

## Two-cardinal versions of weak compactness: Partitions of triples

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**Abstract.** Let  $\kappa$  be a regular uncountable cardinal, and  $\lambda$  be a cardinal greater than  $\kappa$ . Our main result asserts that if  $(\lambda^{<\kappa})^{<(\lambda^{<\kappa})} = \lambda^{<\kappa}$ , then  $(p_{\kappa,\lambda}(\text{NIn}_{\kappa,\lambda^{<\kappa}}))^+ \longrightarrow ((\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+, \text{NS}_{\kappa,\lambda} s^+)^3$  and  $(p_{\kappa,\lambda}(\text{NAIN}_{\kappa,\lambda^{<\kappa}}))^+ \longrightarrow (\text{NS}_{\kappa,\lambda} s^+)^3$ , where  $\text{NS}_{\kappa,\lambda} s$  (respectively,  $\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}}$ ) denotes the smallest seminormal (respectively, strongly normal) ideal on  $P_\kappa(\lambda)$ ,  $\text{NIn}_{\kappa,\lambda^{<\kappa}}$  (respectively,  $\text{NAIN}_{\kappa,\lambda^{<\kappa}}$ ) denotes the ideal of non-ineffable (respectively, non-almost ineffable) subsets of  $P_\kappa(\lambda^{<\kappa})$ , and  $p_{\kappa,\lambda} : P_\kappa(\lambda^{<\kappa}) \rightarrow P_\kappa(\lambda)$  is defined by  $p_{\kappa,\lambda}(x) = x \cap \lambda$ .

### 0. Introduction.

Let  $\kappa$  be a regular uncountable cardinal, and  $\lambda > \kappa$  be a cardinal. In this paper we study  $P_\kappa(\lambda)$  versions of weak compactness and associated ideals, thus continuing [23] which dealt with partitions of pairs. Here we are mostly concerned with partitions of triples.

This area of research has been started by Jech in a paper [10] published in 1973. Time has elapsed, but it remains unclear which structure we should investigate. What is the right generalization of  $(\kappa, \subsetneq)$ ? Is it  $(P_\kappa(\lambda), \subsetneq)$  or  $(P_\kappa(\lambda), <)$  (where  $a < b$  means that  $a \in P_{|b \cap \kappa|}(b)$ )? Whenever we can, we give positive results in terms of the first one, and negative results in terms of the second.

It seems to us that Johnson (see e.g. [12]) was right when he stressed the importance of the notion of seminormality. The point is that any  $\kappa$ -complete ideal  $J$  on  $\kappa$  is trivially seminormal (since given  $A \in J^+$ ,  $\gamma < \kappa$  and  $f : A \rightarrow \gamma$ , there must be  $B \in J^+ \cap P(A)$  such that  $f$  is constant on  $B$ ), and therefore the noncofinal ideal  $I_\kappa$  on  $\kappa$  can be seen as the smallest seminormal ideal on  $\kappa$ . So each time we attempt to formulate a two-cardinal version of a statement involving  $I_\kappa$ , we should ponder whether  $I_\kappa$  should be replaced by  $I_{\kappa,\lambda}$  (the noncofinal ideal on  $P_\kappa(\lambda)$ ) or  $\text{NSS}_{\kappa,\lambda}$  (the smallest seminormal ideal on  $P_\kappa(\lambda)$ ). Consider for example the partition property  $\kappa \longrightarrow (\kappa)^2$  expressing that  $\kappa$  is a weakly compact cardinal. By the remarks above, it can be generalized in (at least) four different ways, namely  $P_\kappa(\lambda) \xrightarrow{<} (I_{\kappa,\lambda}^+)^2$ ,  $P_\kappa(\lambda) \longrightarrow (I_{\kappa,\lambda}^+)^2$ ,  $P_\kappa(\lambda) \xrightarrow{<} (\text{NSS}_{\kappa,\lambda}^+)^2$  and  $P_\kappa(\lambda) \longrightarrow (\text{NSS}_{\kappa,\lambda}^+)^2$ . We do not know whether these four assertions are equivalent.

We just advocated the replacement of (some occurrences of)  $I_\kappa$  by  $\text{NSS}_{\kappa,\lambda}$ . Likewise we plead for the replacement of (many occurrences of)  $\text{NS}_\kappa$  (the nonstationary ideal on

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$\kappa$ ) by  $\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}}$  (the smallest strongly normal ideal on  $P_\kappa(\lambda)$ ) which seems to us more appropriate than  $\text{NS}_{\kappa,\lambda}$  (the nonstationary ideal on  $P_\kappa(\lambda)$ ). Note that  $\text{NS}_{\kappa,\lambda} = \text{NSS}_{\kappa,\lambda}$  in case  $\text{cf}(\lambda) < \kappa$ .

Take for instance ineffability. By work of Kunen (see [6]) and Baumgartner [6],  $\text{NIn}_\kappa = \{A \subseteq \kappa : A \not\rightarrow (\text{NS}_\kappa^+)^2\}$ , where  $\text{NIn}_\kappa$  denotes the nonineffable ideal on  $\kappa$ . By work of Abe-Usuba [5], Carr [8], and Magidor [14], if  $\text{cf}(\lambda) \geq \kappa$ , then  $\text{NIn}_{\kappa,\lambda} = \{A \subseteq P_\kappa(\lambda) : A \not\rightarrow_{<} (\text{NS}_{\kappa,\lambda}^+)^2\} = \{A \subseteq P_\kappa(\lambda) : A \not\rightarrow_{<} ((\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+)^2\}$ . The conclusion as stated is no longer valid in case  $\text{cf}(\lambda) < \kappa$ . In fact, it is observed in Section 3 that  $\text{NIn}_{\kappa,\lambda}^+ \not\rightarrow_{<} (\text{I}_{\kappa,\lambda}^+)^2$  if  $2^\lambda = \lambda^{<\kappa}$ .

Baumgartner [6] also showed that  $\text{NIn}_\kappa = \{A \subseteq \kappa : A \not\rightarrow (\text{NS}_\kappa^+, \kappa)^3\}$ . We establish the following:

**THEOREM 0.1** (Theorem 2.14). *Assume  $\lambda^{<\lambda} = \lambda$ . Then  $\text{NIn}_{\kappa,\lambda} = \{A \subseteq P_\kappa(\lambda) : A \not\rightarrow_{<} ((\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+, \text{NSS}_{\kappa,\lambda}^+)^3\}$ .*

We also show that  $\text{NIn}_{\kappa,\lambda}^+ \not\rightarrow_{<} (\text{NS}_{\kappa,\lambda}^+)^3$  does not hold in case  $\text{cf}(\lambda) \geq \kappa$  (see Proposition 2.19).

Note the cardinality assumption in Theorem 0.1. It entails that  $\lambda$  is regular. In the present paper we have little to say concerning the case where  $\kappa \leq \text{cf}(\lambda) < \lambda$  (for some results in this case see [23]). Assuming  $\lambda$  is regular, the cardinality assumption in question is not known to be necessary. However, our guess is that there is some ideal  $J$  on  $P_\kappa(\lambda)$ , whose definition is similar to that of  $\text{NIn}_{\kappa,\lambda}$ , such that  $J = \{A \subseteq P_\kappa(\lambda) : A \not\rightarrow_{<} ((\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+, \text{NSS}_{\kappa,\lambda}^+)^3\}$  (with  $J = \text{NIn}_{\kappa,\lambda}$  in case  $2^{<\lambda} = \lambda$ ). For examples of such situations see [23].

Put  $H = \{A \subseteq \kappa : A \not\rightarrow (\kappa)^2\}$ . If  $\kappa$  is weakly compact, then

- (a)  $H = \text{I}_\kappa$ , and
- (b)  $H^+ \rightarrow (H^+)^3$ .

In particular,  $\text{NS}_\kappa^* \rightarrow (\kappa)^2$  just in case  $\text{NS}_\kappa^* \rightarrow (\kappa)^3$ . The  $P_\kappa(\lambda)$  situation is different. Assuming  $\lambda^{<\lambda} = \lambda$ ,  $\text{NS}_{\kappa,\lambda}^* \rightarrow_{<} (\text{I}_{\kappa,\lambda}^+)^3$  if and only if  $\kappa$  is almost  $\lambda$ -ineffable (Corollary 5.11), whereas by a result of [23]  $\text{NS}_{\kappa,\lambda}^* \rightarrow_{<} (\text{I}_{\kappa,\lambda}^+)^2$  if and only if  $\kappa$  is  $\lambda$ -Shelah.

The following provides a characterization of  $\text{NAIN}_{\kappa,\lambda}$  in terms of partition relations.

**THEOREM 0.2** (Theorem 4.19). *Assume that  $\lambda^{<\lambda} = \lambda$ , but  $\lambda$  is not weakly compact. Then  $\text{NAIN}_{\kappa,\lambda} = \{A \subseteq P_\kappa(\lambda) : A \cap C \not\rightarrow_{<} (\text{I}_{\kappa,\lambda}^+)^3\}$  for some  $C \in \text{NS}_{\kappa,\lambda}^*$ .*

The paper grew out of a set of notes by the second author concerning the  $\rightarrow_{<}$  partition relation. Joint work of the authors led to the present version.

The paper is organized as follows. In Section 1 we review basic material concerning the ideals on  $P_\kappa(\lambda)$  considered in the paper. Sections 2 and 3 are devoted to the notion of ineffability and concerned with partitions of triples, respectively in the case  $\text{cf}(\lambda) = \lambda$  and  $\text{cf}(\lambda) < \kappa$ . Sections 4–6 are also concerned with partitions of triples, but this time in connection with the notion of almost ineffability. They deal, respectively, with

the following three cases:  $\lambda$  is regular but not weakly compact,  $\lambda$  is weakly compact,  $\text{cf}(\lambda) < \kappa$ .

### 1. Basic material.

DEFINITION. For a set  $A$  and a cardinal  $\mu$ , let  $P_\mu(A) = \{a \subseteq A : |a| < \mu\}$ .

DEFINITION.  $I_{\kappa,\lambda}$  denotes the collection of all  $A \subseteq P_\kappa(\lambda)$  such that  $A \cap \{a \in P_\kappa(\lambda) : b \subseteq a\} = \emptyset$  for some  $b \in P_\kappa(\lambda)$ .

DEFINITION. By an *ideal* on  $P_\kappa(\lambda)$ , we mean a collection  $J$  of subsets of  $P_\kappa(\lambda)$  such that

- (a)  $I_{\kappa,\lambda} \subseteq J$ ,
- (b)  $P(A) \subseteq J$  for all  $A \in J$ , and
- (c)  $A \cup B \in J$  for all  $A, B \in J$ .

$J$  is *proper* if  $P_\kappa(\lambda) \notin J$ .

For an ideal  $J$  on  $P_\kappa(\lambda)$ , let  $J^* = \{A \subseteq P_\kappa(\lambda) : P_\kappa(\lambda) \setminus A \in J\}$ ,  $J^+ = \{A \subseteq P_\kappa(\lambda) : A \notin J\}$ , and  $J|X = \{A \subseteq P_\kappa(\lambda) : A \cap X \in J\}$  for every  $X \in J^+$ .  $\text{cof}(J)$  (respectively,  $\overline{\text{cof}}(J)$ ) denotes the smallest cardinality of  $X \subseteq J$  with the property that for any  $A \in J$ , there is  $Q \subseteq X$  such that  $|Q| < 2$  (respectively,  $|Q| < \kappa$ ) and  $A \subseteq \bigcup Q$ .

DEFINITION. Let  $\xi \leq \lambda$ . An ideal  $J$  on  $P_\kappa(\lambda)$  is  $\xi$ -*normal* if given  $A \in J^+$  and  $f : A \rightarrow \xi$  with the property that  $f(a) \in a$  for every  $a \in A$ , there is  $B \in J^+ \cap P(A)$  such that  $f$  is constant on  $B$ .  $\text{NS}_{\kappa,\lambda}^\xi$  denotes the smallest  $\xi$ -normal ideal on  $P_\kappa(\lambda)$ . An ideal  $J$  on  $P_\kappa(\lambda)$  is *normal* if it is  $\lambda$ -normal. We put  $\text{NS}_{\kappa,\lambda} = \text{NS}_{\kappa,\lambda}^\lambda$ .

Note that  $\text{NS}_{\kappa,\lambda}^\xi = I_{\kappa,\lambda}$  for every  $\xi < \kappa$ .

The following is a generalization of the characterization of  $\text{NS}_{\kappa,\lambda}$ .

LEMMA 1.1. *Let  $\kappa \leq \xi \leq \lambda$  and  $A \subseteq P_\kappa(\lambda)$ . Then  $A \in (\text{NS}_{\kappa,\lambda}^\xi)^*$  if and only if there is  $f : \xi \times \xi \rightarrow P_\kappa(\lambda)$  such that  $C_{\kappa,\lambda}^f \subseteq A$ , where  $C_{\kappa,\lambda}^f$  is the set of all  $a \in P_\kappa(\lambda)$  such that*

- (a)  $a \cap \xi \neq \emptyset$ , and
- (b)  $f(\alpha, \beta) \subseteq a$  for every  $(\alpha, \beta) \in (a \cap \xi) \times (a \cap \xi)$ .

