

## A NOTE ON GENERATORS FOR ARITHMETIC SUBGROUPS OF ALGEBRAIC GROUPS

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**In this paper we construct systems of generators for arithmetic subgroups of algebraic groups.**

1.1. Let  $k$  be a global field and  $G$  an absolutely almost simple simply connected (connected)  $k$ -algebraic group. We fix once and for all a *faithful*  $k$ -representation of  $G$  in some  $\mathrm{GL}(n)$  and identify  $G$  with its image *under this representation*. In the sequel we will freely use results from Borel-Tits [1] without citing that reference repeatedly. Practically all facts about reductive algebraic groups used are to be found there. Let  $S$  be a finite set of valuations of  $k$  containing all the archimedean valuations and  $\Lambda$  be the ring of  $S$ -integers in  $k$ :  $\Lambda = \{x \in k \mid x \text{ an integer in the completion } k_v \text{ of } k \text{ at } v \text{ for all valuations } v \notin S\}$ . For a subgroup  $H \subset G$ , we set  $H(\Lambda) = H \cap \mathrm{GL}(n, \Lambda)$ . More generally for an ideal  $\mathfrak{a} \neq 0$  in  $\Lambda$ , we set

$$H(\mathfrak{a}) = \{x \in H(\Lambda) \mid x \equiv 1 \pmod{\mathfrak{a}}\}.$$

We fix a maximal  $k$ -split torus  $T$  in  $G$ . We assume that  $\dim T \geq 2$  i.e. that  $k$ -rank  $G \geq 2$ . Let  $\Phi$  denote the root system of  $G$  with respect to  $T$ . We fix a lexicographic ordering on  $X(T)$ , the character group of  $T$  and denote by  $\Phi^+$  (resp.  $\Phi^-$ ) the positive (resp. negative) roots with respect to this ordering. We also denote by  $\Delta$  the corresponding simple system of roots. For  $\phi \in \Phi$ , let  $U(\phi)$  denote the root group corresponding to  $\phi$ :  $U(\phi)$  is the unique  $T$ -stable  $k$ -split subgroup of  $G$  whose Lie algebra is the span of the root spaces  $\{\mathfrak{g}^{r\phi} \mid r \text{ integer } > 0\}$  (here for  $\psi \in \Phi$ ,  $\mathfrak{g}^\psi = \{v \in \mathfrak{g} \mid \mathrm{Ad} t(v) = \psi(t)v\}$ ,  $\mathfrak{g}$  being the Lie algebra of  $G$ ). With this notation our main result is

1.2. **THEOREM.** *The group  $\Gamma(\mathfrak{a})$  generated by  $\{U(\phi)(\mathfrak{a}) \mid \phi \in \Phi\}$  for any non-zero ideal  $(\mathfrak{a}) \subset \Lambda$  has finite index in  $G(\mathfrak{a})$ .*

*Note.* Tits [8] has obtained this result for Chevalley groups. However the methods of this paper are very different and make no use of Tits' results.

1.3. We denote by  $U^+$  (resp.  $U^-$ ) the group generated by  $U(\phi)$ ,  $\phi \in \Phi^+$  (resp.  $\Phi^-$ ). For  $\phi \in \Phi$ , let  $G(\phi)$  denote the ( $k$ -rank 1) subgroup generated by  $U(\phi)$  and  $U(-\phi)$ . We denote by  $T_\phi$  the connected component of the identity in kernel  $\phi$  and by  $Z(T_\phi)$  the centraliser of  $T_\phi$  in  $G$ . Then  $Z(T_\phi)$  is reductive and  $G(\phi)$  is its maximal normal semisimple subgroup all of whose  $k$ -simple factors are isotropic. For  $\alpha \in \Delta$  let  $V^+(\alpha)$  (resp.  $V^-(\alpha)$ ) denote the subgroup of  $U^+$  (resp.  $U^-$ ) generated by the  $U(\phi)$ ,  $\phi \in \Phi_\alpha^+$  (resp.  $\Phi_\alpha^-$ ) =  $\{\phi \in \Phi^+$  (resp.  $\Phi^-$ ) |  $\phi$  not a multiple of  $\alpha\}$ . Then  $V^+(\alpha)$  and  $V^-(\alpha)$  are normalised by  $Z(T_\alpha)$ . The centraliser  $Z(T)$  of  $T$  normalises all the  $U(\phi)$ ,  $\phi \in \Phi$ , and hence in particular  $U^+$ ,  $U^-$ ,  $V^+(\alpha)$  and  $V^-(\alpha)$  for all  $\alpha \in \Delta$ . We will establish the following

