

## PERFECT CATEGORIES I

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Let  $R$  be a ring with identity. We assume that an  $R$ -module  $M$  has two decompositions:  $M = \sum_{\alpha \in I} \oplus M_{\alpha} = \sum_{\beta \in J} \oplus N_{\beta}$ , where  $M_{\alpha}$ 's and  $N_{\beta}$ 's are completely indecomposable. Then it is well known as the Krull-Remak-Schmidt-Azumaya's theorem that  $M$  satisfies the following two conditions:

I. *The decompositions are unique up to isomorphism.*

II'. *For a given finite set  $\{N_{\beta_1}, \dots, N_{\beta_n}\}$  we can find a set  $\{M_{\alpha_1}, \dots, M_{\alpha_n}\}$  such that  $M = N_{\beta_1} \oplus \dots \oplus N_{\beta_n} \oplus \sum_{\alpha \neq (\alpha_i)} \oplus M_{\alpha}$  and  $N_{\beta_i} \approx M_{\alpha_i}$  for  $i=1, 2, \dots, n$  (or  $M = M_{\alpha_1} \oplus \dots \oplus M_{\alpha_n} \oplus \sum_{\beta \neq (\beta_i)} \oplus N_{\beta}$ ).*

Those facts were generalized in a Grothendieck category  $\mathfrak{A}$  by P. Gabriel, [5]. Recently, the author and Y. Sai have treated

II. *The condition II' is true for any infinite subset  $\{N_{\beta_i}\}$ ,*

in a case of modules in [7], and shown that Condition II is satisfied for any  $M$  in the induced full subcategory  $\mathfrak{B}$  from  $\{M_{\alpha}\}$  in the category  $\mathfrak{M}_R$  of  $R$ -modules if and only if  $\{M_{\alpha}\}$  is an elementwise  $T$ -nilpotent system with respect to a certain ideal  $\mathfrak{C}$  of  $\mathfrak{B}$ . Furthermore, the author and H. Kanbara have shown in [10] and [12] that Condition II is satisfied for a given  $M$  if and only if  $\{M_{\alpha}\}$  is an elementwise semi- $T$ -nilpotent system with respect to  $\mathfrak{C} \cap \text{Hom}_R(M, M)$ .

Conditions I and II' are categorical and hence, we can easily generalize the arguments in modules to those in  $\mathfrak{A}$  (see [5] and [7]). However, the definition of the elementwise  $T$ -nilpotency is not categorical. Therefore, we treat, in this paper, a Grothendieck category with a generating set of small objects, e.g.  $\mathfrak{M}_R$ , locally noetherian categories and functor categories of small additive categories to the category  $Ab$  of abelian groups.

We shall show in the section two that almost all of essential properties in [7], [8], [9], [10], [11] and [12] are valid in such a category.

In the final section, making use of such generalized properties, we define perfect (resp. semi-perfect) Grothendieck categories  $\mathfrak{A}$  and give a characterization of them with respect to a generating set of  $\mathfrak{A}$ . This characterization gives us a generalization of [2]. Theorem  $P$  for  $(\mathfrak{C}, Ab)$ , where  $\mathfrak{C}$  is an amenable additive

small category. Especially, if  $\mathfrak{C}$  is a full additive subcategory with finite coproducts of finitely generated abelian groups, we show that  $(\mathfrak{C}, Ab)$  is perfect if and only if the complete isomorphic class of indecomposable  $p$ -torsion groups in  $\mathfrak{C}$  is finite for every prime  $p$ .

**1. Preliminary results**

Let  $\mathfrak{A}$  be a Grothendieck category, namely a complete, co-complete  $C_3$ -abelian category (see [14], Chap. III). We call an object  $A$  in  $\mathfrak{A}$  *small* if  $[A, \sum \oplus -] \approx \sum \oplus [A, -]$  and call  $\mathfrak{A}$  *quasi-small* if every object  $A$  in  $\mathfrak{A}$  is a union of some small subobjects  $A^\alpha$  in  $A: A = \bigcup_{\alpha} A^\alpha$ .

If  $\mathfrak{A}$  has a generating set of small objects, then  $\mathfrak{A}$  is quasi-small. For example, the category  $\mathfrak{M}_R$  of modules over a ring  $R$  is quasi-small and more generally the functor category  $(\mathfrak{C}, Ab)$  and its full subcategory  $L(\mathfrak{C}, Ab)$  of left exact functors are quasi-small, where  $\mathfrak{C}$  is a small additive category and  $Ab$  is the category of abelian groups, (cf. [13], p. 109, Theorem 5.3 and p. 99, Proposition 2.3). It is clear that if  $\mathfrak{A}$  is locally noetherian (see [4], p. 356), then  $\mathfrak{A}$  is quasi-small.

By  $J(A)$  we denote the Jacobson radical for any object  $A$  in  $\mathfrak{A}$ , i.e.  $J(A) = \bigcap N$ , where  $N$  runs through all maximal subobjects in  $A$  and  $J(A) = A$  if  $A$  does not contain any maximal subobjects.  $A$  is called *finitely generated* if  $A = \bigcup_{\alpha \in I} A_\alpha$  for some subobjects  $A_\alpha$  of  $A$ , then  $A = \bigcup_{\beta \in J} A_\beta$  for a finite subset  $J$  of  $I$ .

Let  $N$  be a subobject in  $M$ .  $N$  is called *small in  $M$*  if  $N + T = M$  implies  $T = M$  for any subobject  $T$  in  $M$ . Following to [13], we define a semi-perfect (resp. perfect) object  $P$  in  $\mathfrak{A}$ .  $P$  is called *semi-perfect* (resp. *perfect*) if  $P$  is projective and every factor object of  $P$  has a projective cover (resp. any coproduct of copies of  $P$  is semi-perfect).

