# CHARACTER SUMS OVER INTEGERS WITH RESTRICTED $g$-ARY DIGITS 

WILLIAM D. BANKS, ALESSANDRO CONFLITTI, AND IGOR E. SHPARLINSKI


#### Abstract

We establish upper bounds for multiplicative character sums and exponential sums over sets of integers that are described by various properties of their digits in a fixed base $g \geq 2$. Our main tools are the Weil and Vinogradov bounds for character sums and exponential sums. Our results can be applied to study the distribution of quadratic non-residues and primitive roots among these sets of integers.


## 1. Introduction

Arithmetic properties of integers characterized by their digits in various bases have been studied in many papers; see [2], [3], [5], [6], [7], [8], [9], [10], [11], [12], [13], [17], and [18], and the references therein. In this paper, using a very general technique, we give nontrivial bounds for short character sums over integers satisfying certain digit properties.

More precisely, let $g \geq 2$ be a fixed base and consider the base $g$ representation of an integer $n \geq 0$ :

$$
n=\sum_{j \geq 0} a_{j}(n) g^{j}, \quad 0 \leq a_{j}(n) \leq g-1
$$

Let $\sigma_{g}(n)$ denote the sum of the base $g$ digits of $n$; that is,

$$
\sigma_{g}(n)=\sum_{j \geq 0} a_{j}(n)
$$

For any subset $\mathcal{D} \subset\{0, \ldots, g-1\}$ with $\# \mathcal{D} \geq 2$ and any integer $r \geq 1$, let

$$
\mathcal{F}_{\mathcal{D}}(r)=\left\{0 \leq n<g^{r} \mid a_{j}(n) \in \mathcal{D}, 0 \leq j \leq r-1\right\} .
$$

In other words, $\mathcal{F}_{\mathcal{D}}(r)$ is the set of integers with $r$ digits (in base $g$ ), all of which lie in the set $\mathcal{D}$.

[^0]For any integers $0 \leq \ell<q$ such that $\operatorname{gcd}(q, g-1)=1$, and for any integer $r \geq 1$, we also define

$$
\mathcal{E}_{\ell, q}(r)=\left\{0 \leq n<g^{r} \mid \sigma_{g}(n) \equiv \ell \quad(\bmod q)\right\}
$$

Thus, $\mathcal{E}_{\ell, q}(r)$ is the set of integers with $r$ digits (in base $g$ ) such that the sum of the digits satisfies the congruence condition $\sigma_{g}(n) \equiv \ell(\bmod q)$.

Finally, for any integers $0 \leq s \leq(g-1) r$, let

$$
\mathcal{G}_{s}(r)=\left\{0 \leq n<g^{r} \mid \sigma_{g}(n)=s\right\} .
$$

Then $\mathcal{G}_{s}(r)$ is the set of integers with $r$ digits (in base $g$ ) such that the sum of the digits is equal to $s$.

Let $p$ be a fixed prime number. In this paper, we establish nontrivial bounds for certain sums of the form

$$
S_{\mathcal{D}}(r, \chi, f)=\sum_{n \in \mathcal{F}_{\mathcal{D}}(r)} \chi(f(n)), \quad S_{\ell, q}(r, \chi, f)=\sum_{n \in \mathcal{E}_{\ell, q}(r)} \chi(f(n)),
$$

and

$$
S_{s}(r, \chi, f)=\sum_{n \in \mathcal{G}_{s}(r)} \chi(f(n))
$$

where $\chi$ is a non-principal multiplicative character for the finite field $\mathbb{F}_{p}$ with $p$ elements, and $f(X)$ is a polynomial in $\mathbb{F}_{p}[X]$. Our results are based on the Weil bound for incomplete character sums [22].

Using similar techniques, we also obtain nontrivial bounds for exponential sums of the form

$$
T_{\mathcal{D}}(r, f)=\sum_{n \in \mathcal{F}_{\mathcal{D}}(r)} \mathbf{e}_{p}(f(n)), \quad T_{\ell, q}(r, f)=\sum_{n \in \mathcal{E}_{\ell, q}(r)} \mathbf{e}_{p}(f(n)),
$$

and

$$
T_{s}(r, f)=\sum_{n \in \mathcal{G}_{s}(r)} \mathbf{e}_{p}(f(n))
$$

where $\mathbf{e}_{p}(z)=e^{2 \pi i z / p}$. Moreover, in this case, using the Vinogradov-type bound from [16], we are able to estimate much shorter sums for certain choices of parameters.

In [9], the sums

$$
V_{s}(r, c, \vartheta)=\sum_{n \in \mathcal{G}_{s}(r)} \mathbf{e}_{p}\left(c \vartheta^{n}\right)
$$

have been estimated; here, using bounds from [15], [16], or [20] for exponential sums with exponential functions, we also estimate the related sums

$$
V_{\mathcal{D}}(r, c, \vartheta)=\sum_{n \in \mathcal{F}_{\mathcal{D}}(r)} \mathbf{e}_{p}\left(c \vartheta^{n}\right) \quad \text { and } \quad V_{\ell, q}(r, c, \vartheta)=\sum_{n \in \mathcal{E}_{\ell, q}(r)} \mathbf{e}_{p}\left(c \vartheta^{n}\right)
$$

In order to simplify our calculations and the formulation of our main results, we consider only the case where the prime $p$ is greater than $g^{r}$; however, our methods and results can be extended to cover smaller values of $p$. Moreover,
we remark that the most challenging and interesting problem is to obtain nontrivial bounds when the value of $g^{r}$ is as small as possible relative to $p$, that is, when the sums are as short as possible.

For our bounds to be nontrivial, the sets $\mathcal{F}_{\mathcal{D}}(r), \mathcal{E}_{\ell, q}(r)$ and $\mathcal{G}_{s}(r)$ must be of sufficiently large cardinality. We remark that, trivially, $\# \mathcal{F}_{\mathcal{D}}(r)=(\# \mathcal{D})^{r}$, and $\# \mathcal{E}_{\ell, q}(r)$ is given by Lemma 5 (see $\S 2$ ). The problem of estimating $\# \mathcal{G}_{s}(r)$ is more complicated. Some asymptotic formulas have been given in [18], but they are too technically complicated to be presented here. Nevertheless, we remark that since

$$
\sum_{s=0}^{(g-1) r} \# \mathcal{G}_{s}(r)=g^{r}
$$

"on average" the value of $\# \mathcal{G}_{s}(r)$ is at least $g^{r-1} r^{-1}$. Of course, the largest values of $\# \mathcal{G}_{s}(r)$ occur for the "middle values" where $s \approx(g-1) r / 2$.

We repeatedly use that $\bar{\chi}(z)=\chi\left(z^{p-2}\right)$ for $z \in \mathbb{F}_{p}^{*}$ and a multiplicative character $\chi$.

Throughout the paper, the implied constants in the symbols " $O$ " and "<" can depend on $g$, on a certain integer parameter $\nu$ in the Theorem 1, and occasionally, when the sets $\mathcal{E}_{\ell, q}(r)$ are involved, on $q$ as well. We recall that the expressions $A \ll B$ and $A=O(B)$ are each equivalent to the statement that $|A| \leq c B$ for some constant $c$. As usual, $\log z$ denotes the natural logarithm of $z$.

Acknowledgement. The first two authors would like to thank Macquarie University for its hospitality during the preparation of this paper. This work was supported in part by NSF grant DMS-0070628 (W. Banks) and by ARC grant A00000184 (I. Shparlinski).

## 2. Preparations

Here we collect several auxiliary statements.
The following two statements follow immediately from the Weil bound and are well-known; see [22]. The first one is essentially Theorem 2 of [19], and the second one is obtained using similar techniques.

