therefore we are essentially done once we show $G \subseteq C$. In the case where $n = 2k$, we define $\overline{G} = \langle \hat{G}_o, G \rangle$. Clearly if $\overline{G} \subseteq C$, then $G \subseteq C$ also. Thus we can replace G by \overline{G} when $n = 2k$ throughout this section.

LEMMA 4.1. *Assume $k > 2$. Let Λ be an orbit of G on $\Omega \times \Omega$. Then $N_G(T)$ is transitive on $\Lambda \cap (\Gamma \times \Gamma)$.*

Proof. Let $\zeta = (\alpha, \beta) \in \Lambda$. Assume that U is conjugate to T in G , and that T and U are subgroups of G_ζ . We wish to show that U is conjugate to T in G_ζ . There is a $g \in G_\zeta$ such that $T \cup U^g \subseteq R \subseteq P$, where R and P are Sylow p -subgroups of G_ζ and G , respectively. By Lemma 3.1, if $n \neq 2k$, we have $T = U^g$, so T is trivially conjugate to U in G_ζ . If $n = 2k$ and $T \neq U^g$, then there is a graph automorphism $h \in \hat{G}_\zeta \subseteq G$ such that $T = U^{gh}$. We are done if we can choose h to be in G_ζ .

We observe that T and U^g are in S_o . Without loss of generality, we may let $P \cap S_o$ be lower triangular with respect to the basis $\{v_1, v_2, \dots, v_n\}$, that is,

$$P(\langle v_i, v_{i+1}, \dots, v_n \rangle) \subseteq \langle v_{i+1}, v_{i+2}, \dots, v_n \rangle \quad \text{for } i = 1, 2, \dots, n-1.$$

Thus $U^g = T^*$, $v_n \in \alpha \cap \beta$ and $\alpha, \beta \in \Gamma$. Clearly there is a graph automorphism y taking T to T' . (T' is described just before Corollary 2.2.) Furthermore, y can be chosen so that it has a “reverse action” on each point of Ω of the form $\langle v_{i_1}, \dots, v_{i_k} \rangle$. That is, y maps

$$\langle v_{i_1}, \dots, v_{i_k} \rangle \quad \text{to} \quad \langle v_{j_1}, \dots, v_{j_k} \rangle$$

where $v_{j_r} = v_{n+1-i_r}$ and $1 \leq i_r \leq n$. Now let s be the image in S_o of the involution of $SL(n, q)$ exchanging v_ℓ and $-v_{n+1-\ell}$ for $1 \leq \ell \leq n$. Then ys maps T to T^* and fixes all points of Ω of the form $\langle v_{i_1}, \dots, v_{i_k} \rangle$. In particular, it fixes two points γ, δ of this form in Γ where $\dim(\gamma \cap \delta) = t$ for each value of t such that $1 \leq t \leq k$. We let $\eta = (\gamma, \delta)$. Then there is an $x \in N_{S_o}(T)$ mapping η to ζ . We set $h^{-1} = (ys)^x$. Then $h \in G_\zeta$, and, as $T^* \triangleleft N_{S_o}(T)$, we have $T = U^{gh}$. Thus T is conjugate to U in G_ζ again.

We conclude, by 3.5 of [11], that $N_G(T)$ is transitive on $\Lambda \cap \text{fix}(T) = \Lambda \cap (\Gamma \times \Gamma)$.

Using Lemma 3.2 in place of Lemma 3.1, we obtain the following result.

COROLLARY 4.2. *Assume $k = 2$ and $n \neq 4$. If Λ is an orbit of G on $\Omega \times \Omega$, then $N_G(H)$ is transitive on $\Lambda \cap (\Gamma \times \Gamma)$.*

