

## A TECHNIQUE OF CONSTRUCTING PLANAR HARMONIC MAPPINGS AND THEIR PROPERTIES

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### Abstract

The analytic part of a planar harmonic mapping plays a vital role in shaping its geometric properties. For a normalized analytic function  $f$  defined in the unit disk, define an operator  $\Phi[f](z) = f(z) + \overline{f(z)} - z$ . In this paper, necessary and sufficient conditions on  $f$  are determined for the harmonic function  $\Phi[f]$  to be univalent and convex in one direction. Similar results are obtained for  $\Phi[f]$  to be starlike and convex in the unit disk. This results in the coefficient estimates, growth results and convolution properties of  $\Phi[f]$ . In addition, various radii constants associated with  $\Phi[f]$  have been computed.

### 1. Introduction

Let  $\mathcal{A}$  denote the class of all analytic functions  $f$  defined in the open unit disk  $\mathbf{D} := \{z \in \mathbf{C} : |z| < 1\}$  normalized by  $f(0) = 0 = f'(0) - 1$  and  $\mathcal{S}$  be its subclass consisting of univalent functions. Let  $\mathcal{H}$  denote the class of all harmonic functions  $f = h + \bar{g}$ , where  $h$  and  $g$  are analytic in  $\mathbf{D}$  and normalized so that  $h(0) = g(0) = h'(0) - 1 = g'(0) = 0$ . Therefore, if  $f = h + \bar{g} \in \mathcal{H}$ , then

$$(1.1) \quad h(z) = z + \sum_{n=2}^{\infty} a_n z^n \quad \text{and} \quad g(z) = \sum_{n=2}^{\infty} b_n z^n, \quad z \in \mathbf{D}.$$

The functions  $h$  and  $g$  are called analytic and co-analytic parts of  $f$  respectively. By Lewy's theorem [9], we know that the Jacobian of a locally univalent harmonic function does not vanish. Thus the Jacobian of a locally univalent function  $f \in \mathcal{H}$  is, in view of  $|f_z(0)|^2 - |f_{\bar{z}}(0)|^2 = |h'(0)|^2 - |g'(0)|^2 = 1 > 0$ , positive in  $\mathbf{D}$ , and so  $f$  is sense-preserving in  $\mathbf{D}$ . Let  $\mathcal{S}_H^0$  be the subclass of  $\mathcal{H}$  consisting of sense-preserving univalent functions. Finally, let  $\mathcal{S}_H^{*0}$ ,  $\mathcal{K}_H^0$  and  $\mathcal{C}_H^0$  be the subclasses of  $\mathcal{S}_H^0$  consisting of functions mapping  $\mathbf{D}$  onto starlike, convex and

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close-to-convex domains, respectively, just as  $\mathcal{S}^*$ ,  $\mathcal{H}$  and  $\mathcal{C}$  are the subclasses of  $\mathcal{S}$  mapping  $\mathbf{D}$  onto their respective domains.

The analytic part  $h$  of a harmonic mapping  $f = h + \bar{g}$  plays a crucial role in shaping the geometric properties of  $f$  (for instance, see [5, Theorem 5.17, p. 20] and [3, Theorem 1, p. 768]). Consequently, univalent harmonic mappings can be constructed in such a manner that the co-analytic part is a slight modification of its analytic part. Motivated by these ideas and Shear Construction Theorem [5, Theorem 5.3, p. 14], we define an operator  $\Phi : \mathcal{A} \rightarrow \mathcal{H}$  by

$$\Phi[f](z) = f(z) + \overline{f(z) - z}, \quad z \in \mathbf{D}, f \in \mathcal{A}.$$

If  $f \in \mathcal{A}$  is of the form

$$(1.2) \quad f(z) = z + \sum_{n=2}^{\infty} a_n z^n \quad (z \in \mathbf{D})$$

then

$$\Phi[f](z) = z + \sum_{n=2}^{\infty} a_n z^n + \overline{\sum_{n=2}^{\infty} a_n z^n}, \quad z \in \mathbf{D}.$$

In this paper, we study the geometric properties of the operator  $\Phi$ . In Section 2, necessary and sufficient conditions are obtained for  $\Phi[f]$  to be univalent and convex in one direction. As a consequence, coefficient bounds and convolution properties are investigated. In the last section of the paper, the radius of convexity and other related radii constants are determined corresponding to the function  $\Phi[f]$ . The following lemma will be needed in our investigation which determines a sufficient coefficient condition for functions of the form  $f = h + \bar{g} \in \mathcal{H}$  to be in the classes  $\mathcal{S}_H^{*0}$  and  $\mathcal{H}_H^0$ . It is worth to note that these conditions in fact yield the sufficient conditions for functions to be fully starlike and fully convex in  $\mathbf{D}$  (see [1, 4, 16]).