DEFINITION. Given four cardinals  $\tau, \rho, \chi$  and  $\sigma$ ,  $\text{cov}(\tau, \rho, \chi, \sigma)$  is defined as follows. If one may find  $X \subseteq P_\rho(\tau)$  with the property that for any  $a \in P_\chi(\tau)$ , there is  $Q \in P_\sigma(X)$  with  $a \subseteq \bigcup Q$ , let  $\text{cov}(\tau, \rho, \chi, \sigma) =$  the least cardinality of any such  $X$ . Otherwise let  $\text{cov}(\tau, \rho, \chi, \sigma) = 0$ . We set  $\text{cov}(\tau, \rho, \chi, \sigma) = u(\tau, \chi)$  in case  $\rho = \chi$  and  $\sigma = 2$ .

Note that  $u(\kappa, \lambda) = \text{cov}(\kappa, \lambda, \lambda, 2) = \min\{|X| : X \in I_{\kappa,\lambda}^+\}$ .

LEMMA 1.2 (Matet [18]). *Let  $\mu$  be a cardinal with  $\kappa \leq \mu < \lambda$ . Then the following are equivalent:*

- (i)  $\text{NS}_{\kappa,\lambda}^\mu|C = I_{\kappa,\lambda}|C$  for some  $C \in \text{NS}_{\kappa,\lambda}^*$ .

(ii)  $\overline{\text{cof}}(\text{NS}_{\kappa,\mu}) \leq \lambda = \text{cov}(\lambda, \mu^+, \mu^+, \kappa)$ .

DEFINITION. An ideal  $J$  on  $P_\kappa(\lambda)$  is *seminormal* if it is  $\xi$ -normal for every  $\xi < \lambda$ .  $\text{NSS}_{\kappa,\lambda}$  denotes the smallest seminormal ideal on  $P_\kappa(\lambda)$ .

LEMMA 1.3 (Abe [2]). *Suppose  $\lambda$  is regular. Then  $\text{NSS}_{\kappa,\lambda} = \bigcup_{\xi < \lambda} \text{NS}_{\kappa,\lambda}^\xi$ .*

LEMMA 1.4 (Matet-Shelah [22]). *Assuming  $\lambda$  is regular, the following are equivalent:*

- (i)  $\text{NSS}_{\kappa,\lambda}|C = \text{I}_{\kappa,\lambda}|C$  for some  $C \in \text{NS}_{\kappa,\lambda}^*$ .
- (ii)  $\overline{\text{cof}}(\text{NS}_{\kappa,\tau}) \leq \lambda$  for every cardinal  $\tau$  with  $\kappa \leq \tau < \lambda$ .

LEMMA 1.5 (Abe [2]). *Suppose  $\kappa \leq \text{cf}(\lambda) < \lambda$ . Then  $\text{NS}_{\kappa,\lambda} = \text{NSS}_{\kappa,\lambda}|C$  for some  $C \in \text{NS}_{\kappa,\lambda}^*$ .*

DEFINITION. Let  $\delta \leq \lambda$ . An ideal  $J$  on  $P_\kappa(\lambda)$  is  $[\delta]^{<\kappa}$ -normal if given  $A \in J^+$  and  $f : A \rightarrow P_\kappa(\delta)$  with the property that  $f(a) \in P_{|a \cap \kappa|}(a \cap \delta)$  for all  $a \in A$ , there is  $B \in J^+ \cap P(A)$  such that  $f$  is constant on  $B$ .  $J$  is *strongly normal* if it is  $[\lambda]^{<\kappa}$ -normal.

The following is a generalization of a result of Carr-Levinski-Pelletier [9].

LEMMA 1.6. *Suppose  $\kappa$  is a limit cardinal, and let  $\kappa \leq \delta \leq \lambda$ . Then there exists a  $[\delta]^{<\kappa}$ -normal ideal if and only if  $\kappa$  is Mahlo.*

Assuming there exists a  $[\delta]^{<\kappa}$ -normal ideal on  $P_\kappa(\lambda)$ ,  $\text{NS}_{\kappa,\lambda}^{[\delta]^{<\kappa}}$  denotes the smallest such ideal.

LEMMA 1.7 (Carr-Levinski-Pelletier [9], Matet [15]). *Suppose  $\kappa$  is Mahlo and  $\lambda^{<\kappa} = \lambda$ . Then there is  $E \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^*$  such that  $\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}} = \text{NS}_{\kappa,\lambda}|E$ .*

- LEMMA 1.8 (Matet-Péan-Shelah [20]).
- (i) *Suppose  $\kappa$  is Mahlo and  $\overline{\text{cof}}(\text{NS}_{\kappa,\lambda}) \leq \lambda^{<\kappa}$ . Then there is  $E \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^*$  such that  $\text{NS}_{\kappa,\lambda}|E = \text{I}_{\kappa,\lambda}|E$ .*
  - (ii) *Suppose  $\text{cf}(\lambda) < \kappa$ . Then  $\overline{\text{cof}}(\text{NS}_{\kappa,\lambda}) \leq \bigcup_{\kappa \leq \tau < \lambda} \overline{\text{cof}}(\text{NS}_{\kappa,\tau})$ .*

Thus if  $\text{cf}(\lambda) < \kappa$ , then  $\overline{\text{cof}}(\text{NS}_{\kappa,\lambda}) \leq 2^{<\lambda}$ .

DEFINITION. For  $a, b \in P_\kappa(\lambda)$ ,  $a < b$  means that  $a \in P_{|b \cap \kappa|}(b)$ .

DEFINITION. Let  $n \in \omega \setminus 2$ . For  $A \subseteq P_\kappa(\lambda)$ , let  $[A]_\zeta^n = \{(a_1, \dots, a_n) \in A^n : a_1 < \dots < a_n\}$  and  $[A]_\subset^n = \{(a_1, \dots, a_n) \in A^n : a_1 \subsetneq \dots \subsetneq a_n\}$ . Given  $\mathcal{A}, \mathcal{B} \subseteq P(P_\kappa(\lambda))$  and  $\eta \in \text{On}$ ,  $\mathcal{A} \xrightarrow{<} (\mathcal{B})_\eta^n$  (respectively,  $\mathcal{A} \xrightarrow{\subset} (\mathcal{B})_\eta^n$ ) asserts that for any  $A \in \mathcal{A}$  and any  $F : [A]_\zeta^n \rightarrow \eta$ , there is  $B \in \mathcal{B} \cap P(A)$  such that  $F$  is constant on  $[B]_\zeta^n$  (respectively,  $[B]_\subset^n$ ). For  $\mathcal{A}, \mathcal{B}, \mathcal{C} \subseteq P(P_\kappa(\lambda))$ ,  $\mathcal{A} \xrightarrow{<} (\mathcal{B}, \mathcal{C})^n$  (respectively,  $\mathcal{A} \xrightarrow{\subset} (\mathcal{B}, \mathcal{C})^n$ ) asserts that for any  $A \in \mathcal{A}$  and any  $F : [A]_\zeta^n \rightarrow 2$ , there is either  $B \in \mathcal{B} \cap P(A)$  such that  $F$  takes the constant value 0 on  $[B]_\zeta^n$  (respectively,  $[B]_\subset^n$ ), or  $C \in \mathcal{C} \cap P(A)$  such that  $F$  takes the constant value 1 on  $[C]_\zeta^n$  (respectively,  $[C]_\subset^n$ ).  $\mathcal{A} \xrightarrow{<} (\mathcal{B})^n$  (respectively,  $\mathcal{A} \xrightarrow{\subset} (\mathcal{B})^n$ )

means that  $\mathcal{A} \xrightarrow{<} (\mathcal{B}, \mathcal{B})^n$  (respectively,  $\mathcal{A} \longrightarrow (\mathcal{B}, \mathcal{B})^n$ ). For  $A \subseteq P_\kappa(\lambda)$ ,  $A \xrightarrow{<} (\mathcal{B}, \mathcal{C})^n$  (respectively,  $A \xrightarrow{<} (\mathcal{B}, \mathcal{C})^n$ ) means that  $\{A\} \xrightarrow{<} (\mathcal{B}, \mathcal{C})^n$  (respectively,  $\{A\} \longrightarrow (\mathcal{B}, \mathcal{C})^n$ ). Similarly,  $A \xrightarrow{<} (\mathcal{B})_\eta^n$  (respectively,  $A \longrightarrow (\mathcal{B})_\eta^n$ ) means that  $\{A\} \xrightarrow{<} (\mathcal{B})_\eta^n$  (respectively,  $\{A\} \longrightarrow (\mathcal{B})_\eta^n$ ). Each of the above partition relations is negated by crossing the arrow.

LEMMA 1.9 (Jech [10]). *Suppose  $P_\kappa(\lambda) \xrightarrow{<} (I_{\kappa,\lambda}^+)^2$ . Then  $\kappa$  is weakly compact.*

DEFINITION.  $\kappa$  is *mildly  $\lambda$ -ineffable* if given  $f_a : a \rightarrow 2$  for  $a \in P_\kappa(\lambda)$ , there is  $g : \lambda \rightarrow 2$  such that for any  $a \in P_\kappa(\lambda)$ , we may find  $b \in P_\kappa(\lambda)$  such that  $a \subseteq b$  and  $f_b|a = g|a$ .

LEMMA 1.10 (Carr [8], Matet [17]). *If  $P_\kappa(\lambda) \xrightarrow{<} (I_{\kappa,\lambda}^+)^3$ , then  $\kappa$  is mildly  $\lambda^{<\kappa}$ -ineffable.*

LEMMA 1.11 (Usuba [27]). *Suppose  $\text{cf}(\lambda) \geq \kappa$  and  $\kappa$  is mildly  $\lambda$ -ineffable. Then  $\lambda^{<\kappa} = \lambda$ .*

DEFINITION.  $\text{NSJ}_{\kappa,\lambda}$  denotes the set of all  $A \subseteq P_\kappa(\lambda)$  for which one can find  $f_a : a \rightarrow 2$  for  $a \in A$  so that for every  $g : \lambda \rightarrow 2$ , there is  $\xi \in \lambda$  such that  $\{a \in A : \forall \gamma \in a \cap \xi (f_a(\gamma) = g(\gamma))\} \in \text{NS}_{\kappa,\lambda}^\xi$ .

It was observed in [23] that if  $\text{cf}(\lambda) \geq \kappa$  and  $P_\kappa(\lambda) \notin \text{NSJ}_{\kappa,\lambda}$ , then  $\kappa$  is mildly  $\lambda$ -ineffable.

DEFINITION.  $\text{NSh}_\kappa$  is the set of all  $B \subseteq \kappa$  for which one may find  $k_\beta : \beta \rightarrow \beta$  for  $\beta \in B$  such that for any  $t : \kappa \rightarrow \kappa$ , there is  $\delta < \kappa$  with the property that  $k_\beta|_\delta \neq t|_\delta$  for all  $\beta \in B$  with  $\beta \geq \delta$ .

$\text{NSh}_{\kappa,\lambda}$  is the set of all  $A \subseteq P_\kappa(\lambda)$  with the property that we may find  $f_a : a \rightarrow a$  for  $a \in A$  such that for every  $g : \lambda \rightarrow \lambda$ , there is  $b \in P_\kappa(\lambda)$  with  $\{a \in A : b \subseteq a \text{ and } g|b = f_a|b\} = \emptyset$ .  $\kappa$  is  $\lambda$ -Shelah if  $P_\kappa(\lambda) \notin \text{NSh}_{\kappa,\lambda}$ .

DEFINITION.  $\text{NAIN}_{\kappa,\lambda}$  (respectively,  $\text{NIn}_{\kappa,\lambda}$ ) is the set of all  $A \subseteq P_\kappa(\lambda)$  with the property that one may find  $f_a : a \rightarrow 2$  for  $a \in A$  such that there does not exist  $g : \lambda \rightarrow 2$  and  $B$  in  $I_{\kappa,\lambda}^+ \cap P(A)$  (respectively,  $\text{NS}_{\kappa,\lambda}^+ \cap P(A)$ ) such that  $g|a = f_a$  for any  $a \in B$ .  $\kappa$  is  $\lambda$ -ineffable (respectively, *almost  $\lambda$ -ineffable*) if  $P_\kappa(\lambda)$  does not lie in  $\text{NIn}_{\kappa,\lambda}$  (respectively,  $\text{NAIN}_{\kappa,\lambda}$ ).

LEMMA 1.12. (i) (Matet-Usuba [23])  *$\text{NSJ}_{\kappa,\lambda}$  is a (possibly improper) seminormal ideal on  $P_\kappa(\lambda)$ .*

(ii) (Carr [7]) *Each of  $\text{NSh}_{\kappa,\lambda}$ ,  $\text{NAIN}_{\kappa,\lambda}$ ,  $\text{NIn}_{\kappa,\lambda}$  is a (possibly improper) normal ideal on  $P_\kappa(\lambda)$ . Moreover  $\text{NSh}_{\kappa,\lambda} \subseteq \text{NAIN}_{\kappa,\lambda} \subseteq \text{NIn}_{\kappa,\lambda}$ .*

It is simple to see that if  $\mu$  is a cardinal with  $\kappa < \mu < \lambda$ , and  $P_\kappa(\lambda) \notin \text{NSJ}_{\kappa,\lambda}$  (respectively,  $\kappa$  is  $\lambda$ -Shelah,  $\kappa$  is almost  $\lambda$ -ineffable,  $\kappa$  is  $\lambda$ -ineffable), then  $P_\kappa(\mu) \notin \text{NSJ}_{\kappa,\mu}$  (respectively,  $\kappa$  is  $\mu$ -Shelah,  $\kappa$  is almost  $\mu$ -ineffable,  $\kappa$  is  $\mu$ -ineffable).

