

## 87. On Formal Groups over Complete Discrete Valuation Rings. III

### Applications to Elliptic Curves

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1. Let  $E_A$  be an elliptic curve defined over  $\mathbf{Q}(A_1, A_2, A_3, A_4, A_6)$  by the equation:

$$(1) \quad y^2 + A_1xy + A_3y = x^3 + A_2x^2 + A_4x + A_6$$

in  $(x, y)$ -plane. Let  $u = -x/y$ ,  $w = -1/y$ . (1) is then represented by the equation:

$$w = u^3 + A_1uw + A_2u^2w + A_3w^2 + A_4uw^2 + A_6w^3$$

in  $(u, w)$ -plane. Then we get the formal expansion

$$(2) \quad w = u^3 + A_1u^4 + (A_1^2 + A_2)u^5 + (A_1^3 + 2A_1A_2 + A_3)u^6 + \dots$$

Denote by  $h_A(u)$  the right hand side of (2). Then  $h_A(u)$  has coefficients in  $\mathbf{Z}[A_1, A_2, A_3, A_4, A_6]$ .

Now we regard  $E_A$  as a plane cubic model of an abelian variety of dimension 1.  $(0, 0) \in E_A$  in  $(u, w)$ -plane is denoted by  $O$ , which is zero for the group law additively expressed in the abelian variety  $E_A$ .  $O$  is the point at infinity of  $E_A$  in  $(x, y)$ -plane.

Let  $P_i = (u_i, w_i) \in E_A$  in  $(u, w)$ -plane ( $i = 1, 2, 3$ ) and  $P_3 = P_1 + P_2$ , the addition being performed in the abelian variety  $E_A$ .

Then we have

$$(3) \quad u_3 = F_A(u_1, u_2) = u_1 + u_2 - A_1u_1u_2 - A_2(u_1^2u_2 + u_1u_2^2) - 2A_3(u_1^3u_2 + u_1u_2^3) + (A_1A_2 - 3A_3)u_1^2u_2^2 + \dots$$

$F_A(u_1, u_2)$  is a generic formal group.

Let  $a_i \in R$ ,  $i = 1, 2, 3, 4$  or  $6$ . If we substitute  $a_i$  to  $A_i$  in (1), we get an elliptic curve defined over  $K$ , which we shall denote  $E$  from now on. The formal group  $F(u_1, u_2)$  over  $R$  associated with this  $E$  is obtained from (3) by the above substitutions. (Cf. [2]–[4], [6], [11], [13].)

Denote by  $E(K)$  the set of  $K$ -rational points and the point at infinity of  $E$  in  $(x, y)$ -plane.

If  $P = (x, y) \in E(K)$  in  $(x, y)$ -plane satisfies  $\nu(x) < 0$  or  $\nu(y) < 0$ , we have  $\nu(x) = -2m$ ,  $\nu(y) = -3m$  and  $x = x'/\pi^{2m}$ ,  $y = y'/\pi^{3m}$  where  $x'$ ,  $y'$  are units in  $R$ , and  $m$  is an integer. In this case, we write  $N(P) = m$  and we put  $N(O) = \infty$ . We define now  $E(\pi^n) = \{P \mid N(P) \geq n\}$ . If  $E(\pi^n)$  is represented in  $(u, w)$ -plane, it consists of the origin and the point

$(\pi^m u', \pi^{3m} w')$  ( $m \geq n$ ), where  $u', w'$  are units in  $R$ .

2. It is well-known that  $E(\pi^n)$  is a subgroup of the abelian variety  $E$ . Now we have

**Proposition 3.** *The map  $(u, w) \rightarrow u$  is an isomorphism  $E(\pi^n) \rightarrow (\mathfrak{p}^n, \dagger)$ , where we define  $(\mathfrak{p}^n, \dagger)$  by the formal group  $F$  associated with  $E$ . (Cf. Tate [11] Theorem 3, p. 189.)*

Let  $\alpha$  be defined as in I ([9]) for the formal group  $F(u_1, u_2)$ . Since  $(\mathfrak{p}^n, \dagger)$  with  $n > \alpha$  is an  $R$ -module as shown in I ([9]), we can define in  $E(\pi^n)$  a structure of  $R$ -module by the isomorphism of Proposition 3.