**LEMMA 1.1** ([2]). *Let  $f = h + \bar{g} \in \mathcal{H}$  where  $h$  and  $g$  are given by (1.1). If  $\sum_{n=2}^{\infty} n(|a_n| + |b_n|) \leq 1$ , then  $f \in \mathcal{S}_H^{*0}$  and if  $\sum_{n=2}^{\infty} n^2(|a_n| + |b_n|) \leq 1$ , then  $f \in \mathcal{H}_H^0$ . Moreover, if  $a_n \leq 0$  and  $b_n \geq 0$  for  $n \geq 2$ , then these conditions are also necessary for  $f$  to be in  $\mathcal{S}_H^{*0}$  and  $\mathcal{H}_H^0$ .*

### 2. Properties of the operator $\Phi$

If we consider the Koebe function  $k(z) = z/(1-z)^2 \in \mathcal{S}$ , then it is easy to see that the harmonic function  $\Phi[k]$  is not univalent in  $\mathbf{D}$ , since its Jacobian vanishes inside  $\mathbf{D}$ . In particular, this shows that  $\Phi[\mathcal{S}] \not\subset \mathcal{S}_H^0$ ,  $\Phi[\mathcal{S}^*] \not\subset \mathcal{S}_H^{*0}$  and  $\Phi[\mathcal{C}] \not\subset \mathcal{C}_H^0$ . Similarly, if  $l(z) = z/(1-z) \in \mathcal{H}$ , then the Jacobian of the function  $\Phi[l](z) = z/(1-z) + \bar{z}^2/(1-\bar{z})$  vanishes at  $z = 1 - \sqrt{2}$  and hence  $\Phi[\mathcal{H}] \not\subset \mathcal{H}_H^0$ . The following theorem determines a subclass of  $\mathcal{S}$  which is mapped into  $\mathcal{C}_H^0 \subset \mathcal{S}_H^0$  by the operator  $\Phi$ .

**THEOREM 2.1.** *Let  $f \in \mathcal{A}$ . Then we have the following:*

- (i)  $\Phi[f]$  is sense-preserving in  $\mathbf{D}$  if and only if  $\operatorname{Re} f'(z) > 1/2$  for all  $z \in \mathbf{D}$ .
- (ii) If  $\operatorname{Re} f' > 1/2$  in  $\mathbf{D}$ , then  $\Phi[f] \in \mathcal{S}_H^0$  and is convex in the direction of real axis. In particular,  $\Phi[f]$  is close-to-convex in  $\mathbf{D}$ .

*Proof.* (i) Write  $\Phi[f] = h + \bar{g}$ , where  $h(z) = f(z)$  and  $g(z) = f(z) - z$  are analytic functions in  $\mathbf{D}$ . Then  $\Phi[f]$  is sense-preserving in  $\mathbf{D} \Leftrightarrow |h'(z)| > |g'(z)| \Leftrightarrow |f'(z)| > |f'(z) - 1| \Leftrightarrow \operatorname{Re} f'(z) > 1/2$  for all  $z \in \mathbf{D}$ .

(ii) If  $\operatorname{Re} f' > 1/2$  in  $\mathbf{D}$ , then  $\Phi[f] = h + \bar{g}$  is sense-preserving in  $\mathbf{D}$  by part (i). Also,  $h(z) - g(z) = z$  is univalent and convex in the direction of real axis. Therefore, by Shear Construction Theorem [5, Theorem 5.3, p. 14],  $\Phi[f]$  is univalent and is convex in the direction of real axis.  $\square$

**COROLLARY 2.2.** *If  $f \in \mathcal{A}$  is given by (1.2) and  $\Phi[f] \in \mathcal{S}_H^0$ , then  $|a_n| \leq 1/n$  for all  $n = 2, 3, \dots$ . The bound  $1/n$  is best possible. Moreover, the sharp inequality  $|\Phi[f](z)| \leq -|z| - 2 \log(1 - |z|)$  holds for all  $z \in \mathbf{D}$ .*

*Proof.* By Theorem 2.1(i),  $\operatorname{Re} f' > 1/2$  in  $\mathbf{D}$  which gives  $|a_n| \leq 1/n$  for  $n \geq 1$  and

$$|\Phi[f](z)| \leq |z| + 2 \sum_{n=2}^{\infty} |a_n| |z|^n \leq |z| + 2 \sum_{n=2}^{\infty} \frac{1}{n} |z|^n = -|z| - 2 \log(1 - |z|)$$

for all  $z \in \mathbf{D}$ .