From the proof of Lemma in [16], we have

**Lemma 1.** *Let  $P$  be a projective object in an abelian category  $\mathfrak{C}$ . Then  $J([P, P]) = \{f \in [P, P], \text{Im } f \text{ is small in } P\}$ .*

**Proposition 1.** *Let  $P$  be a projective object in the Grothendieck category  $\mathfrak{A}$ . Then the following statements are equivalent.*

- 1)  $S_P = [P, P]$  is a local ring;  $S_P/J(S_P)$  is a division ring.
- 2) Every proper subobject in  $P$  is small in  $P$ .
- 3)  $P$  is semi-perfect and directly indecomposable.

(cf. [8], Theorem 5).

**Proof.** 1)  $\rightarrow$  2). Since  $S_P$  is local,  $J(S_P)$  consists of all non-isomorphisms. Let  $N$  be a proper subobject of  $P$  and assume  $P = T + N$ . Since  $P/T \cong N/N \cap T$ , we have a diagram:

$$\begin{array}{ccccccc}
 0 & \rightarrow & T \cap N & \rightarrow & N & \xrightarrow{\nu'} & N/N \cap T \rightarrow 0 \\
 & & & & \swarrow \alpha & & \uparrow \varphi \\
 & & & & & & P/T \\
 & & & & & & \uparrow \nu \\
 & & & & & & P
 \end{array} ,$$

where  $\nu$  and  $\nu'$  are the canonical epimorphisms. Since  $P$  is projective, we obtain  $\alpha \in [P, N] \subseteq S_P$  such that  $\nu'\alpha = \varphi\nu$ . Since  $N \neq P$ ,  $\alpha \in J(S_P)$ . Hence,  $N = \text{Im } \alpha + T \cap N$  and  $P = \text{Im } \alpha + T$ . Therefore,  $P = T$  by Lemma 1.

2)→1). Let  $f$  be not isomorphic. If  $\text{Im } f = P$ ,  $P = P_0 + \text{Ker } f$ . Since  $\text{Ker } f$  is proper,  $\text{Ker } f$  is small in  $P$ , which is a contradiction. Hence,  $\text{Im } f \neq P$ . Let  $g$  be another non-isomorphism. Since  $\text{Im } f$  and  $\text{Im } g$  are small in  $P$ ,  $P \neq \text{Im } f + \text{Im } g \supseteq \text{Im } (f+g)$ . Hence,  $S_P$  is a local ring.

2)→3). It is clear from the definition.

3)→2). Let  $T$  be a proper subobject of  $P$  and  $P' \rightarrow P/T \rightarrow 0$  a projective cover of  $P/T$ . Since  $P$  is indecomposable,  $P \approx P'$ . Hence,  $T$  is small in  $P$ .

For the rest of this section, we always assume that the abelian category  $\mathfrak{A}$  is quasi-small in the sense given in the beginning of this section.

We shall generalize the notions of summability and elementwise  $T$ -nilpotent systems in  $\mathfrak{M}_R$  to a case of quasi-small categories, (cf. [7] and [8]).

A set of morphisms  $\{f_\beta\}_{\beta \in K}$  of an object  $L$  to an object  $Q$  is called *summable* if for any small subobject  $L^n$  in  $L$   $f_\beta|L^n = 0$  for almost all  $\beta \in K$ . Let  $M = \sum_I \oplus M_\alpha$  and  $N = \sum_J \oplus N_\beta$  be two coproducts in  $\mathfrak{A}$ , and let  $i_\alpha, p_\beta$  be an injection  $M_\alpha$  to  $M$  and a projection of  $N$  to  $N_\beta$ , respectively. Let  $f$  be any element in  $[M, N]$  and put  $f_{\beta\alpha} = p_\beta f i_\alpha$ . If  $M_\alpha^n$  is a small subobject of  $M_\alpha$ ,  $f_{\beta\alpha}|M_\alpha^n = 0$  for almost all  $\beta$ . Therefore, the  $\{f_{\beta\alpha}\}_\beta$  is a set of summable morphisms of  $M_\alpha$  to  $N$ . Conversely, let  $\{f_{\beta\alpha}\}_{\beta \in J}$  be a set of summable morphisms of  $M_\alpha$  to  $N$  and  $M_\alpha = \cup M_\alpha^n$ , where  $M_\alpha^n$ 's are small subobjects in  $M_\alpha$ . Since a finite union of small subobjects is again small, we assume  $\{M_\alpha^n\}$  forms a directed family and  $M_\alpha = \varinjlim M_\alpha^n$ . Furthermore,  $\sum_{\beta \in J} f_{\beta\alpha}|M_\alpha^n$  gives an element in  $[M_\alpha, N]$ . Hence, we have a unique element  $f$  in  $[M, N]$  such that  $f i_\alpha^n = \sum_{\beta \in J} f_{\beta\alpha}|M_\alpha^n$ . Thus, we have

**Lemma 2.** *Let  $M_i = \sum_{\alpha_i \in I_i} \oplus M_{i\alpha_i}$  be objects in the quasi-small category  $\mathfrak{A}$  for  $i=1, 2$  and 3. Then  $[M_1, M_2]$  is isomorphic to the set of row summable matrices with entries  $a_{\alpha_j \alpha_i}$ . Furthermore, the composition  $[M_2, M_3] [M_1, M_2]$  corresponds to the product of matrices, where  $a_{\alpha_j \alpha_i} \in [M_{i\alpha_i}, M_{j\alpha_j}]$ .*

**Corollary 1.** *Let  $P$  be projective and directly indecomposable object in  $\mathfrak{A}$  with a set of small generators. If  $S_P = [P, P]$  is a local ring, then  $P$  is semi-perfect and  $J(P)$  is a unique maximal subobject of  $P$ . Hence,  $P$  is finitely generated.*

Proof. Let  $Q_1 \subset Q_2 \subset \dots \subset Q_n \subset \dots$  be a series of proper subobjects in  $P$ . If  $P = \cup Q_j$ , we have a diagram

$$\begin{array}{ccc} \sum \oplus Q_j & \xrightarrow{\nu} & P \rightarrow 0 \quad (\text{exact}) \\ & \swarrow f & \parallel 1_P \\ & & P \end{array}$$

, where  $\nu$  is given naturally by inclusions. We obtain  $f \in [P, \sum \oplus Q_j]$  such that  $\nu f = 1_P$  and put  $f = \begin{pmatrix} f_1 \\ f_2 \\ \vdots \\ f_\alpha \\ \vdots \end{pmatrix}$  and  $\nu = (i_1, i_2, \dots, i_\alpha, \dots)$ . Then  $1_P = \sum i_\alpha f_\alpha$ . However,

any of  $f_\alpha$ 's is not isomorphic, which is a contradiction (cf. [1]). Hence, we have a maximal subobject by the Zorn's lemma. Therefore,  $J(P)$  is a unique maximal, subobject of  $P$  by Proposition 1.