LEMMA 4.3. *If $(n, k) \neq (4, 2)$, then $G \subseteq C$.*

Proof. Suppose $G \not\subseteq C$. Since $S_o \subseteq G$, there is an S_o -orbit θ_i , where $i \neq 2$, and a G -orbit Λ such that

$$\theta_2 \cup \theta_i \subseteq \Lambda \subseteq \Omega \times \Omega.$$

Clearly $\theta_2 \cap (\Gamma \times \Gamma) \neq \emptyset$. If $(n, i) \neq (2k, k+1)$, then $\theta_i \cap (\Gamma \times \Gamma) \neq \emptyset$ also. In this case, by Lemma 4.1 and Corollary 4.2, θ_2 and θ_i fuse in $N_G(T)^{\Gamma \times \Gamma}$ if $k > 2$, and in $N_G(H)^{\Gamma \times \Gamma}$ if $k = 2$. Observe that both $N_G(T)$ and $N_G(H)$ satisfy the conditions of N in Lemma 2.1. Hence their constituents on Γ are contained in

$N_{G_0}(T)^F$, or, if $n = 2k + 1$, in $(N_{G_0}^{\widehat{c}}(T)^F)$. Thus, we have a contradiction as no such fusion takes place. Hence $\Lambda = \theta_2 \cup \theta_{k+1}$ and $n = 2k$. By hypothesis $(n, k) \neq (4, 2)$, so we must have $k > 2$.

We introduce Higman intersection numbers here [6]. Let $\alpha, \beta, \gamma \in \Omega$. Recall that $\Delta_i(\alpha) = \{\gamma \in \Omega \mid \dim(\alpha \cap \gamma) = k - i + 1\}$. We define

$$m_{j,r}^i = |\Delta_j(\alpha) \cap \Delta_i(\beta)|, \text{ where } \beta \in \Delta_r(\alpha).$$

Let i and r be any numbers except 2 and $k + 1$. As $\Lambda = \theta_2 \cup \theta_{k+1}$, we must have $m_{2,r}^i = m_{k+1,r}^i$. In particular, $m_{2,3}^3 = m_{k+1,3}^3$.

If $k > 4$, then $m_{2,3}^3 \neq 0$ and $m_{k+1,3}^3 = 0$, a contradiction.

Suppose $k = 4$. We take any point δ in Ω . Let $\zeta \in \Delta_2(\delta)$ and $\eta \in \Delta_{k+1}(\delta)$. Then

$$m_{2,3}^3 \geq |D' + Z|_{D',Z} \text{ and } m_{k+1,3}^3 = |D + E|_{D,E},$$

where D', Z, D and E are 2-dimensional subspaces of $\delta \cap \zeta, V - (\delta + \zeta), \delta$ and η , respectively. Since $n = 8$, we have $m_{2,3}^3 > m_{k+1,3}^3$, another contradiction.

Suppose $k = 3$. Let $\delta = \langle v_1, v_2, v_3 \rangle$, and let

$$\zeta = \langle v_1, v_2, v_4 \rangle \in \Delta_2(\delta) \text{ and } \eta = \langle v_4, v_5, v_6 \rangle \in \Delta_{k+1}(\delta).$$

Thus

$$\Delta_3(\delta) \cap \Delta_3(\zeta) \supseteq \Phi \cup \equiv,$$

where

$$\begin{aligned} \Phi &= \{ \langle u, x_1, x_2 \rangle \mid u \in \delta \cap \zeta = \langle v_1, v_2 \rangle, x_i \in \Omega - (\delta + \zeta) \}, \\ \equiv &= \{ \langle u_1, u_2, y \rangle \mid u_1 \in \delta - \zeta, u_2 \in \zeta - \delta, y \in \Omega - (\delta + \zeta) \}, \end{aligned}$$

and

$$\Delta_3(\delta) \cap \Delta_3(\eta) \subseteq \{ \langle y_1, y_2, x \rangle \mid y_1 \in \delta, y_2 \in \eta, x \in \Omega - (\delta \cup \eta \cup \theta) \},$$

where $\theta = \{ \langle y_1 + ay_2 \rangle \mid a \in F_q^\# \}$. Then

$$m_{2,3}^3 > \binom{q}{1}(\binom{q}{2} - \binom{q}{1}) + (\binom{q}{1} - \binom{q}{1})^2(\binom{q}{1} - \binom{q}{1}) > \binom{q}{1}^2(\binom{q}{1} - 2\binom{q}{1} - (q - 1)) \geq m_{k+1,3}^3.$$

We have another contradiction. Hence $G \subseteq C$.

