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## CRITERIA FOR THE BOUNDEDNESS AND COMPACTNESS OF GENERALIZED ONE-SIDED POTENTIALS

### Abstract

Necessary and sufficient conditions are found for the positive Borel measure  $\nu$ , which provide the boundedness (compactness) of the generalized Riemann–Liouville operator from one Lebesgue space into another Lebesgue space with measure  $\nu$ . The appropriate problem for the generalized Weyl operator is solved as well.

### 1 Introduction

In this paper, necessary and sufficient conditions are found, which ensure the boundedness (compactness) of the generalized Riemann-Liouville operator

$$T_\alpha f(x, t) = \int_0^x (x - y + t)^{\alpha-1} f(y) dy, \quad x, t \in \mathbb{R}_+,$$

from  $L^p(\mathbb{R}_+)$  into  $L^q_v(\widetilde{\mathbb{R}}_+^2)$ , where  $0 < p, q < \infty$ ,  $p > 1$ ,  $\alpha > 1/p$ ,  $\mathbb{R}_+ \equiv [0, \infty)$  and  $\nu$  is a positive  $\sigma$ -finite Borel measure on  $\widetilde{\mathbb{R}}_+^2 \equiv \mathbb{R}_+ \times \mathbb{R}_+$  (for  $q < p$  it will be assumed that  $\nu$  is absolutely continuous; i.e.,  $d\nu(x, t) = v(x, t) dx dt$ , where  $v$  is a Lebesgue-measurable almost everywhere positive function on  $\widetilde{\mathbb{R}}_+^2$ ).

An analogous problem for the classical Riemann-Liouville operator

$$R_\alpha f(x) = \int_0^x (x - y)^{\alpha-1} f(y) dy$$

was solved in [17], [18]. Necessary and sufficient conditions for the boundedness of  $R_\alpha$  from  $L^p_w(\mathbb{R}_+)$  into  $L^q_v(\mathbb{R}_+)$  were found for  $1 < p < q < \infty$  and  $0 < \alpha < 1$

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in [9] (see also [10], Chapter 3). A similar problem was solved for  $1 < p \leq q < \infty$  and  $\alpha > 1$  in [15], [25] and for  $1 < q < p < \infty$  and  $\alpha > 1$  in [25]. For the compactness of the operator  $R_\alpha$  when  $1 < p, q < \infty$  and  $\alpha > 1$  see [26].

The boundedness problem for the generalized Riesz potential

$$I_\alpha f(x, t) = \int_{\mathbb{R}^n} (|x - y| + t)^{\alpha-n} f(y) dy, \quad 0 < \alpha < n,$$

from  $L^p(\mathbb{R}^n)$  into  $L^q_\nu(\mathbb{R}^n \times \mathbb{R}_+)$  ( $1 < p < q < \infty$ ) was solved in [1] (Theorem C) (see [8] for more general case).

A complete description of weight pairs  $(v, w)$  ensuring the validity of weak  $(p, q)$  ( $1 < p < q < \infty$ ) type inequality for  $I_\alpha$  was given in [7] (see also [10], Chapter 3). For the related Hörmander type maximal functions see [10], Chapter 4.

The different (Sawyer type) necessary and sufficient conditions for the validity of two-weight strong  $(p, q)$  type inequality for  $I_\alpha$  and corresponding Hörmander type fractional maximal functions were established in [23].

Similar operators arise in boundary value problems in PDE, particularly in Polyharmonic Differential Equations. Some applications of operator  $I_\alpha$  in weighted estimates for gradients were presented in [27], p. 923.

In this paper, criteria of the boundedness (compactness) from  $L^p_\nu(\tilde{\mathbb{R}}^2_+)$  into  $L^q(\mathbb{R}_+)$  are also established for the operator

$$\tilde{T}_\alpha g(y) = \int_{[y, \infty) \times \mathbb{R}_+} g(x, t)(x - y + t)^{\alpha-1} d\nu(x, t).$$

Finally, the upper and lower estimates of the distance of the operator  $T_\alpha$  from a space of compact operators are derived in the non-compact case.

Some results of the present paper were announced in [20].

## 2 Preliminaries

Let  $\nu$  be a positive  $\sigma$ -finite Borel measure on  $\tilde{\mathbb{R}}^2_+$ . For  $(0 < q < \infty)$  denote by  $L^q_\nu(\tilde{\mathbb{R}}^2_+)$  the class of all  $\nu$ -measurable functions  $g : \tilde{\mathbb{R}}^2_+ \rightarrow \mathbb{R}^1$  for which

$$\|g\|_{L^q_\nu(\tilde{\mathbb{R}}^2_+)} \equiv \left( \int_{\tilde{\mathbb{R}}^2_+} |g(x, t)|^q d\nu(x, t) \right)^{1/q} < \infty.$$

If  $\nu$  is absolutely continuous (i.e.,  $d\nu(x, t) = v(x, t) dx dt$ ), then instead of  $L^q_\nu(\tilde{\mathbb{R}}^2_+)$ , we will use the notation  $L^q_v(\tilde{\mathbb{R}}^2_+)$ , and if  $v \equiv 1$ , then  $L^q_v(\tilde{\mathbb{R}}^2_+)$  will be denoted by  $L^q(\tilde{\mathbb{R}}^2_+)$ .

Let

$$Hf(x) = \int_0^x f(y) dy$$

for a measurable function  $f : \mathbb{R}_+ \rightarrow \mathbb{R}^1$ .

Necessary and sufficient conditions for the boundedness of the operator  $H$  from  $L_w^p(\mathbb{R}_+)$  into  $L_v^q(\mathbb{R}_+)$  were found in [3], [12] (see also [16], §1.3) for  $1 < p \leq q < \infty$ , and in [16], §1.3, for  $1 \leq q < p < \infty$ . (For the compactness of  $H$  see [5], [22].)

In what follows we will use the notation  $U_r \equiv [r, \infty) \times \mathbb{R}_+$ , where  $r > 0$ . It is obvious that  $[r, R) \times \mathbb{R}_+ = U_r \setminus U_R$  for  $0 < r < R < \infty$ .

To prove our main results, we need the following lemma.

**Lemma 1.** *Let  $1 < p \leq q < \infty$  and  $\mu$  be a positive Borel measure on  $\widetilde{\mathbb{R}}_+^2$ . Then the operator  $H$  is bounded from  $L^p(\mathbb{R}_+)$  into  $L_\mu^q(\widetilde{\mathbb{R}}_+^2)$  if and only if*

$$A \equiv \sup_{r>0} (\mu(U_r))^{1/q} r^{1/p'} < \infty, \quad p' = p/(p-1).$$

Moreover,  $A \leq \|H\| \leq 4A$ .