**Corollary 2** ([6], Theorem 2.8.) *Let  $P$  be projective and artinian, then  $P$  is finitely generated, and  $S_P$  is right artinian.*

Proof. Since  $S_P$  is a semi-primary ring by [5], Proposition 2.7, it is clear from the above corollary.  $S_P$  is right artinian from [6], Lemma 2.6.

### 2. Coproducts of completely indecomposable objects

We studied Krull-Remak-Schmidt-Azumaya's theorem for a direct decomposition of a module as completely indecomposable modules in [7], [8], [10] and [12]. We shall generalize many results in a case of modules to a case of Grothendieck abelian categories  $\mathfrak{A}$  with a set of small generators.

An object  $M$  in  $\mathfrak{A}$  is called *completely indecomposable* if  $S_M = [M, M]$  is a local ring. The following lemma was given in [7], p. 343, Remark 4 without proof. We shall give here its proof for the sake of completeness.

**Lemma 3.** *Let  $M = \sum_{i=1}^{\infty} \oplus M_i$  and  $M_i$ 's be completely indecomposable objects in a  $C_3$ -abelian category  $\mathfrak{C}$ . Let  $\{f_i\}_{i=1}^n$  be a set of morphisms  $f_i \in [M_i, M_{i+1}]$ . Put  $M_i' = \text{Im}(1_{M_i} + f_i)$ . Then  $M_t \cap (M_{i_1}' + M_{i_2}' + \dots + M_{i_s}') \subseteq \text{Ker}(f_n f_{n-1} \dots f_t)$  for  $1 \leq t \leq n$  and  $(i_1, i_2, \dots, i_s) \subseteq (1, 2, \dots, n)$  and  $M_t \cap (M_t + \sum_{j=1}^n M_j') \subseteq \text{Im}(f_{t-1} \dots f_1) + \text{Ker}(f_n \dots f_t)$  for  $i \leq t \leq n$ .*

Proof. We take  $\{M_i\}_{i=1}^{n+1}$  and we construct a small full subcategory  $\mathfrak{C}_0$  such that  $\mathfrak{C}_0$  contains all  $M_i'$  and kernels and images in  $\mathfrak{C}_0$  are those in  $\mathfrak{C}$ , (see [14], p. 101, Lemma 2.7). Then there exists an exact covariant imbedding functor of  $\mathfrak{C}_0$  to  $Ab$  by [14], p. 101, Theorem 2.6. Hence, we may assume that all of  $M_i$  are abelian groups. In this case the lemma is clear.

We shall make use of the same condition I, II and III given in [7], p. 331–332, (see the introduction). Condition I is satisfied for any two decompositions as coproducts of completely indecomposable objects in an arbitrary Grothendieck category (see [5] or [8], Theorem 7'). We are now interested in Condition II.

From now on we assume that a Grothendieck category  $\mathfrak{A}$  has a generating set of small objects, namely quasi-small in the sense of §1.

First, we shall generalize the notions of elementwise semi- $T$ -nilpotent system defined in [7] and [8].

Let  $\mathfrak{C}$  be an ideal in  $\mathfrak{A}$ . We take a set of objects  $\{M_\alpha\}$  and consider morphisms  $f_{\alpha_i}: M_{\alpha_i} \rightarrow M_{\alpha_{i+1}}$ , which belong to  $\mathfrak{C}$ . If for any small subobject  $M_{\alpha_1}^n$  of  $M_{\alpha_1}$  there exists  $m$  such that  $f_{\alpha_m} f_{\alpha_{m-1}} \cdots f_{\alpha_1} | M_{\alpha_1}^n = 0$ , we call  $\{f_{\alpha_i}\}$  a *locally right  $T$ -nilpotent system* (with respect to  $\mathfrak{C}$ ). If for any subset  $\{M_\alpha\}$  and any set  $\{f_{\alpha_i}\}$ ,  $\{f_{\alpha_i}\}$  is locally right  $T$ -nilpotent system, we call  $\{M_\alpha\}$  is a *locally right  $T$ -nilpotent system*. If  $\alpha_i \neq \alpha_j$  for  $i \neq j$  in the above, we call  $\{f_{\alpha_i}\}$  and  $\{M_\alpha\}$  *locally right semi- $T$ -nilpotent systems*. Similarly, if we replace  $f_{\alpha_i}$  by  $g_{\alpha_i}: M_{\alpha_{i+1}} \rightarrow M_{\alpha_i}$  and  $g_{\alpha_1} g_{\alpha_2} \cdots g_{\alpha_m} = 0$  for some  $m$ , we call  $\{g_{\alpha_i}\}$  left  $T$ -nilpotent.

If we replace *elementwise (semi-)  $T$ -nilpotent system* by *locally right (semi-)  $T$ -nilpotent systems* in the arguments in [7], [8], [9], [10] and [12], we know that many results in them are valid in  $\mathfrak{A}$  without changing proofs. For instance, in order to prove the same result of [7], Lemma 9 for  $\mathfrak{A}$ , we can replace the relations 2) and 3) in [7], p. 336 by Lemma 3 and elements  $x$  by small subobjects, and we use the same argument, taking a projection of  $M$  to  $M_n$  if necessary.