Since the analytic function  $f_0(z) = -\log(1 - z)$  satisfies  $\operatorname{Re} f_0'(z) > 1/2$  for all  $z \in \mathbf{D}$ , therefore the harmonic function

$$(2.1) \quad \Phi[f_0](z) = -2 \log|1 - z| - \bar{z} = z + \sum_{n=2}^{\infty} \frac{z^n}{n} + \sum_{n=2}^{\infty} \frac{\bar{z}^n}{n}, \quad z \in \mathbf{D}$$

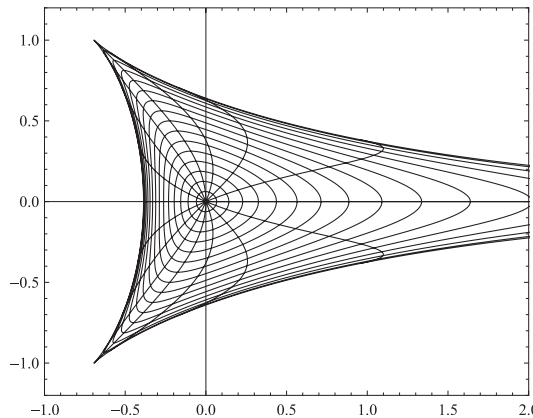


FIGURE 1. Image of the unit disk under  $\Phi[f_0](z) = -2 \log|1 - z| - \bar{z}$

belongs to the class  $\mathcal{S}_H^0$ . Figure 1 illustrates that the image domain  $\Phi[f_0](\mathbf{D})$  is convex in the direction of real axis.  $\square$

If  $f \in \mathcal{A}$  is given by (1.2), then it is easily seen that if  $\sum_{n=2}^\infty n|a_n| \leq 1/2$ , then  $\Phi[f] \in \mathcal{S}_H^{*0}$  and if  $\sum_{n=2}^\infty n^2|a_n| \leq 1/2$ , then  $\Phi[f] \in \mathcal{K}_H^0$  by Lemma 1.1. For the special case  $f(z) = z + a_2z^2 \in \mathcal{A}$ , the following theorem determines the necessary and sufficient coefficient conditions for the function  $\Phi[f]$  to belong to the classes  $\mathcal{S}_H^0$ ,  $\mathcal{S}_H^{*0}$ ,  $\mathcal{K}_H^0$  and  $\mathcal{C}_H^0$ .

**THEOREM 2.3.** *Let  $f(z) = z + a_2z^2 \in \mathcal{A}$ . Then*

- (a)  $\Phi[f] \in \mathcal{S}_H^0 \Leftrightarrow |a_2| \leq 1/4$ ;
- (b)  $\Phi[f] \in \mathcal{S}_H^{*0}$  (or  $\mathcal{C}_H^0$ )  $\Leftrightarrow |a_2| \leq 1/4$ ;
- (c)  $\Phi[f] \in \mathcal{K}_H^0 \Leftrightarrow |a_2| \leq 1/8$ .

*The constants 1/4 and 1/8 are best possible.*

*Proof.* (a) If  $a_2 = 0$ , then we have nothing to prove. Therefore, assume that  $a_2 \neq 0$ . If  $\Phi[f] \in \mathcal{S}_H^0$ , then  $\text{Re } f' > 1/2$  in  $\mathbf{D}$  by Theorem 2.1(i). It is easy to deduce that  $\text{Re}(1 + 2a_2z) \geq 1/2$  on  $|z| = 1$ . In particular, for  $z = -e^{-i \arg(a_2)}$ , we have  $1 - 2|a_2| \geq 1/2$  which simplifies to  $|a_2| \leq 1/4$ . Conversely, if  $|a_2| \leq 1/4$ , then  $|f'(z) - 1| = 2|a_2||z| < 2|a_2| \leq 1/2$  so that  $\text{Re } f'(z) > 1/2$  for all  $z \in \mathbf{D}$ . By Theorem 2.1(ii),  $\Phi[f] \in \mathcal{S}_H^0$ .