LEMMA 4.4. *If $(n, k) = (4, 2)$, then $G \subseteq C$.*

Proof. Since S_0 is a rank 3 permutation group, if $G \not\subseteq C$, then G is doubly-transitive. Let $\alpha = \langle v_1, v_2 \rangle, \beta = \langle v_2, v_4 \rangle$ and $\gamma = \langle v_3, v_4 \rangle$. Since G is 2-transitive, $G_{\alpha\gamma}$ and $G_{\beta\gamma}$ have orbits of the same lengths on Ω . Now we compare the size of $(S_0)_{\alpha\gamma}$ -orbits and $(S_0)_{\beta\gamma}$ -orbits on Ω . We find that either (i) G is triply-transitive or (ii) $q = 2$ and the one-point stabilizer of G is a rank 3 permutation group with subdegrees 1, 9 and 24.

Case (i). G is triply-transitive. By a proof analogous to the one in Lemma 4.1 (with G acting on $\Omega \times \Omega \times \Omega$ this time), we can show that $N_G(H)$ is triply-

transitive on $\text{fix}(H) = \Gamma$. But $N_G(H)^\Gamma \subseteq N_G(T)^\Gamma$, which is not triply-transitive, and we have a contradiction.

Case (ii). Here $q = 2$ and $G_{\beta\gamma}$ has orbits of lengths 9 and 24. We let $\delta = \langle v_2, v_3 \rangle$. Since $N_{G_o}(T)^\Gamma$ is not triply-transitive, if we list our $(S_o)_{\beta\gamma}$ -orbits and compare sizes, then we find that δ belongs to the orbit of length 24. As $|G| = |\beta^G| |\gamma^{\beta^G}| |\delta^{\beta\gamma}| |G_{\beta\gamma\delta}|$, we see that 16 divides $|G : G_{\beta\gamma\delta}|$.

We are assuming that $\hat{G}_o \subseteq G$ by the remark preceding Lemma 4.1. In the proof of Lemma 4.1, we found an element ys of \hat{G}_o that fixes β, γ and δ . Clearly, as this ys maps T to T^* , it maps $\text{fix}(T)$ to $\text{fix}(T^*)$, and so does not fix all points in Γ . Also $(ys)^2 \in N_G(T)$, so $|ys| = 2^\ell b$, where b and ℓ are positive integers, with b odd. Let $g = (ys)^b$ so g is a 2-element. Then $g^2 \in N_G(T)$, and as b is odd, g does not fix Γ also. Since g fixes β, γ and δ , this means $g \in (\hat{G}_o - G_o) \cap (G_{\beta\gamma\delta} - G_\Gamma)$. As $\hat{G}_o \subseteq G$ and $16 \mid |G : G_{\beta\gamma\delta}|$, we have $32 \mid |G : G_\Gamma|$. If we let P be a Sylow p -subgroup of G , as $H \subseteq G_\Gamma$, we see that $32 \mid |H| \mid |P|$.

Now we choose our P to contain H and H^* . If we consider the proof of Lemma 4.1 again, we note that P contains a subgroup X of index 2 normalizing H . In the remark preceding Lemma 3.2, we mentioned that H is Sylow in L and $L \subseteq G_\Gamma$. But, as $N_G(H)^\Gamma \cong PGL(3,2)$, we see that $|X| \mid 8|H|$. We have another contradiction. Hence $G \subseteq C$.

Conclusion

THEOREM. *Suppose $1 \leq k < n$ and $(n, k) \neq (2, 1)$. If $n \neq 2k$, then $G \subseteq G_o$ or $A_n \subseteq G$. If $n = 2k$, then $G \subseteq \hat{G}_o$ or $A_n \subseteq G$.*

Proof. The proof is by induction on n . As we noted in the introduction, the case $n = 3$ is done.

Suppose the theorem holds for all cases $(n-1, i)$, where $1 \leq i \leq n-2$ and $n \geq 4$. If we assume $A_n \not\subseteq G$, then, by Lemmas 4.3 and 4.4, we have $G \subseteq C$. By [2] and [3], it follows that $G \subseteq G_o$ if $n \neq 2k$ and $G \subseteq \hat{G}_o$ if $n = 2k$. Hence the theorem holds for (n, k) , and we are done.

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RUTGERS UNIVERSITY
NEW BRUNSWICK, NEW JERSEY