Let  $\{M_\gamma\}$  be a set of completely indecomposable objects and  $\mathfrak{B}$  be the induced full additive category from  $\{M_\alpha\}$ : objects of  $\mathfrak{B}$  consist of all coproducts of some  $M_\alpha$  (and their all isomorphic images). We can express all morphisms in  $\mathfrak{B}$  by row summable matrices  $(a_{\beta\alpha})$  by Lemma 2. We define an ideal  $\mathfrak{C}$  of  $\mathfrak{B}$  as follows:  $\mathfrak{C}$  consists of all morphisms  $(a_{\beta\alpha})$  such that  $a_{\gamma\delta}: M_\delta \rightarrow M_\gamma$  is not isomorphic for all  $\gamma, \delta$ . Then we have from Theorem 9 in [7].

**Theorem 1.** *Let  $\mathfrak{A}$  be a Grothendieck category with a generating set of small objects, and  $\mathfrak{B}$  the induced full subadditive category from a set of completely indecomposable objects  $M_\alpha$ . Then the following statements are equivalent.*

- 1) *For any two decompositions  $M = \sum_I \oplus Q_\alpha = \sum_J \oplus N_\beta$  of any object  $M$  in  $\mathfrak{B}$ , Condition II in [7] is satisfied, where  $Q_\alpha, N_\beta$  are indecomposable.*
- 2) *The ideal  $\mathfrak{C}$  in  $\mathfrak{B}$  defined above is the Jacobson radical of  $\mathfrak{B}$ .*
- 3)  *$\{M_\alpha\}$  is a locally right  $T$ -nilpotent system.*

Similarly from [12], Theorem or [10], Lemma 5 we have

**Theorem 2.** *Let  $\mathfrak{A}, \mathfrak{B}$  and  $\mathfrak{C}$  be as above. Then the following statements are equivalent.*

- 1) *For given two decompositions  $M = \sum_I \oplus Q_\alpha = \sum_J \oplus N_\beta$  of a given object  $M$*

in  $\mathfrak{B}$ , Condition II is satisfied, where  $Q_\alpha, N_\beta$  are indecomposable.

- 2)  $\mathfrak{C} \cap S_M = J(S_M)$ , where  $S_M = [M, M]$
- 3)  $\{Q_\alpha\}_I$  is a locally right semi- $T$ -nilpotent system with respect to  $\mathfrak{C}$ .

REMARK. Using Lemmas 2 and 3, we can obtain theorems concerned with exchange properties in  $\mathfrak{A}$  in [6] and [9] if we replace *semi- $T$ -nilpotent* by *locally right semi- $T$ -nilpotent*.

### 3. Perfect categories

H. Bass defined a perfect or semi-perfect ring in [2]. Recently, M. Weidenfeld and G. Weidenfeld have generalized them to a functor category  $(\mathfrak{C}, Ab)$  of an additive category  $\mathfrak{C}$  in [17].

We shall define a perfect or semi-perfect Grothendieck category  $\mathfrak{A}$  and study some properties of  $\mathfrak{A}$ , which are analogous to ones in [2], as an application of §2.

Let  $\mathfrak{A}$  be a Grothendieck category.  $\mathfrak{A}$  is called *perfect* (resp. *semi-perfect*) if every (resp. finitely generated) object  $A$  in  $\mathfrak{A}$  has a projective cover (cf. [2]).

Let  $\mathfrak{A}'$  be the spectral Grothendieck category given in [7], p. 331, Example 2. Then every object in  $\mathfrak{A}'$  has a trivial projective cover and hence,  $\mathfrak{A}'$  is perfect. However,  $\mathfrak{A}'$  has completely different properties from ones in  $\mathfrak{M}_R$ , where  $R$  is a right perfect ring.

We are interested, in this section, in perfect categories with similar properties of perfect rings. Hence, in order to exclude such a special perfect category we assume that  $\mathfrak{A}$  is quasi-small, namely  $\mathfrak{A}$  has a generating set of small objects.

As seen in [2] and [13], the fact  $P \neq J(P)$  for a projective  $P$  in  $\mathfrak{A}$  is very important to study perfect categories. In the spectral category  $\mathfrak{A}'$  above, this fact is not true. On the other hand, that fact was shown in  $\mathfrak{M}_R$  and noted in  $(\mathfrak{C}, Ab)$  by [2] and [17], respectively.

We first generalize them as follows:

**Proposition 2.** *Let  $\mathfrak{A}$  be a Grothendieck category and  $A$  an object in  $\mathfrak{A}$ . If  $A$  is a retract of a coproduct of either*

- a) *projective objects  $P$  such that  $J(P)$  is small in  $P$ , or*
- b) *noetherian objects,*

*then  $A \neq J(A)$ .*

We need two lemmas for the proof, the first of which is well known.

**Lemma 4.** *Let  $P$  be a small and projective object in  $\mathfrak{A}$ . Then  $P$  is finitely generated and  $J(P)$  is small in  $P$ .*

See [3], p. 105.

**Lemma 5.** *Let  $\{A_i\}_I$  be a family of objects in  $\mathfrak{A}$  such that  $[A_i, J(A_i)]$  is*

contained in  $J([A_i, A_i])$  for all  $i \in I$ . Put  $A = \sum_{\alpha \in I} \oplus A_\alpha$ . Then for  $f \in [A, A]$  with  $\text{Ker}(1-f) \neq 0$ ,  $\text{Im } f \neq J(\text{Im } f)$ .