1.4. *Claim.* Let  $\mathfrak{a}$  be a nonzero ideal in  $\Lambda$  and (as in Theorem 1.2) let  $\Gamma(\mathfrak{a})$  denote the subgroup of  $G(\Lambda)$  generated by  $\{U(\phi)(\mathfrak{a}) | \phi \in \Phi\}$ . Then for any  $g \in G(k)$  there is a non-zero ideal  $\mathfrak{a}'$  (depending on  $g$ ) in  $\Lambda$  such that  $g\Gamma(\mathfrak{a}')g^{-1} \subset \Gamma(\mathfrak{a})$ .

1.5. Let  $\tilde{\Gamma} = \{g \in G(k) | \text{for any nonzero ideal } \mathfrak{a} \subset \Lambda, \text{ there is a nonzero ideal } \mathfrak{a}' \subset \Lambda \text{ such that } g\Gamma(\mathfrak{a}')g^{-1} \text{ and } g^{-1}\Gamma(\mathfrak{a}')g \subset \Gamma(\mathfrak{a})\}$ . It is then evident that  $\tilde{\Gamma}$  is a subgroup of  $G(k)$ . Since  $Z(T)$  normalises  $U(\phi)$  for all  $\phi \in \Phi$ , it is easily seen that  $Z(T)(k) \subset \tilde{\Gamma}$ . We will presently show that  $U(\pm\alpha)(k) \subset \tilde{\Gamma}$  for all  $\alpha \in \Delta$ . This will prove the claim since the  $\{U(\pm\alpha)(k) | \alpha \in \Delta\}$  and  $Z(T)(k)$  generate all of  $G(k)$ . Suppose then that  $\alpha \in \Delta$  and  $u \in U(\pm\alpha)(k)$ . Then  $u$  normalises  $U(\phi)$ ,  $\phi \in \Phi_\alpha^+$  (resp.  $\Phi_\alpha^-$ ). It follows that we can, for any non-zero ideal  $\mathfrak{a} \subset \Lambda$ , find a non-zero ideal  $\mathfrak{b} \subset \Lambda$  such that  $uU(\phi)(\mathfrak{b})u^{-1} \subset U(\phi)(\mathfrak{a})$  for all  $\phi \in \Phi_\alpha^\pm$ . If we denote by  $\Gamma_\alpha(\mathfrak{b})$  the group generated by  $U(\phi)(\mathfrak{b})$ ,  $\phi \in \Phi_\alpha^+$  or  $\Phi_\alpha^-$ , this means that  $u\Gamma_\alpha(\mathfrak{b})u^{-1} (\subset \Gamma_\alpha(\mathfrak{a})) \subset \Gamma(\mathfrak{a})$ . Thus to establish the claim we need only show that for any non-zero ideal  $\mathfrak{b}$  in  $\Lambda$ , there is a non-zero ideal  $\mathfrak{c}$  in  $\Lambda$  with  $\Gamma(\mathfrak{c}) \subset \Gamma_\alpha(\mathfrak{b})$  for all  $\alpha \in \Delta$ . This follows from the following stronger result.

1.6. **LEMMA.** *Let  $\alpha, \beta \in \Delta$  be such that  $\alpha + \beta \in \Phi$ . Then there is an element  $t = t(\alpha, \beta)$  in  $\Lambda$ ,  $t \neq 0$  such that for any ideal  $\mathfrak{a} \neq 0$  in  $\Lambda$ , the group generated by  $\{U(r\alpha + s\beta)(\mathfrak{a}) | r \cdot s \neq 0, r\alpha + s\beta \in \Phi\}$  and  $U_\beta(\mathfrak{a})$  (resp.  $U_\beta(\mathfrak{a})$ ) contains  $U(\alpha)(t\mathfrak{a}^3)$  (resp.  $U(-\alpha)(t\mathfrak{a}^3)$ ).*