Lemma 1. For any multiplicative character $\chi$ modulo $p$ of order $m \geq 2$, any integers $M$ and $K$ with $1 \leq K<p$, and any polynomial $F(X) \in \mathbb{F}_{p}[X]$ with d distinct roots (of arbitrary multiplicity) such that $F(X)$ is not the m-th power of a rational function, we have

$$
\left|\sum_{n=M+1}^{M+K} \chi(F(n))\right| \ll d p^{1 / 2} \log p
$$

Lemma 2. For any polynomial $F(X) \in \mathbb{F}_{p}[X]$ of degree $d \geq 2$ and any integers $M$ and $K$ with $1 \leq K<p$, we have

$$
\max _{\operatorname{gcd}(a, p)=1}\left|\sum_{n=M+1}^{M+K} \mathbf{e}_{p}(a F(n))\right| \ll d p^{1 / 2} \log p
$$

The following result is a special case of Theorem 17 from [16].
Lemma 3. For any polynomial $F(X) \in \mathbb{F}_{p}[X]$ of degree $d>2$ and any integers $M$ and $K$ with $p^{1 /(d-1)} \leq K<p$, we have

$$
\max _{\operatorname{gcd}(a, p)=1}\left|\sum_{n=M+1}^{M+K} \mathbf{e}_{p}(a F(n))\right| \ll e^{3 d} K^{1-1 / 9 d^{2} \log d}
$$

The following result can be found in [15], [16], or [20]. In some cases, stronger bounds can be found in [14], but they do not seem to be useful for our purposes.

Lemma 4. Let $\lambda \in \mathbb{F}_{p}^{*}$ be an element of multiplicative order $T$. For any $c \in \mathbb{F}_{p}^{*}$ and any integer $H \leq T$, the bound

$$
\left|\sum_{u=1}^{H} \mathbf{e}_{p}\left(c \lambda^{u}\right)\right| \ll p^{1 / 2} \log p
$$

holds.
Finally, we need the following statement from [10].
LEMMA 5. For any integers $0 \leq \ell<q$ such that $\operatorname{gcd}(q, g-1)=1$, there is a constant $\rho<1$, depending only on $g$ and $q$, such that

$$
\# \mathcal{E}_{\ell, q}(r)=\frac{g^{r}}{q}+O\left(g^{\rho r}\right)
$$

## 3. Multiplicative character sums with polynomials

Theorem 1. For any integer $r \geq 1$ with $g^{r}<p$, any multiplicative character $\chi$ modulo $p$ of order $m \geq 2$, and any polynomial $f(X) \in \mathbb{F}_{p}[X]$ that is not the $m$-th power of a rational function, we have

$$
\left|S_{\mathcal{D}}(r, \chi, f)\right| \ll \# \mathcal{F}_{\mathcal{D}}(r)^{1-\alpha / 2(1+\alpha \nu)}\left(d p^{1 / 2} \log p\right)^{(1+\alpha(\nu-1)) / 2 \nu(1+\alpha \nu)}
$$

where $d=\operatorname{deg} f, 0<\alpha \leq 1$ is the real number such that $\# \mathcal{D}=g^{\alpha}$, and $\nu$ is an arbitrary positive integer if $f(X)$ is irreducible over $\mathbb{F}_{p}$, and $\nu=1$ otherwise.

Proof. Put $K=g^{r-k}$, where $0 \leq k \leq r$ will be chosen later. For every $n \in \mathcal{F}_{\mathcal{D}}(r)$, write $n=a g^{k}+b$ with $0 \leq a<g^{r-k}$ and $0 \leq b<g^{k}$; then

$$
S_{\mathcal{D}}(r, \chi, f)=\sum_{a \in \mathcal{F}_{\mathcal{D}}(r-k)} \sum_{b \in \mathcal{F}_{\mathcal{D}}(k)} \chi\left(f\left(a g^{k}+b\right)\right) .
$$

By the Hölder inequality, we have

$$
\begin{aligned}
&\left|S_{\mathcal{D}}(r, \chi, f)\right|^{2 \nu} \leq \# \mathcal{F}_{\mathcal{D}}(r-k)^{2 \nu-1} \sum_{a=0}^{K-1} \mid\left.\sum_{b \in \mathcal{F}_{\mathcal{D}}(k)} \chi\left(f\left(a g^{k}+b\right)\right)\right|^{2 \nu} \\
&=\# \mathcal{F}_{\mathcal{D}}(r-k)^{2 \nu-1} \sum_{a=0}^{K-1} \sum_{\substack{b_{1}, \ldots, b_{\nu} \in \mathcal{F}_{\mathcal{D}}(k) \\
c_{1}, \ldots, c_{\nu} \in \mathcal{F}_{\mathcal{D}}(k)}} \prod_{j=1}^{\nu} \chi\left(f\left(a g^{k}+b_{j}\right)\right) \bar{\chi}\left(f\left(a g^{k}+c_{j}\right)\right) \\
&=\# \mathcal{F}_{\mathcal{D}}(r-k)^{2 \nu-1} \sum_{\substack{b_{1}, \ldots, b_{\nu} \in \mathcal{F}_{\mathcal{D}}(k) \\
c_{1}, \ldots, c_{\nu} \in \mathcal{F}_{\mathcal{D}}(k)}} \\
& \times\left|\sum_{a=0}^{K-1} \prod_{j=1}^{\nu} \chi\left(f\left(a g^{k}+b_{j}\right) f\left(a g^{k}+c_{j}\right)^{p-2}\right)\right|
\end{aligned}
$$

If $f(X)$ is irreducible, then for any $\beta, \gamma \in \mathbb{F}_{p}$ with $\beta \neq \gamma$, the polynomials $f\left(g^{k} X+\beta\right)$ and $f\left(g^{k} X+\gamma\right)$ are irreducible as well, hence relatively prime. In particular, these polynomials have no common roots. Now let $\left(b_{1}, \ldots, b_{\nu}\right)$ and $\left(c_{1}, \ldots, c_{\nu}\right)$ be two $\nu$-tuples in $\mathcal{F}_{\mathcal{D}}(k)^{\nu}$. After applying a permutation to one of these $\nu$-tuples (if necessary), for some integer $\mu, 0 \leq \mu \leq \nu$, we have that $b_{i} \neq c_{j}$ for all $1 \leq i, j \leq \mu$, and $b_{i}=c_{i}$ for $\mu+1 \leq i \leq \nu$. Consequently,

$$
\prod_{j=1}^{\nu} f\left(g^{k} X+b_{j}\right) f\left(g^{k} X+c_{j}\right)^{p-2}=\prod_{j=1}^{\mu} f\left(g^{k} X+b_{j}\right) f\left(g^{k} X+c_{j}\right)^{p-2}
$$

Now we see that this function is the $m$-th power of a rational function if and only if $\mu \equiv 0(\bmod m)$ and every value that occurs in the sequence $b_{1}, \ldots, b_{\mu}$ or in the sequence $c_{1}, \ldots, c_{\mu}$ occurs with a multiplicity that is divisible by $m$ (we recall that $m \mid p-1$ and thus $p-2 \equiv 1(\bmod m)$ ). In other words, both sequences can be separated into $\mu / m$ constant subsequences with $m$ terms each. Thus, there are at most $O\left(\# \mathcal{F}_{\mathcal{D}}(k)^{2 \mu / m}\right)=O\left(\# \mathcal{F}_{\mathcal{D}}(k)^{\mu}\right)$ possibilities. We also have at most $O\left(\# \mathcal{F}_{\mathcal{D}}(k)^{\nu-\mu}\right)$ possibilities for the remaining elements $b_{i}=c_{i}, \mu+1 \leq i \leq \nu$. This shows that there are at most $O\left(\# \mathcal{F}_{\mathcal{D}}(k)^{\nu}\right)$ pairs of $\nu$-tuples $\left(b_{1}, \ldots, b_{\nu}\right)$ and $\left(c_{1}, \ldots, c_{\nu}\right)$ such that

$$
\begin{equation*}
F_{k}(X)=\prod_{j=1}^{\nu} f\left(g^{k} X+b_{j}\right) f\left(g^{k} X+c_{j}\right)^{p-2} \tag{1}
\end{equation*}
$$

is the $m$-th power of a rational function.