**PROOF.** *Sufficiency.* Let  $f \geq 0$ ,  $f \in L^p(\mathbb{R}_+)$  and  $I(t) \equiv \int_0^t f$ . Assume that  $\int_0^\infty f \in (2^m, 2^{m+1}]$  for some  $m \in \mathbb{Z}$ . Then there exist  $x_k$  ( $k \leq m$ ) such that  $I(x_k) = 2^k$ . It is obvious that  $2^k = \int_{x_k}^{x_{k+1}} f$  for  $k \leq m-1$ . The sequence  $\{x_k\}$  increases. Moreover, if  $\alpha = \lim_{k \rightarrow -\infty} x_k$ , then  $\mathbb{R}_+ = [0, \alpha) \cup (\cup_{k \leq m} [x_k, x_{k+1}))$ , where  $x_{k+1} = \infty$ . When  $\int_0^\infty f = \infty$ , we have  $\mathbb{R}_+ = [0, \alpha] \cup (\cup_{k \in \mathbb{Z}} [x_k, x_{k+1}))$  (i.e.,  $m = \infty$ ). If  $y \in [0, \alpha]$ , then  $I(y) = 0$ , and if  $y \in [x_k, x_{k+1})$ , then  $I(y) \leq 2^{k+1}$ . We have

$$\begin{aligned} \|Hf\|_{L_\mu^q(\widetilde{\mathbb{R}}_+^2)}^p &\leq \sum_k \|\chi_{U_{x_k} \setminus U_{x_{k+1}}} Hf\|_{L_\mu^q(\widetilde{\mathbb{R}}_+^2)}^p \\ &\leq \sum_k 2^{(k+1)p} \|\chi_{U_{x_k} \setminus U_{x_{k+1}}}\|_{L_\mu^q(\widetilde{\mathbb{R}}_+^2)}^p \\ &= 4^p \sum_k \left( \int_{x_{k-1}}^{x_k} f(y) dy \right)^p (\mu(U_{x_k} \setminus U_{x_{k+1}}))^{p/q} \\ &\leq 4^p \left( \int_{x_{k-1}}^{x_k} (f(y))^p dy \right) (x_k - x_{k-1})^{p-1} (\mu(U_{x_k} \setminus U_{x_{k+1}}))^{p/q} \\ &\leq 4^p A^p \|f\|_{L^p(\mathbb{R}_+)}^p. \end{aligned}$$

*Necessity.* Let  $r > 0$  and  $f_r(x) = \chi_{[0,r]}(x)$ . Then  $\|f_r\|_{L^p(\mathbb{R}_+)} = r^{1/p}$ . On the other hand,

$$\|Hf\|_{L^q_\mu(\tilde{\mathbb{R}}^2_+)} \geq \|\chi_{U_r} Hf_r\|_{L^q_\mu(\tilde{\mathbb{R}}^2_+)} \geq (\mu(U_r))^{1/q} r.$$

Hence the boundedness of  $H$  implies that  $A < \infty$ .  $\square$

**Lemma 2.** *Let  $0 < q < p < \infty$ ,  $p > 1$  and let  $v$  be an almost everywhere positive measurable function on  $\tilde{\mathbb{R}}^2_+$ . Then the operator  $H$  is bounded from  $L^p(\mathbb{R}_+)$  into  $L^q_v(\tilde{\mathbb{R}}^2_+)$  if and only if*

$$A_1 \equiv \left( \int_0^\infty \left( \int_{U_x} v(y,t) dy dt \right)^{\frac{p}{p-q}} x^{\frac{(q-1)p}{p-q}} dx \right)^{\frac{p-q}{pq}} < \infty.$$

Moreover,  $\lambda_1 A_1 \leq \|H\| \leq \lambda_2 A_1$ , where  $\lambda_1 = \left(\frac{p-q}{p-1}\right)^{1/q'} q^{1/q}$  and  $\lambda_2 = (p')^{1/q'} q^{1/q}$  for  $q > 1$ ,  $\lambda_1 = \lambda_2 = 1$  for  $q = 1$ ,  $\lambda_1 = (q/p')^{\frac{p-q}{pq}} (p')^{1/p'} q^{1/p} \frac{p-q}{p}$  and  $\lambda_2 = \left(\frac{p}{p-q}\right)^{\frac{p-q}{pq}} p^{1/p} (p')^{1/p'}$  for  $0 < q < 1$ .

PROOF. Applying Lemma 1.3.2 from [16] for  $1 \leq q < p < \infty$  and using the arguments from [24] for  $0 < q < 1 < p < \infty$  we find that the condition  $A_1 < \infty$  is equivalent to the boundedness of  $H$  from  $L^p(\mathbb{R}_+)$  into  $L^q_v(\mathbb{R}_+)$ , where

$$\tilde{v}(y) = \int_0^\infty v(y,t) dt.$$

But

$$\|Hf\|_{L^q_v(\mathbb{R}_+)} = \|Hf\|_{L^q_v(\tilde{\mathbb{R}}^2_+)}.$$

Therefore the condition  $A_1 < \infty$  is equivalent to the boundedness of  $H$  from  $L^p(\mathbb{R}_+)$  into  $L^q_v(\tilde{\mathbb{R}}^2_+)$ . The constants  $\lambda_1$  and  $\lambda_2$  are from [16] (Section 1.3.2) for  $q \geq 1$ , and from [24] (see Theorem 2.4 and Remark) for  $0 < q < 1$ .  $\square$

We need the following theorem which can be obtained from Lemma 2 in [11], Chapter XI (see also [13], Chapter 3).

**Theorem A.** *Let  $1 < p, q < \infty$ ,  $\nu$  be a positive  $\sigma$ -finite separable measure on  $\tilde{\mathbb{R}}^2_+$  (i.e.,  $L^q_\nu(\tilde{\mathbb{R}}^2_+)$  is separable). If*

$$\| \|k(z, \cdot)\|_{L^{p'}(\mathbb{R}_+)} \|_{L^q_\nu(\tilde{\mathbb{R}}^2_+)} < \infty, \quad k \geq 0,$$

then the operator  $Kf(z) = \int_0^\infty k(z,y)f(y) dy$ ,  $z \in \tilde{\mathbb{R}}^2_+$ , is compact from  $L^p(\mathbb{R}_+)$  into  $L^q_\nu(\tilde{\mathbb{R}}^2_+)$ .

### 3 Boundedness

In this section, criteria of the boundedness of the operators  $T_\alpha$  and  $\tilde{T}_\alpha$  are established.

**Theorem 1.** *Let  $1 < p \leq q < \infty$ ,  $\alpha > 1/p$ ,  $\nu$  be a positive  $\sigma$ -finite measure on  $\tilde{\mathbb{R}}_+^2$ . Then the following conditions are equivalent:*

- (i)  $T_\alpha$  is bounded from  $L^p(\mathbb{R}_+)$  into  $L^q_\nu(\tilde{\mathbb{R}}_+^2)$  ;
- (ii)  $B \equiv \sup_{r>0} \left( \int_{U_r} (x+t)^{(\alpha-1)q} d\nu(x,t) \right)^{\frac{1}{q}} r^{\frac{1}{p'}} < \infty$ ;
- (iii)  $B_1 \equiv \sup_{k \in \mathbb{Z}} \left( \int_{U_{2^k} \setminus U_{2^{k+1}}} (x+t)^{(\alpha-1)q} x^{q/p'} d\nu(x,t) \right)^{\frac{1}{q}} < \infty$ .

Moreover, there exist positive constants  $b_1, b_2, b_3$  and  $b_4$  depending only on  $p, q$  and  $\alpha$  such that

$$b_1 B \leq \|T_\alpha\| \leq b_2 B, \quad b_3 B_1 \leq \|T_\alpha\| \leq b_4 B_1.$$

PROOF. First we will show that (ii) implies (i). Let  $f \geq 0$ . If  $\alpha \geq 1$ , then using Lemma 1 we obtain

$$\begin{aligned} \|T_\alpha f\|_{L^q_\nu} &\leq 2^{\alpha-1} \left( \int_{\tilde{\mathbb{R}}_+^2} (x+t)^{(\alpha-1)q} \left( \int_0^x f(y) dy \right)^q d\nu(x,t) \right)^{1/q} \\ &\leq 2^{\alpha+1} \|f\|_{L^p(\mathbb{R}_+)}. \end{aligned}$$