**Proof.** Put  $B = \text{Im } f$  and assume  $B = J(B)$ . Since  $J(B) \subset J(A)$ ,  $f \in [A, J(A)]$ .  $\text{Ker}(1-f) \neq 0$  from the assumption and hence,  $\text{Ker}(1-f) \cap \sum_{i=1}^n \oplus A_{\alpha_i} \neq 0$  for some finite indices  $\alpha_i \in I$ . Let  $e_1$  be the projection of  $A$  to  $A_{\alpha_1}$ . Since  $f \in [A, J(A)]$ ,  $e_1 f e_1 | A_{\alpha_1} \in [A_{\alpha_1}, J(A_{\alpha_1})] \subset J(S_{A_{\alpha_1}})$ . Hence,  $e_1(1-f)e_1 | A_{\alpha_1} = (e_1 - e_1 f e_1) | A_{\alpha_1}$  is automorphic. Therefore,  $A = (1-f)(A_{\alpha_1}) \oplus \text{Ker } e_1 = (1-f)(A_{\alpha_1}) \oplus \sum_{\beta \neq \alpha_1} \oplus A_\beta$  and  $A_{\alpha_1} \xrightarrow{1-f} (1-f)(A_{\alpha_1})$ . Let  $e_2$  be the projection of  $A$  to  $A_{\alpha_2}$  in the above decomposition. Then we obtain  $A = (1-f)(A_{\alpha_1}) \oplus (1-f)(A_{\alpha_2}) \oplus \sum_{\beta \neq \alpha_1, \alpha_2} \oplus A_\beta$  and  $A_{\alpha_2} \xrightarrow{1-f} (1-f)(A_{\alpha_2})$ . Repeating this argument, we know that  $(1-f) | \sum_{i=1}^n \oplus A_{\alpha_i}$  is isomorphic, which is a contradiction, (this argument is due to [1]).

**Proof of Proposition 2.** It is clear for the case a) from Lemmas 4 and 5 and [10], Proposition 1. Let  $A$  be a noetherian object. Then  $A \neq J(A)$  and  $J(A)$  is small in  $A$ . Hence,  $1-f$  is epimorphic for any  $f$  in  $[A, J(A)]$ . Therefore,  $1-f$  is unit, since  $A$  is noetherian. Thus,  $[A, J(A)] \subset J(S_A)$ .

**Corollary 1** ([2] and [17]). *Let  $\mathfrak{A}$  be a Grothendieck category which is one of the following types :*

- a)  $\mathfrak{M}_R$  for some ring  $R$ ,
- b)  $(\mathfrak{C}, Ab)$ , where  $\mathfrak{C}$  is a small additive category,
- c) *Locally noetherian.*

*Then  $P \neq J(P)$  for every non-zero projective object  $P$ .*

**Corollary 2.** *Let  $\mathfrak{C}$  be an artinian abelian category and  $L(\mathfrak{C}, Ab)$  the left exact functor category. Then  $Q \neq J(Q)$  for every retract  $Q$  of any coproduct of generators  $\{H^A\}_{A \in \mathfrak{C}}$ , where  $H^A(-) = [A, -]$ .*

**Proof.**  $L(\mathfrak{C}, Ab)$  is locally noetherian by [4], Proposition 7 in p. 356.

For the study of perfect categories, we recall an induced category. Let  $\{M_\alpha\}_I$  be a given set of some objects in a Grothendieck category  $\mathfrak{A}$ . By  $\mathfrak{C}_f$  we denote the full subadditive category of  $\mathfrak{A}$ , whose objects consist of all finite coproducts of  $M_\alpha$  which is isomorphic to some  $M_\beta$  in  $\{M_\alpha\}_I$ . We call  $\mathfrak{C}_f$  the *finitely induced additive category from  $\{M_\alpha\}$* , (see [7]). If all  $M_\alpha$  are completely indecomposable,  $\mathfrak{C}_f$  is amenable (see [3], p. 119) by [7], Theorem 7'.

Let  $A$  be an object in  $\mathfrak{A}$ . By  $S(A)$  we denote the socle of  $A$ , namely  $S(A) =$  the union of all minimal subobjects in  $A$ .

Following to [15], we call  $\mathfrak{A}$  *semi-artinian* if  $S(A) \neq 0$  for all non-zero object  $A$  in  $\mathfrak{A}$ .

If  $\mathfrak{A}$  is a Grothendieck category with a generating set of small projective, then  $\mathfrak{A}$  is equivalent to  $(\mathfrak{C}, Ab)$  by Freyd's theorem (see [14], p. 109, Theorem 5.2), where  $\mathfrak{C}$  is a small additive category. In this case,  $\mathfrak{A}$  is also equivalent to a subcategory of modules by [4]. We give here categorical proofs in the following for some properties in  $\mathfrak{A}$ , however we note that we can prove them ring-theoretical (see Remark below).

First, we generalize [15], Proposition 3.2.

**Proposition 3.** ([15]). *Let  $\mathfrak{A}$  be a Grothendieck category with a generating set  $\{P_\alpha\}$  of small projective. Then  $\mathfrak{A}$  is semi-artinian if and only if 1)  $\{P_\alpha\}$  is a left  $T$ -nilpotent system with respect to  $J(\mathfrak{A})$  and 2)  $S(A) \neq 0$  for every non-zero quotient object  $A$  of  $P_\alpha/J(P_\alpha)$  for all  $\alpha$ .*