LEMMA 1.13 (Carr [8], Magidor [14]). *Let  $A \subseteq P_\kappa(\lambda)$  be such that  $A \xrightarrow[\prec]{>} (\text{NS}_{\kappa,\lambda}^+)^2$ . Then  $A \in \text{NIn}_{\kappa,\lambda}^+$ .*

DEFINITION.  $\text{NAIN}_{\kappa,\lambda}^{[\lambda]^{<\kappa}}$  (respectively,  $\text{NIn}_{\kappa,\lambda}^{[\lambda]^{<\kappa}}$ ) is the set of all  $A \subseteq P_\kappa(\lambda)$  with the property that one can find  $f_a : P_{|a \cap \kappa|}(a) \rightarrow 2$  for  $a \in A$  so that there does not exist  $g : P_\kappa(\lambda) \rightarrow 2$  and  $B$  in  $\text{I}_{\kappa,\lambda}^+ \cap P(A)$  (respectively,  $(\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+ \cap P(A)$ ) such that  $g|_{P_{|a \cap \kappa|}(a)} = f_a$  whenever  $a \in B$ .

DEFINITION.  $\text{NIn}_{\kappa,\lambda,2}$  is the set of all  $A \subseteq P_\kappa(\lambda)$  with the property that one can find  $f_{a_0 a_1} : a_0 \rightarrow 2$  for  $(a_0, a_1) \in [A]_{<}^2$  so that there does not exist  $g : \lambda \rightarrow 2$  and  $B$  in  $\text{NS}_{\kappa,\lambda}^+ \cap P(A)$  such that  $g|_{a_0} = f_{a_0 a_1}$  for every  $(a_0, a_1) \in [B]_{<}^2$ .

LEMMA 1.14 (Kamo [13], Matet [16]). *Each of  $\text{NAIN}_{\kappa,\lambda}^{[\lambda]^{<\kappa}}$ ,  $\text{NIn}_{\kappa,\lambda}^{[\lambda]^{<\kappa}}$ ,  $\text{NIn}_{\kappa,\lambda,2}$  is a (possibly improper) normal ideal on  $P_\kappa(\lambda)$ .*

DEFINITION. We define  $p_{\kappa,\lambda} : P_\kappa(\lambda^{<\kappa}) \rightarrow P_\kappa(\lambda)$  by  $p_{\kappa,\lambda}(x) = x \cap \lambda$ .

DEFINITION. For a regular uncountable cardinal  $\mu$ , a  $\mu$ -Aronszajn tree is a tree of height  $\mu$  with every level of size less than  $\mu$  and no cofinal branch.

Specker [26] established that for every infinite cardinal  $\nu$  such that  $\nu^{<\nu} = \nu$ , there exists a  $\nu^+$ -Aronszajn tree.

## 2. Ineffability 1.

We first show that if  $\lambda^{<\lambda} = \lambda$ , then  $\text{NIn}_{\kappa,\lambda}^+ \xrightarrow{\text{ }} ((\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+, \text{NSS}_{\kappa,\lambda}^+)^3$ . We need to recall a few facts.

LEMMA 2.1 (Matet-Usuba [23]). *Suppose  $\lambda^{<\lambda} = \lambda$ , and let  $A \in \text{NSh}_{\kappa,\lambda}^+$  and  $F : [A]_{<}^2 \rightarrow \eta$ , where  $2 \leq \eta < \kappa$ . Then there is  $Q \subseteq A$  such that either  $Q \in \text{NS}_{\kappa,\lambda}^+$  and  $F$  takes the constant value 0 on  $[Q]_{<}^2$ , or  $Q \in \text{I}_{\kappa,\lambda}^+$  and  $F$  takes the constant value  $i$  on  $[Q]_{<}^2$  for some  $i$  with  $0 < i < \eta$ .*

LEMMA 2.2 (Folklore). *Suppose  $\kappa$  is Mahlo. Then  $\{a \in P_\kappa(\lambda) : a \cap \kappa \text{ is an inaccessible cardinal}\} \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^*$ .*

LEMMA 2.3 (Usuba [27]). *Suppose  $\kappa$  is  $\lambda$ -Shelah. Then  $\text{NSh}_{\kappa,\lambda}$  is a strongly normal ideal.*

LEMMA 2.4. *Suppose  $\kappa$  is  $\lambda$ -Shelah. Then the following hold:*

- (i) (Johnson [12])  $\{a \in P_\kappa(\lambda) : \text{o.t.}(a) \text{ is a cardinal}\} \in \text{NSh}_{\kappa,\lambda}^*$ .
- (ii) (Abe [3]) If  $\lambda$  is regular, then  $\{a \in P_\kappa(\lambda) : |a| \text{ is regular}\} \in \text{NSh}_{\kappa,\lambda}^*$ .
- (iii) (Abe [3]) If  $\lambda$  is a strong limit cardinal, then  $\{a \in P_\kappa(\lambda) : |a| \text{ is a strong limit cardinal}\} \in \text{NSh}_{\kappa,\lambda}^*$ .
- (iv) (Abe [3]) Let  $\mu$  be a cardinal such that  $\lambda = 2^\mu$ . Then  $\{a \in P_\kappa(\lambda) : |a| = 2^{|a \cap \mu|}\} \in \text{NSh}_{\kappa,\lambda}^*$ .

LEMMA 2.5. *Suppose  $\kappa$  is  $\lambda$ -Shelah,  $\lambda^{<\lambda} = \lambda$  and  $\lambda$  is not inaccessible. Then  $\{a \in P_\kappa(\lambda) : 2^{<|a|} = |a|\} \in \text{NSh}_{\kappa,\lambda}^*$ .*

PROOF. Suppose otherwise. Then by Lemmas 2.3 and 2.4(i), we may find  $A \in \text{NSh}_{\kappa,\lambda}^+$  and  $\alpha \in \lambda$  such that  $2^{|a \cap \alpha|} > |a|$  for every  $a \in A$ . Put  $C = \{a \in P_\kappa(\lambda) : |a \cap \alpha| = |a \cap |\alpha||\}$ . Note that  $C \in \text{NS}_{\kappa,\lambda}^*$ . Pick a cardinal  $\mu \geq |\alpha|$  with  $2^\mu = \lambda$ . Then for any  $a \in A \cap C$ ,  $2^{|a \cap \mu|} \geq 2^{|a \cap |\alpha||} > |a|$ , which contradicts Lemma 2.4(iv).  $\square$

DEFINITION. Let  $\mathcal{A}_{\kappa,\lambda}$  be the set of all  $a \in P_\kappa(\lambda)$  such that

- (a)  $a \cap \kappa$  is an uncountable inaccessible cardinal, and
- (b) o. t.  $(a)$  is a cardinal greater than  $a \cap \kappa$ .

LEMMA 2.6. *Suppose  $\kappa$  is  $\lambda$ -Shelah. Then  $\mathcal{A}_{\kappa,\lambda} \in \text{NSh}_{\kappa,\lambda}^*$ .*

PROOF. By Lemmas 2.2, 2.3 and 2.4(i).  $\square$

LEMMA 2.7. *Suppose  $\kappa$  is  $\lambda$ -Shelah and  $\lambda^{<\lambda} = \lambda$ . Then  $\{a \in \mathcal{A}_{\kappa,\lambda} : \text{o. t.}(a) < \text{o. t.}(a) = \text{o. t.}(a)\} \in \text{NSh}_{\kappa,\lambda}^*$ .*

PROOF. By Lemmas 2.4 ((ii) and (iii)), 2.5 and 2.6.  $\square$

LEMMA 2.8 (Abe [4]). *Suppose  $\text{cf}(\lambda) \geq \kappa$ ,  $A \in \text{NIn}_{\kappa,\lambda}^+ \cap P(\mathcal{A}_{\kappa,\lambda})$ , and  $s_a \subseteq P_{a \cap \kappa}(a)$  for  $a \in A$ . Then the set of all  $a \in A$  such that  $\{b \in A \cap P_{a \cap \kappa}(a) : s_b = s_a \cap P_{b \cap \kappa}(b)\} \in \text{NSh}_{a \cap \kappa, a}$  lies in  $\text{NIn}_{\kappa,\lambda}$ .*

PROOF. This is immediate from Proposition 3.6, Fact 3.7 and Lemma 3.8 of [4].  $\square$

LEMMA 2.9 (Kamo [13]).  $\text{NIn}_{\kappa,\lambda}^{[\lambda]^{<\kappa}} = p_{\kappa,\lambda}(\text{NIn}_{\kappa,\lambda^{<\kappa}})$ .

LEMMA 2.10 (Abe-Usuba [5]). *Suppose  $A \in \text{NIn}_{\kappa,\lambda}^+ \cap P(A)$  and  $t_a : a \rightarrow a$  for  $a \in H$  such that  $a < b$  for every  $(a, b) \in [H]^2$  with  $t_a = t_b|_a$ .*

PROPOSITION 2.11. *Suppose  $\lambda^{<\lambda} = \lambda$ , and let  $A \in \text{NIn}_{\kappa,\lambda}^+$  and  $F : [A]^3 \rightarrow \eta$ , where  $2 \leq \eta < \kappa$ . Then there is  $Q \subseteq A$  such that either  $Q \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+$  and  $F$  takes the constant value 0 on  $[Q]^3$ , or  $Q \in \text{NSS}_{\kappa,\lambda}^+$  and  $F$  takes the constant value  $i$  on  $[Q]^3$  for some  $i$  with  $0 < i < \eta$ .*

PROOF. By Lemmas 1.4 and 1.7, we may find  $C \in \text{NS}_{\kappa,\lambda}^*$  such that  $\text{NSS}_{\kappa,\lambda}|C = \text{I}_{\kappa,\lambda}|C$ , and  $E \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^*$  such that  $\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}} = \text{NS}_{\kappa,\lambda}|E$ . By Lemma 2.10, there is  $H \in \text{NIn}_{\kappa,\lambda}^+ \cap P(A)$  and  $t_a : a \rightarrow a$  for  $a \in H$  such that  $a < b$  for every  $(a, b) \in [H]^2$  with  $t_a = t_b|_a$ . Select a bijection  $j : P_\kappa(\lambda) \times P_\kappa(\lambda) \times (1 + \eta) \rightarrow P_\kappa(\lambda)$ . Let  $B$  be the set of all  $d \in C \cap E \cap H \cap \mathcal{A}_{\kappa,\lambda}$  such that

- (a)  $d \cap \kappa \geq 1 + \eta$ ,
- (b) o. t.  $(d)^{<\text{o. t.}(d)} = \text{o. t.}(d)$ , and
- (c)  $j(a, b, i) < d$  for any  $(a, b) \in [P_{d \cap \kappa}(d)]_<^2$  and any  $i < 1 + \eta$ .

Then  $B \in \text{NIn}_{\kappa,\lambda}^+$  by Lemmas 2.3 and 2.7. For  $d \in B$ , define  $f_d : [B \cap P_{d \cap \kappa}(d)]_{<}^2 \rightarrow \eta$  by  $f_d(a, b) = F(a, b, d)$ , and put

- $v_d = \{j(a, b, 1 + i) : (a, b) \in [P_{d \cap \kappa}(d)]_{<}^2 \text{ and } f_d(a, b) = i\}$ ,
- $w_d = \{j(\{\gamma\}, \{\delta\}, 0) : (\gamma, \delta) \in d \times d \text{ and } t_d(\gamma) = \delta\}$ ,
- $s_d = v_d \cup w_d$ , and
- $z_d = \{c \in B \cap P_{d \cap \kappa}(d) : s_c = s_d \cap P_{c \cap \kappa}(c)\}$ .

Set  $W = \{d \in B : z_d \in \text{NSh}_{d \cap \kappa, d}^+\}$ . Then  $W \in \text{NIn}_{\kappa,\lambda}^+$  by Lemma 2.8. For  $d \in W$ , we may find by Lemma 2.1  $Q_d \subseteq z_d$  and  $i_d < \eta$  such that

- ( $\alpha$ )  $f_d$  takes the constant value  $i_d$  on  $[Q_d]_{<}^2$ , and
- ( $\beta$ )  $Q_d$  lies in  $\text{NS}_{d \cap \kappa, d}^+$  if  $i_d = 0$ , and in  $\text{I}_{d \cap \kappa, d}^+$  otherwise.

There must be  $i < \eta$  such that  $\{d \in W : i_d = i\} \in \text{NIn}_{\kappa,\lambda}^+$ . By Lemma 2.9,  $\text{NIn}_{\kappa,\lambda}^{[\lambda]^{<\kappa}} = \text{NIn}_{\kappa,\lambda}$ . Hence we may find  $Q \subseteq P_\kappa(\lambda)$  and  $R \in \text{NS}_{\kappa,\lambda}^+$  with  $R \subseteq \{d \in W : i_d = i\}$  such that  $Q \cap P_{d \cap \kappa}(d) = Q_d$  for every  $d \in R$ . If  $i > 0$ , then clearly  $Q \in \text{I}_{\kappa,\lambda}^+$ , and in fact  $Q \in \text{NSS}_{\kappa,\lambda}^+$  since  $Q \subseteq C$ .