Now let  $1/p < \alpha < 1$ . We have

$$\begin{aligned} \|T_\alpha f\|_{L^q_\nu(\tilde{\mathbb{R}}_+^2)} &\leq \left( \int_{\tilde{\mathbb{R}}_+^2} \left( \int_0^{x/2} f(y)(x-y+t)^{\alpha-1} dy \right)^q d\nu(x,t) \right)^{1/q} \\ &\quad + \left( \int_{\tilde{\mathbb{R}}_+^2} \left( \int_{x/2}^x f(y)(x-y+t)^{\alpha-1} dy \right)^q d\nu(x,t) \right)^{1/q} \\ &\equiv S_1 + S_2. \end{aligned}$$

If  $y < x/2$ , then  $(x-y+t)^{\alpha-1} \leq 2^{1-\alpha}(x+t)^{\alpha-1}$ . By Lemma 1 we obtain

$$S_1 \leq 2^{1-\alpha} \left( \int_{\tilde{\mathbb{R}}_+^2} (Hf(x))^q (x+t)^{(\alpha-1)q} d\nu(x,t) \right)^{1/q} \leq 2^{3-\alpha} B \|f\|_{L^p(\mathbb{R}_+)}.$$

Using the Hölder's inequality, we find that

$$S_2^q \leq \int_{\tilde{\mathbb{R}}_+^2} \left( \int_{x/2}^x (f(y))^p dy \right)^{q/p} (\varphi(x, t))^{q/p'} d\nu(x, t),$$

where

$$\varphi(x, t) \equiv \int_{x/2}^x (x - y + t)^{(\alpha-1)p'} dy.$$

Moreover,  $\varphi(x, t) \leq c_1(x+t)^{(\alpha-1)p'}$ , where  $c_1 = 2^{(1-\alpha)p'-1}3((\alpha-1)p'+1)^{-1}$ . Indeed, if  $t \leq x$  then

$$\varphi(x, t) \leq ((\alpha-1)p'+1)^{-1}(x/2+t)^{(\alpha-1)p'+1} \leq c_2(x+t)^{(\alpha-1)p'},$$

where  $c_2 = 2^{(1-\alpha)p'-1}3((\alpha-1)p'+1)^{-1}$ . Let  $t > x$ . Then

$$\varphi(x, t) \leq t^{(\alpha-1)p'} x/2 \leq 2^{(1-\alpha)p'-1}(x+t)^{(\alpha-1)p'} x.$$

Using the Minkowski's inequality we obtain

$$\begin{aligned} S_2^q &\leq c_1^{q/p'} \int_{\tilde{\mathbb{R}}_+^2} \left( \int_{x/2}^x (f(y))^p dy \right)^{q/p} (x+t)^{(\alpha-1)q} x^{q/p'} d\nu(x, t) \\ &\leq c_1^{q/p'} \left( \int_0^\infty (f(y))^p \left( \int_{U_y \setminus U_{2y}} (x+t)^{(\alpha-1)q} x^{q/p'} d\nu(x, t) \right)^{p/q} dy \right)^{q/p} \\ &\leq 2^{q/p'} c_1^{q/p'} \left( \int_0^\infty (f(y))^p \left( \int_{U_y} (x+t)^{(\alpha-1)q} d\nu(x, t) \right)^{p/q} y^{p/p'} dy \right)^{q/p} \\ &\leq (2c_1)^{q/p'} B^q \|f\|_{L^p(\mathbb{R}_+)}^q. \end{aligned}$$

Now we will show that (i)  $\Rightarrow$  (iii). Let  $k \in \mathbb{Z}$  and  $f_k(x) = \chi_{[0, 2^{k-1}]}(x)$ . Then  $\|f_k\|_{L^p(\mathbb{R}_+)} = 2^{(k-1)/p}$ . On the other hand,

$$\|T_\alpha f_k\|_{L^q(\tilde{\mathbb{R}}_+)} \geq c_3 \left( \int_{U_{2^k} \setminus U_{2^{k+1}}} (x+t)^{(\alpha-1)q} 2^{(k-1)q} d\nu(x, t) \right)^{1/q}.$$

Therefore  $c_4 B_1 \leq \|T_\alpha\| < \infty$ , where  $c_4 = 3^{\alpha-1} 2^{-2/p'+1-\alpha}$  if  $1/p < \alpha < 1$  and  $c_4 = 2^{1-\alpha-2/p'}$  if  $\alpha \geq 1$ .

Analogously we can show that  $c_5 B \leq \|T_\alpha\|$ , where  $c_5 = 3^{\alpha-1} 2^{1/p-\alpha}$  if  $1/p < \alpha < 1$  and  $c_5 = 2^{1/p-\alpha}$  for  $\alpha \geq 1$ .

Let now  $r > 0$ . Then  $r \in [2^m, 2^{m+1})$  for some  $m \in \mathbb{Z}$ . Therefore

$$\begin{aligned} \left( \int_{U_r} (x+t)^{(\alpha-1)q} d\nu(x,t) \right) r^{q/p'} &\leq 2^{(m+1)q/p'} \int_{U_{2^m}} (x+t)^{(\alpha-1)q} d\nu(x,t) \\ &= 2^{q/p'} 2^{mq/p'} \sum_{k=m}^{+\infty} \int_{U_{2^k} \setminus U_{2^{k+1}}} (x+t)^{(\alpha-1)q} d\nu(x,t) \\ &\leq 2^{q/p'} B_1^q 2^{mq/p'} \sum_{k=m}^{+\infty} 2^{-kq/p'} = 2^{q/p'} (1 - 2^{-q/p'})^{-1} B_1^q. \end{aligned}$$

Thus (iii) implies (ii). So that finally (ii)  $\Rightarrow$  (i)  $\Rightarrow$  (iii)  $\Rightarrow$  (ii). □

**Remark 1.** For the constants  $b_1, b_2, b_3$  and  $b_4$  from Theorem 1 we have:  $b_1 = 3^{\alpha-1} 2^{1/p-\alpha}$ ,  $b_2 = 2^{3-\alpha} + 3^{1/p'} 2^{1-\alpha} ((\alpha-1)p' + 1)^{-1/p'}$ ,  $b_3 = 3^{\alpha-1} 2^{-2/p'+1-\alpha}$  in the case, where  $1/p < \alpha < 1$  and  $b_1 = 2^{1/p-\alpha}$ ,  $b_2 = 2^{\alpha+1}$ ,  $b_3 = 2^{-2/p'+1-\alpha}$  if  $\alpha \geq 1$ .  $b_4 = 2^{1/p'} (1 - 2^{-q/p'})^{-1/q} b_2$ .

Let us now consider the case  $q < p$ .

**Theorem 2.** Let  $0 < q < p < \infty$ ,  $p > 1$  and  $\alpha > 1/p$ . Assume that  $v$  is an almost everywhere positive Lebesgue-measurable function on  $\widetilde{\mathbb{R}}_+^2$ . Then the operator  $T_\alpha$  is bounded from  $L^p(\mathbb{R}_+)$  into  $L_v^q(\widetilde{\mathbb{R}}_+^2)$  if and only if

$$D \equiv \left( \int_0^\infty \left( \int_{U_x} (y+t)^{(\alpha-1)q} v(y,t) dy dt \right)^{\frac{p}{p-q}} x^{\frac{(q-1)p}{p-q}} dx \right)^{\frac{p-q}{pq}} < \infty.$$

Moreover, there exist positive constants  $d_1$  and  $d_2$  depending only on  $p, q$  and  $\alpha$  such that

$$d_1 D \leq \|T_\alpha\| \leq d_2 D.$$

PROOF. Let  $f \geq 0$  and let  $\alpha \geq 1$ . Then using Lemma 2 we obtain

$$\begin{aligned} \|T_\alpha f\|_{L_v^q} &\leq 2^{\alpha-1} \left( \int_{\widetilde{\mathbb{R}}_+^2} (x+t)^{(\alpha-1)q} \left( \int_0^x f(y) dy \right)^q v(x,t) dx dt \right)^{1/q} \\ &\leq \lambda_2 2^{\alpha-1} D \|f\|_{L^p(\mathbb{R}_+)}, \end{aligned}$$

where  $\lambda_2$  is from Lemma 2. Now let  $1/p < \alpha < 1$ . Then as in the proof of

