13. Associated Varieties and Gelfand-Kirillov Dimensions for the Discrete Series of a Semisimple Lie Group

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1. Introduction. Let G be a connected semisimple Lie group with finite center, and K be a maximal compact subgroup of G. The corresponding complexified Lie algebras are denoted respectively by g and \mathfrak{k} . We assume Harish-Chandra's rank condition rank $G = \operatorname{rank} K$, which is necessary and sufficient for G to have a non-empty set of discrete series, or of square-integrable irreducible unitary representations of G.

In this paper, we describe the associated varieties of Harish-Chandra (g, K)-modules of discrete series, by an elementary and direct method based on [3]. The description is as in

Theorem 1. If H_{Λ} is the (\mathfrak{g}, K) -module of discrete series with Harish-Chanda parameter $\Lambda = \lambda + \rho_c - \rho_n$ (see §3), then its associated variety $\forall (H_{\Lambda}) \subset \mathfrak{g}$ (see §2) coincides with the nilpotent cone $K_c\mathfrak{p}_-$, which is equal to $\mathrm{Ad}(K)\mathfrak{p}_-$. Here K_c denotes the analytic subgroup of adjoint group $G_c :=$ $\mathrm{Int}(\mathfrak{g})$ of \mathfrak{g} , with Lie algebra \mathfrak{k} , and $\mathfrak{p}_- = \sum_{\beta \in \Delta_n} \mathfrak{g}_{\beta}$ is the sum of root subspaces \mathfrak{g}_{β} of \mathfrak{g} corresponding to the noncompact roots β such that $(\Lambda, \beta) < 0$.

We further give in Theorem 4 an explicit formula for the Gelfand-Kirillov dimensions $d(H_A) \dim \mathcal{V}(H_A)$ of discrete series in the case of unitary groups G = SU(p, q), by specifying the unique nilpotent G_C -orbits in g which intersect \mathfrak{P}_- densely. Note that this important invariant $d(H_A)$ coincides with the degree of Hilbert polynomial of H_A .

We know that Theorem 1 can be deduced from deep results in [1, III] and [4] by passing to D-module via Beilinson-Bernstein correspondence. However, the associated variety is an object attached directly to each finitely generated U(g)-module. From this reason, we give here a direct path to the theorem avoiding the above detour by D-module. Our proof of Theorem 1 is simple in the sense that it uses only some basic results of [3] on the realization of H_A as the kernel space of differential operator \mathfrak{D}_{λ} on G/K of gradient-type. Nevertheless, this method gives us new conclusions also (Theorem 3). For instance, we find that the associated variety of discrete series can be expressed in terms of the symbol mapping of \mathfrak{D}_{λ} .

2. Associated varieties for $U(\mathfrak{g})$ -modules. Let $U(\mathfrak{g})$ be the enveloping algebra of \mathfrak{g} , and $(U_k(\mathfrak{g}))_{k=0,1,\dots}$ be the natural increasing filtration of $U(\mathfrak{g})$, with $U_k(\mathfrak{g})$ the subspace of $U(\mathfrak{g})$ generated by elements $X^m(0 \le m \le k, X \in \mathfrak{g})$. We identify the associated graded ring $\operatorname{gr} U(\mathfrak{g}) = \bigoplus_{k \ge 0} U_k(\mathfrak{g}) / U_{k-1}(\mathfrak{g}) (U_{-1}(\mathfrak{g}) := (0))$ with the symmetric algebra $S(\mathfrak{g}) = \bigoplus_{k \ge 0} S^k(\mathfrak{g})$ of \mathfrak{g} in the canonical way. Here $S^k(\mathfrak{g})$ denotes the homogeneous component of

 $S(\mathfrak{g})$ of degree k.

For a finitely generated $U(\mathfrak{g})$ -module H, take a finite-dimensional subspace H_0 of H such that $H = U(\mathfrak{g})H_0$, and set $H_k := U_k(\mathfrak{g})H_0(k = 1, 2, ...)$. Then $(H_k)_k$ gives an increasing filtration of H, and corresponding one gets a finitely generated, graded $S(\mathfrak{g})$ -module $M := \bigoplus_{k \ge 0} M_k$ with $M_k = H_k/H_{k-1}$.