CLAIM 1. *Suppose  $i = 0$ . Then  $Q \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+$ .*

PROOF OF CLAIM 1. Since  $Q \subseteq E$ , it suffices to show that  $Q \in \text{NS}_{\kappa,\lambda}^+$ . Fix  $D \in \text{NS}_{\kappa,\lambda}^*$ . Select  $G : \lambda \times \lambda \rightarrow P_\kappa(\lambda)$  so that  $\{a \in P_\kappa(\lambda) : \forall(\zeta, \xi) \in a \times a (G(\zeta, \xi) \subseteq a)\} \subseteq D$ . Since  $R \in \text{NS}_{\kappa,\lambda}^+$ , we may find  $e \in R$  such that  $G(\zeta, \xi) < e$  for every  $(\zeta, \xi) \in e \times e$ . Now  $Q_e \in \text{NS}_{e \cap \kappa, e}^+$ , so we may find  $a \in Q_e$  such that  $G(\zeta, \xi) \subseteq a$  for every  $(\zeta, \xi) \in a \times a$ . Then clearly  $a \in Q \cap D$ , which completes the proof of the claim.  $\square$

Finally, let us show that  $F$  takes the constant value  $i$  on  $[Q]^3$ . Thus let  $(a_0, a_1, a_2) \in [Q]^3$ . Pick  $d \in R$  with  $a_2 < d$ . Then  $\{a_0, a_1, a_2\} \subseteq Q_d \subseteq z_d$ .

CLAIM 2. *Let  $l < 3$ . Then  $t_{a_l} = t_d|_{a_l}$ .*

PROOF OF CLAIM 2. Fix  $\gamma \in a_l$ . Then  $j(\{\gamma\}, \{t_{a_l}(\gamma)\}, 0) \in s_d$  since  $s_{a_l} = s_d \cap P_{a_l \cap \kappa}(a_l)$ , and therefore  $t_{a_l}(\gamma) = t_d(\gamma)$ , which completes the proof of Claim 2.  $\square$

It follows from Claim 2 that  $a_0 < a_1 < a_2$ . Then  $f_d(a_0, a_1) = i$ , so  $j(a_0, a_1, 1 + i) \in s_d$ . Now  $s_{a_2} = s_d \cap P_{a_2 \cap \kappa}(a_2)$ , and therefore  $j(a_0, a_1, 1 + i) \in s_{a_2}$ . Hence  $i = f_{a_2}(a_0, a_1) = F(a_0, a_1, a_2)$ .  $\square$

Our next result asserts that  $\{A \subseteq P_\kappa(\lambda) : A \xrightarrow{<} (\text{NS}_{\kappa,\lambda}^+, [P_\kappa(\lambda)]_{<}^4)^3\} \subseteq \text{NIn}_{\kappa,\lambda}^+$ .

LEMMA 2.12. *Let  $J$  be an ideal on  $P_\kappa(\lambda)$ , and  $A \subseteq P_\kappa(\lambda)$  such that for any  $g : [A]_{<}^3 \rightarrow 2$ , there is either  $B \in J^+ \cap P(A)$  such that  $g$  takes the constant value 0 on  $[B]_{<}^3$ , or  $(a_0, a_1, a_2, a_3) \in [A]_{<}^4$  such that  $g(a_0, a_1, a_2) = g(a_1, a_2, a_3) = 1$ . Then  $A \xrightarrow{<} (J^+)^2$ .*

PROOF. Fix  $f : [A]_{<}^2 \rightarrow 2$ . Define  $g : [A]_{<}^3 \rightarrow 2$  by:  $g(b_0, b_1, b_2) = 1$  just in case  $f(b_0, b_1) = 0$  and  $f(b_1, b_2) = 1$ . Then clearly there must be  $B \in J^+ \cap P(A)$  such that  $g$

takes the constant value 0 on  $[B]_{<}^3$ . Now suppose there is  $(c, d) \in [B]_{<}^2$  with  $f(c, d) = 0$ . Put  $C = \{a \in B : d < a\}$ . We claim that  $f$  takes the constant value 0 on  $[C]_{<}^2$ . Suppose otherwise, and pick  $(v, w) \in [C]_{<}^2$  with  $f(v, w) = 1$ . Then  $f(d, v) = 1$  since  $g(c, d, v) = 0$ , and hence  $g(c, d, v) = 1$ . Contradiction.  $\square$

**PROPOSITION 2.13.** *Let  $A \subseteq P_\kappa(\lambda)$  be such that  $A \xrightarrow{<} (\text{NS}_{\kappa, \lambda}^+, [P_\kappa(\lambda)]_{<}^4)^3$ . Then  $A \in \text{NIn}_{\kappa, \lambda}^+$ .*

**PROOF.** By Lemmas 1.13 and 2.12.  $\square$

If  $\lambda^{<\lambda} = \lambda$ , then by a result of [23], for any  $A \subseteq P_\kappa(\lambda)$ ,  $A \in \text{NSh}_{\kappa, \lambda}^+$  if and only if  $A \xrightarrow{<} ((\text{NS}_{\kappa, \lambda}^{[\lambda]^{<\kappa}})^+, \text{NSS}_{\kappa, \lambda}^+)^2$  if and only if  $A \xrightarrow{<} (\text{NS}_{\kappa, \lambda}^+, \text{I}_{\kappa, \lambda}^+)^2$ . Replacing pairs by triples, we obtain the following:

**THEOREM 2.14.** *Suppose  $\lambda^{<\lambda} = \lambda$ . Then for any  $A \subseteq P_\kappa(\lambda)$ , the following are equivalent:*

- (i)  $A \in \text{NIn}_{\kappa, \lambda}^+$ .
- (ii)  $A \xrightarrow{<} ((\text{NS}_{\kappa, \lambda}^{[\lambda]^{<\kappa}})^+, \text{NSS}_{\kappa, \lambda}^+)^3$ .
- (iii)  $A \xrightarrow{<} (\text{NS}_{\kappa, \lambda}^+, \text{I}_{\kappa, \lambda}^+)^3$ .

**PROOF.** By Propositions 2.11 and 2.13.  $\square$

To conclude this section, let us observe that  $\text{NIn}_{\kappa, \lambda}^+ \xrightarrow{<} (\text{NS}_{\kappa, \lambda}^+)^3$  does not hold in case  $\text{cf}(\lambda) \geq \kappa$ .

**LEMMA 2.15.** *Let  $\mu$  be a cardinal with  $\kappa \leq \mu \leq \lambda$ , and let  $J$  be an ideal on  $P_\kappa(\lambda)$  that is  $\xi$ -normal for every  $\xi < \mu$ . Further let  $A \in J^+$  be such that  $A \xrightarrow{<} (J^+)^3$ , and let  $f_{a_0 a_1} : a_0 \cap \mu \rightarrow 2$  for  $(a_0, a_1) \in [A]_{<}^2$ . Then we may find  $B \in J^+ \cap P(A)$ ,  $h : \mu \rightarrow 2$ , and  $Q_\xi \in J$  for  $\xi < \mu$  such that for any  $\xi < \mu$  and any  $(a_0, a_1) \in [B \setminus Q_\xi]_{<}^2$ ,  $h|(a_0 \cap \xi) = f_{a_0 a_1}|(a_0 \cap \xi)$ .*

**PROOF.** Define  $F : [A]_{<}^3 \rightarrow 2$  by:  $F(a_0, a_1, a_2) = 1$  just in case there is  $\alpha \in a_0$  such that  $f_{a_0 a_1}|(a_0 \cap \alpha) = f_{a_1 a_2}|(a_0 \cap \alpha)$ ,  $f_{a_0 a_1}(\alpha) = 0$ , and  $f_{a_1 a_2}(\alpha) = 1$ . We may find  $B \in J^+ \cap P(A)$  and  $i < 2$  such that  $F$  takes the constant value  $i$  on  $[B]_{<}^3$ . We inductively construct  $h_\xi : \xi \rightarrow 2$  and  $Q_\xi \in J$  for  $\xi < \mu$  so that for any  $\xi < \mu$  and any  $(a_0, a_1) \in [B \setminus Q_\xi]_{<}^2$ ,  $h_\xi|(a_0 \cap \xi) = f_{a_0 a_1}|(a_0 \cap \xi)$ . For  $\xi = 0$ , put  $h_\xi = \emptyset = Q_\xi$ . Now suppose  $\xi > 0$ , and  $h_\eta$  and  $Q_\eta$  have already been defined for all  $\eta < \xi$ . In case  $\xi$  is a limit ordinal, put  $h_\xi = \bigcup_{\eta < \xi} h_\eta$  and  $Q_\xi = S \cup T$ , where  $S = \{a \in P_\kappa(\lambda) : \exists \eta \in a \cap \xi (a \in Q_\eta)\}$  and  $T = \{a \in P_\kappa(\lambda) : \exists \eta \in a \cap \xi (\eta + 1 \notin a)\}$ . Next suppose  $\xi$  is a successor ordinal, say  $\xi = \zeta + 1$ . Put  $R = \{a \in P_\kappa(\lambda) : \zeta \notin a\}$ . If  $f_{c_0 c_1}(\zeta) = 1 - i$  for every  $(c_0, c_1) \in [B \setminus (Q_\zeta \cup R)]_{<}^2$ , set  $h_\xi = h_\zeta \cup \{(\zeta, 1 - i)\}$  and  $Q_\xi = Q_\zeta \cup R$ . Now assume there is  $(c_0, c_1) \in [B \setminus (Q_\zeta \cup R)]_{<}^2$  such that  $f_{c_0 c_1}(\zeta) = i$ . Let  $Z$  be the set of all  $a \in P_\kappa(\lambda)$  such that  $c_1 < a$  does not hold. Then clearly for any  $(a_0, a_1) \in [B \setminus (Q_\zeta \cup R \cup Z)]_{<}^2$ ,  $f_{c_1 a_0}(\zeta) = i$  (since  $F(c_0, c_1, a_0) = i$ ), and therefore  $f_{a_0 a_1}(\zeta) = i$  (since  $F(c_1, a_0, a_1) = i$ ). Put  $h_\xi = h_\zeta \cup \{(\zeta, i)\}$  and  $Q_\xi = Q_\zeta \cup R \cup Z$ . Finally, set  $h = \bigcup_{\xi < \mu} h_\xi$ .  $\square$

LEMMA 2.16. *Let  $A \subseteq P_\kappa(\lambda)$  be such that  $A \xrightarrow{<} (\text{NS}_{\kappa,\lambda}^+)^3$ . Then  $A \in \text{NIn}_{\kappa,\lambda,2}^+$ .*

PROOF. This easily follows from Lemma 2.15.  $\square$

LEMMA 2.17 (Abe [4]). *Let  $A \in \text{NIn}_{\kappa,\lambda}^+$ . Then  $\{d \in \mathcal{A}_{\kappa,\lambda} : A \cap P_{d \cap \kappa}(d) \in \text{NIn}_{d \cap \kappa, d}\} \in \text{NIn}_{\kappa,\lambda}^+$ .*

LEMMA 2.18.  *$\{d \in \mathcal{A}_{\kappa,\lambda} : d \cap \kappa \text{ is not } d\text{-ineffable}\} \in \text{NIn}_{\kappa,\lambda,2}$ .*

PROOF. Suppose otherwise. Pick a bijection  $j : \lambda \times \lambda \times \lambda \times \lambda \rightarrow \lambda$ . Let  $A$  be the set of all  $d \in \mathcal{A}_{\kappa,\lambda}$  such that  $j^{\ll}(d \times d \times d \times d) = d$  and  $d \cap \kappa$  is not  $d$ -ineffable. Then by Lemmas 2.3 and 2.6,  $A \in \text{NIn}_{\kappa,\lambda,2}^+$ . For  $d \in A$ , select  $s_b^d \subseteq b$  for  $b \in P_{d \cap \kappa}(d)$  so that for any  $s \subseteq d$ ,  $\{b \in P_{d \cap \kappa}(d) : s_b^d = s \cap b\} \in \text{NS}_{d \cap \kappa, d}$ . For  $(d, e) \in [A]_{<}^2$ , put  $x_{de} = \{b \in A \cap P_{d \cap \kappa}(d) : s_b^d = s_e^e \cap b\}$ . Note that  $x_{de} \in \text{NS}_{d \cap \kappa, d}$ . Pick  $f_{de} : d \times d \rightarrow d$  so that  $x_{de} \cap \{b \in P_{d \cap \kappa}(d) : f_{de}^{\ll}(b \times b) \subseteq b\} = \emptyset$ . Set  $t_{de} = v_{de} \cup w_{de}$ , where  $v_{de} = \{j(0, 0, 0, \xi) : \xi \in s_e^e\}$  and  $w_{de} = \{j(1, \alpha, \beta, \gamma) : \alpha, \beta, \gamma \in d \text{ and } f_{de}(\alpha, \beta) = \gamma\}$ .