Theorem 1, we have

$$\begin{aligned} \|T_\alpha f\|_{L^q(\tilde{\mathbb{R}}_+^2)} &\leq c_1 \left( \int_{\tilde{\mathbb{R}}_+^2} \left( \int_0^{x/2} f(y)(x-y+t)^{\alpha-1} dy \right)^q v(x,t) dx dt \right)^{1/q} \\ &\quad + c_1 \left( \int_{\tilde{\mathbb{R}}_+^2} \left( \int_{x/2}^x f(y)(x-y+t)^{\alpha-1} dy \right)^q v(x,t) dx dt \right)^{1/q} \\ &\equiv I_1 + I_2, \end{aligned}$$

where  $c_1 = 1$  if  $q \geq 1$  and  $c_1 = 2^{1/q-1}$  if  $0 < q < 1$ . By virtue of Lemma 2, for  $I_1$  we obtain

$$\begin{aligned} I_1 &\leq 2^{1-\alpha} c_1 \left( \int_{\tilde{\mathbb{R}}_+^2} (Hf(x))^q (x+t)^{(\alpha-1)q} v(x,t) dx dt \right)^{1/q} \\ &\leq c_1 \lambda_2 2^{1-\alpha} D \|f\|_{L^p(\mathbb{R}_+)}. \end{aligned}$$

Applying the Hölder's inequality twice, we find

$$\begin{aligned} I_2^q &\leq c_2 \int_{\tilde{\mathbb{R}}_+^2} \left( \int_{x/2}^x (f(y))^p dy \right)^{q/p} (x+t)^{(\alpha-1)q} x^{q/p'} v(x,t) dx dt \\ &\leq c_2 \sum_{k \in \mathbb{Z}} \left( \int_{2^{k-1}}^{2^{k+1}} (f(y))^p dy \right)^{q/p} \left( \int_{U_{2^k} \setminus U_{2^{k+1}}} (x+t)^{(\alpha-1)q} x^{q/p'} v(x,t) dx dt \right) \\ &\leq c_2 \left( \sum_{k \in \mathbb{Z}} \int_{2^{k-1}}^{2^{k+1}} (f(y))^p dy \right)^{q/p} \\ &\quad \times \left( \sum_{k \in \mathbb{Z}} \left( \int_{U_{2^k} \setminus U_{2^{k+1}}} (x+t)^{(\alpha-1)q} x^{q/p'} v(x,t) dx dt \right)^{\frac{p}{p-q}} \right)^{\frac{p-q}{p}} \\ &\leq 2^{q/p} c_2 \|f\|_{L^p(\mathbb{R}_+)}^q \tilde{B}_1, \end{aligned}$$

where  $c_2 = c_1^q (3 \cdot 2^{(1-\alpha)p'-1} ((\alpha-1)p' + 1)^{-1})^{q/p'}$  and

$$\begin{aligned} \tilde{B}_1 &\equiv \left( \sum_{k \in \mathbb{Z}} \left( \int_{U_{2^k} \setminus U_{2^{k+1}}} (x+t)^{(\alpha-1)q} x^{q/p'} v(x,t) dx dt \right)^{\frac{p}{p-q}} \right)^{\frac{p-q}{p}} \\ &\equiv \left( \sum_{k \in \mathbb{Z}} \tilde{B}_{1,k} \right)^{\frac{p-q}{p}}. \end{aligned}$$

For  $\tilde{B}_{1,k}$  we have

$$\begin{aligned} \tilde{B}_{1,k} &\leq 2^{\frac{(k+1)q(p-1)}{p-q}} \left( \int_{U_{2^k} \setminus U_{2^{k+1}}} (x+t)^{(\alpha-1)q} v(x,t) dx dt \right)^{\frac{p}{p-q}} \\ &\leq c_3 \int_{2^{k-1}}^{2^k} y^{\frac{p(q-1)}{p-q}} \left( \int_{U_y} (x+t)^{(\alpha-1)q} v(x,t) dx dt \right)^{\frac{p}{p-q}} dy, \end{aligned}$$

where  $c_3 = 4^{\frac{(p-1)q}{p-q}} \frac{q(p-1)}{p-q} \left( 2^{\frac{(p-1)q}{p-q}} - 1 \right)^{-1}$ . Therefore  $\tilde{B}_1 \leq (c_3)^{\frac{p-q}{p}} D^q$ . Finally, we obtain  $I_2 \leq c_4 D \|f\|_{L^p(\mathbb{R}_+)}$ , where  $c_4 = 2^{1/p} (c_2)^{1/q} (c_3)^{\frac{p-q}{p}}$ .

Now let us prove the necessity. Let  $T_\alpha$  be bounded from  $L^p(\mathbb{R}_+)$  into  $L^q_v(\tilde{\mathbb{R}}^2_+)$ . Then for each  $x \in (0, \infty)$  we have

$$\int_{U_x} v(y,t)(y+t)^{(\alpha-1)q} dy dt < \infty.$$

Let  $n \in \mathbb{Z}$  and

$$f_n(x) = \left( \int_x^\infty \bar{v}_n(y) dy \right)^{\frac{1}{p-q}} x^{\frac{q-1}{p-q}},$$

where

$$\bar{v}_n(x) = \left( \int_0^\infty v(x,t)(x+t)^{(\alpha-1)q} dt \right) \chi_{(1/n,n)}(x).$$

The boundedness of  $T_\alpha$  implies that  $f_n(x) < \infty$  for each  $x \in \mathbb{R}_+$ . Applying integration by parts, we obtain

$$\begin{aligned} \|f_n\|_{L^p(\mathbb{R}_+)} &= \left( \int_0^\infty \left( \int_x^\infty \bar{v}_n(y) dy \right)^{\frac{p}{p-q}} x^{\frac{p(q-1)}{p-q}} dx \right)^{1/p} \\ &= \left( \frac{p'}{q} \int_0^\infty \left( \int_x^\infty \bar{v}_n(y) dy \right)^{\frac{q}{p-q}} \bar{v}_n(x) x^{\frac{q(p-1)}{p-q}} dx \right)^{1/p} < \infty. \end{aligned}$$

On the other hand,

$$\begin{aligned}
\|T_\alpha\|_{L^q_v(\tilde{\mathbb{R}}_+^2)} &\geq \left( \int_{\tilde{\mathbb{R}}_+^2} \left( \int_0^{x/2} f_n(y)(x-y+t)^{\alpha-1} dy \right)^q v(x,t) dx dt \right)^{1/q} \\
&\geq \left( \int_{\tilde{\mathbb{R}}_+^2} \left( \int_x^\infty \bar{v}_n(y) dy \right)^{\frac{q}{p-q}} \left( \int_0^{x/2} (x-y+t)^{\alpha-1} y^{\frac{q-1}{p-q}} dy \right)^q v(x,t) dx dt \right)^{1/q} \\
&\geq c_5 \left( \int_{\tilde{\mathbb{R}}_+^2} v(x,t) \left( \int_x^\infty \bar{v}_n(y) dy \right)^{\frac{q}{p-q}} (x+t)^{(\alpha-1)q} x^{\frac{q(p-1)}{p-q}} dx dt \right)^{1/q} \\
&= c_5 \left( \int_0^\infty \left( \int_0^\infty v(x,t)(x+t)^{(\alpha-1)q} dt \right) \left( \int_x^\infty \bar{v}_n(y) dy \right)^{\frac{q}{p-q}} x^{\frac{(p-1)q}{p-q}} dx \right)^{1/q} \\
&\geq c_5 \left( \int_0^\infty \bar{v}_n(x) \left( \int_x^\infty \bar{v}_n(y) dy \right)^{\frac{q}{p-q}} x^{\frac{(p-1)q}{p-q}} dx \right)^{1/q} \\
&= c_6 \left( \int_0^\infty \left( \int_x^\infty \bar{v}_n(y) dy \right)^{\frac{p}{p-q}} x^{\frac{(q-1)p}{p-q}} dx \right)^{1/q},
\end{aligned}$$

