

$$mn \leq m + n.$$

However we have proved earlier that if  $m$  and  $n$  are  $\geq 2$ , then  $m + n \leq m \cdot n$ . Thus we obtain  $mn = m + n$ .

## 6. Some remarks on functions of ordinal numbers

A function  $f(x)$  is called monotonic, if  $(x < y) \rightarrow (f(x) \leq f(y))$ . It is called strictly increasing, if

$$(x < y) \rightarrow (f(x) < f(y)).$$

The function is called seminormal, if it is monotonic and continuous, that is if  $f(\lim \alpha_\lambda) = \lim f(\alpha_\lambda)$ ,  $\lambda$  here indicating a sequence with ordinal number of the second kind, i.e., without immediate predecessor, while  $(\lambda_1 < \lambda_2) \rightarrow (\alpha_{\lambda_1} < \alpha_{\lambda_2})$ .

The function is called normal, if it is strictly increasing and continuous;  $\xi$  is called a critical number for  $f$ , if  $f(\xi) = \xi$ .

**Theorem 17.** *Every normal function possesses critical numbers and indeed such numbers  $>$  any  $\alpha$ .*

*Proof:* Let  $\alpha$  be chosen arbitrarily and let us consider the sequence  $\alpha, f(\alpha), f^2(\alpha), \dots$ . Then if  $\alpha_\omega = \lim_{n < \omega} f^n(\alpha)$ , we have  $f(\alpha_\omega) = f(\lim_{n < \omega} f^n(\alpha)) = \lim_{n < \omega} f^{n+1}(\alpha) = \alpha_\omega$ , that is,  $\alpha_\omega$  is a critical number for  $f$ .

Examples.

- 1) The function  $1 + x$  is normal. Critical numbers are all  $x = \omega + \alpha$ ,  $\alpha$  arbitrary.
- 2) The function  $2x$  is normal. Critical numbers are all of the form  $\omega\alpha$ ,  $\alpha$  arbitrary.
- 3) The function  $\omega^x$  is normal. Critical numbers of this function are called  $\epsilon$ -numbers. The least of them is the limit of the sequence  $\omega, \omega^\omega, \omega^{\omega^\omega}, \dots$

I will mention the quite trivial fact that every increasing function  $f$  is such that  $f(x) \geq x$  for every  $x$ .

**Theorem 18.** *Let  $g(x) \geq x$  for all  $x$  and  $\alpha$  be an arbitrary ordinal; then there is a unique semi-normal function  $f$  such that*

$$f(0) = \alpha, f(x+1) = g(f(x)).$$

*Proof* clear by transfinite induction.

**Theorem 19.** *If  $f$  is a semi-normal function and  $\beta$  is an ordinal which is not a value of  $f$ , while  $f$  possesses values  $< \beta$  and values  $> \beta$ , then there is among the  $x$  such that  $f(x) < \beta$  a maximal one  $x_0$  such that  $f(x_0) < \beta < f(x_0 + 1)$ .*

Proof trivial, because if  $f(x_\lambda) < \beta$  for all  $\lambda$  in a sequence without last element, then

$$f(\lim x_\lambda) = \lim f(x_\lambda) \leq \beta,$$

but the equality sign is excluded.

Let  $A$  be a set of ordinal numbers without maximal element. A subset  $B$  is said to be closed in  $A$ , if every limit of a sequence in  $B$  is  $\in B$ , if it is  $\in A$ . If  $B$  is closed in  $A$  and cofinal with  $A$  it is called a band of  $A$ .

Remark. Every band consists of the values of a normal function, and the inverse is true, if the set of the arguments is cofinal with  $A$ .

**Theorem 20.** *If  $M$  and  $N$  are bands of  $A$ , so is  $M \cup N$ .*

Proof. Of course  $M \cup N$  is cofinal with  $A$ . An arbitrary sequence  $S$  in  $M \cup N$  without last element is either such that from a certain point on all elements belong to  $M$  say, then the limit is in  $M$ ; or there are always greater elements both in  $M$  and in  $N$ , and then there is a common limit in  $M$  and  $N$ .

**Theorem 21.** *If  $M$  and  $N$  are bands of  $A$  and  $A$  is as already indicated without last element, but not cofinal with  $\omega$ , then  $M \cap N$  is a band of  $A$ .*

Proof. We assume that after a certain  $\alpha_0 \in M$  there are no common elements in  $M$  and  $N$ . Then we have an increasing sequence thus:

$$\begin{aligned} \alpha_{2n+1} & \text{ is the first element of } N \text{ which is } > \alpha_{2n} \\ \alpha_{2n+2} & \dots \dots \dots M \text{ which is } > \alpha_{2n+1} . \end{aligned}$$

Then  $\lim_{n < \omega} \alpha_n$  is  $\in A$  and therefore  $\in M$  and  $\in N$  which is contrary to the assumption.