We may find  $B \in \text{NS}_{\kappa,\lambda}^+ \cap P(A)$  and  $t \subseteq \lambda$  such that  $t_{de} = t \cap d$ , for all  $(d, e) \in [B]_{<}^2$ . Set  $S = \{\xi < \lambda : j(0, 0, 0, \xi) \in t\}$ , and define  $f : \lambda \times \lambda \rightarrow \lambda$  by  $f(\alpha, \beta) =$  the unique  $\gamma$  such that  $j(1, \alpha, \beta, \gamma) \in t$ . Let  $C$  be the set of all  $a \in P_\kappa(\lambda)$  such that  $f^{\ll}(a \times a) \subseteq a$ . Now let  $(b, d, e) \in [B \cap C]_{<}^3$ . Then  $b \in x_{de}$  since  $s_b^d = S \cap b = s_b^e \cap b$ . Moreover  $f_{de} = f|(d \times d)$ , so  $f_{de}^{\ll}(b \times b) \subseteq b$ . Contradiction.  $\square$

PROPOSITION 2.19. *Assume  $\text{cf}(\lambda) \geq \kappa$ . Then  $\text{NIn}_{\kappa,\lambda}^+ \not\xrightarrow{<} (\text{NS}_{\kappa,\lambda}^+)^3$ .*

PROOF. By Lemmas 2.16, 2.17 and 2.18.  $\square$

Note that by Lemmas 1.5 and 2.3 and Proposition 2.19,  $\text{NIn}_{\kappa,\lambda}^+ \not\xrightarrow{<} (\text{NS}_{\kappa,\lambda}^+, \text{NSS}_{\kappa,\lambda}^+)^3$  in case  $\kappa \leq \text{cf}(\lambda) < \lambda$ .

QUESTION 1. *Does  $\text{NIn}_{\kappa,\lambda}^+ \xrightarrow{<} (\text{NS}_{\kappa,\lambda}^+, \text{I}_{\kappa,\lambda}^+)^3$  hold in case  $\kappa \leq \text{cf}(\lambda) < \lambda = 2^{<\lambda}$ ?*

### 3. Ineffability 2.

In this section we are concerned with the case  $\text{cf}(\lambda) < \kappa$ . We show that if  $2^\lambda = \lambda^{<\kappa}$ , then  $(p_{\kappa,\lambda}(\text{NIn}_{\kappa,\lambda^{<\kappa}}))^+ \xrightarrow{<} ((\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+, \text{NS}_{\kappa,\lambda}^+)^3$ . Furthermore, we establish that  $\{A \subseteq P_\kappa(\lambda) : A \xrightarrow{<} ((\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+, [P_\kappa(\lambda)]_{<}^4)^3\} \subseteq (p_{\kappa,\lambda}(\text{NIn}_{\kappa,\lambda^{<\kappa}}))^+$  in case  $\text{cf}(\lambda) < \kappa$ .

The reason we work with  $p_{\kappa,\lambda}(\text{NIn}_{\kappa,\lambda^{<\kappa}})$  is that the ideal  $\text{NIn}_{\kappa,\lambda}$  is not large enough. In fact, if  $2^\lambda = \lambda^{<\kappa}$ , then by results of [23] and [27], for any  $A \in \text{NIn}_{\kappa,\lambda}^+$ , there is  $B \in \text{NIn}_{\kappa,\lambda}^+ \cap P(A)$  with  $B \not\xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^2$  (we can take  $B = \{a \in A \cap \mathcal{A}_{\kappa,\lambda} \cap E : A \cap \mathcal{A}_{\kappa,\lambda} \cap E \cap P_{a \cap \kappa}(a) \in \text{NIn}_{a \cap \kappa, a}\}$ , where  $E \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^*$  is such that  $\text{NS}_{\kappa,\lambda}|E = \text{I}_{\kappa,\lambda}|E$ ). On the other hand it can be shown that if  $\text{cf}(\lambda) < \kappa$ , then  $p_{\kappa,\lambda}(\text{NIn}_{\kappa,\lambda^{<\kappa}}) = \{A \subseteq P_\kappa(\lambda) : A \not\xrightarrow{<} ((\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+)^2\} = \{A \subseteq P_\kappa(\lambda) : A \not\xrightarrow{<} (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+)^2\}$ .

DEFINITION. Suppose  $\kappa$  is inaccessible and  $\text{cf}(\lambda) < \kappa$ . Let  $\langle y_\alpha : \lambda \leq \alpha < \lambda^{<\kappa} \rangle$  be a one-to-one enumeration of the elements of  $P_\kappa(\lambda)$ . Define  $q_{\kappa,\lambda} : P_\kappa(\lambda) \rightarrow P_\kappa(\lambda^{<\kappa})$  by

$q_{\kappa,\lambda}(a) = a \cup \{\alpha \in \lambda^{<\kappa} \setminus \lambda : y_\alpha < a\}$ , and set  $\mathcal{X}_{\kappa,\lambda} = \{x \in P_\kappa(\lambda^{<\kappa}) : x = q_{\kappa,\lambda}(x \cap \lambda)\}$ .

LEMMA 3.1 (Abe [1]). *Suppose  $\kappa$  is Mahlo and  $\text{cf}(\lambda) < \kappa$ . Then the following hold:*

- (i)  $\mathcal{X}_{\kappa,\lambda} \in (\text{NS}_{\kappa,\lambda^{<\kappa}}^{[\lambda^{<\kappa}]^{<\kappa}})^*$ .
- (ii)  $q_{\kappa,\lambda}$  is an isomorphism from  $(P_\kappa(\lambda), \subseteq)$  onto  $(\mathcal{X}_{\kappa,\lambda}, \subseteq)$ .
- (iii)  $q_{\kappa,\lambda}(\mathbf{I}_{\kappa,\lambda}) = \mathbf{I}_{\kappa,\lambda^{<\kappa}}|\mathcal{X}_{\kappa,\lambda}$ .
- (iv)  $q_{\kappa,\lambda}(\text{NS}_{\kappa,\lambda}) = \text{NS}_{\kappa,\lambda^{<\kappa}}^\lambda|\mathcal{X}_{\kappa,\lambda}$ .
- (v)  $q_{\kappa,\lambda}(\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}}) = \text{NS}_{\kappa,\lambda^{<\kappa}}^{[\lambda^{<\kappa}]^{<\kappa}} = \text{NS}_{\kappa,\lambda^{<\kappa}}^{[\lambda]^{<\kappa}}|\mathcal{X}_{\kappa,\lambda}$ .

LEMMA 3.2. *Suppose  $\kappa$  is inaccessible and  $\text{cf}(\lambda) < \kappa$ , and let  $Q \subseteq \mathcal{X}_{\kappa,\lambda}$ . Then  $q_{\kappa,\lambda}^{-1}(Q) = \{x \cap \lambda : x \in Q\}$ .*

PROOF.  $\subseteq$ : Let  $a \in P_\kappa(\lambda)$  be such that  $q_{\kappa,\lambda}(a) \in Q$ . Then  $a = \lambda \cap q_{\kappa,\lambda}(a)$ .

$\supseteq$ : Let  $x \in Q$ . Then  $x = q_{\kappa,\lambda}(x) \cap \lambda$ , so  $x \cap \lambda \in q_{\kappa,\lambda}^{-1}(Q)$   $\square$

LEMMA 3.3 (Usuba [27]). *Suppose  $\kappa$  is  $\lambda$ -Shelah and  $\text{cf}(\lambda) < \kappa$ . Then  $\lambda^{<\kappa} = \lambda^+$ .*

The above lemmas and Proposition 2.11 give:

PROPOSITION 3.4. *Suppose  $\text{cf}(\lambda) < \kappa$  and  $2^\lambda = \lambda^{<\kappa}$  and let  $A \in (p_{\kappa,\lambda}(\text{NIn}_{\kappa,\lambda^{<\kappa}}))^+$ . Further let  $F : [A]^3 \rightarrow \eta$ , where  $2 \leq \eta < \kappa$ . Then there is  $B \subseteq A$  such that either  $B \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+$  and  $F$  takes the constant value 0 on  $[B]^3$ , or  $B \in \text{NS}_{\kappa,\lambda}^+$  and  $F$  takes the constant value  $i$  on  $[B]^3$  for some  $i$  with  $0 < i < \eta$ .*

PROOF. Let  $X = \{x \in P_\kappa(\lambda^{<\kappa}) : x \cap \lambda \in A\}$ . Then by Lemmas 2.3 and 3.1,  $X \cap \mathcal{X}_{\kappa,\lambda} \in \text{NIn}_{\kappa,\lambda^{<\kappa}}^+$ . Define  $G : [X \cap \mathcal{X}_{\kappa,\lambda}]^3 \rightarrow \eta$  by  $G(x_0, x_1, x_2) = F(x_0 \cap \lambda, x_1 \cap \lambda, x_2 \cap \lambda)$ . Since  $(\lambda^{<\kappa})^{<(\lambda^{<\kappa})} = \lambda^{<\kappa}$  by Lemma 3.3, we may find by Proposition 2.11  $Q \subseteq X \cap \mathcal{X}_{\kappa,\lambda}$  and  $i < \eta$  such that

- (a)  $G$  takes the constant value  $i$  on  $[Q]^3$ , and
- (b)  $Q \in (\text{NS}_{\kappa,\lambda^{<\kappa}}^{[\lambda^{<\kappa}]^{<\kappa}})^+$  if  $i = 0$ , and  $Q \in \text{NSS}_{\kappa,\lambda^{<\kappa}}^+$  otherwise.

Put  $B = \{x \cap \lambda : x \in Q\}$ . Note that  $B \subseteq A$ . By Lemma 3.2,  $B = q_{\kappa,\lambda}^{-1}(Q)$ , so by Lemma 3.1  $Q \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+$  if  $i = 0$ , and  $Q \in \text{NS}_{\kappa,\lambda}^+$  otherwise.

Let us show that  $F$  takes the constant value  $i$  on  $[B]^3$ . Thus let  $(a_0, a_1, a_2) \in [B]^3$ . For  $j < 3$ , set  $x_j = q_{\kappa,\lambda}(a_j)$ . Note that  $x_j \in Q$  and  $x_j \cap \lambda = a_j$ . By Lemma 3.1  $(x_0, x_1, x_2) \in [Q]^3$ , so  $i = G(x_0, x_1, x_2) = F(a_0, a_1, a_2)$ .  $\square$

PROPOSITION 3.5. *Suppose  $\text{cf}(\lambda) < \kappa$ , and let  $A \subseteq P_\kappa(\lambda)$  be such that  $A \xrightarrow{<} ((\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+, [P_\kappa(\lambda)]_{<}^4)^3$ . Then  $A \in (p_{\kappa,\lambda}(\text{NIn}_{\kappa,\lambda^{<\kappa}}))^+$ .*

PROOF. Set  $Z = \{x \in P_\kappa(\lambda^{<\kappa}) : x \cap \lambda \in A\}$ . By Lemma 1.13 it suffices to show that  $Z \xrightarrow{<} ((\text{NS}_{\kappa,\lambda^{<\kappa}})^+)^2$ . Fix  $F : Z \times Z \rightarrow 2$ . Define  $G : [A]_{<}^2 \rightarrow 2$  by  $G(a_0, a_1) = F(q_{\kappa,\lambda}(a_0), q_{\kappa,\lambda}(a_1))$ . By Lemma 2.12 we may find  $B \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+ \cap P(A)$  and  $i < 2$  such that  $G$  takes the constant value  $i$  on  $[B]_{<}^2$ . Set  $X = q_{\kappa,\lambda} \text{``} B$ . Then clearly  $X \subseteq Z$ .

Moreover by Lemma 3.1,  $X \in (\text{NS}_{\kappa, \lambda}^{[\lambda < \kappa]^{< \kappa}})^+$ . We claim that  $F$  takes the constant value  $i$  on  $[X]_{<}^2$ . Fix  $a_0, a_1 \in B$  with  $q_{\kappa, \lambda}(a_0) < q_{\kappa, \lambda}(a_1)$ . Then  $a_0 \subseteq a_1$  since  $q_{\kappa, \lambda}(a_0) \subseteq q_{\kappa, \lambda}(a_1)$ . Furthermore,  $|a_0| \leq |q_{\kappa, \lambda}(a_0)| < |q_{\kappa, \lambda}(a_1) \cap \kappa| = |a_1 \cap \kappa|$ . Thus  $a_0 < a_1$ , and consequently  $F(q_{\kappa, \lambda}(a_0), q_{\kappa, \lambda}(a_1)) = G(a_0, a_1) = i$ .  $\square$

#### 4. Almost ineffability 1.

We start this section by showing that if  $\lambda^{< \lambda} = \lambda$ , then  $\text{NAIN}_{\kappa, \lambda}^+ \longrightarrow (\text{NSS}_{\kappa, \lambda}^+)^3$ .

The following easily follows from Lemma 3.1:

LEMMA 4.1.  $\text{NAIN}_{\kappa, \lambda}^{[\lambda]^{< \kappa}} = p_{\kappa, \lambda}(\text{NAIN}_{\kappa, \lambda^{< \kappa}})$ .

LEMMA 4.2. *Suppose  $\lambda^{< \lambda} = \lambda$ . Then  $\text{NAIN}_{\kappa, \lambda}^+ \xrightarrow{<} (\text{I}_{\kappa, \lambda}^+)_\eta^3$  for every  $\eta$  with  $2 \leq \eta < \kappa$ .*

PROOF. Fix  $A \in \text{NAIN}_{\kappa, \lambda}^+$  and  $F : [A]_{<}^3 \rightarrow \eta$ , where  $2 \leq \eta < \kappa$ . Select a bijection  $j : P_\kappa(\lambda) \times P_\kappa(\lambda) \times \eta \rightarrow P_\kappa(\lambda)$ . Let  $B$  be the set of all  $d \in A \cap \mathcal{A}_{\kappa, \lambda}$  such that

- (a)  $d \cap \kappa \geq \eta$ ,
- (b)  $\text{o.t.}(d)^{< \text{o.t.}(d)} = \text{o.t.}(d)$ , and
- (c)  $j(a, b, i) < d$  for any  $(a, b) \in [P_{d \cap \kappa}(d)]_{<}^2$  and any  $i \in \eta$ .