(b) If  $\Phi[f] \in \mathcal{S}_H^{*0}$  or  $\mathcal{C}_H^0$ , then by part (a),  $|a_2| \leq 1/4$ . Conversely, let  $|a_2| \leq 1/4$ . Then  $\Phi[f] \in \mathcal{C}_H^0$  by Theorem 2.1(ii), since a domain convex in the direction of real axis is close-to-convex. Also,  $\Phi[f] \in \mathcal{S}_H^{*0}$  since  $2|a_2| \leq 1/2$  (by the discussion preceding Theorem 2.3).

(c) Let  $\Phi[f] \in \mathcal{K}_H^0$ . Without loss of generality, we may assume that  $a_2 \geq 0$ . Since  $\Phi[f](\mathbf{D})$  is a convex set, we have

$$\frac{\partial}{\partial \theta} \left( \arg \left\{ \frac{\partial}{\partial \theta} \Phi[f](e^{i\theta}) \right\} \right) \geq 0, \quad 0 \leq \theta < 2\pi.$$

By a straightforward calculation, the last expression reduces to

$$\text{Re} \left( \frac{z + 8a_2 \text{Re}(z^2)}{z + 4ia_2 \text{Im}(z^2)} \right) \geq 0 \quad \text{for } |z| = 1.$$

In particular, at  $z = -1$ , we have  $1 - 8a_2 \geq 0$  which gives the desired result. As  $4|a_2| \leq 1/2$ , the converse part is obvious.

For sharpness of the results, consider the analytic functions  $g(z) = z + z^2/4$  and  $h(z) = z + z^2/8$ . Figure 2 depicts that the harmonic functions

$$\Phi[g](z) = z + \frac{z^2}{4} + \frac{\bar{z}^2}{4} \quad \text{and} \quad \Phi[h](z) = z + \frac{z^2}{8} + \frac{\bar{z}^2}{8}$$

map  $\mathbf{D}$  onto starlike and convex domain respectively.  $\square$

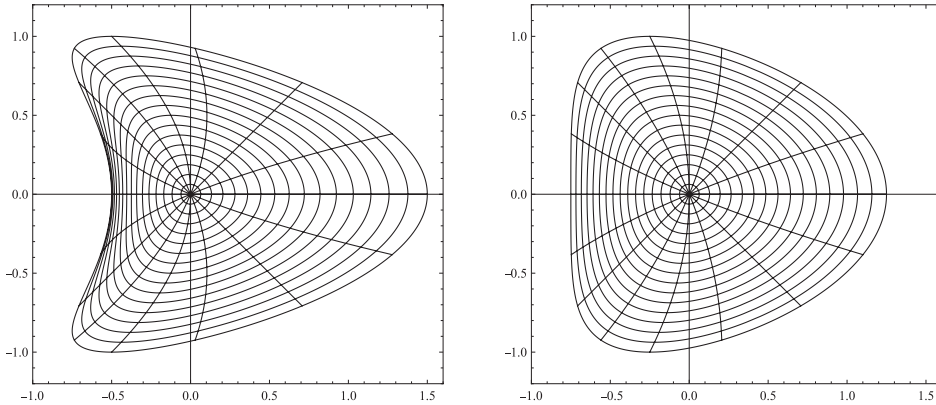


FIGURE 2. Images of the unit disk under  $\Phi[z + z^2/4]$  and  $\Phi[z + z^2/8]$ .

The study of convolution properties of harmonic mappings is a fairly active area of research (see [6–8, 15, 17]). Given two analytic functions  $f(z) = \sum_{n=1}^{\infty} a_n z^n$  and  $F(z) = \sum_{n=1}^{\infty} A_n z^n$ , their analytic convolution is defined as  $(f * F)(z) = \sum_{n=1}^{\infty} a_n A_n z^n$ . In the harmonic case, with  $f = h + \bar{g}$  and  $F = H + \bar{G}$ , their harmonic convolution is defined as  $f * F = h * H + \overline{g * G}$ . The following theorem investigates the convolution properties of the function  $\Phi[f]$ .

**THEOREM 2.4.** (a) If  $f_1, f_2 \in \mathcal{A}$  with  $\operatorname{Re}(f_1 * f_2)' > 1/2$  in  $\mathbf{D}$ , then  $\Phi[f_1] * \Phi[f_2] \in \mathcal{S}_H^0$  and is convex in the direction of real axis. (b) If  $f \in \mathcal{A}$  and  $L$  is the harmonic half-plane mapping defined as

$$L(z) = M(z) + \overline{N(z)}, \quad M(z) := \frac{z - \frac{1}{2}z^2}{(1 - z)^2}, \quad N(z) := \frac{-\frac{1}{2}z^2}{(1 - z)^2}, \quad z \in \mathbf{D}$$

then  $L * \Phi[f]$  is univalent and convex in the direction of imaginary axis if and only if  $f \in \mathcal{H}$ .