**Theorem 22.** *Let  $f(\alpha, \beta)$  be normal with respect to  $\beta$ . Then it is not an always increasing function with respect to  $\alpha$ .*

Proof. If  $\alpha_1 < \alpha_2$ , then the normal functions  $f(\alpha_1, \beta)$  and  $f(\alpha_2, \beta)$  of  $\beta$  have a common critical value  $\xi$  according to the last theorem so that  $f(\alpha_1, \xi) = f(\alpha_2, \xi) = \xi$ .

Let us however, following E. Jacobsthal, consider the functions having the following two properties:

- 1)  $f(\alpha, \beta)$  is for constant  $\alpha$  a normal function of  $\beta$
- 2)  $f(\alpha, \beta)$  is for constant  $\beta$  a monotonic function of  $\alpha$  with  $f(\alpha, \beta) > \alpha$ .

Further let us call  $f_1$  a generating function for  $f$  when

$$f(\alpha, \beta + 1) = f_1(f(\alpha, \beta), \alpha).$$

This equation together with  $f(\alpha, 0)$  defines  $f$  when  $f$  is continuous.

**Theorem 23.** *If  $f_1$  has for  $\alpha > 1, \beta > 1$  the property 2) and is monotonic in  $\beta$ , while  $f$  is continuous and  $f(\alpha, 1)$  increasing in  $\alpha$ , then  $f$  satisfies 1) and 2).*

Proof. When  $\alpha > 1$ , one has  $f(\alpha, 1) > 1$ , namely  $f(\alpha, 1) \geq \alpha > 1$ . If, for  $\alpha > 1$  and  $\beta \geq 1$ ,  $f(\alpha, \beta)$  is monotonic in  $\alpha$  and  $f(\alpha, \beta) > 1$ , then because of the

definition of  $f$  above  $f(\alpha, \beta + 1)$  is monotonic in  $\alpha$  and  $f(\alpha, \beta + 1) = f_1(f(\alpha, \beta), \alpha) > f(\alpha, \beta)$  (see 2)). If  $\lambda$  is a limit number, and if, for  $\alpha > 1$  and  $1 < \beta < \lambda$ ,  $f(\alpha, \beta)$  monotonic in  $\alpha$ , then  $f(\alpha, \lambda)$  is monotonic in  $\alpha$ . Thus for  $\alpha > 1$  and  $\beta > 1$  we have that  $f(\alpha, \beta)$  is monotonic in  $\alpha$  and a normal function in  $\beta$ . Further, for  $\alpha > 1$  we have, because of  $f(\alpha, 1) > \alpha$ , also  $f(\alpha, \beta) > \alpha$  for  $\beta > 1$ .

Now, if one starts with  $\phi_0(\alpha, \beta) = \alpha + 1$  and defines  $\phi_{r+1}(\alpha, \beta)$  by using  $\phi_r$  as generating function for  $r = 0, 1, 2$  putting  $\phi_1(\alpha, 0) = \alpha$ ,  $\phi_2(\alpha, 0) = 0$ ,  $\phi_3(\alpha, 0) = 1$ , then we obtain

$$\phi_1(\alpha, \beta) = \alpha + \beta, \quad \phi_2(\alpha, \beta) = \alpha \cdot \beta, \quad \phi_3(\alpha, \beta) = \alpha^\beta.$$

An immediate result is that these functions have the properties 1) and 2).

Definitions: 1) Let us say that  $f$  with generating function  $f_1$  satisfies a generalized distributive law when a function  $f_2$  exists such that

$$(1) \quad f_1(f(\alpha, \beta), f(\alpha, \gamma)) = f(\alpha, f_2(\beta, \gamma)).$$

If  $f_2 = f_1$ , we say that  $f$  satisfies the special distributive law.

2) We may say that  $f$  fulfills a generalized associative law, if a function  $f_3$  exists such that

$$(2) \quad f(f(\alpha, \beta), \gamma) = f(\alpha, f_3(\beta, \gamma)).$$

If  $f_3 = f$ ,  $f$  satisfies the special associative law.