The annihilator ideal $\operatorname{Ann}_{S(g)}M := \{D \in S(g) \mid Dv = 0 \ (\forall v \in M)\}$ of M in S(g) defines an algebraic cone in g:

(2.1) $\mathscr{V}(H) := \{ X \in \mathfrak{g} \mid f(X) = 0 \; (\forall f \in \operatorname{Ann}_{S(\alpha)} M) \},$

which is independent of the choice of a subspace H_0 . Here S(g) is viewed as the polynomial ring over g through the Killing form of g. The variety $\mathscr{V}(H)$ and its dimension $d(H) := \dim \mathscr{V}(H)$ are called respectively the associated variety and the Gelfand-Kirillov dimension of H (cf. [5, 6, 8]).

3. Discrete series for G. We now fix some notation on the discrete series representations of G (cf. [2]). Take a compact Cartan subgroup T of G contained in K. Let Δ be the root system of g with respect to the complexified Lie algebra \mathfrak{k} of T. The totality of compact (resp. noncompact) roots in Δ will be denoted by Δ_c (resp. Δ_n). Fix once and for all a positive system Δ_c^+ of Δ_c . Let Ξ be the set of Δ_c^+ -dominant, Δ -regular linear forms Λ on \mathfrak{k} such that $\Lambda + \rho$ is T-integral through the exponential map. Here $\rho := (1/2) \sum_{\alpha \in \Delta^+} \alpha$ with $\Delta_+ = \{\alpha \in \Delta \mid (\Lambda, \alpha) > 0\}$.

By Harish-Chandra, there exists a natural bijective correspondence, say $\Lambda \to \pi_A$, from Ξ onto the set of (equivalence classes) of discrete series representations of G. By taking the K-finite part for π_A , one gets an irreducible Harish-Chandra (g, K)-module, which we denote by H_A from now on.

For a Δ_c^+ -dominant, *T*-integral linear form $\mu \in \mathfrak{t}^*$, let (τ_{μ}, V_{μ}) denote the irreducible *K*-module with highest weight μ . Set for a $\Lambda \in \mathcal{Z}$,

(3.1) $\lambda := \Lambda - \rho_c + \rho_n$, with $\rho_c := (1/2) \cdot \sum_{\alpha \in \Delta_c^+} \alpha$, $\rho_n := \rho - \rho_c$.

Then the π_{Λ} , looked upon as a K-module, contains τ_{λ} with multiplicity one, and the highest weight of any K-type of π_{Λ} is of the form: $\lambda + \sum_{\alpha \in \Delta^+} n_{\alpha} \alpha$ with integers $n_{\alpha} \geq 0$. We call τ_{λ} the *lowest* K-type of π_{Λ} .

4. $(S(\mathfrak{g}), K)$ -modules Gr $\mathscr{A}(\tau)$. For a finite-dimensional K-module (τ, V) , let $\mathscr{A}(\tau)$ be the space of real analytic functions $f: G \to V$ satisfying $f(gk) = \tau(k)^{-1}f(g)$ $(g \in G, k \in K)$. The group G acts on $\mathscr{A}(\tau)$ by left translation, and $\mathscr{A}(\tau)$ becomes a $U(\mathfrak{g})$ -module through differentiation. Let $\mathfrak{g} = \mathfrak{t} \oplus \mathfrak{p}$ be the complexified Cartan decomposition of \mathfrak{g} . Setting for each integer $k \geq 0$,

Now take two bases $(X_i)_{i=1}^s$ and $(X_i^s)_{i=1}^s$ to the vector space \mathfrak{p} such that $B(X_i, X_j^*) = \delta_j^i$ (Kronecker's δ) for the Killing form B of \mathfrak{g} . We put $\iota_k(f) := \sum_{|\nu|=k+1} (1/\nu!) \cdot (X^*)^{\nu} \otimes (X^{\nu}f)(1) \in S^{k+1}(\mathfrak{p}) \otimes V(f \in \mathcal{A}_{(k)}),$

No. 2]

where $X^{\nu} := X_1^{\nu_1} \cdots X_s^{\nu_s}$, $(X^*)^{\nu} := (X_1^*)^{\nu_1} \cdots (X_s^*)^{\nu_s}$ and $\nu! = \nu_1! \cdots \nu_s!$ for multi-indices $\nu = (\nu_1, \ldots, \nu_s)$ of length $|\nu| := \nu_1 + \cdots + \nu_s = k + 1$. Observe that $\iota_k(f)$ is independent of the choice of $(X_i)_i$ and $(X_i^*)_i$, and that ι_k naturally gives a K-isomorphism:

(4.3) $\tilde{\iota}_k : \mathcal{A}_{(k)} / \mathcal{A}_{(k+1)} \simeq S^{k+1}(\mathfrak{p}) \otimes V$, where K acts on $S^{k+1}(\mathfrak{p})$ through the adjoint action.