*Proof.* We treat the case of  $U(\alpha)$ ; the other case, viz. of  $U(-\alpha)$ , is entirely analogous. Consider first the case when  $\Phi$  is reduced i.e.  $2\phi \notin \Phi$  for any  $\phi \in \Phi$ . Let  $\alpha, \beta$  be as above then the commutator

map  $(x, y) \rightarrow xyx^{-1}y^{-1}$  of  $G \times G$  in  $G$  defines a  $k$ -morphism

$$c: U(-\beta) \times U(\alpha + \beta) \rightarrow U(\alpha).$$

As  $\Phi$  is reduced,  $U(\phi)$  is abelian and hence  $k$ -isomorphic to a  $k$ -vector space and  $c$  is easily seen to be a  $k$ -bilinear map. Let  $U_c(\alpha)$  denote the group generated by Image  $c$ . Then  $U_c(\alpha)$  is a  $k$ -algebraic subgroup—in fact a  $k$ -vector subspace of  $U(\alpha)$ . Since  $c$  is compatible with the action of  $Z(T)$  on both sides,  $U_c(\alpha)$  is  $Z(T)$ -stable as well. It is easy to see that our lemma follows if the following holds:  $U_c(\alpha) = U(\alpha)$ . In fact one concludes that there is a  $t \in \Lambda \setminus \{0\}$  such that  $U(\alpha)(ta^2)$  (resp.  $U_{-\alpha}(ta^2)$ ) is contained in the group generated by  $\{U(r\alpha + s\beta)(a) \mid r \cdot s \neq 0\}$  and  $U_\beta(a)$  (resp.  $U_\beta(a)$ ). Evidently this equality holds if the following two conditions are satisfied:

C1:  $U(\alpha)$  as a  $Z(T)$ -module is irreducible over  $k$ .

C2: The map  $c$  is non-trivial.

By using split semisimple subgroups of  $G$  containing  $T$  (Borel-Tits [1, Theorem 7.2]) one sees easily that C2 fails only if  $\text{Char } k = \langle \alpha, \alpha \rangle / \langle \beta, \beta \rangle = 2$  or 3. When C2 fails and  $\text{char } k = 2$  we consider the  $k$ -morphism

$$c': U(-\beta) \times U(\alpha + 2\beta) \rightarrow U(\alpha) \cdot U(\alpha + \beta) = U^*$$

obtained by restricting the commutator map in  $G$ . Now  $U^*$  is a direct product of  $U(\alpha)$  and  $U(\alpha + \beta)$  and this direct product decomposition is compatible with the action of  $Z(T)$ . Thus  $c'$  may be regarded as a pair  $(c'_1, c'_2)$  where

$$c'_1: U(-\beta) \times U(\alpha + 2\beta) \rightarrow U(\alpha)$$

is a  $k$ -morphism which for fixed  $u \in U(\alpha + 2\beta)$  is a homogeneous quadratic polynomial on  $U(-\beta)$  and for fixed  $x$  in  $U(-\beta)$  is linear on  $U(\alpha + 2\beta)$  while

$$c'_2: U(-\beta) \times U(\alpha + 2\beta) \rightarrow U(\alpha + \beta)$$

is bilinear. To prove the lemma once again it suffices to show that the group  $U_{c'}(\alpha)$  generated by the image of  $c'$  contains all of  $U(\alpha)$ . Now if C1 holds, this is indeed the case. To see this observe that  $U(\alpha)$  and  $U(\alpha + \beta)$  are distinct isotypical  $T$ -submodules of  $U^*$ —as a  $T$ -module  $U^*$  is semisimple. Thus if  $c'_1$  is non-trivial  $U_{c'}(\alpha) \cap U(\alpha)$  is a nontrivial  $Z(T)$ -stable  $k$ -vector space hence is all of  $U(\alpha)$ . That  $c'_1$  is non-trivial is checked using the Chevalley commutation relations in a Chevalley group containing  $T$  and contained in  $H$ . Finally

if characteristic of  $k = 3$ , C2 fails and C1 holds, we consider the commutator map restricted to  $U(-(\alpha + 3\beta)) \times U(2\alpha + 3\beta)$  as a  $k$ -morphism of this variety into  $U(\alpha)$ . One sees easily that it is bilinear and non-trivial. This leaves us to deal with the situation when C1 fails. From the classification of Tits [6] of groups over global fields, it is easy to conclude that if C1 fails one has necessarily  $\text{char } k = 2$  and  $G$  is a group of Type  $C_n$  with Tits index as below