with  $c_6 = (q/p')^{1/q} 2^{-\frac{p-1}{p-q}} \frac{p-q}{p-1} c_7$ , where  $c_7 = (\frac{3}{2})^{\alpha-1}$  if  $1/p < \alpha < 1$  and  $c_7 = (\frac{1}{2})^{\alpha-1}$  if  $\alpha \geq 1$ . Therefore

$$c_6 \left( \int_0^\infty \left( \int_x^\infty \bar{v}_n(y) dy \right)^{\frac{p}{p-q}} x^{\frac{(q-1)p}{p-q}} dx \right)^{\frac{p-q}{pq}} \leq \|T_\alpha\|.$$

By virtue of Fatou's lemma we finally conclude that  $c_6 D \leq \|T_\alpha\| < \infty$ .  $\square$

**Remark 2.** It follows from the proof of Theorem 2 that for the constants  $d_1$  and  $d_2$  we have:  $d_1 = \left(\frac{q}{p'}\right)^{1/q} 2^{\frac{1-p}{p-q}} \frac{p-q}{p-1} \gamma_1(\alpha)$ , where  $\gamma_1(\alpha) = (3/2)^{\alpha-1}$  if  $1/p < \alpha < 1$  and  $\gamma_1(\alpha) = (1/2)^{\alpha-1}$  if  $\alpha \geq 1$ ,  $d_2 = \lambda_2 2^{\alpha-1}$  for  $\alpha \geq 1$ , and if  $1/p < \alpha < 1$ , then

$$\begin{aligned}
d_2 &= \lambda_2 \gamma_2(q) 2^{1-\alpha} + 2^{2/p-\alpha} 3^{1/p'} ((\alpha-1)p' + 1)^{-1/p'} 4^{1/p'} \\
&\quad \times \left( \frac{q(p-1)}{p-q} \right)^{\frac{p-q}{pq}} \left( 2^{\frac{(p-1)q}{p-q}} - 1 \right)^{-\frac{p-q}{pq}} \gamma_2(q),
\end{aligned}$$

where  $\gamma_2(q) = 1$  for  $q \geq 1$ ,  $\gamma_2(q) = 2^{1/q-1}$  for  $0 < q < 1$ .

Using dual arguments, we readily obtain the following theorems:

**Theorem 3.** *Let  $1 < p \leq q < \infty$ ,  $\alpha > (q-1)/q$ . Then the following conditions are equivalent:*

- (i)  $\tilde{T}_\alpha$  is bounded from  $L^p_\nu(\tilde{\mathbb{R}}^2_+)$  into  $L^q(\mathbb{R}_+)$ ;
- (ii)  $\tilde{B} \equiv \sup_{r>0} \left( \int_{U_r} (x+t)^{(\alpha-1)p'} d\nu(x,t) \right)^{\frac{1}{p'}} r^{\frac{1}{q}} < \infty$ ;
- (iii)  $\tilde{B}_1 \equiv \sup_{k \in \mathbb{Z}} \left( \int_{U_{2^k} \setminus U_{2^{k+1}}} (x+t)^{(\alpha-1)p'} x^{p'/q} d\nu(x,t) \right)^{\frac{1}{p'}} < \infty$ .

Moreover, there exist positive constants  $\tilde{b}_1, \tilde{b}_2, \tilde{b}_3$  and  $\tilde{b}_4$  depending only on  $p, q$  and  $\alpha$  such that

$$\tilde{b}_1 \tilde{B} \leq \|\tilde{T}_\alpha\| \leq \tilde{b}_2 \tilde{B}, \quad \tilde{b}_3 \tilde{B}_1 \leq \|\tilde{T}_\alpha\| \leq \tilde{b}_4 \tilde{B}_1.$$

**Theorem 4.** Let  $1 < q < p < \infty$  and  $\alpha > (q-1)/q$ . Let  $\nu$  be absolutely continuous, i.e.  $d\nu(x,y) = w(x,t) dx dt$ . Then  $\tilde{R}_\alpha$  is bounded from  $L^p_w(\tilde{\mathbb{R}}^2_+)$  into  $L^q(\mathbb{R}_+)$  if and only if

$$\tilde{D} \equiv \left( \int_0^\infty \left( \int_{U_x} (y+t)^{(\alpha-1)p'} w(y,t) dy dt \right)^{\frac{q(p-1)}{p-q}} x^{\frac{q}{p-q}} dx \right)^{\frac{p-q}{pq}} < \infty.$$

Moreover,  $\tilde{d}_1 \tilde{D} \leq \|\tilde{T}_\alpha\| \leq \tilde{d}_2 \tilde{D}$ , where the positive constants  $\tilde{d}_1$  and  $\tilde{d}_2$  depend only on  $p, q$  and  $\alpha$ .

### 4 Compactness

In this section, criteria for the compactness of the operators  $T_\alpha$  and  $\tilde{T}_\alpha$  are established. First we will prove

**Lemma 3.** Let  $1 < p \leq q < \infty, \alpha > 1/p$  and let  $\nu$  be separable measure. If

- (i)  $B < \infty$ ;
- (ii)  $\lim_{a \rightarrow 0} B^{(a)} = \lim_{b \rightarrow +\infty} B^{(b)} = 0$ , where

$$B^{(a)} \equiv \sup_{0 < r < a} \left( \int_{U_r \setminus U_a} (x+t)^{(\alpha-1)q} d\nu(x,t) \right)^{1/q} r^{1/p'},$$

$$B^{(b)} \equiv \sup_{r > b} \left( \int_{U_r} (x+t)^{(\alpha-1)q} d\nu(x,t) \right)^{1/q} r^{1/p'},$$

then  $T_\alpha$  is compact from  $L^p(\mathbb{R}_+)$  into  $L^q_\nu(\tilde{\mathbb{R}}^2_+)$ .

PROOF. Let us represent  $T_\alpha$  as

$$T_\alpha f = \chi_{V_a} T_\alpha(\chi_{[0,a]} f) + \chi_{V_b \setminus V_a} T_\alpha(\chi_{(0,b)} f) + \chi_{U_b} T_\alpha(\chi_{(0,b/2]} f) + \chi_{U_b} T_\alpha(\chi_{(b/2,\infty)} f) \equiv P_1 f + P_2 f + P_3 f + P_4 f,$$

where  $V_r \equiv [0, r) \times \mathbb{R}_+$ . (It is obvious that  $[a, b) \times \mathbb{R}_+ = V_b \setminus V_a$ .)