Lemma 1. The map $\tilde{\iota} := \bigoplus_k \tilde{\iota}_k$ gives a graded $(S(\mathfrak{g}), K)$ -isomorphism from $\operatorname{Gr} \mathscr{A}(\tau)$ onto $S(\mathfrak{p}) \otimes V$, where $S(\mathfrak{g})$ acts on $S(\mathfrak{p}) \otimes V$ by differentiation: $Y \cdot (X^k \otimes v) = kB(X, Y)X^{k-1} \otimes v$ for $Y \in \mathfrak{g}, X^k \otimes v \in S^k(\mathfrak{p}) \otimes V(k = 0, 1, \ldots)$.

We identify $\operatorname{Gr} \mathscr{A}(\tau)$ with $S(\mathfrak{p}) \otimes V$ by this isomorphism $\tilde{\iota}$.

5. Operators \mathscr{D}_{λ} and graded modules Gr H_{Λ} . Since the discrete series H_{Λ} contains the lowest K-type $(\tau_{\lambda}, V_{\lambda}), \lambda = \Lambda - \rho_c + \rho_n$, with multiplicity one, there exists a unique, up to scalar multiples, (\mathfrak{g}, K) -module embedding $H_{\lambda} \hookrightarrow \mathscr{A}(\tau_{\lambda})$. We regard H_{Λ} as a submodule of $\mathscr{A}(\tau_{\lambda})$ through this embedding. Then one gets a graded $(S(\mathfrak{g}), K)$ -submodule of Gr $\mathscr{A}(\tau_{\lambda})$:

 $\operatorname{Gr} H_A := \bigoplus_k (H_A \cap \mathscr{A}_{(k)}) / (H_A \cap \mathscr{A}_{(k+1)})$

through the decreasing filtration $\mathscr{A}_{(k)}$ of $\mathscr{A}(\tau_{\lambda})$ in (4.1).

Using the bases $(X_i)_{i=1}^s$ and $(X_i^*)_{i=1}^s$ of \mathfrak{p} in §4, we set for $f \in \mathscr{A}(\tau_{\lambda})$, (5.1) $\nabla_{\lambda}f(g) := \sum_{i=1}^s R_{X_i}f(g) \otimes X_i^*$ $(g \in G)$, where R_p denotes the left *G*-invariant differential operator on *G* correspond-

where K_D denotes the left G-invariant differential operator on G corresponding to $D \in U(g)$. Then ∇_{λ} does not depend on the choice of dual bases, and it defines a first order, left G-invariant differential operator from $\mathscr{A}(\tau_{\lambda})$ to $\mathscr{A}(\tau_{\lambda} \otimes \operatorname{Ad}_{\mathfrak{p}})$. Here $\operatorname{Ad}_{\mathfrak{p}}$ denotes the adjoint representation of K on \mathfrak{p} .

Let $(\tau_{\lambda}^{\pm}, V_{\lambda}^{\pm})$ be respectively the *K*-submodules of $V_{\lambda} \otimes \mathfrak{p}$ generated by highest weight vectors of weights $\lambda \pm \beta$ for some $\beta \in \Delta_n^+ = \Delta_n \cap \Delta^+$, and $P_{\lambda}: V_{\lambda} \to V_{\lambda}^-$ be the projection along the decomposition $V_{\lambda} \otimes \mathfrak{p} = V_{\lambda}^+ \oplus V_{\lambda}^-$.