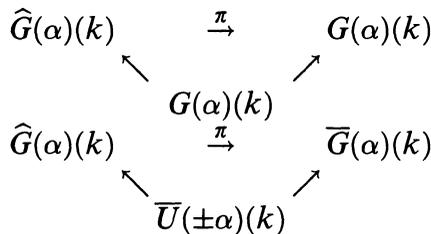
$$|-\circ-|-\circ-|\dots|-\overset{\beta}{\circ}-|\overset{\alpha}{=} \circ$$

(C2 also fails in this case). But in this case one has a description of  $G$  as the special unitary group of a non-degenerate hermitian form  $h$  over a quaternion algebra (over  $k$ ) with respect to an involution whose fixed point set is of dimension 3 (over  $k$ ) (such that  $h$  has Witt index  $n/2 - n$  is necessarily even). Explicit matrix computation leads us in this case to the conclusion that  $U_c(\alpha) = U^*$  (in the notation introduced above).

Consider now the case when  $\Phi$  is not reduced. Let  $\Phi_0$  be the reduced system associated to  $\Phi$  and  $\Delta_0$  the corresponding simple system. If  $\alpha, \beta \in \Delta_0$  we are reduced to the preceding case. If  $2\beta \in \Delta_0$  since  $U(\beta) \supset U(-2\beta)$  and  $U(\beta) \supset U(2\beta)$  we are again reduced to the preceding case. Then we are left with the case  $\beta \in \Delta_0, 2\alpha \in \Delta_0$ . In this case one notes that the preceding considerations show that  $U(2\alpha)(t\alpha_2)$  is contained in the group generated by  $\{U(r\alpha + s\beta)(\alpha)|r, s \neq 0, r\alpha + s\beta \in \Phi\}$  and  $U(-\beta)$ . This reduces the lemma to proving that the map  $c: U(-\beta) \times U(\alpha + \beta) \rightarrow U(\alpha)/U(2\alpha)$  obtained from the commutator map is such that Image  $c$  generates all of  $U(\alpha)/U(2\alpha)$ . This is easily checked. Hence the lemma.

1.7. Let  $\mathfrak{a} \subset \Lambda$  be a non-zero ideal. Then  $G(\alpha)(\Lambda)$  normalises  $V(\alpha)(\mathfrak{a})$ . Consequently  $G(\alpha)(\Lambda)$  normalises  $\Gamma_\alpha(\mathfrak{a})$  and hence also  $\Psi_\alpha \stackrel{\text{def}}{=} \Gamma_\alpha(\mathfrak{a}) \cap G(\alpha)(k)$ . We also set  $\Psi_\alpha = \Psi_\alpha(\Lambda)$ . Observe that for any  $g \in G(\alpha)(k)$ , and a non-zero ideal  $\mathfrak{a} \subset \Lambda$ , there is an ideal  $\mathfrak{b}$  (depending on  $\mathfrak{a}$  and  $g$ ) such that  $g\Psi_\alpha(\mathfrak{b})g^{-1}$  is contained in  $\Psi_\alpha(\mathfrak{a})$ : this follows from Claim 1.4 combined with Lemma 1.6, which shows that  $\Gamma(t\mathfrak{a}^3)$  is contained in  $\Gamma_\alpha(\mathfrak{a})$ . It is easy to see from this that the following collection  $\mathbb{T}$  of subsets of  $G(\alpha)(k)$  is the family of open sets for a topology on  $G(\alpha)(k)$ :  $\mathbb{T} = \{\Omega \subset G(\alpha)(k) \mid \text{for every } x \in \Omega, \text{ there is a non-zero ideal } \mathfrak{a}(x) \text{ in } \Lambda \text{ such that } x\Psi_\alpha(\mathfrak{a}(x)) \text{ is contained in } \Omega\}$ . (That  $\mathbb{T}$  constitutes a topology is seen easily from the fact that  $\Psi_\alpha(\mathfrak{a}) \cap \Psi_\alpha(\mathfrak{b})$  contains  $\Psi_\alpha(\mathfrak{ab})$  and that if  $\mathfrak{a} \neq 0, \mathfrak{b} \neq 0$ , then