Proof. If  $\mathfrak{A}$  is semi-artinian, 2) is clear. The following argument is similar to one in [2], p. 470. Let  $\{f_i\}$  be a set in  $J(\mathfrak{A})$  and  $f_i: P_{i+1} \rightarrow P_i$ . We define inductively a series of subobjects  $K_\alpha$  of  $P_{\alpha_1}$  as follows:  $K_0 = 0, K_1 = S(P_1), K_2/K_1 = S(P_1), \dots$ . If  $\alpha$  is a limit,  $K_\alpha = \bigcup_{\beta < \alpha} K_\beta$ . Since  $\mathfrak{A}$  is a Grothendieck category,  $P_i = K_\gamma$  for some  $\gamma$ . Put  $I_i = \text{Im } f_1 f_2 \dots f_i$ . Then  $I_i$  is finitely generated, since so is  $P_{i+1}$ . Let  $\alpha_i$  be the least number such that  $K_{\alpha_i} \supset I_i$ . If  $\alpha_i$  is a limit, then  $I_i = \bigcup_{\beta < \alpha_i} (K_\beta \cap I_i)$  and hence,  $I_i \subset K_\beta$  for some  $\beta < \alpha_i$ . Therefore, we can express  $\alpha_i = \delta_i + 1$ . Since  $K_{\alpha_i}/K_{\delta_i}$  is semi-simple,  $J(K_{\alpha_i}/K_{\delta_i}) = 0$  and  $\text{Im } f_{i+1} \subset J(P_i)$  by Lemma 1. Hence,  $\text{Im } f_1 f_2 \dots f_{i+1} = \text{Im } ((f_1 f_2 \dots f_i) f_{i+1}) \subset K_{\delta_i}$ . Therefore,  $\alpha_i > \alpha_{i+1}$  which means that  $\{f_i\}$  is a left  $T$ -nilpotent. Conversely, we assume 1) and 2). We show that for any non-zero object  $A$ , there exists  $P_1$  and  $f \in [P_1, A]$  such that  $f(J(P_1)) = 0$  and  $f \neq 0$ . If it were not true, we would have some  $P_1$  and  $f \in [P_1, A]$  such that  $f(J(P_1)) \neq 0$ . If we consider an exact sequence,

$$\begin{array}{ccc}
 J(P_1) & \xrightarrow{f} & f(J(P_1)) \longrightarrow 0 \\
 & \swarrow f_1 & \uparrow f'_1 \\
 & & P_2
 \end{array}$$

we have some  $P_2, f'_1 \in [P_2, f(J(P_1))]$  and  $f_1 \in [P_2, J(P_1)]$  such that  $f'_1 = ff_1$ . Since  $f'_1(J(P_2)) \neq 0$ , we can find  $P_3$  and  $f_2 \in [P_3, J(P_2)]$  such that  $f'_2 = ff_1 f_2 \in [P_2, A]$  and  $f'_2(J(P_2)) \neq 0$ . Repeating this argument we have  $f'_n = ff_1 \dots f_n \neq 0$  and  $f_i \in [P_{i+1}, J(P_i)] \subset J(\mathfrak{A}) \cap [P_{i+1}, P_i]$  for all  $n$  by Lemma 1, which contradicts to 1). Hence,  $\mathfrak{A}$  is semi-artinian from 2).

In order to characterize some perfect Grothendieck categories, we give some notes here. For a project object  $P$  such that  $P \neq J(P)$  we obtain from [13], Theorem 5.2 that  $P = \sum \oplus P_\alpha$  is semi-perfect if and only if  $P_\alpha$ 's are semi-perfect of  $J(P_\alpha) \neq P_\alpha$  and  $J(P)$  is small in  $P$ . Further if  $P$  is semi-perfect,  $P = \sum \oplus Q_\alpha$

by [13], Corollary 4.4, where  $Q_\alpha$ 's are completely indecomposable. Similarly from Lemma 5 and [10], Proposition 1 and Corollary 1 to Theorem 3 we obtain

**Lemma 6.** *Let  $\mathfrak{A}$  be a quasi-small Grothendieck category and  $\{P_\alpha\}_I$  a family of semi-perfect objects in  $\mathfrak{A}$ . Then  $P = \sum_I \oplus P_\alpha$  is semi-perfect (resp. perfect) and  $P \neq J(P)$  if and only if  $\{P_\alpha\}_I$  is a locally right semi- $T$ -nilpotent (resp.  $T$ -nilpotent) system with respect to  $J([P, P])$  and  $P_\alpha \neq J(P_\alpha)$  for all  $\alpha$ .*

**Theorem 3.** *An abelian category  $\mathfrak{A}$  is a Grothendieck category with a generating set of finitely generated objects and is semi-perfect if and only if  $\mathfrak{A}$  is equivalent to  $(\mathfrak{C}_f^\circ, Ab)$ , where  $\mathfrak{C}_f$  is the finitely induced sub-additive category from  $\{P_\alpha\}_I$ , where  $P_\alpha$ 's are completely indecomposable objects in  $\mathfrak{A}$ .*

Proof. Let  $\{G_\alpha\}$  be a generating set of finitely generated objects. If  $\mathfrak{A}$  is semi-perfect, we have a projective cover  $P_\alpha$  of  $G_\alpha$ ;  $0 \rightarrow K_\alpha \rightarrow P_\alpha \xrightarrow{f} G_\alpha \rightarrow 0$  is exact and  $K_\alpha$  is small in  $P_\alpha$ . Furthermore,  $P_\alpha$  contains a finitely generated subobject  $P'$  such that  $f(P') = G_\alpha$ . Hence,  $P_\alpha = K + P'$  implies that  $P_\alpha$  is also finitely generated. Therefore,  $\mathfrak{A}$  has a generating set of projective small  $P_\alpha$ . We have  $P \neq J(P)$  for every projective object  $P$  by Proposition 2. Thus  $P_\alpha = \sum_{i=1}^{n_\alpha} \oplus P_{\alpha_i}$  by [13], Corollary 4.4, where  $P_{\alpha_i}$ 's are completely indecomposable. Let  $\mathfrak{C}_f$  be the induced subadditive category from  $\{P_{\alpha_i}\}$ . Then  $\mathfrak{A}$  is equivalent to  $(\mathfrak{C}^\circ, Ab)$  by Freyd's Theorem. Conversely, if  $\mathfrak{A} \approx (\mathfrak{C}^\circ, Ab)$ ,  $\{H_C(-) = [-, C]\}$  is a generating set of finitely generated projective objects by Lemma 4. Further  $\mathfrak{A}$  is semi-perfect by Proposition 1 and [14], Corollary 5.3.