The above ∇_{λ} , composed with P_{λ} yields a *G*-invariant differential operator \mathcal{D}_{λ} from $\mathcal{A}(\tau_{\lambda})$ to $\mathcal{A}(\tau_{\lambda}^{-})$:

(5.2) $\mathfrak{D}_{\lambda}f(g) := P_{\lambda}(\nabla_{\lambda}f(g)) \quad (f \in \mathscr{A}(\tau_{\lambda})).$

Passing to the gradation, we get an (S(g), K)-module map

(5.3) $\operatorname{Gr}[\mathcal{D}_{\lambda}]: S(\mathfrak{p}) \otimes V_{\lambda} = \operatorname{Gr} \mathscr{A}(\tau_{\lambda}) \to \operatorname{Gr} \mathscr{A}(\tau_{\lambda}^{-}) = S(\mathfrak{p}) \otimes V_{\lambda}^{-}.$

It follows from results of Schmid, Hotta-Parthasarathy and Wallach that the L^2 -kernel of \mathcal{D}_{λ} realizes the discrete series π_A for each $\Lambda \in \Xi$. In order to prove Theorem 1, we employ $\operatorname{Gr}[\mathcal{D}_{\lambda}]$ rather than \mathcal{D}_{λ} itself, and use the following

Theorem HP (cf. [3]). One has $\operatorname{Gr} H_{\Lambda} = \operatorname{Ker}(\operatorname{Gr}[\mathcal{D}_{\lambda}])$ provided the lowest hightest weight $\lambda = \Lambda - \rho_c + \rho_n$ of H_{Λ} is sufficiently Δ_c -regular.

6. Outline of proof of Theorem 1. FIRST STEP. Let H_A^* be the K-finite dual of discrete series H_A . Note that $H_A^* \simeq H_{-w_0A}$ as (\mathfrak{g}, K) -modules, where w_0 is the element of Weyl group of Δ_c such that $w_0 \Delta_c^+ = -\Delta_c^+$. We are going to prove

(6.1) $\Psi(H_A^*) = K_C \mathfrak{p}_+ = \operatorname{Ad}(K)\mathfrak{p}_+$ with $\mathfrak{p}_+ := \sum_{\beta \in \Delta_R^+} \mathfrak{g}_\beta$, which is equivalent to the claim of Theorem 1.

First, Theorem HP allows us to deduce the following

Proposition 1. For sufficiently Δ_c -regular $\lambda = \Lambda - \rho_c + \rho_n$, the associated variety $\mathcal{V}(H_A^*)$ of H_A^* is expressed by means of $\operatorname{Gr}[\mathcal{D}_{\lambda}]$ as

 $\mathscr{V}(H_{\Lambda}^*) = \{ X \in \mathfrak{g} \mid f(X) = 0 \; (\forall f \in \operatorname{Ann}_{\mathcal{S}(\mathfrak{g})} \operatorname{Ker}(\operatorname{Gr}[\mathscr{D}_{\lambda}])) \}.$

SECOND STEP. Let v_{λ} be a nonzero highest weight vector of V_{λ} . For each integer $k \geq 0$, let $Q_k^+(\lambda)$ denote the K-submodule of $S^k(\mathfrak{p}) \otimes V_{\lambda}$ generated by subspace $S^k(\mathfrak{p}_+) \otimes v_{\lambda}$. Then one easily observes that

(6.2) $\operatorname{Ker}(\operatorname{Gr}[\mathcal{D}_{\lambda}]) \cap (S^{k}(\mathfrak{p}) \otimes V_{\lambda}) \supset Q_{k}^{+}(\lambda).$

We can prove the following proposition with the aid of [3, Lemma 5.2].

Proposition 2. For each $k \ge 0$, there exists a constant $c_k \ge 0$ for which the equality holds in (6.2) if $(\lambda, \alpha) > c_k (\forall \alpha \in \Delta_c^+)$.

THIRD STEP. Let $\mathscr{L}(K_C\mathfrak{p}_+) = \{f \in S(\mathfrak{g}) \mid f(X) = 0 \ (\forall X \in K_C\mathfrak{p}_+)\}$ be the ideal of $S(\mathfrak{g})$ defined by the cone $K_C\mathfrak{p}_+$. Noting that this ideal is finitely generated since $S(\mathfrak{g})$ is Noetherian, we deduce from Proposition 2,

Theorem 2. One has $\operatorname{Ann}_{S(\mathfrak{g})}\operatorname{Ker}(\operatorname{Gr}[\mathfrak{D}_{\lambda}]) \subset \mathscr{L}(K_{\mathfrak{C}}\mathfrak{p}_{+})$ for every $\lambda = \Lambda - \rho_{\mathfrak{c}} + \rho_{\mathfrak{n}}$. Moreover the equality holds in this inclusion if the parameter λ is sufficiently $\Delta_{\mathfrak{c}}$ -regular.