For  $P_2$  we have

$$P_2 f(x, t) = \int_0^\infty \bar{k}(x, t, y) f(y) dy,$$

where  $\bar{k}(x, t, y) = \chi_{V_b \setminus V_a}(x, t) \chi_{(0,x)}(y) (x - y + t)^{\alpha-1}$ . Moreover, using the inequality

$$\int_0^x (x - y + t)^{(\alpha-1)p'} dy \leq b(x + t)^{(\alpha-1)p'} x,$$

where the constant  $b > 0$  is independent of  $x$  and  $t$ , we get

$$\begin{aligned} \|\|\bar{k}(x, t, y)\|_{L^{p'}(\mathbb{R}_+)}\|_{L^q(\bar{\mathbb{R}}_+^2)} &= \left( \int_{V_b \setminus V_a} \left( \int_0^x (x - y + t)^{(\alpha-1)p'} dy \right)^{q/p'} d\nu(x, t) \right)^{1/q} \\ &\leq c_1 \left( \int_{V_b \setminus V_a} (x + t)^{(\alpha-1)q} x^{q/p'} d\nu(x, t) \right)^{1/q} < \infty. \end{aligned}$$

For  $P_3$  we obtain  $P_3 f(x, t) = \int_0^\infty \tilde{k}(x, t, y) f(y) dy$ , where

$$\tilde{k}(x, t, y) = \chi_{U_b}(x, t) \chi_{(0,b/2]}(y) (x - y + t)^{\alpha-1}.$$

It can be easily verified that  $\|\|\tilde{k}(x, t, y)\|_{L^{p'}(\mathbb{R}_+)}\|_{L^q(\bar{\mathbb{R}}_+^2)} < \infty$ . Using Theorem A we conclude that  $P_2$  and  $P_3$  are compact operators.

By Theorem 1 we have

$$\|P_1\| \leq b_2 B^{(a)} < \infty \quad \text{and} \quad \|P_4\| \leq b_2 B^{(b/2)} < \infty, \tag{1}$$

where  $b_2$  is from Theorem 1. Hence we obtain

$$\|T_\alpha - P_2 - P_3\| \leq \|P_1\| + \|P_4\| \rightarrow 0 \tag{2}$$

as  $a \rightarrow 0$  and  $b \rightarrow +\infty$ . Therefore  $T_\alpha$  is compact as a limit of the sequence of compact operators.  $\square$

**Theorem 5.** *Let  $p, q, \alpha$  and  $\nu$  satisfy the conditions of Lemma 3. Then the following conditions are equivalent:*

- (i)  $T_\alpha$  is compact from  $L^p(\mathbb{R}_+)$  to  $L^q_v(\widetilde{\mathbb{R}}^2_+)$  ;
- (ii)  $B < \infty$  and  $\lim_{a \rightarrow 0} B^{(a)} = \lim_{b \rightarrow +\infty} B^{(b)} = 0$ ;
- (iii)  $B < \infty$  and  $\lim_{r \rightarrow 0} B(r) = \lim_{r \rightarrow +\infty} B(r) = 0$ , where

$$B(r) \equiv \left( \int_{U_r} (x+t)^{(\alpha-1)q} d\nu(x,t) \right)^{\frac{1}{q}} r^{\frac{1}{p'}};$$

- (iv)  $B_1 < \infty$  and  $\lim_{k \rightarrow -\infty} B_1(k) = \lim_{k \rightarrow +\infty} B_1(k) = 0$ , where

$$B_1(k) \equiv \left( \int_{U_{2^k} \setminus U_{2^{k+1}}} (x+t)^{(\alpha-1)q} x^{q/p'} d\nu(x,t) \right)^{\frac{1}{q}}.$$

PROOF. By Lemma 3 we have (ii)  $\Rightarrow$  (i). Now let us show that (iii)  $\Rightarrow$  (ii). Since

$$B^{(a)} \leq \sup_{0 < r < a} B(r) \text{ and } B^{(b)} = \sup_{r > b} B(r),$$

we obtain  $B^{(a)} \rightarrow 0$  as  $a \rightarrow 0$  and  $B^{(b)} \rightarrow +\infty$  as  $b \rightarrow \infty$ . Therefore (iii)  $\Rightarrow$  (ii). Let now  $T_\alpha$  be compact from  $L^p(\mathbb{R}_+)$  into  $L^q_v(\widetilde{\mathbb{R}}^2_+)$ . Let  $r > 0$  and  $f_r(x) = \chi_{(0,r/2)}(x)r^{-1/p}$ . Now it can be easily verified that  $f_r$  weakly converges to 0 if  $r \rightarrow 0$ . On the other hand,  $\|T_\alpha f_r\|_{L^q_v(\widetilde{\mathbb{R}}^2_+)} \geq c_1 B(r) \rightarrow 0$  as  $r \rightarrow 0$ , since  $T_\alpha f_r$  strongly converges to 0. Now, if we take

$$g_r(x,t) = \chi_{U_r}(x,t)(x+t)^{(\alpha-1)(q-1)} \left( \int_{U_r} (y+t)^{(\alpha-1)q} d\nu(y,t) \right)^{-1/q'},$$

then we readily find that  $g_r$  weakly converges to 0 as  $r \rightarrow +\infty$ . Since  $\widetilde{T}_\alpha$  is compact from  $L^{q'}_v(\widetilde{\mathbb{R}}^2_+)$  into  $L^{p'}(\mathbb{R}_+)$  and  $\|\widetilde{T}_\alpha g_r\|_{L^{p'}(\mathbb{R}_+)} \geq c_2 B(r)$ , we obtain  $\lim_{r \rightarrow +\infty} B(r) = 0$ . Therefore (i)  $\Rightarrow$  (iii).

Now we will prove that (ii) follows from (iv). Using Theorem 1, we establish the fact that  $B \leq b_1 B_1$ . Let  $a > 0$ . Then  $a \in [2^m, 2^{m+1})$  for some  $m \in \mathbb{Z}$ . Therefore  $B^{(a)} \leq \sup_{0 < r < 2^m} B_{2^m,r} \equiv B^{(2^m)}$ , where

$$B_{2^m,r} \equiv \left( \int_{U_r \setminus U_{2^m}} (x+t)^{(\alpha-1)q} d\nu(x,t) \right)^{\frac{1}{q}} r^{\frac{1}{p'}}.$$

If  $r \in [0, 2^m)$ , then  $r \in [2^{j-1}, 2^j)$  for some  $j \in \mathbb{Z}$ ,  $j \leq m$ . Furthermore,

$$B_{2^m,r}^q \leq 2^{\frac{jq}{p'}} \sum_{k=j}^m \int_{U_{2^{k-1}} \setminus U_{2^k}} (x+t)^{(\alpha-1)q} d\nu(x,t) \leq c_3 \left( \sup_{k \leq m} B_1(k-1) \right)^q.$$

Hence we have  $B^{(2^m)} \leq c_4 B_1^{(m)}$ , where  $B_1^{(m)} \equiv \sup_{k \leq m} B_1(k-1)$ . If  $a \rightarrow 0$ , then  $m \rightarrow -\infty$  and  $B_1^{(m)} \rightarrow 0$ . Therefore  $\lim_{a \rightarrow 0} B^{(a)} = 0$ .

Let now  $\tau > 0$ . Then  $\tau \in [2^m, 2^{m+1})$  and we have

$$\begin{aligned} B^q(\tau) &\leq c_5 B^q(2^m) = c_5 2^{\frac{mq}{p'}} \sum_{k=m}^{+\infty} \int_{U_{2^k} \setminus U_{2^{k+1}}} (x+t)^{(\alpha-1)q} d\nu(x,t) \\ &\leq c_6 \left( \sup_{k \geq m} B_1(k) \right)^q. \end{aligned}$$

Hence it readily follows that  $\lim_{\tau \rightarrow +\infty} B(\tau) \leq c_7 \lim_{m \rightarrow +\infty} \sup_{k \geq m} B_1(k) = 0$  and  $\lim_{b \rightarrow +\infty} B^{(b)} = 0$ . Thus (iv)  $\Rightarrow$  (ii). Let now  $T_\alpha$  is compact from  $L^p(\mathbb{R}_+)$  into  $L_v^q(\widetilde{\mathbb{R}}_+^2)$ ,  $k \in \mathbb{Z}$  and  $f_k(x) = \chi_{[2^{k-2}, 2^{k-1})}(x) 2^{-k/p}$ . Then the sequence  $f_k$  weakly converges to 0 as  $k \rightarrow -\infty$  or  $k \rightarrow +\infty$ . Moreover, it is easy to show that  $\|T_\alpha f_k\|_{L_v^q(\widetilde{\mathbb{R}}_+^2)} \geq c_8 B_1(k)$ . Therefore (iv) is valid. Finally, we obtain (i)  $\Leftrightarrow$  (iii), (iv)  $\Rightarrow$  (ii)  $\Rightarrow$  (i)  $\Rightarrow$  (iv).  $\square$

Our next theorem is proved in a similar manner. It is also a corollary of the well-known Ando's theorem (see, e.g., [2] and [14], §5).