Then  $B \in \text{NAIN}_{\kappa, \lambda}^+$  by Lemmas 2.3 and 2.7. For  $d \in B$ , define  $f_d : [B \cap P_{d \cap \kappa}(d)]_{<}^2 \rightarrow \eta$  by  $f_d(a, b) = F(a, b, d)$ , and put

- $s_d = \{j(a, b, i) : (a, b) \in [P_{d \cap \kappa}(d)]_{<}^2 \text{ and } f_d(a, b) = i\}$  and
- $z_d = \{c \in B \cap P_{d \cap \kappa}(d) : s_c = s_d \cap P_{c \cap \kappa}(c)\}$ .

Set  $W = \{d \in B : z_d \in \text{NS}_{d \cap \kappa, d}^+\}$ . Then  $W \in \text{NAIN}_{\kappa, \lambda}^+$  by Lemma 2.8. For  $d \in W$ , we may find by Lemma 2.1  $Q_d \in \text{I}_{d \cap \kappa, d}^+ \cap P(z_d)$  and  $i_d < \eta$  such that  $f_d$  takes the constant value  $i_d$  on  $[Q_d]_{<}^2$ . There must be  $i < \eta$  such that  $\{d \in W : i_d = i\} \in \text{NAIN}_{\kappa, \lambda}^+$ . By Lemma 4.1,  $\text{NAIN}_{\kappa, \lambda}^{[\lambda]^{< \kappa}} = \text{NAIN}_{\kappa, \lambda}$ . Hence we may find  $Q \subseteq P_\kappa(\lambda)$  and  $R \in \text{I}_{\kappa, \lambda}^+$  with  $R \subseteq \{d \in W : i_d = i\}$  such that  $Q \cap P_{d \cap \kappa}(d) = Q_d$  for every  $d \in R$ . It is simple to see that  $Q \in \text{I}_{\kappa, \lambda}^+$ .

We claim that  $F$  takes the constant value  $i$  on  $[Q]_{<}^3$ . Thus let  $(a, b, c) \in [Q]_{<}^3$ . Pick  $d \in R$  with  $c < d$ . Then  $(a, b, c) \in [Q_d]_{<}^3$  since  $Q \cap P_{d \cap \kappa}(d) = Q_d$ . Hence  $f_d(a, b) = i$ , so  $j(a, b, i) \in s_d$ . Now  $s_c = s_d \cap P_{c \cap \kappa}(c)$  since  $c \in z_d$ , and consequently  $j(a, b, i) \in s_c$ . Thus  $i = f_c(a, b) = F(a, b, c)$ .  $\square$

LEMMA 4.3. *Suppose  $u(\kappa, \lambda) = \lambda$  and there is  $C \in \text{NS}_{\kappa, \lambda}^*$  such that  $\text{NSS}_{\kappa, \lambda}|C = \text{I}_{\kappa, \lambda}|C$ . Then for any  $A \in \text{I}_{\kappa, \lambda}^+ \cap P(C)$ , there is  $B \in \text{I}_{\kappa, \lambda}^+ \cap P(A)$  with  $[B]_{<}^2 = [B]^2$ .*

PROOF. Select  $e_\alpha \in P_\kappa(\lambda)$  for  $\alpha < \lambda$  so that  $\{e_\alpha : \alpha < \lambda\} \in \text{I}_{\kappa, \lambda}^+$ . Now given  $A \in \text{I}_{\kappa, \lambda}^+ \cap P(C)$ , define inductively  $a_\alpha \in A$  for  $\alpha < \lambda$  so that

- (a)  $\alpha \in a_\alpha$  and  $e_\alpha \subseteq a_\alpha$ ,
- (b)  $a_\beta < a_\alpha$  for every  $\beta \in a_\alpha \cap \alpha$ , and
- (c)  $a_\alpha \setminus a_\beta \neq \emptyset$  for every  $\beta < \alpha$ .

Then  $B = \{a_\alpha : \alpha < \lambda\}$  is as desired. □

PROPOSITION 4.4. *Suppose  $\lambda^{<\lambda} = \lambda$ . Then  $\text{NAIn}_{\kappa,\lambda}^+ \longrightarrow (\text{NSS}_{\kappa,\lambda}^+)_\eta^3$  for every  $\eta$  with  $2 \leq \eta < \kappa$ .*

PROOF. By Lemmas 1.4, 2.3, 4.2 and 4.3. □

In the remainder of this section we establish that if  $\lambda^{<\lambda} = \lambda$  but  $\lambda$  is not weakly compact, then there is  $C \in \text{NS}_{\kappa,\lambda}^*$  such that

- (a)  $\{A \subseteq C : A \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^3\} \subseteq \text{NAIn}_{\kappa,\lambda}^+$ , and
- (b) for any  $A \subseteq C$  such that  $A \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^2$ , there is  $B \subseteq A$  such that  $B \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^2$  but  $B \not\xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^3$ .

LEMMA 4.5 (Johnson [12]). *Suppose  $\text{cf}(\lambda) \geq \kappa$ . Then for any  $A \subseteq P_\kappa(\lambda)$ , the following are equivalent:*

- (i)  $A \in \text{NAIn}_{\kappa,\lambda}^+$ .
- (ii) *Given  $g : [A]_{<}^2 \rightarrow \lambda$  such that  $g(a_0, a_1) \in a_0$  for every  $(a_0, a_1) \in [A]_{<}^2$ , there is  $B \in \text{I}_{\kappa,\lambda}^+ \cap P(A)$  such that  $g$  is constant on  $[B]_{<}^2$ .*

LEMMA 4.6. *Assume  $\lambda$  is regular and there is a  $\lambda$ -Aronszajn tree, and let  $J$  be a seminormal ideal on  $P_\kappa(\lambda)$ . Then there is  $C \in \text{NS}_{\kappa,\lambda}^*$  with the following property: Suppose  $A \in J^+ \cap P(C)$  is such that given  $f_{a_0 a_1} : a_0 \rightarrow 2$  for  $(a_0, a_1) \in [A]_{<}^2$ , there is  $B \in J^+ \cap P(A)$ ,  $h : \lambda \rightarrow 2$ , and  $Q_\xi \in J$  for  $\xi < \lambda$  such that for any  $\xi < \lambda$  and any  $(a_0, a_1) \in [B \setminus Q_\xi]_{<}^2$ ,  $h|(a_0 \cap \xi) = f_{a_0 a_1}|(a_0 \cap \xi)$ . Suppose further that  $g : [A]_{<}^2 \rightarrow \lambda$  is such that  $g(a_0, a_1) \in a_0$  for every  $(a_0, a_1) \in [A]_{<}^2$ . Then there is  $D \in J^+ \cap P(A)$  such that  $g$  is constant on  $[D]_{<}^2$ .*

PROOF. Select a  $\lambda$ -Aronszajn tree  $T = \langle \lambda, <_T \rangle$ . For  $\alpha < \lambda$ , let  $T_\alpha$  denote the  $\alpha$ -th level of  $T$ . Let  $C$  be the set of all  $a \in P_\kappa(\lambda)$  such that

- (a)  $\beta + 1 \in a$  for every  $\beta \in a$ ,
- (b)  $a \cap T_\alpha \neq \emptyset$  for every  $\alpha \in a$ , and
- (c)  $\{\gamma <_T \xi : \gamma \in \bigcup_{\delta \in a \cap \alpha} T_\delta\} \subseteq a$  for any  $\alpha \in \lambda$  and any  $\xi \in a \cap T_\alpha$ .

Let us check that  $C$  is as desired. It is immediate that  $C \in \text{NS}_{\kappa,\lambda}^*$ . Now fix  $A \in J^+ \cap P(C)$  with the property that given  $f_{a_0 a_1} : a_0 \rightarrow 2$  for  $(a_0, a_1) \in [A]_{<}^2$ , we may find  $B \in J^+ \cap P(A)$ ,  $h : \lambda \rightarrow 2$ , and  $Q_\xi \in J$  for  $\xi < \lambda$  such that for any  $\xi < \lambda$  and any  $(a_0, a_1) \in [B \setminus Q_\xi]_{<}^2$ ,  $h|(a_0 \cap \xi) = f_{a_0 a_1}|(a_0 \cap \xi)$ . Let  $g : [A]_{<}^2 \rightarrow \lambda$  be such that  $g(a_0, a_1) \in a_0$  for every  $(a_0, a_1) \in [A]_{<}^2$ . For  $(a_0, a_1) \in [A]_{<}^2$ , pick  $\xi_{a_0 a_1} \in a_0 \cap T_{g(a_0, a_1)}$ , and define  $f_{a_0 a_1} : a_0 \rightarrow 2$  by:  $f_{a_0 a_1}(\gamma) = 1$  just in case  $\gamma <_T \xi_{a_0 a_1}$ . There must be  $B \in J^+ \cap P(A)$ ,  $h : \lambda \rightarrow 2$  and  $Q_\xi \in J$  for  $\xi < \lambda$  such that for any  $\xi < \lambda$  and any  $(a_0, a_1) \in [B \setminus Q_\xi]_{<}^2$ ,  $h|(a_0 \cap \xi) = f_{a_0 a_1}|(a_0 \cap \xi)$ . It is simple to see that

- (i) if  $\gamma$  and  $\gamma'$  are any two distinct members of  $h^{-1}(\{1\})$ , then either  $\gamma <_T \gamma'$ , or  $\gamma' <_T \gamma$ , and
- (ii)  $\{\gamma' \in \lambda : \gamma' <_T \gamma\} \subseteq h^{-1}(\{1\})$  for every  $\gamma \in h^{-1}(\{1\})$ .

Set  $\delta =$  the least  $\alpha < \lambda$  such that  $T_\alpha \cap h^{-1}(\{1\}) = \emptyset$ . Define  $k : \delta \rightarrow \lambda$  by  $k(\alpha) =$  the unique element of  $T_\alpha \cap h^{-1}(\{1\})$ . Pick a limit ordinal  $\sigma < \lambda$  with  $T_\delta \cup \text{ran}(k) \subseteq \sigma$ . Let  $D$  be the set of all  $a \in B$  such that

- ( $\alpha$ )  $\delta \in a$ ,
- ( $\beta$ ) for any  $\zeta \in a \cap \sigma$ ,  $a \notin Q_\zeta$ , and
- ( $\gamma$ ) for any  $\alpha \in a \cap \delta$ ,  $k(\alpha) \in a$ .

Then clearly  $D \in J^+$ .

We claim that  $g(a_0, a_1) = \delta$  for each  $(a_0, a_1) \in [D]_{<}^2$ . Suppose otherwise, and select  $(a_0, a_1) \in [D]_{<}^2$  with  $g(a_0, a_1) \neq \delta$ . If  $g(a_0, a_1) < \delta$ , then  $h(k(g(a_0, a_1))) = 1$  and  $f_{a_0 a_1}(k(g(a_0, a_1))) = 0$ , which yields a contradiction. Thus  $g(a_0, a_1) > \delta$ . Put  $\gamma =$  the unique element  $\eta$  of  $T_\delta$  such that  $\eta <_T \xi_{a_0 a_1}$ . Then  $h(\gamma) = 0$  and  $f_{a_0 a_1}(\gamma) = 1$ . Contradiction.  $\square$

**PROPOSITION 4.7.** *Suppose that  $\lambda$  is regular, there is a  $\lambda$ -Aronszajn tree, and  $\overline{\text{cof}(\text{NS}_{\kappa, \tau})} \leq \lambda$  for every cardinal  $\tau$  with  $\kappa \leq \tau < \lambda$ . Then there is  $D \in \text{NS}_{\kappa, \lambda}^*$  such that  $\{A \subseteq D : A \xrightarrow{<} (\text{I}_{\kappa, \lambda}^+)^3\} \subseteq \text{NAIn}_{\kappa, \lambda}^+$ .*

**PROOF.** By Lemmas 1.4, 4.5, 4.6, and Theorem 2.14.  $\square$

**LEMMA 4.8** (Matet-Usuba [23]). *Let  $A \subseteq P_\kappa(\lambda)$  be such that  $A \xrightarrow{<} ((\bigcup_{\xi < \lambda} \text{NS}_{\kappa, \lambda}^\xi)^+)^2$ . Then  $A \in \text{NSJ}_{\kappa, \lambda}^+$ .*

**LEMMA 4.9** (Matet-Usuba [23]). *Suppose  $\lambda$  is regular, there is a  $\lambda$ -Aronszajn tree, and  $P_\kappa(\lambda) \notin \text{NSJ}_{\kappa, \lambda}$ . Then  $\text{NSh}_{\kappa, \lambda} \subseteq \text{NSJ}_{\kappa, \lambda} | C$  for some  $C \in \text{NSJ}_{\kappa, \lambda}^+ \cap \text{NS}_{\kappa, \lambda}^*$ .*