FINAL STEP. Let *B* be the Borel subgroup of K_c with Lie algebra $\mathfrak{k} + \sum_{\alpha \in A_c^+} \mathfrak{g}_{\alpha}$. Notice that \mathfrak{p}_+ is *B*-stable and that $K_c = \operatorname{Ad}(K)B$ by the Iwasawa decomposition of K_c . We then find that $K_c\mathfrak{p}_+ = \operatorname{Ad}(K)\mathfrak{p}_+$ is a closed subset of \mathfrak{g} because of the compactness of K.

Now Proposition 1 and Theorem 2 yield the desired (6.1) for sufficiently Δ_c -regular λ . With the Zuckerman translation principle in mind (cf. [7, I, 3.4]), we conclude that (6.1) holds for every λ . This completes the proof of Theorem 1.

7. The above discussion leads us also to the following conclusions.

Theorem 3. Assume that λ be sufficiently Δ_c -regular. Then,

(i) the annihilator ideal of S(g)-module Gr H_A coincides with its radical.

(ii) One has $\mathscr{V}(H_{\Lambda}^*) = \{X \in \mathfrak{p} \mid P_{\lambda}(v \otimes X) \neq 0 \ (\exists v \in V_{\lambda} \setminus (0))\}.$

We remark that $V_{\lambda} \otimes \mathfrak{p} \ni (v, X) \mapsto P_{\lambda}(v \otimes X) \in V_{\lambda}^{-}$ is just the (complexified) symbol mapping of \mathfrak{D}_{λ} at the origin $o = K \in G/K$.

8. Gelfand-Kirillov dimensions $d(H_A)$ for SU(p, q). By applying Theorem 1, we can give an explicit formula for the Gelfand-Kirillov dimensions $d(H_A) = \dim K_C \mathfrak{p}_-$ of discrete series for G = SU(p, q) (n = p + q, q > 0).

8.1. Realize the group G as

$$G = \{g \in SL(n, C) \mid {}^{t}\bar{g}I_{p,q}g = I_{p,q}\} \text{ with } I_{p,q} = \begin{pmatrix} I_{p} & 0\\ 0 & -I_{q} \end{pmatrix},$$

where I_r is the identity matrix of degree r, and ${}^{t}g$ (resp. \overline{g}) denotes the transposed (resp. the complex conjugate) of a matrix g. Then we have $g = \mathfrak{sl}(n, C)$ and $t = \{Z = \operatorname{diag}(t_1, \ldots, t_n) \mid t_i \in C, \operatorname{tr} Z = 0\}$. The root system Δ (resp. $\Delta_c \subset \Delta$) of \mathfrak{g} (resp. \mathfrak{t}) with respect to t is of type A_{n-1} (resp. $A_{p-1} \times A_{q-1}$), and it is given respectively by

 $\Delta = \{e_{ij} \mid 1 \le i, j \le n, i \ne j\}, \Delta_c = \{e_{ij} \in \Delta \mid 1 \le i, j \le p \text{ or } p < i, j \le n\}$ with $e_{ij}(Z) := t_i - t_j(Z \in t)$.

Fix a positive system $\varDelta_c^+ := \{e_{ij} \in \varDelta_c \mid i < j\}$ of \varDelta_c . Let $\Pi_{p,q}$ be the

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totality of maps h from $F(n) := \{1, 2, \ldots, n\}$ to the set $\{a, b\}$ of two elements *a* and *b*, such that $\#(h^{-1}(\{a\})) = p$ and $\#(h^{-1}(\{b\})) = q$, where # (S) denotes the cardinal number of a set S. For an $h \in \Pi_{p,q}$, arrange the elements of $h^{-1}(\{a\})$ and $h^{-1}(\{b\})$ respectively as

 $(w(1), w(2), \ldots, w(p))$ with $w(1) < w(2) < \ldots < w(p)$, $(w(p+1), w(p+2), \dots, w(n))$ with $w(p+1) < w(p+2) < \dots < w(n)$, and we put

 $\Delta^+(h) := \{ e_{ij} \in \Delta \mid w(i) < w(j) \}$ (8.1)

through this w. Then we easily find that $h \mapsto \Delta^+(h)$ gives a one-one correspondence from $\Pi_{p,q}$ onto the set of positive systems of Δ including Δ_c^+ .