Similarly, when $\nu=1$, the same statement holds for an arbitrary polynomial $f(X)$ that is not the $m$-th power of a rational function. To verify this, it is enough to examine the roots and poles of $f\left(g^{k} X+b\right) / f\left(g^{k} X+c\right)$. Indeed, we can assume that the multiplicities of all roots of $f$ are at most $m-1$. Therefore in the representation $f\left(g^{k} X+b\right) / f\left(g^{k} X+c\right)=g(X) / h(X)$ with relatively prime $g(X)$ and $h(X)$, the multiplicities of roots of $g$ and $h$ are at most $m-1$. On the other hand, it is obvious that $f\left(g^{k} X+b\right) / f\left(g^{k} X+c\right)$ is not a constant, and thus is not the $m$-th power of a rational function.

Thus, we can apply Lemma 1 when the function (1) is not the $m$-th power of a rational function. For the remaining $O\left(\# \mathcal{F}_{\mathcal{D}}(k)^{\nu}\right)$ pairs of $\nu$-tuples $\left(b_{1}, \ldots, b_{\nu}\right)$ and $\left(c_{1}, \ldots, c_{\nu}\right)$ we apply the trivial bound. Therefore, we obtain that

$$
\begin{aligned}
\sum_{\substack{b_{1}, \ldots, b_{\nu} \in \mathcal{F}_{\mathcal{D}}(k) \\
c_{1}, \ldots, c_{\nu} \in \mathcal{F}_{\mathcal{D}}(k)}} \mid \sum_{a=0}^{K-1} \prod_{j=1}^{\nu} \chi & \left(f\left(a g^{k}+b_{j}\right) f\left(a g^{k}+c_{j}\right)^{p-2}\right) \mid \\
& \ll \# \mathcal{F}_{\mathcal{D}}(k)^{\nu} K+\# \mathcal{F}_{\mathcal{D}}(k)^{2 \nu} d p^{1 / 2} \log p
\end{aligned}
$$

Hence

$$
\begin{equation*}
\left|S_{\mathcal{D}}(r, \chi, f)\right|^{2 \nu} \ll \# \mathcal{F}_{\mathcal{D}}(r-k)^{2 \nu-1} \# \mathcal{F}_{\mathcal{D}}(k)^{\nu}\left(g^{r-k}+\# \mathcal{F}_{\mathcal{D}}(k)^{\nu} d p^{1 / 2} \log p\right) \tag{2}
\end{equation*}
$$

Since $\# \mathcal{F}_{\mathcal{D}}(k)=(\# \mathcal{D})^{k}=g^{\alpha k}$, by defining $k$ so that

$$
g^{k-1} \leq g^{r /(1+\alpha \nu)}\left(d p^{1 / 2} \log p\right)^{-1 /(1+\alpha \nu)}<g^{k}
$$

(which balances both terms in (2)), it follows that

$$
\begin{aligned}
\left|S_{\mathcal{D}}(r, \chi, f)\right|^{2 \nu} & \ll \# \mathcal{F}_{\mathcal{D}}(r-k)^{2 \nu-1} \# \mathcal{F}_{\mathcal{D}}(k)^{2 \nu} d p^{1 / 2} \log p \\
& =\# \mathcal{F}_{\mathcal{D}}(r)^{2 \nu} g^{-\alpha(r-k)} d p^{1 / 2} \log p \\
& \ll \# \mathcal{F}_{\mathcal{D}}(r)^{2 \nu} g^{-\alpha^{2} \nu r /(1+\alpha \nu)}\left(d p^{1 / 2} \log p\right)^{(1+\alpha(\nu-1)) /(1+\alpha \nu)}
\end{aligned}
$$

Recalling that $\# \mathcal{F}_{\mathcal{D}}(r)=g^{\alpha r}$, the result follows.
We see that if $d$ is constant, then for any polynomial $f(X)$ the bound of Theorem 1 is nontrivial provided that $\# \mathcal{F}_{\mathcal{D}}(r) \geq\left(p^{1 / 2} \log ^{2} p\right)^{1 / \alpha}$, with $p$ sufficiently large.

Moreover, if $d$ is constant and $f(X)$ is irreducible (for example, for any linear polynomial), then for any $\varepsilon>0$ and $\nu$ sufficiently large, the bound of Theorem 1 is nontrivial provided that $\# \mathcal{F}_{\mathcal{D}}(r) \geq p^{1 / 2+\varepsilon}$, with $p$ sufficiently large.

Theorem 2. Fix $q$ and $\ell$ with $0 \leq \ell<q$ and such that $\operatorname{gcd}(q, g-1)=1$. For any integer $r \geq 1$ with $g^{r}<p$, any multiplicative character $\chi$ modulo $p$ of order $m \geq 2$, and any polynomial $f(X) \in \mathbb{F}_{p}[X]$ of degree $d$ such that $f(X)$ is not the $m$-th power of a rational function, we have

$$
\left|S_{\ell, q}(r, \chi, f)\right| \ll \# \mathcal{E}_{\ell, q}(r)\left(\frac{\# \mathcal{E}_{\ell, q}(r)}{d p^{1 / 2} \log p}\right)^{-1 / 4}
$$

Proof. As in Theorem 1, put $K=g^{r-k}$, where $0 \leq k \leq r$. For every $n \in \mathcal{E}_{\ell, q}(r)$, write $n=a g^{k}+b$ with $0 \leq a<g^{r-k}$ and $0 \leq b<g^{k}$; then

$$
S_{\ell, q}(r, \chi, f)=\sum_{j=0}^{q-1} \sum_{a \in \mathcal{E}_{\ell-j, q}(r-k)} \sum_{b \in \mathcal{E}_{j, q}(k)} \chi\left(f\left(a g^{k}+b\right)\right) .
$$

By the Cauchy inequality, we have

$$
\begin{aligned}
& \left|S_{\ell, q}(r, \chi, f)\right|^{2} \leq q \sum_{j=0}^{q-1} \# \mathcal{E}_{\ell-j, q}(r-k) \sum_{a=0}^{K-1}\left|\sum_{b \in \mathcal{E}_{j, q}(k)} \chi\left(f\left(a g^{k}+b\right)\right)\right|^{2} \\
& \quad=q \sum_{j=0}^{q-1} \# \mathcal{E}_{\ell-j, q}(r-k) \sum_{a=0}^{K-1} \sum_{b_{1}, b_{2} \in \mathcal{E}_{j, q}(k)} \chi\left(f\left(a g^{k}+b_{1}\right)\right) \bar{\chi}\left(f\left(a g^{k}+b_{2}\right)\right) \\
& \quad \leq q \sum_{j=0}^{q-1} \# \mathcal{E}_{\ell-j, q}(r-k) \sum_{b_{1}, b_{2} \in \mathcal{E}_{j, q}(k)}\left|\sum_{a=0}^{K-1} \chi\left(f\left(g^{k} X+b_{1}\right) f\left(g^{k} X+b_{2}\right)^{p-2}\right)\right| .
\end{aligned}
$$