**LEMMA 4.10** (Usuba [27]). *Let  $A \in \text{NSh}_{\kappa, \lambda}^+ \cap P(\mathcal{A}_{\kappa, \lambda})$ . Then  $\{a \in A : A \cap P_{a \cap \kappa}(a) \in \text{NSh}_{a \cap \kappa, a}\} \in \text{NSh}_{\kappa, \lambda}^+$ .*

**LEMMA 4.11.** *Suppose  $\text{cf}(\lambda) \geq \kappa$ , and let  $A \in \text{NSh}_{\kappa, \lambda}^+$ . Then there is  $B \subseteq A$  with  $B \in \text{NSh}_{\kappa, \lambda}^+ \cap \text{NAIn}_{\kappa, \lambda}$ .*

**PROOF.** We can assume that  $A \in \text{NAIn}_{\kappa, \lambda}^+$  since otherwise the result is trivial. Set  $T = A \cap \mathcal{A}_{\kappa, \lambda}$  and  $B = \{a \in T : T \cap P_{a \cap \kappa}(a) \in \text{NSh}_{a \cap \kappa, a}\}$ . Then by Lemmas 2.6, 2.8 and 4.10,  $B$  is as desired.  $\square$

**PROPOSITION 4.12.** *Suppose that  $\lambda$  is regular, there is a  $\lambda$ -Aronszajn tree, and  $\overline{\text{cof}(\text{NS}_{\kappa, \tau})} \leq \lambda$  for every cardinal  $\tau$  with  $\kappa \leq \tau < \lambda$ . Then there is  $C \in \text{NS}_{\kappa, \lambda}^*$  with the following property: for any  $A \subseteq C$  such that  $A \xrightarrow{<} (\text{I}_{\kappa, \lambda}^+)^2$ , there is  $B \in \text{NSh}_{\kappa, \lambda}^+ \cap P(A)$  such that  $B \not\xrightarrow{<} (\text{I}_{\kappa, \lambda}^+)^3$ .*

**PROOF.** Use Lemma 1.4 to get  $C_0 \in \text{NS}_{\kappa, \lambda}^*$  such that  $\text{NSS}_{\kappa, \lambda} | C_0 = \text{I}_{\kappa, \lambda} | C_0$ , and Proposition 4.7 to get  $C_1 \in \text{NS}_{\kappa, \lambda}^*$  such that  $\{B \subseteq C_1 : B \xrightarrow{<} (\text{I}_{\kappa, \lambda}^+)^3\} \subseteq \text{NAIn}_{\kappa, \lambda}^+$ . We define  $C_2$  as follows. If  $P_\kappa(\lambda) \in \text{NSJ}_{\kappa, \lambda}$ , we set  $C_2 = P_\kappa(\lambda)$ . Otherwise we appeal to Lemma 4.9 and choose  $C_2$  so that  $C_2 \in \text{NSJ}_{\kappa, \lambda}^+ \cap \text{NS}_{\kappa, \lambda}^*$  and  $\text{NSh}_{\kappa, \lambda} \subseteq \text{NSJ}_{\kappa, \lambda} | C_2$ . Put

$C = C_0 \cap C_1 \cap C_2$ . Now fix  $A \subseteq C$  with the property that  $A \xrightarrow{<} (I_{\kappa,\lambda}^+)^2$ . By Lemmas 1.3 and 4.8,  $A \in \text{NSh}_{\kappa,\lambda}^+$ . Hence by Lemma 4.11, there is  $B \in \text{NSh}_{\kappa,\lambda}^+ \cap P(A)$  such that  $B \in \text{NAIN}_{\kappa,\lambda}$ . Then clearly  $B \not\xrightarrow{<} (I_{\kappa,\lambda}^+)^3$ .  $\square$

The following lemma shows that the existence of a  $\lambda$ -Aronszajn tree in Lemma 4.6 can be replaced by a certain cardinal arithmetic assumption.

**LEMMA 4.13.** *Assume  $\mu < \lambda$  is a cardinal with  $2^\mu = \lambda$ , and let  $J$  be a  $\mu$ -normal ideal on  $P_\kappa(\lambda)$ . Then there is  $C \in \text{NS}_{\kappa,\lambda}^*$  with the following property: Suppose  $A \in J^+ \cap P(C)$  is such that given  $f_{a_0 a_1} : a_0 \cap \mu \rightarrow 2$  for  $(a_0, a_1) \in [A]_{<}^2$ , there is  $B \in J^+ \cap P(A)$ ,  $h : \mu \rightarrow 2$ , and  $Q_\xi \in J$  for  $\xi < \mu$  such that for any  $\xi < \mu$  and any  $(a_0, a_1) \in [B \setminus Q_\xi]_{<}^2$ ,  $h|(a_0 \cap \xi) = f_{a_0 a_1}|(a_0 \cap \xi)$ . Suppose further that  $g : [A]_{<}^2 \rightarrow \lambda$  is such that  $g(a_0, a_1) \in a_0$  for every  $(a_0, a_1) \in [A]_{<}^2$ . Then there is  $D \in J^+ \cap P(A)$  such that  $g$  is constant on  $[D]_{<}^2$ .*

**PROOF.** Let  $\langle e_\eta : \eta < \lambda \rangle$  be a one-to-one enumeration of the subsets of  $\mu$ . Let  $C$  be the set of all  $a \in P_\kappa(\lambda)$  such that  $a \cap e_\zeta \neq a \cap e_\eta$  for any two distinct members  $\zeta, \eta$  of  $a$ . Let us verify that  $C$  is as desired. Clearly,  $C \in \text{NS}_{\kappa,\lambda}^*$ . Now fix  $A \in J^+ \cap P(C)$  with the property that given  $f_{a_0 a_1} : a_0 \cap \mu \rightarrow 2$  for  $(a_0, a_1) \in [A]_{<}^2$ , we may find  $B \in J^+ \cap P(A)$ ,  $h : \mu \rightarrow 2$  and  $Q_\xi \in J$  for  $\xi < \mu$  such that for any  $\xi < \mu$  and any  $(a_0, a_1) \in [B \setminus Q_\xi]_{<}^2$ ,  $h|(a_0 \cap \xi) = g_{a_0 a_1}|(a_0 \cap \xi)$ . Let  $g : [A]_{<}^2 \rightarrow \lambda$  be such that  $g(a_0, a_1) \in a_0$  for every  $(a_0, a_1) \in [A]_{<}^2$ . For  $(a_0, a_1) \in [A]_{<}^2$ , define  $f_{a_0 a_1} : a_0 \cap \mu \rightarrow 2$  by:  $f_{a_0 a_1}(\alpha) = 1$  if and only if  $\alpha \in e_{g(a_0, a_1)}$ . There must be  $B \in J^+ \cap P(A)$ ,  $h : \mu \rightarrow 2$  and  $Q_\xi \in J$  for  $\xi < \mu$  such that for any  $\xi < \mu$  and any  $(a_0, a_1) \in [B \setminus Q_\xi]_{<}^2$ ,  $h|(a_0 \cap \xi) = f_{a_0 a_1}|(a_0 \cap \xi)$ . Let  $h^{-1}(\{1\}) = e_\delta$ . Now let  $D$  be the set of all  $a \in B$  such that

- (a)  $\delta \in a$ ,
- (b)  $\alpha + 1 \in a$  for every  $\alpha \in a \cap \mu$ , and
- (c)  $a \not\subseteq Q_\xi$  for every  $\xi \in a \cap \mu$ .

Then clearly,  $D \in J^+$ . We claim that  $g$  takes the constant value  $\delta$  on  $[D]_{<}^2$ . Suppose otherwise, and pick  $(a_0, a_1) \in [D]_{<}^2$  with  $g(a_0, a_1) \neq \delta$ . There must be  $\alpha \in a_0 \cap \mu$  such that  $\alpha \in e_\delta \triangle e_{g(a_0, a_1)}$ . Then  $h(\alpha) \neq f_{a_0 a_1}(\alpha)$ . Contradiction.  $\square$

**PROPOSITION 4.14.** *Suppose  $\lambda = 2^\mu$  for some cardinal  $\mu < \lambda$ . Then there is  $D \in \text{NS}_{\kappa,\lambda}^*$  such that  $\{A \subseteq D : A \xrightarrow{<} (I_{\kappa,\lambda}^+)^3\} \subseteq \text{NAIN}_{\kappa,\lambda}^+$ .*

**PROOF.** We can assume that  $P_\kappa(\lambda) \xrightarrow{<} (I_{\kappa,\lambda}^+)^3$  since otherwise the result is trivial. Pick a cardinal  $\mu < \lambda$  such that  $2^\mu = \lambda$ . Then by Lemma 1.9,  $\mu \geq \kappa$ , and consequently  $\text{cf}(\lambda) \geq \kappa$ . Set  $J = \text{NS}_{\kappa,\lambda}^\mu$ . Let  $C \in \text{NS}_{\kappa,\lambda}^*$  be as in the statement of Lemma 4.13. By Lemma 1.2, there is  $Z \in \text{NS}_{\kappa,\lambda}^*$  such that  $J|Z = I_{\kappa,\lambda}|Z$ . Then by Theorem 2.14 and Lemma 4.5,  $D = C \cap Z$  is as desired.  $\square$

**LEMMA 4.15 (Matet-Usuba [23]).** *Suppose  $\lambda$  is regular, and let  $2 \leq \eta < \kappa$ . Then  $\text{NSJ}_{\kappa,\lambda}^+ \xrightarrow{<} (I_{\kappa,\lambda}^+)_\eta^2$ .*

**LEMMA 4.16 (Matet-Usuba [23]).** *Suppose  $2^{<\lambda} = \lambda$ . Then  $\text{NSJ}_{\kappa,\lambda} \subseteq \text{NSh}_{\kappa,\lambda}$ .*

LEMMA 4.17 (Matet-Usuba [23]). *Suppose  $\lambda = 2^\mu$  for some cardinal  $\mu$ . Then  $\text{NSh}_{\kappa,\lambda} \cap P(C) \subseteq \text{NSJ}_{\kappa,\lambda}$  for some  $C \in \text{NS}_{\kappa,\lambda}^*$ .*

PROPOSITION 4.18. *Suppose that  $\lambda^{<\lambda} = \lambda$ , but  $\lambda$  is not a strong limit cardinal. Then there is  $C \in \text{NS}_{\kappa,\lambda}^*$  with the following property: For any  $A \subseteq C$  such that  $A \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^2$ , there is  $B \subseteq A$  such that  $B \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^2$  but  $B \not\xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^3$ .*

PROOF. We proceed as in the proof of Proposition 4.12. There must be a cardinal  $\mu < \lambda$  such that  $\lambda = 2^\mu$ . By Proposition 4.14, and Lemmas 1.4 and 4.17, we may find  $C \in \text{NS}_{\kappa,\lambda}^*$  such that  $\{B \subseteq C : B \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^3\} \subseteq \text{NAIn}_{\kappa,\lambda}^+$ ,  $\text{NSS}_{\kappa,\lambda}|C = \text{I}_{\kappa,\lambda}|C$ , and  $\text{NSh}_{\kappa,\lambda} \cap P(C) \subseteq \text{NSJ}_{\kappa,\lambda}$ . Now fix  $A \subseteq C$  with  $A \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^2$ . By Lemma 4.8  $A \in \text{NSh}_{\kappa,\lambda}^+$ , so by Lemma 4.11 there is  $B \in \text{NSh}_{\kappa,\lambda}^+ \cap P(A)$  with  $B \in \text{NAIn}_{\kappa,\lambda}$ . Then clearly  $B \not\xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^3$ . On the other hand  $B \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^2$  by Lemmas 4.15 and 4.16.  $\square$

Let us observe that by a result of Neeman [24], it is consistent relative to infinitely many supercompact cardinals that there is a cardinal  $\nu$  such that

- (a) there is no  $\nu^+$ -Aronszajn tree, and
- (b)  $\nu$  is a strong limit cardinal of cofinality  $\omega$ , and  $2^\nu = \nu^{++}$  (and therefore  $2^\mu \neq \nu^+$  for every cardinal  $\mu < \nu^+$ ).

If  $\lambda^{<\lambda} = \lambda$  but  $\lambda$  is not weakly compact, then by a result of [23] and Lemma 4.3, for any  $A \in \text{NS}_{\kappa,\lambda}^+$ ,  $A \in \text{NSh}_{\kappa,\lambda}^+$  if and only if  $(\text{NS}_{\kappa,\lambda}|A)^* \xrightarrow{<} (\text{NSS}_{\kappa,\lambda}^+)^2$  if and only if  $(\text{NS}_{\kappa,\lambda}|A)^* \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^2$ . For triples, the following holds.

THEOREM 4.19. *Suppose that  $\lambda^{<\lambda} = \lambda$  and  $\lambda$  is not weakly compact. Then for any  $A \in \text{NS}_{\kappa,\lambda}^+$ , the following are equivalent:*

- (i)  $A \in \text{NAIn}_{\kappa,\lambda}^+$ .
- (ii)  $(\text{NS}_{\kappa,\lambda}|A)^* \xrightarrow{<} (\text{NSS}_{\kappa,\lambda}^+)^3$ .
- (iii)  $(\text{NS}_{\kappa,\lambda}|A)^* \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^3$ .