*Proof.* (a) It is easy to see that  $(\Phi[f_1] * \Phi[f_2])(z) = (f_1(z) + \overline{f_1(z) - z}) * (f_2(z) + \overline{f_2(z) - z}) = (f_1 * f_2)(z) + \overline{(f_1 * f_2)(z) - z} = \Phi[f_1 * f_2](z)$  so that the result follows by invoking Theorem 2.1(ii).

(b) Observe that

$$(L * \Phi[f])(z) = \frac{1}{2}(f(z) + zf'(z)) + \overline{\frac{1}{2}(f(z) - zf'(z))} = T_1[f](z), \quad z \in \mathbf{D}$$

where  $T_c[f]$  ( $c > 0$ ) is the operator defined by Muir [11]. By [11, Theorem 3.2, p. 225], it follows that  $L * \Phi[f]$  is univalent and convex in the direction of imaginary axis if and only if  $f \in \mathcal{H}$ .  $\square$

Note that Theorem 2.4(a) was independently proved by the last two authors [17, Corollary 2.2, p. 1330]. If  $f = h + \bar{g} \in \mathcal{H}$ , then the  $\delta$ -neighborhood of  $f$  denoted by  $N_\delta(f)$  (see [2]) is the set consisting of all harmonic functions

$$(2.2) \quad F(z) = z + \sum_{n=2}^{\infty} A_n z^n + \overline{\sum_{n=2}^{\infty} B_n z^n}, \quad z \in \mathbf{D}$$

satisfying  $\sum_{n=2}^{\infty} n(|A_n - a_n| + |B_n - b_n|) \leq \delta$ . The last result of this section deals with the neighborhood of  $\Phi[f]$ .

**THEOREM 2.5.** *If  $f \in \mathcal{A}$  is given by (1.2) with  $\sum_{n=2}^{\infty} n^2|a_n| \leq 1/2$ , then  $N_\delta(\Phi[f]) \subset \mathcal{S}_H^{*0}$  for  $0 < \delta \leq 1/2$ .*

*Proof.* Let  $F \in N_\delta(\Phi[f])$  be given by (2.2). Then

$$\sum_{n=2}^{\infty} n(|A_n - a_n| + |B_n - b_n|) \leq \delta,$$

so that

$$\begin{aligned} \sum_{n=2}^{\infty} n(|A_n| + |B_n|) &\leq \sum_{n=2}^{\infty} n(|A_n - a_n| + |B_n - a_n|) + 2 \sum_{n=2}^{\infty} n|a_n| \\ &\leq \delta + \sum_{n=2}^{\infty} n^2|a_n| \leq \delta + \frac{1}{2} \leq 1. \end{aligned}$$

By Lemma 1.1,  $F \in \mathcal{S}_H^{*0}$ . □

### 3. Radii constants

By Figure 1, it is evident that if a function  $f \in \mathcal{A}$  satisfies  $\operatorname{Re} f'(z) > 1/2$  for all  $z \in \mathbf{D}$ , then  $\Phi[f] \in \mathcal{S}_H^0$  need not map  $\mathbf{D}$  onto a convex domain. Therefore it is interesting to determine the largest radius  $\rho < 1$  for which the functions  $\Phi[f]$  with the condition  $\operatorname{Re} f'(z) > 1/2$  map the subdisk  $|z| < \rho$  onto a convex domain. This is achieved in the next theorem which makes use of the result that for every  $r > 0$  and every harmonic mapping  $f = h + \bar{g}$  in a disk  $\{z \in \mathbf{C} : |z| < R\}$  with  $R > r$ , the curve  $[0, 2\pi] \ni \theta \mapsto f(re^{i\theta})$  is convex if and only if for every  $\theta \in [0, 2\pi]$ ,

$$\frac{\partial}{\partial \theta} \left( \arg \left( \frac{\partial}{\partial \theta} f(re^{i\theta}) \right) \right) = \operatorname{Re} \left( \frac{zh'(z) + z^2h''(z) + \overline{zg'(z) + z^2g''(z)}}{zh'(z) - \overline{zg'(z)}} \right) \geq 0$$

where  $z = re^{i\theta}$ .