It is easy to see that if $b_{1} \not \equiv b_{2}(\bmod p)$, and $f(X)$ is not the $m$-th power of a rational function, then

$$
F_{k}(X)=f\left(g^{k} X+b_{1}\right) f\left(g^{k} X+b_{2}\right)^{p-2}
$$

cannot be the $m$-th power of a rational function (again, for this, it is enough to examine the roots and poles of $\left.f\left(g^{k} X+b_{1}\right) / f\left(g^{k} X+b_{2}\right)\right)$. Thus, we can apply Lemma 1 when $b_{1} \not \equiv b_{2}(\bmod p)$, and we use the trivial bound when $b_{1} \equiv b_{2}(\bmod p) ;$ we obtain that

$$
\begin{aligned}
& \sum_{b_{1, b_{2} \in \mathcal{E}_{j, q}(k)}}\left|\sum_{a=0}^{K-1} \chi\left(f\left(a g^{k}+b_{1}\right)\right) \bar{\chi}\left(f\left(a g^{k}+b_{2}\right)\right)\right| \\
& \quad=\# \mathcal{E}_{j, q}(k) K+\sum_{\substack{\left.b_{1}, b_{2} \in \mathcal{E}_{j, q}(k)\right) \\
b_{1} \neq b_{2}}}\left|\sum_{a=0}^{K-1} \chi\left(f\left(a g^{k}+b_{1}\right) f\left(a g^{k}+b_{2}\right)^{p-2}\right)\right| \\
& \quad \ll \# \mathcal{E}_{j, q}(k) K+\# \mathcal{E}_{j, q}(k)^{2} d p^{1 / 2} \log p \\
& \quad \leq \# \mathcal{E}_{j, q}(k)\left(g^{r-k}+g^{k} d p^{1 / 2} \log p\right) .
\end{aligned}
$$

Since

$$
\sum_{j=0}^{q-1} \# \mathcal{E}_{\ell-j, q}(r-k) \# \mathcal{E}_{j, q}(k)=\# \mathcal{E}_{\ell, q}(r),
$$

this gives

$$
\begin{equation*}
\left|S_{\ell, q}(r, \chi, f)\right|^{2} \ll \# \mathcal{E}_{\ell, q}(r)\left(g^{r-k}+g^{k} d p^{1 / 2} \log p\right) . \tag{3}
\end{equation*}
$$

Defining $k$ so that

$$
g^{k-1} \leq\left(\frac{g^{r}}{d p^{1 / 2} \log p}\right)^{1 / 2}<g^{k}
$$

(which balances the two terms in (3)), it follows that

$$
\left|S_{\ell, q}(r, \chi, f)\right|^{2} \ll \# \mathcal{E}_{\ell, q}(r) d^{1 / 2} g^{r / 2} p^{1 / 4} \log ^{1 / 2} p
$$

Recalling Lemma 5, we derive the result.

We see that if $d$ is constant, the bound of Theorem 2 is nontrivial provided that $\# \mathcal{E}_{\ell, q}(r) \geq p^{1 / 2} \log ^{2} p$, with $p$ sufficiently large.

TheOrem 3. For any integers $1 \leq s \leq(g-1) r$ with $g^{r}<p$, any multiplicative character $\chi$ modulo $p$ of order $m \geq 2$, and any polynomial $f(X) \in \mathbb{F}_{p}[X]$ of degree $d$ such that $f(X)$ is not the $m$-th power of a rational function, we have

$$
\left|S_{s}(r, \chi, f)\right| \ll \# \mathcal{G}_{s}(r)^{1 / 2} s^{1 / 2} g^{r / 4} d^{1 / 4} p^{1 / 8} \log ^{1 / 4} p
$$

Proof. As in Theorem 2, put $K=g^{r-k}$ where $0 \leq k \leq r$ will be chosen later. For every $n \in \mathcal{G}_{s}(r)$, write $n=a g^{k}+b$ with $0 \leq a<g^{r-k}$ and $0 \leq b<g^{k}$; then

$$
S_{s}(r, \chi, f)=\sum_{j=0}^{s} \sum_{a \in \mathcal{G}_{s-j}(r-k)} \sum_{b \in \mathcal{G}_{j}(k)} \chi\left(f\left(a g^{k}+b\right)\right) .
$$

By the Cauchy inequality, we have

$$
\begin{aligned}
&\left|S_{s}(r, \chi, f)\right|^{2} \leq(s+1) \sum_{j=0}^{s} \# \mathcal{G}_{s-j}(r-k) \sum_{a=0}^{K-1}\left|\sum_{b \in \mathcal{G}_{j}(k)} \chi\left(f\left(a g^{k}+b\right)\right)\right|^{2} \\
&=(s+1) \sum_{j=0}^{s} \# \mathcal{G}_{s-j}(r-k) \sum_{a=0}^{K-1} \\
& \leq \sum_{b_{1}, b_{2} \in \mathcal{G}_{j}(k)} \chi\left(f\left(a g^{k}+b_{1}\right)\right) \bar{\chi}\left(f\left(a g^{k}+b_{2}\right)\right) \\
& \leq(s+1) \sum_{j=0}^{s} \# \mathcal{G}_{s-j}(r-k) \\
& \sum_{b_{1}, b_{2} \in \mathcal{G}_{j}(k)}\left|\sum_{a=0}^{K-1} \chi\left(f\left(a g^{k}+b_{1}\right)\right) \bar{\chi}\left(f\left(a g^{k}+b_{2}\right)\right)\right|
\end{aligned}
$$

As in the proof of Theorem 2, we can estimate

$$
\begin{aligned}
\sum_{b_{1}, b_{2} \in \mathcal{G}_{j}(k)} & \left|\sum_{a=0}^{K-1} \chi\left(f\left(a g^{k}+b_{1}\right)\right) \bar{\chi}\left(f\left(a g^{k}+b_{2}\right)\right)\right| \\
& =\# \mathcal{G}_{j}(k) K+\sum_{\substack{\left.b_{1}, b_{2} \in \mathcal{G}_{j}(k)\right) \\
b_{1} \neq b_{2}}}\left|\sum_{a=0}^{K-1} \chi\left(f\left(a g^{k}+b_{1}\right) f\left(a g^{k}+b_{2}\right)^{p-2}\right)\right| \\
& \ll \# \mathcal{G}_{j}(k)\left(K+\# \mathcal{G}_{j}(k) d p^{1 / 2} \log p\right) .
\end{aligned}
$$

Since

$$
\sum_{j=0}^{s} \# \mathcal{G}_{s-j}(r-k) \# \mathcal{G}_{j}(k)=\# \mathcal{G}_{s}(r)
$$

and $\# \mathcal{G}_{j}(k) \leq g^{k}$ for $0 \leq j \leq s$, this gives

$$
\left|S_{s}(r, \chi, f)\right|^{2} \ll \# \mathcal{G}_{s}(r) s\left(g^{r-k}+g^{k} d p^{1 / 2} \log p\right)
$$

Defining $k$ so that

$$
g^{k} \leq\left(\frac{g^{r}}{d p^{1 / 2} \log p}\right)^{1 / 2}<g^{k+1}
$$

we obtain

$$
\left|S_{s}(r, \chi, f)\right|^{2} \ll \# \mathcal{G}_{s}(r) s\left(g^{r} d p^{1 / 2} \log p\right)^{1 / 2}
$$

and the result follows.

Taking into account that $s \leq(g-1) r=O(\log p)$, we see that if $d$ is constant, the bound of Theorem 3 is nontrivial provided that $\# \mathcal{G}_{s}(r) \geq g^{r / 2} p^{1 / 4} \log ^{2} p$, with $p$ sufficiently large.