From Proposition 3 and I, we obtain the following

**Theorem 4.** *In the same notations as above,  $E(\pi^n)$  is isomorphic as  $R$ -module to  $\mathfrak{p}^n$ , when  $n > \alpha$ .*

**Corollary.** *When  $k$  is a finite field with cardinal  $p'$ ,  $E(\pi)$  is a product of a free  $\mathbb{Z}_{p'}$ -module of rank  $ef$  and a finite abelian group of a  $p$ -power order.*

As the formal group  $F$  associated with  $E$  can be regarded as a specialization of the generic formal group  $F_A$ , the results of II ([10]) can be applied to obtain more explicit issues. For example we have

**Theorem 5.** *Let a torsion point  $P \in E(\pi^n)$  of a finite order  $p^n$  be represented by  $(u, w)$  in  $(u, w)$ -plane. Then*

$$\nu(u) \leq \frac{e}{(\mu p^{h'})^n - (\mu p^{h'})^{n-1}}$$

where  $\mu, h'$  have the same meanings as in Theorem 2.

**Remark.** Corollary of Theorem 4 and Theorem 5 cover the results of Cassels [1] and Oort [8].

3. Now, we have the following known results for the height of formal groups associated with elliptic curves  $E$ . When  $E$  has a good reduction  $\tilde{E} \bmod \mathfrak{p}$ ,  $\tilde{E}$  is defined over  $k$ . Let  $\bar{F}$  be the reduction of  $F \bmod \mathfrak{p}$ .  $\bar{F}$  is also defined over  $k$  and the height  $h$  of  $\bar{F}$  is 1 or 2. (Cf. [6], [11], [13].) When  $E$  has bad reduction  $\bmod \mathfrak{p}$ , we have  $h = \infty$  if  $\tilde{E}$  has a cusp, and  $h = 1$  if  $\tilde{E}$  has a node. (Cf. [13].)

As this holds also clearly for  $h'$ , the only possible values of  $h$  (resp.  $h'$ ) are 1, 2,  $\infty$ .

Using this, we get the following theorem improving the classical result proved by Weil and Lutz ([12], [7]).

**Theorem 6.** *Let  $\text{ch}(k) = p$ , and  $A_1 = A_2 = A_3 = 0$  in (1)  $E(\pi^n)$  is isomorphic to  $\mathfrak{p}^n$  as  $R$ -module, if any one of the following conditions is satisfied*

- (a)  $p \geq 5$  and  $n > e/(p-1)$
- (b)  $p = 3$  and  $n > e/8$
- (c)  $p = 2$  and  $n > 0$ .

**Remark.** By a similar reasoning as above, we see for example

that  $E(\pi^n)$  is isomorphic to  $\mathfrak{p}^n$ , when

$$\text{ch}(k) = 2, 2 \mid a_1, a_2, a_3 \text{ and } n > 0.$$

4. Finally, we mention an application to the torsion point of  $E_0(K)$  defined as follows\*).

$$E_0(K) = \{P \mid P \in E(K), \tilde{P} \in \tilde{E}_{ns}(k)\}$$

where  $\tilde{E}_{ns}$  is the nonsingular part of the reduction  $\tilde{E}$  of  $E \bmod \mathfrak{p}$  and  $\tilde{E}_{ns}(k) = \tilde{E}_{ns} \cap \tilde{E}(k)$ . It is known that the kernel of the reduction map  $E_0(K) \rightarrow \tilde{E}_{ns}(k)$  is  $E(\pi)$ . (Cf. [11].)

By Theorem 2 we obtain

**Theorem 7.** *Let  $e/(\mu\mathfrak{p}^{n'} - 1) < 1$ . The subgroup of  $E_0(K)$  consisting of torsion elements, is mapped injectively into  $\tilde{E}_{ns}(k)$  by the reduction map (Katz [5]).*

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\*). A point  $P$  in projective 2-space  $P_2(K)$  over  $K$  can be represented by  $(x_0, x_1, x_2)$  where  $x_i \in R (i=0, 1, 2)$  and one of  $x_0, x_1, x_2$  is a unit in  $R$ . Then we define  $P = (\tilde{x}_0, \tilde{x}_1, \tilde{x}_2)$  in  $P_2(k)$  where  $\tilde{x}_i = x_i \bmod \mathfrak{p}$ .