$\alpha \neq 0$ .) Let  $L$  and  $R$  denote respectively the left and right uniform structures on  $G(\alpha)(k)$  for the topology  $T$ . Then we assert that a sequence  $x_n \in G(\alpha)(k)$  is Cauchy for  $L$  if and only if it is Cauchy for  $R$ . Assume that  $x_n$  is Cauchy for  $L$ . Let  $l \geq 0$  be an integer such that  $x_n^{-1}x_m \in \Psi_\alpha(\alpha)$  for all  $m, n \geq l$ . Let  $t \in \Lambda \setminus \{0\}$  be as in Lemma 1.6. For an ideal  $\alpha \neq 0$  let  $\alpha' \neq 0$  be an ideal such that  $x_l \Psi_\alpha(\alpha') x_l^{-1}$  is contained in  $\Psi_\alpha(\alpha)$ . Since  $x_n$  is Cauchy for  $L$  there is an integer  $l(\alpha') > 0$  such that  $x_n^{-1}x_m \in \Psi_\alpha(\alpha')$  for  $m, n \geq l(\alpha')$ . Then for  $m, n \neq \max(l, l(\alpha'))$  we have  $x_m x_n^{-1} = x_n x_n^{-1} x_m x_n^{-1} = x_l \cdot x_l^{-1} x_n \cdot x_n^{-1} x_m (x_l^{-1} x_n)^{-1} \cdot x_l^{-1} \in \Psi_\alpha(\alpha)$ . Thus  $x_n$  is Cauchy for  $R$  as well. The converse is proved analogously. It follows that there is a canonical identification of the completions of  $G(\alpha)(k)$  with respect to  $R$  and  $L$  and we denote this common completion by  $\widehat{G}(\alpha)(k)$ . Then  $\widehat{G}(\alpha)(k)$  is a topological group in a natural fashion. The closure of  $U(\alpha)(k)$  (resp.  $U(-\alpha)(k)$ ) in  $\widehat{G}(\alpha)(k)$  is obviously the same as the completion  $\overline{U}(\alpha)(k)$  (resp.  $\overline{U}(-\alpha)(k)$ ) of  $U(\alpha)(k)$  (resp.  $U(-\alpha)(k)$ ) in the congruence subgroup topology. If  $\overline{G}(\alpha)(k)$  denotes the completion  $G(\alpha)(k)$  with respect to the congruence subgroup topology we have natural commutative diagrams as follows:



Since  $\overline{U}(\pm\alpha)(k)$  generate  $\overline{G}(\alpha)(k)$  (as an abstract group) (Raghunathan [5]) one sees that  $\pi$  is surjective. We will now prove the following result.

1.8. PROPOSITION. *Let  $G(\alpha)(k)^+$  denote the normal subgroup of  $G(k)$  generated by  $U^+(\alpha)(k)$ . Then  $G(\alpha)(k)^+$  centralises the kernel of  $\pi$  ( $= C$ ).*

*Proof.* One knows from the work of Tits [7] that any noncentral normal subgroup of  $G(\alpha)(k)$  contains  $G(\alpha)(k)^+$ . Thus it suffices to show that  $C$  ( $=$  kernel  $\pi$ ) is centralised by an element  $x$  in  $G(k)^+$  which is not central in  $G(\alpha)$ —the centraliser of  $C$  in  $G(\alpha)$  is a normal subgroup of  $G(\alpha)$ . We know that  $\Psi_\alpha$  contains a non-trivial element of  $U(\alpha)(\Lambda)$  (Lemma 1.6). Let  $u$  be such an element; then  $u$  can be

written as a product:

$$u = x_r x_{r-1} \cdots x_1,$$

where for  $1 \leq i \leq r$ ,  $x_i \in U(\phi_i)(\Lambda)$  with  $\phi_i \in \Psi_\alpha^\pm$ . Let

$$u_i = x_i x_{i-1} \cdots x_2 x_1.$$

Let  $A_i$  be the following assertion: for any ideal  $\mathfrak{a} \subset \Lambda$ ,  $\mathfrak{a} \neq 0$ , there is a nonzero ideal  $f_i(\mathfrak{a}) \subset \Lambda$  such that  $\rho u_i \rho^{-1} u_i^{-1} \in \Gamma_\alpha(\mathfrak{a})$  for all  $\rho \in G(\alpha)(f_i(\mathfrak{a}))$ . Then  $A_0$  holds if we set  $f_0(\mathfrak{a}) = \mathfrak{a}$ . Assume that  $A_l$  holds for some  $l$  with  $1 \leq l < r$  and we will show then that  $A_{l+1}$  holds as well. Let  $\mathfrak{a}' \subset \mathfrak{a}$  be a non-zero ideal such that  $x_{l+1} \Gamma_\alpha(\mathfrak{a}') x_{l+1}^{-1} \subset \Gamma_\alpha(\mathfrak{a})$  (Claim 1.4 and Lemma 1.6). Let  $f_{l+1}(\mathfrak{a}) = f_l(\mathfrak{a}') \cap \mathfrak{a}$ . Then for  $\rho \in G_\alpha(\mathfrak{b}) \mathfrak{b} = f_{l+1}(\mathfrak{a})$ , we have  $\rho x_{l+1} \rho^{-1} x_{l+1}^{-1} \in \Gamma_\alpha$  while  $x_{l+1} \rho u_l \rho^{-1} u_l^{-1} x_{l+1}^{-1} \in x_{l+1} \Gamma_\alpha(\mathfrak{a}') x_{l+1}^{-1} \subset \Gamma_\alpha(\mathfrak{a})$ . But one has