## 4. Exponential sums with polynomials

TheOrem 4. For any integer $r \geq 1$ with $g^{r}<p$ and any polynomial $f(X) \in \mathbb{F}_{p}[X]$ of degree $d \geq 3$, we have

$$
\left|T_{\mathcal{D}}(r, f)\right| \ll \# \mathcal{F}_{\mathcal{D}}(r)^{1-\alpha / 2(1+\alpha)}\left(d p^{1 / 2} \log p\right)^{1 / 2(1+\alpha)}
$$

where $0<\alpha \leq 1$ is the real number such that $\# \mathcal{D}=g^{\alpha}$.
Proof. As in Theorem 1, put $K=g^{r-k}$, where $0 \leq k \leq r$. For every $n \in \mathcal{F}_{\mathcal{D}}(r)$, write $n=a g^{k}+b$ with $0 \leq a<g^{r-k}$ and $0 \leq b<g^{k}$; then

$$
T_{\mathcal{D}}(r, f)=\sum_{a \in \mathcal{F}_{\mathcal{D}}(r-k)} \sum_{b \in \mathcal{F}_{\mathcal{D}}(k)} \mathbf{e}_{p}\left(f\left(a g^{k}+b\right)\right) .
$$

By the Cauchy inequality, we have

$$
\begin{aligned}
\left|T_{\mathcal{D}}(r, f)\right|^{2} & \leq \# \mathcal{F}_{\mathcal{D}}(r-k) \sum_{a=0}^{K-1}\left|\sum_{b \in \mathcal{F}_{\mathcal{D}}(k)} \mathbf{e}_{p}\left(f\left(a g^{k}+b\right)\right)\right|^{2} \\
& =\# \mathcal{F}_{\mathcal{D}}(r-k) \sum_{a=0}^{K-1} \sum_{b_{1}, b_{2} \in \mathcal{F}_{\mathcal{D}}(k)} \mathbf{e}_{p}\left(f\left(a g^{k}+b_{1}\right)-f\left(a g^{k}+b_{2}\right)\right) \\
& \leq \# \mathcal{F}_{\mathcal{D}}(r-k) \sum_{b_{1}, b_{2} \in \mathcal{F}_{\mathcal{D}}(k)}\left|\sum_{a=0}^{K-1} \mathbf{e}_{p}\left(f\left(a g^{k}+b_{1}\right)-f\left(a g^{k}+b_{2}\right)\right)\right|
\end{aligned}
$$

If $b_{1} \not \equiv b_{2}(\bmod p)$, then

$$
F(X)=f\left(g^{k} X+b_{1}\right)-f\left(g^{k} X+b_{2}\right)
$$

is a polynomial of degree $d-1 \geq 2$. Thus, we can apply Lemma 2 when $b_{1} \not \equiv b_{2}(\bmod p)$, and we use the trivial bound when $b_{1} \equiv b_{2}(\bmod p)$; we obtain that

$$
\begin{aligned}
& \sum_{b_{1}, b_{2} \in \mathcal{F}_{\mathcal{D}}(k)}\left|\sum_{a=0}^{K-1} \mathbf{e}_{p}\left(f\left(a g^{k}+b_{1}\right)-f\left(a g^{k}+b_{2}\right)\right)\right| \\
& \ll \# \mathcal{F}_{\mathcal{D}}(k) K+\# \mathcal{F}_{\mathcal{D}}(k)^{2} d p^{1 / 2} \log p
\end{aligned}
$$

Since $\# \mathcal{F}_{\mathcal{D}}(k)=(\# \mathcal{D})^{k}=g^{\alpha k}$, it follows that

$$
\begin{equation*}
\left|T_{\mathcal{D}}(r, f)\right|^{2} \ll \# \mathcal{F}_{\mathcal{D}}(r)\left(g^{r-k}+g^{\alpha k} d p^{1 / 2} \log p\right) \tag{4}
\end{equation*}
$$

Defining $k$ so that

$$
g^{k-1} \leq g^{r /(1+\alpha)}\left(d p^{1 / 2} \log p\right)^{-1 /(1+\alpha)}<g^{k}
$$

(which balances both terms in (4)), it follows that

$$
\left|T_{\mathcal{D}}(r, f)\right|^{2} \ll \# \mathcal{F}_{\mathcal{D}}(r) g^{\alpha r /(1+\alpha)}\left(d p^{1 / 2} \log p\right)^{1 /(1+\alpha)}
$$

Recalling that $\# \mathcal{F}_{\mathcal{D}}(r)=g^{\alpha r}$, the result follows.
We see that if $d$ is constant, the bound of Theorem 4 is nontrivial provided that $\# \mathcal{F}_{\mathcal{D}}(r) \geq\left(p^{1 / 2} \log ^{2} p\right)^{1 / \alpha}$, with $p$ sufficiently large.

For smaller sets, we can use Lemma 3 instead of Lemma 2.
TheOrem 5. For any integers $d \geq 4$ and $r \geq 1$ such that

$$
p^{1 /(d-2)}<g^{r}<p
$$

and for any polynomial $f(X) \in \mathbb{F}_{p}[X]$ of degree $d$, we have

$$
\left|T_{\mathcal{D}}(r, f)\right| \ll \# \mathcal{F}_{\mathcal{D}}(r)^{1 / 2} e^{3 d / 2} g^{r\left(1 / 2-1 / 36 d^{2} \log d\right)}
$$

Proof. Define $k$ by the inequalities

$$
k<\frac{r}{18 d^{2} \log d} \leq k+1
$$

and put $K=g^{r-k}$. It is easy to verify that

$$
K \geq p^{\left(1-1 / 18 d^{2} \log d\right) /(d-2)}>p^{1 /(d-1)}
$$

Therefore, following the proof of Theorem 4 but using Lemma 3 instead of Lemma 2, we derive that

$$
\left|T_{\mathcal{D}}(r, f)\right|^{2} \ll \# \mathcal{F}_{\mathcal{D}}(r)\left(K+\# \mathcal{F}_{\mathcal{D}}(k) e^{3 d} K^{1-1 / 9 d^{2} \log d}\right)
$$

Clearly, $K \geq g^{r / 2}$. Hence it follows that

$$
\# \mathcal{F}_{\mathcal{D}}(k) \leq g^{k}<g^{r / 18 d^{2} \log d} \leq K^{1 / 9 d^{2} \log d}
$$

and thus $\# \mathcal{F}_{\mathcal{D}}(k) K^{1-1 / 9 d^{2}} \log d \leq K$. Consequently,

$$
\left|T_{\mathcal{D}}(r, f)\right|^{2} \ll \# \mathcal{F}_{\mathcal{D}}(r) e^{3 d} K
$$

and the result follows.
We see that if $d$ is constant, the bound of Theorem 5 is nontrivial provided that $\# \mathcal{F}_{\mathcal{D}}(r) \geq g^{r\left(1-1 / 19 d^{2} \log d\right)}$, with $p^{1 /(d-2)}<g^{r}<p$ and $p$ sufficiently large.

Theorem 6. Fix $q$ and $\ell$ with $0 \leq \ell<q$ and such that $\operatorname{gcd}(q, g-1)=1$. For any integer $r \geq 1$ with $g^{r}<p$ and any polynomial $f(X) \in \mathbb{F}_{p}[X]$ of degree $d \geq 3$, we have

$$
\left|T_{\ell, q}(r, f)\right| \ll \# \mathcal{E}_{\ell, q}(r)\left(\frac{\# \mathcal{E}_{\ell, q}(r)}{d p^{1 / 2} \log p}\right)^{-1 / 4}
$$

Proof. Again, put $K=g^{r-k}$, where $0 \leq k \leq r$. For every $n \in \mathcal{E}_{\ell, q}(r)$, write $n=a g^{k}+b$ with $0 \leq a<g^{r-k}$ and $0 \leq b<g^{\bar{k}}$; then

$$
T_{\ell, q}(r, f)=\sum_{j=0}^{q-1} \sum_{a \in \mathcal{E}_{\ell-j, q}(r-k)} \sum_{b \in \mathcal{E}_{j, q}(k)} \mathbf{e}_{p}\left(f\left(a g^{k}+b\right)\right) .
$$

By the Cauchy inequality, we have

$$
\begin{aligned}
& \left|T_{\ell, q}(r, f)\right|^{2} \leq q \sum_{j=0}^{q-1} \# \mathcal{E}_{\ell-j, q}(r-k) \sum_{a=0}^{K-1}\left|\sum_{b \in \mathcal{E}_{j, q}(k)} \mathbf{e}_{p}\left(f\left(a g^{k}+b\right)\right)\right|^{2} \\
& \quad=q \sum_{j=0}^{q-1} \# \mathcal{E}_{\ell-j, q}(r-k) \sum_{a=0}^{K-1} \sum_{b_{1}, b_{2} \in \mathcal{E}_{j, q}(k)} \mathbf{e}_{p}\left(f\left(a g^{k}+b_{1}\right)-f\left(a g^{k}+b_{2}\right)\right) \\
& \quad \leq q \sum_{j=0}^{q-1} \# \mathcal{E}_{\ell-j, q}(r-k) \sum_{b_{1}, b_{2} \in \mathcal{E}_{j, q}(k)}\left|\sum_{a=0}^{K-1} \mathbf{e}_{p}\left(f\left(a g^{k}+b_{1}\right)-f\left(a g^{k}+b_{2}\right)\right)\right| .
\end{aligned}
$$