If a ring  $R$  is right artinian, then  $\mathfrak{M}_R$  is right (semi-) perfect. Similarly, we have

**Proposition 4.** *Let  $\mathfrak{A}$  be a Grothendieck category with a generating set  $\{P_\alpha\}_I$  of projective objects with finite length. Then  $\mathfrak{A}$  is semi-perfect.  $\mathfrak{A}$  is perfect if and only if  $\sum_I \oplus P_\alpha$  is semi-perfect, (cf. Remark 2 below)*

Proof. We may assume that  $\mathfrak{A}$  has a generating set of completely indecomposable and small projective objects  $P_\alpha$ . Then  $P_\alpha$  is semi-perfect by Proposition 1 and hence,  $\mathfrak{A}$  is semi-perfect. If  $\sum_I \oplus P_\alpha$  is semi-perfect, then  $\sum \oplus P_\alpha$  is perfect by Lemma 6 and [6], Proposition 2.4.

Analogously to Theorem 3, we have

**Theorem 4.** *An abelian category  $\mathfrak{A}$  is a Grothendieck category with a generating set of finitely generated objects and is perfect if and only if  $\mathfrak{A}$  is equivalent to  $(\mathfrak{C}_f^\circ, Ab)$ , where  $\mathfrak{C}_f$  is the finitely induced additive category from a set of some completely indecomposable objects  $P_\alpha$  such that  $\{P_\alpha\}$  is a right  $T$ -nilpotent system*

with respect to  $J(\mathbb{C}_f)$ .

Proof. If  $\mathfrak{A}$  is a perfect Grothendieck category as above, then  $\mathfrak{A} \approx (\mathbb{C}_f^0, Ab)$  by Theorem 3. It is clear from Lemma 6 that  $\{P_\alpha\}$  is a right  $T$ -nilpotent system with respect to  $J(\mathbb{C}_f)$ , since  $P_\alpha$  is small. Conversely, if  $\mathfrak{A} \approx (\mathbb{C}_f^0, Ab)$ ,  $\mathfrak{A}$  is a perfect category as in the theorem by Lemmas 4 and 6.

We have immediately from Corollary to Lemma 2, Proposition 3 and Theorems 3 and 4

**Corollary 1.** *Let  $\mathfrak{A}$  be a Grothendieck category with a generating set of finitely generated. Then  $\mathfrak{A}$  is semi-perfect if and only if  $\mathfrak{A}$  has a generating set  $\{P_\alpha\}$  of completely indecomposable projective objects. In this case  $\{P_\alpha\}$  is right (resp. left)  $T$ -nilpotent if and only if  $\mathfrak{A}$  is perfect (resp. semi-artinian).*

Let  $\mathfrak{A}$  be a Grothendieck category as in the above. Then the induced category from  $\{P_{\alpha'}/J(P_{\alpha'})\}_J$  is equivalent to  $\sum_J \oplus \mathfrak{M}_{\Delta_{\alpha'}}$ , where  $\Delta_{\alpha'} = [P_{\alpha'}/J(P_{\alpha'}), P_{\alpha'}/J(P_{\alpha'})]$ , where  $\{P_{\alpha'}/J(P_{\alpha'})\}$  is a complete isomorphic representative of  $\{P_\alpha/J(P_\alpha)\}$ . Hence, we have

**Corollary 2.** *A (semi-) perfect Grothendieck category with a generating set of finitely generated is equivalent to  $\mathfrak{M}_R$  with  $R$  (semi-) perfect if and only if  $J$  is finite.*

From Theorems 3 and 4, we may restrict ourselves to a case of functor categories  $(\mathbb{C}, Ab)$ , if we are interested in perfect Grothendieck categories. First, we note

**Proposition 5** ([17]). *Let  $\mathbb{C}$  be an amenable additive and small category. Then  $(\mathbb{C}, Ab)$  is semi-perfect if and only if every object in  $\mathbb{C}$  is finite coproduct of completely indecomposable objects.*

Proof. It is clear from Theorem 3 and [3], p. 119.

For a ring  $R$ ,  ${}_R\mathfrak{M}$  (resp.  $\mathfrak{M}_R$ ) is naturally equivalent to  $(R, Ab)$  (resp.  $(R^0, Ab)$ ). Hence, an analogy of [2], Theorem 2.1 is

**Corollary.** *Let  $\mathbb{C}$  be as above. Then  $(\mathbb{C}, Ab)$  is semi-perfect if and only if  $(\mathbb{C}^0, Ab)$  is semi-perfect.*

Our next aim is to generalize Theorem  $P$  of [2] to a case of  $(\mathbb{C}_f, Ab)$ . First we shall recall the idea given in [4], Chapter II. Put  $R = \sum_{X, Y \in \mathbb{C}_f} \oplus [X, Y]$  and we can make  $R$  a ring by the compositions of morphisms in  $\mathbb{C}$ . If we denote the identity morphism of  $X$  by  $I_X$ ,  $I_X$  is idempotent and  $I_X I_Y = I_Y I_X = 0$  if  $X \neq Y$ . Hence,  $R = \sum_{X \in \mathbb{C}} \oplus R I_X = \sum_{X \in \mathbb{C}} \oplus I_X R$ . In general,  $R$  does not contain

the identity. We know by [4], Proposition 2 in p. 347 that the covariant functor category  $(\mathfrak{C}, Ab)$  is equivalent to the full subcategory of  ${}_R\mathfrak{M}$  whose objects consist of all left  $R$ -modules  $A$  such that  $RA=A$ . Similarly, we know the contravariant functor category  $(\mathfrak{C}^0, Ab)$  is equivalent to the full subcategory of  $\mathfrak{M}_R$  with  $AR=A$ .