$$\begin{aligned} \rho u_{l+1} \rho^{-1} u_{l+1}^{-1} &= \rho x_{l+1} u_l \rho^{-1} u_l^{-1} x_{l+1}^{-1} \\ &= (\rho x_{l+1} \rho^{-1} x_{l+1}^{-1}) \cdot x_{l+1} (u_l \rho^{-1} u_l^{-1}) x_{l+1}^{-1} \end{aligned}$$

so that  $\rho u_{l+1} \rho^{-1} u_{l+1}^{-1}$  belongs to  $\Gamma_\alpha(\mathfrak{a})$ . We conclude that for each ideal  $\mathfrak{a} \subset \Lambda$ ,  $\mathfrak{a} \neq 0$ , there is an ideal  $\mathfrak{a}' \neq 0$  such that  $[u, G(\alpha)(\mathfrak{a}')] \subset \Psi_\alpha(\mathfrak{a})$ . Passing to the completions it is now clear that this means that  $u$  centralises  $C$  in  $\widehat{G}(\alpha)(k)$  proving Proposition 1.8.

1.9. Let  $\widehat{G}(\alpha)(k)^+$  denote the closure of  $G(\alpha)(k)^+$  in  $\widehat{G}(\alpha)(k)$ . Then  $\widehat{G}(\alpha)(k)^+ \xrightarrow{\pi_0} \overline{G}(\alpha)(k)$  is a central extension where  $\pi_0$  is the restriction of  $\pi$  to  $\widehat{G}(\alpha)(k)^+$ . Let  $C_0$  denote the kernel of  $\pi_0$ . Then  $C_0$  is a closed subgroup of  $C$ ; and since  $C$  is the projective limit of the family  $\{G(\alpha)(\mathfrak{a})/\Psi_\alpha(\mathfrak{a}) \mid \mathfrak{a} \text{ a nonzero ideal in } \Lambda\}$  of *discrete* groups, it follows that  $C_0$  is the projective limit of a family of *discrete* abelian groups

$$C_0 \simeq \varprojlim C_i.$$

We have for  $i > j$  a map  $f_{ij} : C_i \rightarrow C_j$  which may be assumed to be surjective as also the natural map  $f_i : C_0 \rightarrow C_i$ . Now for every  $i$  the central extension  $\widehat{G}(\alpha)(k)^+ / (\text{kernel } f_i)$  of  $\overline{G}(\alpha)(k)$  is a *locally compact* central extension *split over*  $G(k)^+$ . But from Prasad-Raghunathan [3] one knows that the universal locally compact central extension  $\widehat{G}(k)(k)^+ \rightarrow \overline{G}(\alpha)(k)$  *split over*  $G(k)^+$  has  $\ker \phi$  a subgroup of the group  $\mu_k$  of roots unity in  $k$ . It is now easy to deduce

from this that  $C_0$  is a finite cyclic group of order at most  $|\mu_k|$ . Since  $G(k)/G(k)^+$  is finite (Margulis [2]) one concludes that  $C$  is finite. The following result is immediate from the finiteness of  $C$ .

1.10. PROPOSITION. For any non-zero ideal  $\mathfrak{a}$ ,  $\Psi_\alpha$  is an  $S$ -arithmetic subgroup of  $G(\alpha)$ .

*Proof.* If  $U \subset \widehat{G}(\alpha)(k)^+$  is any open subgroup, then  $U \cap G(k)^+$  is an  $S$  arithmetic subgroup, since  $C$  is finite and (hence)  $\pi$  maps  $\widehat{G}(\alpha)(k)$  onto  $\overline{G}(k)$ . Since for any  $\mathfrak{a} \neq 0$ ,  $\Psi_\alpha(\mathfrak{a})$  contains a subgroup of the form  $U \cap G(k)$  with  $U$  open in  $\widehat{G}(\alpha)(k)$  our contention follows.