Now let $h \in \Pi_{p,q}$. Take a discrete series (g, K)-module H_A with $\Delta^+(h)$ -dominant parameter $\Lambda \in \Xi$. By Theorem 1, we see that d[h] := $d(H_{\Lambda})$ is independent of the choice of such a Λ . The map $\Pi_{p,q} \ni h \to M$ d[h] completely describes the Gelfand-Kirillov dimensions for discrete series of G = SU(p, q).

We put $\Pi := \bigcup_{n=1}^{\infty} \Pi(n)$ (disjoint union), where the set $\Pi(n) :=$ $\bigcup_{p+q=n} \prod_{p,q}$ consists of all mappings from F(n) to $\{a, b\}$. Extend $h \to d[h]$, defined on each $\Pi_{p,q}$, to a function $d[\cdot]$ on Π in the canonical way.

8.2. Let $h \in \Pi(n)$ (n > 0). In order to specify the Gelfand-Kirillov dimension d[h], we introduce an equivalence relation $\stackrel{n}{\sim}$ on the set F(n) by

 $i \stackrel{h}{\sim} i \Leftrightarrow h$ takes the same value on the segment [i, j].

Take a complete system $I_n \subset F(n)$ of representatives of the coset space $F(n) / \stackrel{h}{\sim}$, and let $\zeta_h : F(n) \setminus I_h \mapsto F(n - |h|)$, be the unique bijection such that

 $i < j \Leftrightarrow \zeta_h(i) < \zeta_h(j) \text{ for } i, j \in F(n) \setminus I_h,$

where $|h| := \#(I_h)$. We define $Rh \in \Pi(n - |h|)$ by $Rh := h \circ \zeta_h^{-1}$. Note that Rh is independent of the choice of a set of representatives I_h .

Applying R repeatedly, we obtain from each $h \in \Pi(n)$ a finite sequence $(R^{k}(h))_{0 \leq k \leq l}$ of elements of Π with

 $R^{k}(h) \in \Pi(n_{k}(h)), \quad n_{k}(h) = n - \sum_{j=0}^{k-1} |R^{j}(h)|.$ (8.2)Here *l* is the non-negative integer such that $|R'(h)| = n_i(h) > 0$.

Theorem 4. The Gelfand-Kirillov dimension of an $h \in \Pi(n) =$ $\bigcup_{p+q=n} \prod_{p,q} (n > 0)$ is given as

 $(n_k(h))_{0 \le k \le l}$ in (8.2).

Example. CASE OF G = SU(p, 2) $(p \ge 2)$. In this case, the set $\Pi_{p,2}$ is divided into 7 subfamilies according to the positions of two elements $i_1, i_2 \in$ F(n) such that $h(i_1) = h(i_2) = b$, and the corresponding quantities $(r_k)_{0 \le k \le l}$ and d[h] are given explicitly as follows.

type	$(h(i))_i$	$(r_k)_k$	d[h]
Ι	$(bba \ldots a)$	(2,2,1,,1)	2 p
П	$(ba \dots aba \dots a)$	$(4, 1, \ldots, 1)$	3 <i>p</i>
Ш	$(ba \dots ab)$	(3,1,,1)	2p + 1
IV	$(a \dots abba \dots a)$	$(3,3,1,\ldots,1) \ (p \ge 4)$ $(3,2) \ (p = 3)$	$\frac{4p-4}{8}$
		(3,2) (p-3) (3,1) (p=2)	5
V	$(a \dots aba \dots aba \dots a) \ (p \ge 3)$	(5,1,,1)	4p - 2
VI	$(a \dots aba \dots ab)$	(4,1,,1)	3 <i>p</i>
VI	$(a \dots abb)$	(2,2,,1)	2 p

The details of this note will appear elsewhere.

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