**Theorem 24.** *If  $f$  satisfies the general associative law, then  $f_3$  satisfies the special associative law.*

Proof. If in the formula (2) we put  $\alpha = f(\xi, \alpha')$ ,  $\beta = \beta'$ ,  $\gamma = \gamma'$ , the formula (2) yields

$$f(f(f(\xi, \alpha'), \beta'), \gamma') = f(f(\xi, \alpha'), f_3(\beta', \gamma'))$$

and by application of (2) twice on the left and once on the right side we get

$$f(f(\xi, f_3(\alpha', \beta')), \gamma') = f(\xi, f_3(f_3(\alpha', \beta'), \gamma')) = f(\xi, f_3(\alpha', f_3(\alpha', \gamma'))).$$

whence because  $f(\xi, \beta)$  is increasing in  $\beta$ .

$$f_3(f_3(\alpha', \beta'), \gamma') = f_3(\alpha', f_3(\beta', \gamma')).$$

and that is the special associative law for  $f_3$ .

**Theorem 25.** *If  $f$ , being generated by  $f_1$ , satisfies both laws (1) and (2), then  $f$  is generating function of  $f_3$  and  $f_3$  satisfies the special distributive law.*

Proof. We have

$$f(f(\alpha, \beta), \gamma + 1) = f_1(f(f(\alpha, \beta), \gamma), f(\alpha, \beta)) = f_1(f(\alpha, f_3(\beta, \gamma)), f(\alpha, \beta)) = f(\alpha, f_2(f_3(\beta, \gamma), \beta))$$

and

$$f(f(\alpha, \beta), \gamma + 1) = f(\alpha, f_3(\beta, \gamma + 1)),$$

whence

$$f_3(\beta, \gamma + 1) = f_2(f_3(\beta, \gamma), \beta),$$

that is  $f_2$  is generating function for  $f_3$ . Further, by (1)

$$f(\xi, f_2(f_3(\alpha, \beta), f_3(\alpha, \gamma))) = f_1(f(\xi, f_3(\alpha, \beta)), f(\xi, f_3(\alpha, \gamma)))$$

which by (2),(1),(2) successively yields

$$f_1(f(f(\xi, \alpha), \beta), f(f(\xi, \alpha), \gamma)), f(f(\xi, \alpha), f_2(\beta, \gamma)), f(\xi, f_3(\alpha, f_2(\beta, \gamma))).$$

By comparison of the first and last expressions containing  $\xi$  one obtains

$$f_2(f_3(\alpha, \beta), f_3(\alpha, \gamma)) = f_3(\alpha, f_2(\beta, \gamma)),$$

that is,  $f_3$  satisfies the special distributive law.

**Theorem 26.** *If  $f$  is defined by  $f_1$ ,  $f(\alpha, 0) = 0$  or  $1$ ,  $f$  satisfying the generalized distributive law, and if  $f_3$  is defined as a continuous function with  $f_2$  as generating function, by*

$$f_3(\alpha, 0) = 0$$

$$f_3(\alpha, \beta + 1) = f_2(f_3(\alpha, \beta), \alpha),$$

*then  $f$  satisfies the associative law (2).*

**Proof.** This law (2) is valid for  $\gamma = 0$ , because  $f(f(\alpha, \beta), 0) = 0$  or  $1$  and  $f(\alpha, f_3(\beta, 0)) = f(\alpha, 0) = 0$  or  $1$ . If the law is valid for  $\gamma$ , then it is valid for  $\gamma + 1$ , because

$$f(f(\alpha, \beta), \gamma + 1) = f_1(f(f(\alpha, \beta), \gamma), f(\alpha, \beta))$$

because of the supposition of induction  $= f_1(f(\alpha, f_3(\alpha, \gamma)), f(\alpha, \beta)) = f(\alpha, f_2(f_3(\beta, \gamma), \beta)) = f(\alpha, f_3(\beta, \gamma + 1))$ . If the law is valid for all  $\gamma < \gamma_0$ ,  $\gamma_0$  a limit number, then it is true for  $\gamma_0$ , because

$$f(f(\alpha, \beta), \gamma_0) = \lim_{\gamma < \gamma_0} f(f(\alpha, \beta), \gamma) = \lim_{\gamma < \gamma_0} f(\alpha, f_3(\beta, \gamma)) = f(\alpha, f_3(\beta, \gamma_0)).$$

**Theorem 27.** *Let  $f$  be defined by  $f_1$ ,  $f(\alpha, 0) = 0$ ,  $f_1(\alpha, 0) = \alpha$  or  $f(\alpha, 0) = 1$ ,  $f_1(\alpha, 1) = \alpha$ , while the special associative law is valid for  $f_1$ , and  $f_1$  is continuous in  $\beta$ ; then  $f$  satisfies the distributive law (1) with  $f_2(\alpha, \beta) = \alpha + \beta$ .*