1.11. COROLLARY. If  $P(\alpha) = Z(T) \cdot U(\alpha)$  then for any ideal  $\mathfrak{a} \neq 0$  in  $\Lambda$ , there is a finite subset  $\Sigma_\alpha(\mathfrak{a})$  in  $G(\alpha)(k)$  such that

$$Z(T_\alpha)(k) = \Psi_\alpha(\mathfrak{a}) \cdot \Sigma_\alpha(\mathfrak{a}) \cdot P(\alpha)(k)$$

(this is a theorem due to Borel; for a proof see Raghunathan [4, Chapter XIII]).

1.12. THEOREM. Let  $\mathfrak{a}$  be a nonzero ideal in  $\Lambda$ . Then there is a finite set  $\Sigma(\mathfrak{a}) \subset G(k)$  such that  $G(k) = \Gamma(\mathfrak{a}) \cdot \Sigma(\mathfrak{a}) \cdot P(k)$  where  $P = Z(T) \cdot U$ .

*Proof.* Let  $N(T)$  be the normaliser of  $T$  in  $G$  and  $W = N(T)/Z(T)$  the  $k$ -Weyl group of  $G$ . Then  $W$  is generated by reflection  $\sigma_\alpha$  corresponding to the simple roots  $\alpha$  in  $\Delta$  and each  $\sigma_\alpha$  has a representative  $s_\alpha$  in  $(N(T) \cap G(\alpha))(k)$ . One has  $G(k) = U(k)WP(k)$ , where  $W$  is identified with a set of representatives of its elements in  $N(T)(k)$ . Let  $l$  be an integer  $\geq 0$  and  $W(l)$  the set of elements of  $W$  of length  $l$  with respect to the set  $\{s_\alpha | \alpha \in \Delta\}$  of generators. We will prove the following statement by induction on  $l$ . For any ideal  $\mathfrak{a} \neq 0$  in  $\Lambda$ , there is a finite set  $\Sigma_l(\mathfrak{a})$  such that  $U(k)W(l)P(k)$  is contained in  $\Gamma(\mathfrak{a}) \cdot \Sigma_l(\mathfrak{a})(k)$ . When  $l = 1$ , this is simply Corollary 1.12. Assume that the assertion holds for  $l < r$ . Let  $g = uwp$  in  $G(k)$  be such that length  $w = r$ ,  $u \in U^+(k)$  and  $p \in P(k)$ . Then  $w = s_\alpha w'$  for some  $w'$  of length  $r - 1$  and  $\alpha \in \Delta$ . Also one can write  $u = u' \cdot u''$  with  $u' \in U(\alpha)(k)$  and  $u'' \in V(\alpha)(k)$ . Since  $G(\alpha)$  normalises  $V(\alpha)(k)$  we see that  $g = xyw'p$  where  $x \in G(\alpha)(k)$  and  $y \in V(\alpha)(k)$ . Let  $\Sigma_\alpha(\mathfrak{a})$  be as in Corollary 1.1. Clearly then  $g \in \Psi_\alpha(\mathfrak{a}) \cdot \Sigma_\alpha(\mathfrak{a})U(k)W(r-1)P(k)$ . Now let  $\mathfrak{b}(\alpha) = \mathfrak{b} \neq 0$  an ideal such that  $x\Gamma(\mathfrak{b})x^{-1} \subset \Gamma(\mathfrak{a})$  for