As in the proof of Theorem 4, we can estimate

$$
\begin{aligned}
\sum_{b_{1}, b_{2} \in \mathcal{E}_{j, q}(k)} \mid \sum_{a=0}^{K-1} \mathbf{e}_{p}\left(f \left(a g^{k}\right.\right. & \left.\left.+b_{1}\right)-f\left(a g^{k}+b_{2}\right)\right) \mid \\
& <\neq \mathcal{E}_{j, q}(k) K+\# \mathcal{E}_{j, q}(k)^{2} d p^{1 / 2} \log p \\
& \leq \# \mathcal{E}_{j, q}(k)\left(g^{r-k}+g^{k} d p^{1 / 2} \log p\right)
\end{aligned}
$$

Since

$$
\sum_{j=0}^{q-1} \# \mathcal{E}_{\ell-j, q}(r-k) \# \mathcal{E}_{j, q}(k)=\# \mathcal{E}_{\ell, q}(r)
$$

this gives

$$
\left|T_{\ell, q}(r, f)\right|^{2} \ll \# \mathcal{E}_{\ell, q}(r)\left(g^{r-k}+g^{k} d p^{1 / 2} \log p\right)
$$

and the proof can be completed as in Theorem 2.
We see that if $d$ is constant, the bound of Theorem 6 is nontrivial provided that $\# \mathcal{E}_{\ell, q}(r) \geq p^{1 / 2} \log ^{2} p$, with $p$ sufficiently large.

Similarly, by using Lemma 3 instead of Lemma 2, we obtain the following analogue of Theorem 5 .

Theorem 7. Fix $q$ and $\ell$ with $0 \leq \ell<q$ and such that $\operatorname{gcd}(q, g-1)=1$. For any integers $d \geq 4$ and $r \geq 1$ with

$$
p^{1 /(d-2)}<g^{r}<p
$$

and any polynomial $f(X) \in \mathbb{F}_{p}[X]$ of degree $d \geq 3$, we have

$$
\left|T_{\ell, q}(r, f)\right| \ll e^{3 d / 2} \# \mathcal{E}_{\ell, q}(r)^{1-1 / 36 d^{2} \log d}
$$

We see that if $d$ is constant, the bound of Theorem 7 is always nontrivial.
Theorem 8. For any integers $1 \leq s \leq(g-1) r$ with $g^{r}<p$ and any polynomial $f(X) \in \mathbb{F}_{p}[X]$ of degree $d \geq 3$, we have

$$
\left|T_{s}(r, f)\right| \ll \# \mathcal{G}_{s}(r)^{1 / 2} s^{1 / 2} g^{r / 4} d^{1 / 4} p^{1 / 8} \log ^{1 / 4} p .
$$

Proof. Put $K=g^{r-k}$, where $0 \leq k \leq r$. For every $n \in \mathcal{G}_{s}(r)$, write $n=a g^{k}+b$ with $0 \leq a<g^{r-k}$ and $0 \leq b<g^{k}$; then

$$
T_{s}(r, f)=\sum_{j=0}^{s} \sum_{a \in \mathcal{G}_{s-j}(r-k)} \sum_{b \in \mathcal{G}_{j}(k)} \mathbf{e}_{p}\left(f\left(a g^{k}+b\right)\right) .
$$

By the Cauchy inequality, we have

$$
\begin{aligned}
\left|T_{s}(r, f)\right|^{2} \leq & (s+1) \sum_{j=0}^{s} \# \mathcal{G}_{s-j}(r-k) \sum_{a=0}^{K-1}\left|\sum_{b \in \mathcal{G}_{j}(k)} \mathbf{e}_{p}\left(f\left(a g^{k}+b\right)\right)\right|^{2} \\
= & (s+1) \sum_{j=0}^{s} \# \mathcal{G}_{s-j}(r-k) \sum_{a=0}^{K-1} \\
& \sum_{b_{1}, b_{2} \in \mathcal{G}_{j}(k)} \mathbf{e}_{p}\left(f\left(a g^{k}+b_{1}\right)-f\left(a g^{k}+b_{2}\right)\right) \\
\leq & (s+1) \sum_{j=0}^{s} \# \mathcal{G}_{s-j}(r-k) \\
& \sum_{b_{1}, b_{2} \in \mathcal{G}_{j}(k)}\left|\sum_{a=0}^{K-1} \mathbf{e}_{p}\left(f\left(a g^{k}+b_{1}\right)-f\left(a g^{k}+b_{2}\right)\right)\right|
\end{aligned}
$$

As in the proof of Theorem 4, we can estimate

$$
\begin{array}{r}
\sum_{b_{1}, b_{2} \in \mathcal{G}_{j}(k)}\left|\sum_{a=0}^{K-1} \mathbf{e}_{p}\left(f\left(a g^{k}+b_{1}\right)-f\left(a g^{k}+b_{2}\right)\right)\right| \\
\ll \# \mathcal{G}_{j}(k)\left(K+\# \mathcal{G}_{j}(k) d p^{1 / 2} \log p\right) .
\end{array}
$$

Since

$$
\sum_{j=0}^{s} \# \mathcal{G}_{s-j}(r-k) \# \mathcal{G}_{j}(k)=\# \mathcal{G}_{s}(r)
$$

and $\# \mathcal{G}_{j}(k) \leq g^{k}$ for $0 \leq j \leq s$, this gives

$$
\left|T_{s}(r, f)\right|^{2} \ll \# \mathcal{G}_{s}(r) s\left(g^{r-k}+g^{k} d p^{1 / 2} \log p\right)
$$

and the proof can be completed as in Theorem 3.
Taking into account that $s \leq(g-1) r=O(\log p)$, we see that if $d$ is constant, the bound of Theorem 8 is nontrivial provided that $\# \mathcal{G}_{s}(r) \geq g^{r / 2} p^{1 / 4} \log ^{2} p$, with $p$ sufficiently large.

Finally, by using Lemma 3 instead of Lemma 2, we obtain the following analogue of Theorems 5 and 7.

Theorem 9. For any integers $d \geq 4$ and $1 \leq s \leq(g-1) r$ such that

$$
p^{1 /(d-2)}<g^{r}<p
$$

and for any polynomial $f(X) \in \mathbb{F}_{p}[X]$ of degree $d$, we have

$$
\left|T_{s}(r, f)\right| \ll \# \mathcal{G}_{s}(r)^{1 / 2} s^{1 / 2} e^{3 d / 2} g^{r\left(1 / 2-1 / 36 d^{2} \log d\right)}
$$

As before, we see that if $d$ is constant, the bound of Theorem 9 is nontrivial provided that $\# \mathcal{G}_{s}(r) \geq g^{r\left(1-1 / 19 d^{2} \log d\right)}$, with $p^{1 /(d-2)}<g^{r}<p$ and $p$ sufficiently large.