**Lemma 7.** *Let  $\mathfrak{C}_f$  and  $R = \sum \oplus [X, Y]$  be as above. Then  $J(R) = \sum \oplus ([X, Y] \cap J(\mathfrak{C}_f))$ .*

*Proof.* Let  $x$  be in  $J(R)$ . Then there exists a finite number of objects  $X_i$  such that  $x = (\sum I_{X_i})x(\sum I_{X_i}) \in (\sum I_{X_i})J(R)(\sum I_{X_i}) = J((\sum I_{X_i})R(\sum I_{X_i}))$ . On the other hand  $(\sum I_{X_i})R(\sum I_{X_i}) \approx [\sum \oplus X_i, \sum \oplus X_i]$ . Hence,  $x \in \sum ([X, Y] \cap J(\mathfrak{C}_f))$  by [7], Lemma 8. The converse is clear from the above argument.

We can prove the following theorem by the same method given in [2], Part 1 even though  $R$  does not contain the identity (see Remark 1 below). However, we shall give here the proof rather directly (without homological method).

**Theorem 5** (cf. [2]. Theorem P). *Let  $\mathfrak{A}$  be an arbitrary Grothendieck category,  $\{M_\alpha\}_I$  a set of completely indecomposable objects in  $\mathfrak{A}$  and  $\mathfrak{C}_f$  the finitely induced additive subcategory from  $\{M_\alpha\}$ . Put  $R = \sum_{\mathfrak{C}_f} \oplus [X, Y]$  as above. Then the following conditions are equivalent.*

- 1)  $(\mathfrak{C}_f, Ab)$ , is perfect.
- 2)  $\{M_\alpha\}$  is a left  $T$ -nilpotent system with respect to  $J(\mathfrak{C}_f)$ .
- 3)  $J(R)$  is left  $T$ -nilpotent.
- 4)  $R$  satisfies the descending chain condition on principal right ideals in  $J(R)$ .
- 5) Every object in  $(\mathfrak{C}_f^0, Ab)$  contains minimal subobjects.

*We have the similar result for  $(\mathfrak{C}_f^0, Ab)$ .*

*Proof.* 1) $\leftrightarrow$ 2) is nothing but Lemma 6.

2) $\rightarrow$ 3). Let  $x_n$  be in  $J(R)$ . Then  $x_n = \sum x_{nj(n)}, x_{nj(n)} \in [X_{j(n)}, Y_{j(n)}] \cap J(\mathfrak{C}_f)$  by Lemma 7, where we may assume that  $X, Y$  are isomorphic to ones in  $\{M_\alpha\}$ . Hence,  $\{x_n\}$  is left  $T$ -nilpotent by König Graph Theorem.

3) $\rightarrow$ 4) $\rightarrow$ 2) is clear.

2) $\leftrightarrow$ 5) is given by Proposition 3.

**REMARK 1.** We can prove Theorem 5 by making use of idea in [2], Part 1. For instance, let  $\{a_i\}$  be a sequence of elements in  $R$ . There exist idempotents  $I_i$  such that  $I_i a_i = a_i, a_{i-1} I_i = a_{i-1}$ . Then we denote by  $[F, \{a_n\}, G]$

1)  $F = \sum_{i=1}^{\infty} \oplus R I_i x_i$ , 2) The subgroup  $G$  of  $F$  generated by  $\{I_i x_i - a_i I_{i+1} x_{i+1}\}$ , where  $x_i$  is a base. Then this  $[F, \{a_i\}, G]$  takes the place of  $[F, \{a_n\}, G]$  given in [2], p. 468, even though  $R$  does not contain the identity. From those

arguments we can show that we may take out the assumption “in  $J(R)$ ” in 4), (cf. [17], Proposition in p. 1571).

REMARK 2. Let  $\{R_i\}_I$  be a set of perfect rings. Then  $\mathfrak{M}_{R_i}$  is perfect and  $\prod_I \mathfrak{M}_{R_i}$  is also perfect, however  $\prod_I R_i$  is not a perfect ring if  $I$  is infinite.

If a ring  $R$  is right artinian, then  $\mathfrak{M}_R$  has a generator  $R$  of finite length and  $\mathfrak{M}_R$  is perfect. However, in general categories with a generating set of projective and finite length need not be perfect. For instance, let  $K$  be a field and  $I$  the set of natural numbers. We define an abelian category  $[I, \mathfrak{M}_K]$  of commutative diagrams as follows; the objects of  $[I, \mathfrak{M}_K]$  consist of all form  $(A_1, A_2, \dots, A_j, \dots)$  with arrow  $d_{kj}: A_j \rightarrow A_k$  such that  $d_{kj} = 0$  for  $k > j$ , where  $A_i \in \mathfrak{M}_K$ . Then  $[I, \mathfrak{M}_K]$  is an abelian category with a generating set of projective objects  $(K, K, \dots, K, 0, \dots) = U_i$  of finite length (see [11], Proposition 2.1 and [14], p. 227). We have natural monomorphisms  $f_i: U_i \rightarrow U_{i+1}$ . Hence,  $[I, \mathfrak{M}_K]$  is not perfect, however  $[I, \mathfrak{M}_K]$  is semi-artinian by Proposition 3.

Finally, we shall give the following corollary as an example.

**Corollary.** *Let  $\mathfrak{C}$  be a full additive amenable subcategory with finite coproduct in the category of finitely generated torsion abelian groups. Then the following statements are equivalent.*

- 1)  $(\mathfrak{C}, Ab)$  is perfect.
- 2)  $(\mathfrak{C}^0, Ab)$  is perfect.
- 3) Every object in  $(\mathfrak{C}, Ab)$  contains minimal subobjects.
- 4) Every object in  $(\mathfrak{C}^0, Ab)$  contains minimal subobjects.
- 5) The completely isomorphic representative class of indecomposable  $p$ -torsion objects in  $\mathfrak{C}$  is finite for all  $p$ .
- 6)  $(\mathfrak{C}_f, Ab)$  is equivalent to  $\prod \mathfrak{M}_{R_\alpha}$ , where  $R_\alpha$ 's are right artinian rings.

Proof. The indecomposable objects are left (or right)  $T$ -nilpotent with respect to  $J(\mathfrak{C})$  if and only if 5) is satisfied.

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