**THEOREM 3.1.** *Let  $f \in \mathcal{A}$  with  $\operatorname{Re} f'(z) > 1/2$  for all  $z \in \mathbf{D}$ . Then  $\Phi[f] \in \mathcal{S}_H^0$  and maps the disk  $|z| < \sqrt{2} - 1$  onto a convex domain. The bound  $\sqrt{2} - 1$  is best possible.*

*Proof.* By Theorem 2.1(ii),  $\Phi[f]$  is univalent in  $\mathbf{D}$ . Consequently, it suffices to show that  $\operatorname{Re}((zh'(z) + z^2h''(z) + \overline{zg'(z) + z^2g''(z)})(\overline{zh'(z)} - zg'(z))) > 0$  for  $|z| < \sqrt{2} - 1$ , where  $\Phi[f] = h + \bar{g}$ . Observe that

$$\begin{aligned} & \operatorname{Re}((zh'(z) + z^2h''(z) + \overline{zg'(z) + z^2g''(z)})(\overline{zh'(z)} - zg'(z))) \\ &= |z|^2|h'(z)|^2 + |z|^2 \operatorname{Re} zh''(z)\overline{h'(z)} - \operatorname{Re} z^3h''(z)g'(z) \\ & \quad - |z|^2|g'(z)|^2 + \operatorname{Re} z^3h'(z)g''(z) - |z|^2 \operatorname{Re} zg''(z)\overline{g'(z)}. \end{aligned}$$

On substituting  $h(z) = f(z)$  and  $g(z) = f(z) - z$ , the last expression simplifies to

$$\begin{aligned} & \operatorname{Re}((zh'(z) + z^2h''(z) + \overline{zg'(z) + z^2g''(z)})(\overline{zh'(z)} - zg'(z))) \\ &= |z|^2|f'(z)|^2 + \operatorname{Re} z^3f''(z) - |z|^2|f'(z) - 1|^2 + |z|^2 \operatorname{Re} zf''(z) \\ &= 2|z|^2 \operatorname{Re} f'(z) - |z|^2 + |z|^2 \operatorname{Re} zf''(z) + \operatorname{Re} z^3f''(z) \\ &\geq 2|z|^2 \operatorname{Re} f'(z) - |z|^2 - 2|z|^3|f''(z)| \\ &= |z|^2(2 \operatorname{Re} f'(z) - 1 - 2|z||f''(z)|). \end{aligned}$$

Making use of the fact that [13, Corollary 3, p. 213] an analytic function  $p$  in  $\mathbf{D}$  with  $p(0) = 1$  and  $\operatorname{Re} p(z) > \alpha$  for all  $z \in \mathbf{D}$  and  $\alpha \in [0, 1)$  satisfies

$$|p'(z)| \leq \frac{2(\operatorname{Re} p(z) - \alpha)}{1 - |z|^2},$$

it is easy to deduce that

$$|f''(z)| \leq \frac{2 \operatorname{Re} f'(z) - 1}{1 - |z|^2}$$

so that

$$\begin{aligned} & \operatorname{Re}((zh'(z) + z^2h''(z) + \overline{zg'(z) + z^2g''(z)})(\overline{zh'(z)} - zg'(z))) \\ &\geq |z|^2 \left( 2 \operatorname{Re} f'(z) - 1 - \frac{2|z|(2 \operatorname{Re} f'(z) - 1)}{1 - |z|^2} \right) \\ &= |z|^2(2 \operatorname{Re} f'(z) - 1) \left( \frac{1 - 2|z| - |z|^2}{1 - |z|^2} \right) \end{aligned}$$

for all  $z \in \mathbf{D}$ . The right hand side of the above expression is positive provided  $|z| < \sqrt{2} - 1$ . For the function  $\Phi[f_0]$  given by (2.2), we have

$$\left. \frac{\partial}{\partial \theta} \left( \arg \left\{ \frac{\partial}{\partial \theta} \Phi[f_0](re^{i\theta}) \right\} \right) \right|_{\theta=\pi, r=\sqrt{2}-1} = 0$$

which verifies the sharpness of the result.  $\square$

For  $f \in \mathcal{A}$ , it is worth to note that all the following three conditions imply that  $\operatorname{Re} f'(z) > 1/2$  for all  $z \in \mathbf{D}$  (see [18, Theorem 1, p. 64] and [18, Corollary 2, p. 67]):

- (i)  $\operatorname{Re}(1 + zf''(z)/f'(z)) > 1/2$  for all  $z \in \mathbf{D}$ ;
- (ii)  $|f'(z) - 1| < 1/2$  for all  $z \in \mathbf{D}$ ;
- (iii)  $|f''(z)| \leq 1/4$  for all  $z \in \mathbf{D}$ .