**Proof.** The formula (1) is valid for  $\gamma = 0$ , because  $f_1(f(\alpha, \beta), f(\alpha, 0)) = f(\alpha, \beta)$ . Let us assume its truth for  $\gamma$ . Then we have

$$f_1(f(\alpha, \beta), f(\alpha, \gamma + 1)) = f_1(f(\alpha, \beta), f_1(f(\alpha, \gamma), \alpha)),$$

and since the special associative law is valid for  $f$  this becomes

$$f_1(f_1(f(\alpha, \beta), f(\alpha, \gamma)), \alpha) = f_1(f(\alpha, \beta + \gamma), \alpha) = f(\alpha, \beta + \gamma + 1).$$

If formula (1) with  $f_2(\alpha, \beta) = \alpha + \beta$  is valid for all  $\gamma < \gamma_0$ ,  $\gamma_0$  a limit number, then it is valid for  $\gamma_0$ , because

$$f_1(f(\alpha, \beta), f(\alpha, \gamma_0)) = \lim_{\gamma < \gamma_0} f_1(f(\alpha, \beta), f(\alpha, \gamma)) = \lim_{\gamma < \gamma_0} f(\alpha, \beta + \gamma) = f(\alpha, \beta + \gamma_0).$$

Applying the last two theorems to the three elementary arithmetical operations,  $\phi_1(\alpha, \beta) = \alpha + \beta$ ,  $\phi_2(\alpha, \beta) = \alpha\beta$ ,  $\phi_3(\alpha, \beta) = \alpha^\beta$ , it is seen that the associative and distributive laws of these are all derivable from the special associative law of addition

$$(\alpha + \beta) + \gamma = \alpha + (\beta + \gamma).$$

Indeed, if we put  $f_1 = \phi_1$ ,  $f = \phi_2$  in Theorem 27 we get

$$\alpha\beta + \alpha\gamma = \alpha(\beta + \gamma),$$

and putting  $f_1 = \phi_1$ ,  $f_2 = \phi_1$ ,  $f = \phi_2$ ,  $f_3 = \phi_2$ , Theorem 26 yields

$$(\alpha\beta)\gamma = \alpha(\beta\gamma).$$

Further, if we put  $f_1 = \phi_2$ ,  $f = \phi_3$ , Theorem 27 yields

$$\alpha\beta \cdot \alpha\gamma = \alpha^{\beta+\gamma},$$

while putting  $f_1 = \phi_2$ ,  $f_2 = \phi_1$ ,  $f = \phi_3$ ,  $f_3 = \phi_2$  one obtains, according to Theorem 26,

$$(\alpha^\beta)\gamma = \alpha^{\beta\gamma}.$$

## 7. On the exponentiation of alephs

We have seen that an aleph is unchanged by elevation to a power with finite exponent. I shall add some remarks concerning the case of a transfinite exponent.

Since  $2^{\aleph_0} > \aleph_0$ , we have  $(2^{\aleph_0})^{\aleph_0} \cong \aleph_0^{\aleph_0}$ , but  $(2^{\aleph_0})^{\aleph_0} = 2^{\aleph_0 \aleph_0} = 2^{\aleph_0}$ .

On the other hand  $2^{\aleph_0} \cong \aleph_0^{\aleph_0}$ . Hence

$$2^{\aleph_0} = \aleph_0^{\aleph_0}.$$

Of course we then have for arbitrary finite  $n$

$$2^{\aleph_0} = n^{\aleph_0} = \aleph_0^{\aleph_0},$$

and not only that. Let namely  $\aleph_0 < m \cong 2^{\aleph_0}$ . Then

$$2^{\aleph_0} = \aleph_0^{\aleph_0} \cong m^{\aleph_0} \cong 2^{\aleph_0},$$

whence

$$m^{\aleph_0} = 2^{\aleph_0},$$

In a similar way we obtain for an arbitrary  $\aleph_\alpha$

$$2^{\aleph_\alpha} = m^{\aleph_\alpha}$$

for all  $m > 1$  and  $\cong 2^{\aleph_\alpha}$ .

From our axioms, in particular the axiom of choice, we have derived that every cardinal is an aleph. Therefore  $2^{\aleph_\alpha}$  is an aleph. We can also prove

by the axiom of choice that  $2^{\aleph_\alpha} > \aleph_{\alpha+1}$  or perhaps  $= \aleph_{\alpha+1}$ . One has never succeeded in proving one of these two alternatives and according to a result of Gödel such a decision is impossible. However, in many applications of set theory it has been convenient to introduce the so-called generalized continuum hypothesis or aleph hypothesis, namely