## 5. Exponential sums with exponential functions

Theorem 10. For any $c \in \mathbb{F}_{p}^{*}$, any $\vartheta \in \mathbb{F}_{p}$ of multiplicative order $T$, and any integer $r \geq 1$ with $g^{r}<T$, we have

$$
\left|V_{\mathcal{D}}(r, c, \vartheta)\right| \ll \# \mathcal{F}_{\mathcal{D}}(r)^{1-\alpha / 2(1+\alpha)}\left(p^{1 / 2} \log p\right)^{1 / 2(1+\alpha)}
$$

where $0<\alpha \leq 1$ is the real number such that $\# \mathcal{D}=g^{\alpha}$.
Proof. For every $n \in \mathcal{F}_{\mathcal{D}}(r)$, write $n=a g^{k}+b$ with $0 \leq a<g^{r-k}$ and $0 \leq b<g^{k}$, where $0 \leq k \leq r$ will be chosen later; then

$$
V_{\mathcal{D}}(r, c, \vartheta)=\sum_{a \in \mathcal{F}_{\mathcal{D}}(r-k)} \sum_{b \in \mathcal{F}_{\mathcal{D}}(k)} \mathbf{e}_{p}\left(c \vartheta^{a g^{k}+b}\right) .
$$

By the Cauchy inequality, we have

$$
\begin{aligned}
& \left|V_{\mathcal{D}}(r, c, \vartheta)\right|^{2} \leq \# \mathcal{F}_{\mathcal{D}}(k) \sum_{b=0}^{g^{k}-1}\left|\sum_{a \in \mathcal{F}_{\mathcal{D}}(r-k)} \mathbf{e}_{p}\left(c \vartheta^{a g^{k}+b}\right)\right|^{2} \\
& =\# \mathcal{F}_{\mathcal{D}}(k) \sum_{b=0}^{g^{k}-1} \sum_{a_{1}, a_{2} \in \mathcal{F}_{\mathcal{D}}(r-k)} \mathbf{e}_{p}\left(c \vartheta^{b}\left(\vartheta^{a_{1} g^{k}}-\vartheta^{a_{2} g^{k}}\right)\right) \\
& \quad \leq \# \mathcal{F}_{\mathcal{D}}(k) \sum_{a_{1}, a_{2} \in \mathcal{F}_{\mathcal{D}}(r-k)}\left|\sum_{b=0}^{\mid g^{k}-1} \mathbf{e}_{p}\left(c \vartheta^{b}\left(\vartheta^{a_{1} g^{k}}-\vartheta^{a_{2} g^{k}}\right)\right)\right| .
\end{aligned}
$$

If $a_{1}, a_{2} \in \mathcal{F}_{\mathcal{D}}(r-k)$ with $a_{1} \neq a_{2}$, then $\vartheta^{a_{1} g^{k}} \neq \vartheta^{a_{2} g^{k}}$ (since $T>g^{r}$ ), so we can apply the bound from Lemma 4 ; for $a_{1}=a_{2}$ we use the trivial bound. Thus, we obtain that

$$
\begin{aligned}
\sum_{a_{1}, a_{2} \in \mathcal{F}_{\mathcal{D}}(r-k)} \mid \sum_{b=0}^{g^{k}-1} \mathbf{e}_{p}\left(c \vartheta^{b}\right. & \left.\left(\vartheta^{a_{1} g^{k}}-\vartheta^{a_{2} g^{k}}\right)\right) \mid \\
& \ll \# \mathcal{F}_{\mathcal{D}}(r-k) g^{k}+\# \mathcal{F}_{\mathcal{D}}(r-k)^{2} p^{1 / 2} \log p
\end{aligned}
$$

Since $\# \mathcal{F}_{\mathcal{D}}(k)=(\# \mathcal{D})^{k}=g^{\alpha k}$, it follows that

$$
\begin{equation*}
\left|V_{\mathcal{D}}(r, c, \vartheta)\right|^{2} \ll \# \mathcal{F}_{\mathcal{D}}(r)\left(g^{k}+g^{\alpha(r-k)} p^{1 / 2} \log p\right) \tag{5}
\end{equation*}
$$

Defining $k$ so that

$$
g^{k-1} \leq g^{\alpha r /(1+\alpha)}\left(p^{1 / 2} \log p\right)^{1 /(1+\alpha)}<g^{k}
$$

(which balances both terms in (5)), it follows that

$$
\left|V_{\mathcal{D}}(r, c, \vartheta)\right|^{2} \ll \# \mathcal{F}_{\mathcal{D}}(r) g^{\alpha r /(1+\alpha)}\left(d p^{1 / 2} \log p\right)^{1 /(1+\alpha)}
$$

Recalling that $\# \mathcal{F}_{\mathcal{D}}(r)=g^{\alpha r}$, the result follows.
We see that the bound of Theorem 10 is nontrivial provided that $\# \mathcal{F}_{\mathcal{D}}(r) \geq$ $\left(p^{1 / 2} \log ^{2} p\right)^{1 / \alpha}$, with $p$ sufficiently large.

Theorem 11. Fix $q$ and $\ell$ with $0 \leq \ell<q$ and such that $\operatorname{gcd}(q, g-1)=1$. For any $c \in \mathbb{F}_{p}^{*}$, any $\vartheta \in \mathbb{F}_{p}$ of multiplicative order $T$, and any integer $r \geq 1$ with $g^{r}<T$, we have

$$
\left|V_{\ell, q}(r, c, \vartheta)\right| \ll \# \mathcal{E}_{\ell, q}(r)\left(\frac{\# \mathcal{E}_{\ell, q}(r)}{p^{1 / 2} \log p}\right)^{-1 / 4}
$$

Proof. For every $n \in \mathcal{E}_{\ell, q}(r)$, write $n=a g^{k}+b$ with $0 \leq a<g^{r-k}$ and $0 \leq b<g^{k}$, where $0 \leq k \leq r$ will be chosen later; then

$$
V_{\ell, q}(r, c, \vartheta)=\sum_{j=0}^{q-1} \sum_{a \in \mathcal{E}_{j, q}(r-k)} \sum_{b \in \mathcal{E}_{\ell-j, q}(k)} \mathbf{e}_{p}\left(c \vartheta^{a g^{k}+b}\right) .
$$

By the Cauchy inequality, we have

$$
\begin{aligned}
& \left|V_{\ell, q}(r, c, \vartheta)\right|^{2} \leq q \sum_{j=0}^{q-1} \# \mathcal{E}_{\ell-j, q}(k) \sum_{b=0}^{g^{k}-1}\left|\sum_{a \in \mathcal{E}_{j, q}(r-k)} \mathbf{e}_{p}\left(c \vartheta^{a g^{k}+b}\right)\right|^{2} \\
& \quad=q \sum_{j=0}^{q-1} \# \mathcal{E}_{\ell-j, q}(k) \sum_{b=0}^{g^{k}-1} \sum_{a_{1}, a_{2} \in \mathcal{E}_{j, q}(r-k)} \mathbf{e}_{p}\left(c \vartheta^{b}\left(\vartheta^{a_{1} g^{k}}-\vartheta^{a_{2} g^{k}}\right)\right) \\
& \quad \leq q \sum_{j=0}^{q-1} \# \mathcal{E}_{\ell-j, q}(k) \sum_{a_{1}, a_{2} \in \mathcal{E}_{j, q}(r-k)}\left|\sum_{b=0}^{\mid g^{k}-1} \mathbf{e}_{p}\left(c \vartheta^{b}\left(\vartheta^{a_{1} g^{k}}-\vartheta^{a_{2} g^{k}}\right)\right)\right|
\end{aligned}
$$

As in the proof of Theorem 10, we can estimate

$$
\begin{aligned}
\sum_{a_{1}, a_{2} \in \mathcal{E}_{j, q}(r-k)} \mid \sum_{b=0}^{g^{k}-1} \mathbf{e}_{p}\left(c \vartheta^{b}\right. & \left.\left(\vartheta^{a_{1} g^{k}}-\vartheta^{a_{2} g^{k}}\right)\right) \mid \\
& \ll \# \mathcal{E}_{j, q}(r-k) g^{k}+\# \mathcal{E}_{j, q}(r-k)^{2} p^{1 / 2} \log p \\
& \leq \# \mathcal{E}_{j, q}(r-k)\left(g^{k}+g^{r-k} d p^{1 / 2} \log p\right)
\end{aligned}
$$