Thus  $\Phi[f] \in \mathcal{S}_H^0$  by Theorem 2.1(ii) and the next corollary determines the largest disk  $|z| < \rho$  mapped by  $\Phi[f]$  onto a convex domain in each case. In particular, Corollary 3.2(iii) determines a subclass of  $\mathcal{K}$  which is mapped by the operator  $\Phi$  into  $\mathcal{K}_H^0$ .

**COROLLARY 3.2.** *Let  $f \in \mathcal{A}$ .*

- (i) *If  $\operatorname{Re}(1 + zf''(z)/f'(z)) > 1/2$  for all  $z \in \mathbf{D}$ , then  $\Phi[f] \in \mathcal{S}_H^0$  and maps the disk  $|z| < \sqrt{2} - 1$  onto a convex domain. The bound  $\sqrt{2} - 1$  is best possible.*
- (ii) *If  $|f'(z) - 1| < 1/2$  for all  $z \in \mathbf{D}$ , then  $\Phi[f] \in \mathcal{S}_H^0$  and maps the disk  $|z| < 1/2$  onto a convex domain. The bound  $1/2$  is best possible.*
- (iii) *If  $|f''(z)| \leq 1/4$  for all  $z \in \mathbf{D}$ , then  $\Phi[f] \in \mathcal{K}_H^0$ .*

*Proof.* (i) Since the function  $f_0(z) = -\log(1 - z)$  satisfies  $\operatorname{Re}(1 + zf''(z)/f'(z)) > 1/2$  for all  $z \in \mathbf{D}$ , therefore the result follows by invoking Theorem 3.1.

For the next two parts, write  $\Phi[f] = h + \bar{g}$ , where  $h(z) = f(z)$  and  $g(z) = f(z) - z$ . Let  $F_\varepsilon = h + \varepsilon g$  for  $|\varepsilon| = 1$ .

(ii) Note that  $|F'_\varepsilon(z) - 1| = |h'(z) + \varepsilon g'(z) - 1| = |(1 + \varepsilon)(f'(z) - 1)| \leq 2|f'(z) - 1| < 1$  for all  $z \in \mathbf{D}$  and  $|\varepsilon| = 1$ . By [12, Theorem 5, p. 314],  $F_\varepsilon$  is convex in  $|z| < 1/2$  for each  $|\varepsilon| = 1$ . Thus  $\Phi[f]$  is convex in  $|z| < 1/2$  by [16, Theorem 2.3, p. 89].

For sharpness, consider the function  $h_0(z) = z + z^2/4$ . Clearly,  $|h'_0(z) - 1| = |z|/2 < 1/2$  for all  $z \in \mathbf{D}$  and

$$\left. \frac{\partial}{\partial \theta} \left( \arg \left\{ \frac{\partial}{\partial \theta} \Phi[h_0](re^{i\theta}) \right\} \right) \right|_{\theta=\pi, r=1/2} = 0$$

(iii) Since  $|F''_\varepsilon(z)| = |(1 + \varepsilon)f''(z)| \leq 2|f''(z)| \leq 1/2$  for all  $z \in \mathbf{D}$ , therefore  $F_\varepsilon$  is convex in  $\mathbf{D}$  for each  $|\varepsilon| = 1$  by [14, Theorem 2, p. 33] and hence  $\Phi[f] \in \mathcal{K}_H^0$ . □

If  $f \in \mathcal{A}$  with  $\Phi[f] \in \mathcal{S}_H^0$ , then  $|a_n| \leq 1/n$  for  $n = 1, 2, \dots$  by Corollary 2.2. However, if  $f \in \mathcal{A}$  is given by (1.2) with  $|a_n| \leq 1/n$  for  $n \geq 1$ , then  $\Phi[f]$  need not be univalent in  $\mathbf{D}$ . If we consider the function  $f(z) = z + z^2/2$ , then it is easy to see that the harmonic function  $\Phi[f](z) = 1 + z^2/2 + \bar{z}^2/2$  is not univalent in  $\mathbf{D}$ , since its Jacobian vanishes at the point  $z = -1/2$ . The next result determines the radius of univalence of functions  $\Phi[f]$  with the prescribed coefficient bounds.