**Theorem 6.** *Let  $p, q, \alpha$  and  $v$  satisfy the condition of Theorem 2. Then  $T_\alpha$  is compact from  $L^p(\mathbb{R}_+)$  into  $L_v^q(\widetilde{\mathbb{R}}_+^2)$  if and only if  $D < \infty$ .*

By dual arguments we obtain the following theorems.

**Theorem 7.** *Let  $1 < p \leq q < \infty$ ,  $\alpha > \frac{q-1}{q}$ . It is assumed that  $\nu$  is a positive  $\sigma$ -finite measure such that the space  $L_v^p(\widetilde{\mathbb{R}}_+^2)$  is separable. Then the following conditions are equivalent:*

(i)  $\widetilde{T}_\alpha$  is compact from  $L_v^p(\widetilde{\mathbb{R}}_+^2)$  into  $L^q(\mathbb{R}_+)$  ;

(ii)  $\widetilde{B} < \infty$  and  $\lim_{a \rightarrow 0} \widetilde{B}^{(a)} = \lim_{b \rightarrow +\infty} \widetilde{B}^{(b)} = 0$ , where

$$\begin{aligned} \widetilde{B}^{(a)} &\equiv \sup_{0 < r < a} \left( \int_{U_r \setminus U_a} (x+t)^{(\alpha-1)p'} d\nu(x,t) \right)^{1/p'} r^{1/q}, \\ \widetilde{B}^{(b)} &\equiv \sup_{r > b} \widetilde{B}(r) \equiv \sup_{r > b} \left( \int_{U_r} (x+t)^{(\alpha-1)p'} d\nu(x,t) \right)^{1/p'} r^{1/q}; \end{aligned}$$

(iii)  $\widetilde{B} < \infty$  and  $\lim_{r \rightarrow 0} \widetilde{B}(r) = \lim_{r \rightarrow +\infty} \widetilde{B}(r) = 0$ ;

(iv)  $\tilde{B}_1 < \infty$  and  $\lim_{k \rightarrow -\infty} \tilde{B}_1(k) = \lim_{k \rightarrow +\infty} \tilde{B}_1(k) = 0$ , where

$$\tilde{B}_1(k) \equiv \left( \int_{U_{2^k} \setminus U_{2^{k+1}}} (x+t)^{(\alpha-1)p'} x^{p'/q} d\nu(x,t) \right)^{\frac{1}{q}}.$$

**Theorem 8.** *Let  $1 < q < p < \infty$  and  $\alpha > \frac{q-1}{q}$ . Suppose that  $d\nu(x,t) = w(x,t) dx dt$ , where  $w$  is a measurable a.e. positive function on  $\tilde{\mathbb{R}}_+^2$ . Then  $\tilde{T}_\alpha$  is compact from  $L_w^p(\tilde{\mathbb{R}}_+^2)$  into  $L^q(\mathbb{R}_+)$  if and only if  $\tilde{D} < \infty$ .*

### 5 Measure of Non-Compactness

In this section, the distance of the operator  $T_\alpha$  from a space of compact operators is estimated.

Let  $X$  and  $Y$  be Banach spaces. Denote by  $\mathbb{B}(X, Y)$  a space of bounded operators from  $X$  into  $Y$ . Let  $\mathbb{K}(X, Y)$  be a class of all compact operators from  $X$  into  $Y$ ,  $\mathbb{F}_r(X, Y)$  be a space of operators of finite rank.

It is assumed that  $v$  is a Lebesgue-measurable almost everywhere positive function on  $\tilde{\mathbb{R}}_+^2$ .

We need the following lemmas.

**Lemma 4.** [[4], Chapter V, Corollary 5.4]. *Let  $1 \leq q < \infty$  and  $P \in \mathbb{B}(X, Y)$ , where  $Y = L^q(\tilde{\mathbb{R}}_+^2)$ . Then*

$$\text{dist}(P, \mathbb{K}(X, Y)) = \text{dist}(P, \mathbb{F}_r(X, Y)).$$

Our next lemma is proved like Lemma V.5.6 in [4] (see also [21], Lemma 2.2).

**Lemma 5.** *Let  $1 \leq q < \infty$  and  $Y = L^q(\tilde{\mathbb{R}}_+^2)$ . It is assumed that  $P \in \mathbb{F}_r(X, Y)$  and  $\epsilon > 0$ . Then there exist  $T \in \mathbb{F}_r(X, Y)$  and  $[\alpha, \beta] \subset (0, \infty)$  such that  $\|P - T\| < \epsilon$  and  $\text{supp} T f \subset [\alpha, \beta] \times \mathbb{R}_+$  for any  $f \in X$ .*

Let  $T'_\alpha$  ( $0 < \alpha < 1$ ) be an operator of the form  $T'_\alpha f(x, t) = v^{1/q}(x, t) T_\alpha f(x, t)$ . We denote

$$\tilde{I} \equiv \text{dist}(T_\alpha, \mathbb{K}(X, L_v^q(\tilde{\mathbb{R}}_+^2))), \text{ and } \bar{I} \equiv \text{dist}(T'_\alpha, \mathbb{K}(X, L^q(\tilde{\mathbb{R}}_+^2))).$$

**Lemma 6.** *Let  $1 \leq q < \infty$ . Then  $\tilde{I} = \bar{I}$ .*

PROOF. Let  $E \equiv \{f : \|f\|_X \leq 1\}$  and  $P \in \mathbb{K}(X, L_v^q(\tilde{\mathbb{R}}_+^2))$ . Then

$$\begin{aligned} \|T_\alpha - P\| &= \sup_E \|(T_\alpha - P)f\|_{L^q_v(\tilde{\mathbb{R}}_+^2)} \\ &= \sup_E \|T'_\alpha f - v^{1/q}Pf\|_{L^q(\tilde{\mathbb{R}}_+^2)} = \|T'_\alpha - \bar{P}\|, \end{aligned}$$

where  $\bar{P} = v^{1/q}P$ . But  $\bar{P} \in \mathbb{K}(X, L^q(\mathbb{R}_+^2))$ . Therefore  $\bar{I} \leq \tilde{I}$ . Similarly, we obtain  $\tilde{I} \leq \bar{I}$ . □

**Theorem 9.** *Let  $1 < p \leq q < \infty$ ,  $\alpha > 1/p$  and let  $X = L^p(\mathbb{R}_+)$ ,  $Y = L^q_v(\tilde{\mathbb{R}}_+^2)$ . Assume that  $B < \infty$  for  $d\nu(x, t) = v(x, t) dx dt$ . Then there exist positive constants  $\epsilon_1$  and  $\epsilon_2$  depending only on  $p, q$  and  $\alpha$  such that*

$$\epsilon_1 J \leq \text{dist}(T_\alpha, \mathbb{K}(X, Y)) \leq \epsilon_2 J,$$

where  $J = \lim_{a \rightarrow 0} J^{(a)} + \lim_{d \rightarrow +\infty} J^{(d)}$ ,

$$\begin{aligned} J^{(a)} &\equiv \sup_{0 < r < a} \left( \int_{U_r \setminus U_a} v(x, t)(x + t)^{(\alpha-1)q} dx dt \right)^{1/q} r^{1/p'}, \\ J^{(d)} &\equiv \sup_{r > d} \left( \int_{U_r} v(x, t)(x + t)^{(\alpha-1)q} dx dt \right)^{1/q} r^{1/p'}. \end{aligned}$$