Since

$$
\sum_{j=0}^{q-1} \# \mathcal{E}_{j, q}(r-k) \# \mathcal{E}_{\ell-j, q}(k)=\# \mathcal{E}_{\ell, q}(r)
$$

this gives

$$
\begin{equation*}
\left|V_{\ell, q}(r, c, \vartheta)\right|^{2} \ll \# \mathcal{E}_{\ell, q}(r)\left(g^{k}+g^{r-k} p^{1 / 2} \log p\right) \tag{6}
\end{equation*}
$$

Defining $k$ so that

$$
g^{k-1} \leq\left(\frac{g^{r}}{p^{1 / 2} \log p}\right)^{1 / 2}<g^{k}
$$

(which balances the two terms in (6)), it follows that

$$
\left|V_{\ell, q}(r, c, \vartheta)\right|^{2} \ll \# \mathcal{E}_{\ell, q}(r) g^{r / 2} p^{1 / 4} \log ^{1 / 2} p
$$

Recalling Lemma 5, we derive the result.
We see that the bound of Theorem 11 is nontrivial provided that $\# \mathcal{E}_{\ell, q}(r) \geq$ $p^{1 / 2} \log ^{2} p$, with $p$ sufficiently large.

## 6. Remarks

Using standard arguments, one can easily derive from the bounds of Section 3 various results about the distribution of quadratic non-residues and primitive roots in the polynomial values $f(n)$, as $n$ runs over the set $\mathcal{F}_{\mathcal{D}}(r)$, the set $\mathcal{E}_{\ell, q}(r)$, or the set $\mathcal{G}_{s}(r)$. Similarly, the bounds of Sections 4 imply results about the uniformity of distribution of fractional parts $\{f(n) / p\}$ for integers $n$ in $\mathcal{F}_{\mathcal{D}}(r), \mathcal{E}_{\ell, q}(r)$, or $\mathcal{G}_{s}(r)$.

It would be interesting to extend the class of polynomials in which one can take an arbitrary $\nu \geq 1$ in Theorem 1.

Using the full power of the Vinogradov method, one can also estimate exponential sums for polynomials with real coefficients whose values are taken over integers in $\mathcal{F}_{\mathcal{D}}(r), \mathcal{E}_{\ell, q}(r)$, or $\mathcal{G}_{s}(r)$.

We remark that the method of Sections 3,4 , and 5 can be applied to similar sums defined over the residue ring $\mathbb{Z}_{m}$ modulo an arbitrary integer $m$. In some cases, the Weil bound must be replaced by Hua Loo Keng type bounds (which, unfortunately, are somewhat weaker; see [1] and [21]), but our results based on the Vinogradov bounds do not require any substantial changes.

It would be interesting to obtain analogues of Theorems 2,6 and 7 when $q$ is allowed to grow along with $r$ and $p$. Some results of this type can be obtained using the methods presented here (with an extra factor of $q^{1 / 2}$ in front of the corresponding upper bounds). However, for a more careful treatment, one needs a variant of Lemma 5 that can be applied when $q$ is allowed to grow with $r$.

We have already remarked that the sums $V_{s}(r, c, \vartheta)$ have been estimated in [9]. Using the analogue of Lemma 4 for multiplicative characters (see [4] and [23]),

$$
\left|\sum_{u=1}^{H} \chi\left(\lambda^{u}+c\right)\right| \ll p^{1 / 2} \log p
$$

one can easily obtain complete analogues of that result of [9] and of Theorems 10 and 11 for sums of multiplicative characters.

## References

[1] T. Cochrane and Z. Y. Zheng, A survey on pure and mixed exponential sums modulo prime powers, Number Theory for the Millennium (Proc. Millennial Conf. Number Theory, Urbana, IL, 2000), Vol. I, A K Peters, Natick, MA, 2002, pp. 273-300.
[2] C. Dartyge and C. Mauduit, Nombres presque premiers dont lécriture en base $r$ ne comporte pas certains chiffres, J. Number Theory 81 (2000), 270-291.
[3] F. M. Dekking, On the distribution of digits in arithmetic sequences, Seminar on number theory 1982-1983 (Talence), Exp. No. 32, Univ. Bordeaux I, Talence, 1983.
[4] E. Dobrowolski and K. S. Williams, An upper bound for the sum $\sum_{n=a+1}^{a+H} f(n)$ for a certain class of functions f, Proc. Amer. Math. Soc. 114 (1992), 29-35.
[5] P. Erdös, C. Mauduit, and A. Sárközy, On arithmetic properties of integers with missing digits I: Distribution in residue classes, J. Number Theory 70 (1998), 99120.
[6] _ On arithmetic properties of integers with missing digits II: Prime factors, Discrete Math. 200 (1999), 149-164.
[7] N. J. Fine, The distribution of the sum of digits $(\bmod p)$, Bull. Amer. Math. Soc. 71 (1965), 651-652.
[8] E. Fouvry and C. Mauduit, Méthodes de crible et fonctions sommes des chiffres, Acta Arith. 77 (1996), 339-351.
[9] J. B. Friedlander and I. E. Shparlinski, On the distribution of Diffie-Hellman triples with sparse exponents, SIAM J. Discr. Math., 14 (2001), 162-169.
[10] A. O. Gelfond, Sur les nombres qui ont des propriétés additives et multiplicatives données, Acta Arith. 13 (1968), 259-265.
[11] A. A. Karatsuba and B. Novak, Arithmetic problems with numbers of special type, Mat. Zametki 66 (1999), 315-317 (in Russian); English translation: Math. Notes 66 (1999), 251-253.
[12] S. Konyagin, Arithmetic properties of integers with missing digits: distribution in residue classes, Periodica Math. Hungar. 42 (2001), 145-162.
[13] S. Konyagin, C. Mauduit, and A. Sárközy, On the number of prime factors of integers characterized by digit properties, Periodica Math. Hungar. 40 (2000), 37-52.
[14] S. V. Konyagin and I. Shparlinski, Character sums with exponential functions and their applications, Cambridge Univ. Press, Cambridge, 1999.
[15] N. M. Korobov, On the distribution of digits in periodic fractions, Mat. Sb. 89 (1972), 654-670 (in Russian).
[16] , Exponential sums and their applications, Kluwer, Dordrecht, 1992.
[17] C. Mauduit and A. Sárközy, On the arithmetic structure of sets characterized by sum of digits properties, J. Number Theory 61 (1996), 25-38.
$[18]$, On the arithmetic structure of the integers whose sum of digits is fixed, Acta Arith. 81 (1997), 145-173.
[19] _ On finite pseudorandom binary sequences 1: Measure of pseudorandomness, the Legendre symbol, Acta Arith. 82 (1997), 365-377.
[20] H. Niederreiter, Quasi-Monte Carlo methods and pseudo-random numbers, Bull. Amer. Math. Soc. 84 (1978), 957-1041.
[21] S. B. Stečkin, An estimate of a complete rational exponential sum, Proc. Math. Inst. Acad. Sci. USSR 143 (1977), 188-207 (in Russian).
[22] A. Weil, Basic number theory, Springer-Verlag, New York, 1974.
[23] H. B. Yu, Estimates of character sums with exponential function, Acta Arith. 97 (2001), 211-218.

William D. Banks, Department of Mathematics, University of Missouri, ColumBIA, MO 65211, USA

E-mail address: bbanks@math.missouri.edu
Alessandro Conflitti, Dipartimento di Matematica, Università degli Studi di Roma "Tor Vergata", Via della Ricerca Scientifica, I-00133 Roma, Italy

E-mail address: conflitt@mat.uniroma2.it
Igor E. Shparlinski, Department of Computing, Macquarie University, Sydney, NSW 2109, Australia

E-mail address: igor@ics.mq.edu.au


[^0]:    Received October 31, 2001; received in final form May 15, 2002.
    2000 Mathematics Subject Classification. 11L07, 11L15, 11L40, 11N64.