**THEOREM 3.3.** *If  $f \in \mathcal{A}$  is given by (1.2) with  $|a_n| \leq 1/n$  for  $n \geq 1$ , then  $\Phi[f]$  is univalent in  $|z| < 1/3$  and the radius  $1/3$  is best possible.*



*Proof.* For  $r \in (0, 1)$ , let  $\Phi_r[f] : \mathbf{D} \rightarrow \mathbf{C}$  be defined by

$$\Phi_r[f](z) = \frac{\Phi[f](rz)}{r} = z + \sum_{n=2}^{\infty} a_n r^{n-1} z^n + \overline{\sum_{n=2}^{\infty} a_n r^{n-1} z^n}$$

for all  $z \in \mathbf{D}$ . We shall show that  $\Phi_r[f] \in \mathcal{S}_H^0$  for  $r \leq 1/3$ . Since  $|a_n| \leq 1/n$  for  $n = 2, 3, \dots$ , note that

$$S := 2 \sum_{n=2}^{\infty} n|a_n|r^{n-1} \leq 2 \sum_{n=2}^{\infty} r^{n-1} = \frac{2r}{1-r}.$$

Thus  $S \leq 1$  if  $r$  satisfies the inequality  $r \leq 1/3$ . By Lemma 1.1,  $\Phi_r[f] \in \mathcal{S}_H^{*0}$  for  $r \leq 1/3$ . In particular  $\Phi[f]$  is univalent in  $|z| < 1/3$ .

For sharpness of the bound  $1/3$ , consider the function

$$f(z) = 2z + \log(1 - z) = z - \sum_{n=2}^{\infty} \frac{1}{n} z^n, \quad z \in \mathbf{D}.$$

The Jacobian of the harmonic function  $\Phi[f]$  is given by

$$J_{\Phi[f]}(z) = |f'(z)|^2 - |f'(z) - 1|^2 = 3 - 2 \operatorname{Re} \left( \frac{1}{1-z} \right)$$

which vanishes at  $z = 1/3$ . Therefore  $\Phi[f]$  is not univalent in  $|z| < r$  if  $r > 1/3$ . □

As observed earlier, if  $f \in \mathcal{K}$ , then  $\Phi[f]$  need not be univalent in  $\mathbf{D}$ . The last theorem of this section determines the radius of univalence of the class  $\{\Phi[f] : f \in \mathcal{K}\}$ .

**THEOREM 3.4.** *If  $f \in \mathcal{K}$ , then  $\Phi[f]$  is univalent in  $|z| < \sqrt{2} - 1$  and the result is sharp for the function  $l(z) = z/(1 - z)$ .*

*Proof.* Since  $f \in \mathcal{K}$ ,  $f'(z) < 1/(1 - z)^2$  in  $\mathbf{D}$  by Marx Stroh acker theorem [10, Theorem 2.6(b), p. 60]. Using subordination, it follows that for every  $r \in (0, 1)$ ,  $f'(\{z \in \mathbf{C} : |z| \leq r\}) \subset g(\{z \in \mathbf{C} : |z| \leq r\})$ , where  $g(z) = 1/(1 - z)^2$ . Consequently, for  $|z| \leq r_0 := \sqrt{2} - 1$ , we have

$$\operatorname{Re} f'(z) \geq \min_{|z| \leq r_0} \operatorname{Re} f'(z) \geq \min_{|z| \leq r_0} \operatorname{Re} g(z) = \min_{|z|=r_0} \operatorname{Re} g(z).$$

In view of these inequalities and Theorem 2.1, it suffices to show that

$$\min_{|z|=r_0} \operatorname{Re} g(z) = \frac{1}{2}.$$

For  $z = r_0 e^{i\theta}$ , note that

$$\operatorname{Re} g(z) = \frac{1 - 2 \operatorname{Re} z + \operatorname{Re} z^2}{(1 - 2 \operatorname{Re} z + |z|^2)^2} = \frac{1 - 2r_0 \cos \theta + r_0^2 \cos 2\theta}{(1 - 2r_0 \cos \theta + r_0^2)^2}$$

which attains its minimum at  $\theta = \pm\pi$ . Therefore

$$\min_{|z|=r_0} \operatorname{Re} g(z) = \frac{1}{(1+r_0)^2} = \frac{1}{2}.$$

Thus  $\operatorname{Re} f'(z) > 1/2$  in  $|z| < r_0$  and hence  $\Phi[f] \in \mathcal{S}_H^0$  in  $|z| < \sqrt{2} - 1$  by Theorem 2.1(ii).  $\square$

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