PROOF. By the inequalities (1) and (2) from the proof of Lemma 3, we obtain  $\tilde{I} \equiv \text{dist}(T_\alpha, \mathbb{K}(X, Y)) \leq b_2 J$ , where  $b_2$  is from Theorem 1. Let  $\lambda > \tilde{I}$ . By Lemma 6 we have  $\tilde{I} = \bar{I}$ . Using Lemma 4, we find that there exists an operator of finite rank  $P : X \rightarrow L^q(\tilde{\mathbb{R}}_+^2)$  such that  $\|T'_\alpha - P\| < \lambda$ . From Lemma 5 it follows that for  $\epsilon = (\lambda - \|T'_\alpha - P\|)/2$  there are  $T \in \mathbb{F}_r(X, L^q(\tilde{\mathbb{R}}_+^2))$  and  $[\alpha, \beta] \subset (0, \infty)$  such that  $\|P - T\| < \epsilon$  and  $\text{supp } Tf \subset [\alpha, \beta] \times \mathbb{R}_+$ . Therefore for all  $f \in X$  we have  $\|T'_\alpha f - Tf\|_{L^q(\tilde{\mathbb{R}}_+^2)} \leq \lambda \|f\|_X$ . Moreover,

$$\int_{[0, \alpha] \times \mathbb{R}_+} |T'_\alpha f(x, t)|^q dx dt + \int_{[\beta, \infty) \times \mathbb{R}_+} |T'_\alpha f(x, t)|^q dx dt \leq \lambda^q \|f\|_{L^p(\mathbb{R}_+)}^q. \tag{3}$$

Let now  $d > \beta$  and  $r \in (d, \infty)$ . Assume that  $f_r(y) = \chi_{(0, r/2)}(y)$ . Then  $\|f_r\|_{L^p(\mathbb{R}_+)}^q = 2^{-q/p} r^{q/p}$ . On the other hand,

$$\begin{aligned} \int_{U_r} |T'_\alpha f_r(x, t)|^q dx dt &\geq \int_{U_r} \left( \int_0^{r/2} (x - y + t)^{\alpha-1} dy \right)^q v(x, t) dx dt \\ &\geq c_1 \left( \int_{U_r} v(x, t)(x + t)^{(\alpha-1)q} dx dt \right) r^q, \end{aligned}$$

where  $c_1 = 3^{(\alpha-1)q}2^{-\alpha q}$  if  $1/p < \alpha < 1$  and  $c_1 = 2^{-\alpha q}$  for  $\alpha \geq 1$ . Therefore

$$\lambda \geq c_1^{1/q}2^{1/p} \left( \int_{U_r} v(x,t)(x+t)^{(\alpha-1)q} dx dt \right)^{1/q} r^{1/p'}$$

for all  $r > d$ . Hence we have  $c_2 J^{(d)} \leq \lambda$  for any  $d > \beta$  and, finally, we obtain  $c_2 \lim_{d \rightarrow +\infty} J^{(d)} \leq \lambda$ . Since  $\lambda$  is arbitrarily close to  $\tilde{I}$ , we conclude that

$$c_2 \lim_{d \rightarrow +\infty} J^{(d)} \leq \tilde{I}, \text{ where } c_2 = c_1^{1/q}2^{1/p}.$$

Let us choose  $n \in \mathbb{Z}$  such that  $2^n < \alpha$ . Assume that  $j \in \mathbb{Z}$ ,  $j \leq n - 1$  and  $f_j(y) = \chi_{(0,2^{j-1})}(y)$ . Then we obtain

$$\begin{aligned} \int_{U_{2^j} \setminus U_{2^{j+1}}} |T'_\alpha f(x,t)|^q dx dt &\geq \int_{U_{2^j} \setminus U_{2^{j+1}}} v(x,y) \left( \int_0^{2^{j-1}} (x-y+t)^{\alpha-1} dy \right)^q dx dt \\ &\geq c_3 \int_{U_{2^j} \setminus U_{2^{j+1}}} v(x,y)(x+t)^{(\alpha-1)q}2^{(j-1)q} dx dt, \end{aligned}$$

where  $c_3 = (3/2)^{(\alpha-1)q}$  in the case, where  $1/p < \alpha < 1$  and  $c_3 = (1/2)^{(\alpha-1)q}$  for  $\alpha \geq 1$ . On the other hand,  $\|f_j\|_X^q = 2^{(j-1)q/p}$ . By (3) we find that

$$c_3^{1/q}4^{-1/p'}\bar{B}_1(j) \leq \lambda$$

for every integer  $j$ ,  $j \leq n - 1$ , where

$$\bar{B}(j) \equiv \left( \int_{U_{2^j} \setminus U_{2^{j+1}}} v(x,t)(x+t)^{(\alpha-1)q}x^{q/p'} dx dt \right)^{1/q}.$$

Consequently  $c_3^{1/q}4^{-1/p'} \sup_{j \leq n} \bar{B}_1(j) \leq \lambda$  for every integer  $n$  with the condition  $2^n < \alpha$ . Let  $a < 2^n < \alpha$ . Then  $a \in [2^m, 2^{m+1})$  for some  $m$ ,  $m \leq n - 1$ . As in the proof of Theorem 5 we have that

$$B^{(a)} \leq B^{(2^m)} \leq 2^{1/p'}(1 - 2^{-q/p'})^{-1/q} \sup_{j \leq m} \bar{B}_1(j),$$

where

$$B^{(2^m)} \equiv \sup_{0 < r < 2^m} \left( \int_{U_r \setminus U_{2^m}} v(x,t)(x+t)^{(\alpha-1)q} dx dt \right)^{1/q} r^{1/p'}.$$

Therefore  $c_4 \lim_{a \rightarrow 0} B^{(a)} \leq \lambda$  with  $c_4 = 2^{-3/p'}c_3^{1/q}(1 - 2^{-q/p'})^{1/q}$ . Finally we obtain  $c_5 J \leq \tilde{I}$ , where  $c_5 = 1/2 \min\{c_2, c_4\}$ . □

An analogous theorem for the classical Riemann-Liouville operator  $R_\alpha$  is proved for  $\alpha > 1/p$  in [19]. Estimates of the distance of  $R_\alpha$  from the class of compact operators in the case of two weights for  $\alpha > 1$  are obtained in [6], [21] (for the case  $\alpha = 1$  see [5]).

**Remark 3.** For the constants  $\epsilon_1$  and  $\epsilon_2$  from Theorem 9 we have:  $\epsilon_2 = b_2$ ,  $\epsilon_1 = 1/2 \min\{\beta_1, \beta_2\}$ , where  $\beta_1 = 2^{1/p}\gamma_3$ ,  $\beta_2 = 2^{-3/p'}(1 - 2^{-q/p'})^{1/q}\gamma_4$  with  $\gamma_3 = 3^{\alpha-1}2^{-\alpha}$  for  $1/p < \alpha < 1$ ,  $\gamma_3 = 2^{-\alpha}$  for  $\alpha \geq 1$  and  $\gamma_4 = (3/2)^{\alpha-1}$  for  $1/p < \alpha < 1$ ,  $\gamma_4 = (1/2)^{\alpha-1}$  if  $\alpha \geq 1$ .

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