all  $x$  in the *finite* set  $\Sigma_\alpha(\mathfrak{a})$ . By the induction hypothesis we can find a finite set  $\Sigma_{r-1}(\mathfrak{b}) \cdot G(k)$  such that  $\Gamma(\mathfrak{b}) \cdot \Sigma_{r-1}(\mathfrak{b})P(k)$  contains  $U(k)W(r-1) \cdot P(k)$ . Thus  $g \in \Psi_l(\mathfrak{a}) \cdot \Sigma_\alpha(\mathfrak{a}) \cdot \Gamma(\mathfrak{b}) \cdot \Sigma_{r-1}(\mathfrak{b}) \cdot P(k)$ ; and this last set is contained in  $\Psi_\alpha(\mathfrak{a})\Gamma(\mathfrak{a})\Sigma_\alpha(\mathfrak{a})\Sigma_{r-1}(\mathfrak{b}) \cdot P(k)$ . Since  $\Psi_\alpha(\mathfrak{a}) \subset \Gamma(\mathfrak{a})$  and  $\Sigma_\alpha(\mathfrak{a})\Sigma_{r-1}(\mathfrak{b})$  is finite, our claim for  $r$  follows if we set  $\Sigma_r(\mathfrak{a})$  to be  $\bigcup_{\alpha \in \Delta} \Sigma_\alpha(\mathfrak{a}) \cdot \Sigma_{r-1}(\mathfrak{b}(\alpha))$  ( $\mathfrak{b}(\alpha)$  also depends on  $\mathfrak{a}$ ). This proves the theorem.

1.14. COROLLARY. *For a non-zero ideal  $\mathfrak{a}$  in  $\Lambda$ ,  $\Gamma(\mathfrak{a})$  is an arithmetic subgroup of  $G$ .*

*Proof.* Let  $\Sigma \subset G(k)$  be a finite set such that  $\Gamma(\mathfrak{a}) \cdot \Sigma P(k) = G(k)$ . Then if  $g \in G(\Lambda)$  we have  $g = x\zeta p$  with  $p \in P(k)$ ,  $x \in \Gamma(\mathfrak{a})$  and  $\zeta \in \Sigma$ . Since  $\Sigma$  is a *finite* set we conclude that there is a  $\lambda \in \Lambda \setminus \{0\}$  such that the following holds: if  $p = z \cdot u$ ,  $z \in Z(T)(k)$ ,  $u \in U(k)$ , and  $\xi$  is any matrix entry of  $z$ ,  $u$ ,  $z^{-1}$  or  $u^{-1}$ , then  $\lambda\xi \in \Lambda$ . It is also easy to see that if  $B$  is any  $k$ -simple component of  $Z(T)$ ,  $B \subset G(\alpha)$  for some  $\alpha \in \Delta$ . Thus  $B \cap \Gamma(\mathfrak{a})$  is an  $S$ -arithmetic subgroup of  $B$  so that  $Z(T) \cap \Gamma(\mathfrak{a})$  is an arithmetic subgroup of  $Z(T)$ . Hence  $P \cap \Gamma(\mathfrak{a})$  is an  $S$ -arithmetic subgroup of  $P$ . In particular  $\prod_{V \in S} {}^\circ P(k_V) / {}^\circ P \cap \Gamma(\mathfrak{a})$  is compact where  ${}^\circ P = \{\ker \chi \mid \chi \text{ a character on } P \text{ defined over } k\}$ . From the fact that  $z$  and  $z^{-1}$  have both entries of the form  $\xi/\lambda$  with  $\xi \in \Lambda$ , one easily deduces that  $z$  belongs to a finite set modulo  ${}^\circ P$ . From the compactness of  ${}^\circ P / {}^\circ P \cap \Gamma(\mathfrak{b})$  for any  $\mathfrak{b} \neq 0$  and the discreteness of the set  $\{p \in {}^\circ P \mid \text{the entries of } p \text{ and } p^{-1} \text{ belong to } \lambda^{-1}\}$ , one sees easily now that there is a finite set  $\Sigma'$  such that  $p \in P(k) \cap \Gamma(\mathfrak{b}) \cdot \Sigma'$  for all  $g \in G(k)$ . Now choose  $\mathfrak{b}$  such that  $x\Gamma(\mathfrak{b})x^{-1} \subset \Gamma(\mathfrak{a})$  for all  $x \in \Sigma$ . Then one has clearly

$$g \in \Gamma(\mathfrak{a}) \cdot \Sigma \cdot \Sigma.$$

Since  $\Sigma \cdot \Sigma'$  is finite we have shown that  $\Gamma(\mathfrak{a})$  has finite index in  $G(\Lambda)$ . Hence the corollary.

*Added in proof.* T. N. Venkataramana recently drew my attention to two papers of G. A. Margulis (*Arithmetic Properties of Discrete Groups*, Russian Mathematical Surveys, **29:1** (1974), 107–156 and *Arithmeticity of non-uniform lattices in weakly non compact groups*, Functional Analysis and its Applications, Vol. 9 (1975), 31–38), which contain results that imply our main theorem. The methods of the present paper are however very different, and I believe, more transparent.

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