PROOF. By Propositions 4.4, 4.7 and 4.14.  $\square$

### 5. Almost ineffability 2.

This section is concerned with the case when  $\lambda$  is weakly compact. We show that if ( $\lambda^{<\lambda} = \lambda$  and)  $\lambda$  is weakly compact, then there is  $C \in \text{NS}_{\kappa,\lambda}^*$  such that

- (a) for every  $A \subseteq C$  with  $A \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^3$ , there is  $B \subseteq A$  with  $B \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^3$  and  $B \in \text{NAIn}_{\kappa,\lambda}$ , and
- (b) for any  $A \subseteq C$ ,  $A \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^2$  if and only if  $A \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^3$ .

These results contrast with those in Section 4 concerning the case when  $\lambda^{<\lambda} = \lambda$  and  $\lambda$  is not weakly compact.

LEMMA 5.1 (Shelah [25]). *Suppose  $\kappa$  is weakly compact. Then  $\text{NSh}_\kappa$  is a normal ideal on  $\kappa$ . Moreover,  $\{\mu \in \kappa : \mu \text{ is a Mahlo cardinal}\} \in \text{NSh}_\kappa^*$ .*

LEMMA 5.2 (Johnson [11]). *Let  $A \in \text{NSh}_\kappa^+$  and  $h_\alpha : \alpha \rightarrow \alpha$  for  $\alpha \in A$ . Then there is  $h : \kappa \rightarrow \kappa$  such that for any  $\eta < \kappa$ ,  $\{\alpha \in A : h_\alpha|_\eta = h|_\eta\} \in \text{NSh}_\kappa^+$ .*

LEMMA 5.3 (Carr [7]). *If  $\kappa$  is  $2^{(\lambda < \kappa)}$ -Shelah, then  $\kappa$  is  $\lambda$ -supercompact.*

PROPOSITION 5.4. *Suppose  $\lambda$  is weakly compact and  $\kappa$  is  $\lambda$ -Shelah. Then  $\kappa$  is almost  $\lambda$ -ineffable.*

PROOF. We use Lemma 4.5. Let  $g : [P_\kappa(\lambda)]_\kappa^2 \rightarrow \lambda$  be such that  $g(a_0, a_1) \in a_0$  for every  $(a_0, a_1) \in [P_\kappa(\lambda)]_\kappa^2$ . Let  $W$  be the set of Mahlo cardinals  $\mu$  with  $\kappa \leq \mu < \lambda$ . By Lemma 5.3,  $\kappa$  is almost  $\mu$ -ineffable for every  $\mu \in W$ . For each  $\mu \in W$ , we may find  $B_\mu \in \text{I}_{\kappa, \mu}^+$  and  $\xi_\mu \in \mu$  such that  $g$  takes the constant value  $\xi_\mu$  on  $[B_\mu]_\kappa^2$ . By Lemma 5.1, there must be  $A \in \text{NSh}_\lambda^+ \cap P(W)$  and  $\xi \in \lambda$  such that  $\xi_\mu = \xi$  for every  $\mu \in A$ . Let  $P_\kappa(\lambda) = \{e_\beta : \beta < \lambda\}$ . Let  $D$  be the set of all  $\mu \in A$  such that

- (a)  $e_\beta \subseteq \mu$  for every  $\beta \in \mu$ , and
- (b) for every cardinal  $\nu$  with  $\kappa \leq \nu < \mu$ ,  $P_\kappa(\nu) \subseteq \{e_\beta : \beta < \mu\}$ .

It is simple to see that  $D \in \text{NSh}_\lambda^+$ . Note that for any  $\mu \in D$ ,  $\{e_\beta : \beta < \mu\} = P_\kappa(\mu)$ . For  $\mu \in D$ , define  $h_\mu : \mu \rightarrow \mu$  by  $h_\mu(\beta) =$  the least  $\gamma$  such that  $e_\beta \subseteq e_\gamma$  and  $e_\gamma \in B_\mu$ . By Lemma 5.2, there is  $h : \lambda \rightarrow \lambda$  such that for any  $\eta < \lambda$ ,  $\{\mu \in D : h_\mu|_\eta = h|_\eta\} \in \text{NSh}_\lambda^+$ . Set  $H = \{e_\delta : \delta \in \text{ran}(h)\}$ . Then clearly  $e_\beta \subseteq e_{h(\beta)}$  for every  $\beta < \lambda$ , so  $H \in \text{I}_{\kappa, \lambda}^+$ . Let us show that  $g$  is constant on  $[H]_\kappa^2$ . Thus let  $\gamma, \sigma < \lambda$  with  $e_{h(\gamma)} < e_{h(\sigma)}$ . Pick  $\eta < \lambda$  with  $\{\gamma, \sigma\} \subseteq \eta$ . We may find  $\mu \in D$  such that  $h_\mu|_\eta = h|_\eta$ . Then  $(e_{h(\gamma)}, e_{h(\sigma)}) \in [B_\mu]_\kappa^2$ , and therefore  $g(e_{h(\gamma)}, e_{h(\sigma)}) = \xi$ .  $\square$

LEMMA 5.5 (Matet-Usuba [23]). *Suppose  $\lambda$  is weakly compact, and  $2 \leq \eta < \kappa$ . Then  $\text{NSJ}_{\kappa, \lambda}^+ \rightarrow (\text{NSJ}_{\kappa, \lambda}^+)_\eta^2$ .*

LEMMA 5.6 (Matet-Usuba [23]). *Suppose  $\lambda$  is weakly compact. Then for any  $A \in \text{NSJ}_{\kappa, \lambda}^+$ , there is  $B \in \text{NSJ}_{\kappa, \lambda}^+ \cap P(A)$  such that  $\text{NSJ}_{\kappa, \lambda}|B = \text{NSS}_{\kappa, \lambda}|B$ .*

PROPOSITION 5.7. *Suppose  $\lambda$  is weakly compact, and let  $2 \leq \eta < \kappa$ . Then  $\text{NSJ}_{\kappa, \lambda}^+ \rightarrow (\text{NSJ}_{\kappa, \lambda}^+)_\eta^3$ .*

PROOF. By Lemmas 1.1 and 1.3 we may find  $Q_\zeta \in \text{NSS}_{\kappa, \lambda}$  for  $\zeta < \lambda$  such that  $\text{NSS}_{\kappa, \lambda} = \bigcup_{\zeta < \lambda} P(Q_\zeta)$ .

Let  $A \in \text{NSJ}_{\kappa, \lambda}^+$  and  $F : [P_\kappa(\lambda)]_\kappa^3 \rightarrow \eta$ , where  $2 \leq \eta < \kappa$ . By Lemma 5.6 there is  $B \in \text{NSJ}_{\kappa, \lambda}^+ \cap P(A)$  such that  $\text{NSJ}_{\kappa, \lambda}|B = \text{NSS}_{\kappa, \lambda}|B$ .

Select bijections  $\pi : [P_\kappa(\lambda)]^2 \rightarrow \lambda$  and  $\sigma : \lambda \times \eta \rightarrow \lambda$ . For  $c \in B$ , define  $f_c : c \rightarrow 2$  by:  $f_c(\beta) = 1$  if and only if one can find  $a, b$  such that  $a \subsetneq b \subsetneq c$  and  $\beta = \sigma(\pi(a, b), F(a, b, c))$ . Pick  $g : \lambda \rightarrow 2$  so that for any  $\alpha < \lambda$ ,  $\{c \in B : \forall \gamma \in c \cap \alpha (f_c(\gamma) = g(\gamma))\} \in \text{NSS}_{\kappa, \lambda}^+$ .

Put  $h = \{((a, b), j) \in [B]^2 \times \eta : g(\sigma(\pi(a, b), j)) = 1\}$ . Let us show that  $h$  is a function with domain  $[B]^2$ . Thus let  $(a, b) \in [B]^2$ . Set  $z = \{\sigma(\pi(a, b), j) : j < \eta\}$ . Pick  $\alpha \in \lambda$  with  $z \subseteq \alpha$ . There must be  $d \in B$  such that

- $b \subseteq d$ .
- $z \subseteq d$ .
- $f_d|(d \cap \alpha) = g|(d \cap \alpha)$ .

Then for each  $j < \eta$ ,  $f_d(\sigma(\pi(a, b), j)) = g(\sigma(\pi(a, b), j))$ .

By induction on  $\xi < \lambda$ , we define  $a_\xi \in B$  so that

- $\eta \subseteq a_\xi$ .
- $\xi \in a_\xi$ .
- $a_\xi \setminus a_\delta \neq \emptyset$  for all  $\delta < \xi$ .
- $a_\xi \notin Q_\xi$ .
- $F(a_\gamma, a_\delta, a_\xi) = h(a_\gamma, a_\delta)$  whenever  $\gamma < \delta < \xi$  and  $a_\gamma \subsetneq a_\delta \subsetneq a_\xi$ .

Suppose  $a_\zeta$  has been constructed for each  $\zeta < \xi$ . Pick  $e \in P_\kappa(\lambda)$  so that  $\eta \subseteq e$  and  $e \setminus a_\zeta \neq \emptyset$  for every  $\zeta < \xi$ . Now select  $\theta < \lambda$  so that  $\theta = \sigma^{\omega}(\theta \times \eta)$ , and  $\pi(a_\gamma, a_\delta) \in \theta$  whenever  $\gamma < \delta < \xi$  and  $a_\gamma \subsetneq a_\delta$ . Select  $t \in B$  so that

- $\{\xi\} \cup e \subseteq t$ .
- $\sigma(\pi(a_\gamma, a_\delta), j) \in t$  whenever  $j < \eta$ ,  $\{\gamma, \delta\} \subseteq t \cap \xi$  and  $a_\gamma \subsetneq a_\delta$ .
- $t \notin Q_\xi$ .
- $f_t|(t \cap \theta) = g|(t \cap \theta)$ .

Note that if  $\gamma, \delta$  are such that  $\gamma < \delta < \xi$  and  $a_\gamma \subsetneq a_\delta \subsetneq t$ , then  $F(a_\gamma, a_\delta, t) = h(a_\gamma, a_\delta)$ , since  $f_t(\sigma(\pi(a_\gamma, a_\delta), F(a_\gamma, a_\delta, t))) = 1 = g(\sigma(\pi(a_\gamma, a_\delta), F(a_\gamma, a_\delta, t)))$ . We set  $a_\xi = t$ .

Now put  $D = \{a_\zeta : \zeta < \lambda\}$ . Then clearly  $D \in \text{NSS}_{\kappa, \lambda}^+$ . Hence by Lemma 5.5, we may find  $E \in \text{NSJ}_{\kappa, \lambda}^+ \cap P(D)$  and  $i < \eta$  such that  $h$  takes the constant value  $i$  on  $[E]^2$ . It is simple to see that  $F$  takes the constant value  $i$  on  $[E]^3$ .  $\square$

By (the proof of) Theorem 6.2 in [12], it follows that if  $\lambda$  is weakly compact, then  $\text{NSJ}_{\kappa, \lambda}^+ \longrightarrow (\text{NSJ}_{\kappa, \lambda}^+)_\eta^n$  whenever  $2 \leq n < \omega$  and  $2 \leq \eta < \kappa$ .

**COROLLARY 5.8.** *Suppose  $\lambda$  is weakly compact. Then there is  $C \in \text{NS}_{\kappa, \lambda}^*$  with the property that for any  $A \subseteq C$  such that  $A \xrightarrow{<} (\text{I}_{\kappa, \lambda}^+)^3$ , we may find  $B \subseteq A$  such that  $B \xrightarrow{<} (\text{I}_{\kappa, \lambda}^+)^3$  and  $B \in \text{NAIn}_{\kappa, \lambda}$ .*

**PROOF.** Let  $A \in \text{NAIn}_{\kappa, \lambda}^+$  be such that  $A \xrightarrow{<} (\text{I}_{\kappa, \lambda}^+)^3$ . By Lemma 4.11, there is  $B \subseteq A$  with  $B \in \text{NSh}_{\kappa, \lambda}^+ \cap \text{NAIn}_{\kappa, \lambda}$ . Then by Lemma 4.16 and Proposition 5.7,  $B \xrightarrow{<} (\text{NSJ}_{\kappa, \lambda}^+)^3$ .  $\square$

**LEMMA 5.9 (Matet-Usuba [23]).** *Suppose  $\lambda$  is weakly compact. Then  $\kappa$  is  $\lambda$ -Shelah just in case  $P_\kappa(\lambda) \notin \text{NSJ}_{\kappa, \lambda}$ .*

**PROPOSITION 5.10.** *Suppose  $\lambda$  is weakly compact. Then the following are equivalent:*

- (i)  $\kappa$  is almost  $\lambda$ -ineffable.
- (ii)  $\text{NS}_{\kappa, \lambda}^* \longrightarrow (\text{NSS}_{\kappa, \lambda}^+)^3$ .

$$(iii) \text{ NS}_{\kappa,\lambda}^* \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^2.$$

PROOF. (i)  $\rightarrow$  (ii): By Proposition 5.7 and Lemmas 2.3 and 4.16.

(ii)  $\rightarrow$  (iii): Trivial.

(iii)  $\rightarrow$  (i): By Proposition 5.4 and Lemmas 1.4, 4.8 and 5.9. □

It was shown in [23] that if  $\lambda^{<\lambda} = \lambda$ , then  $\kappa$  is  $\lambda$ -Shelah if and only if  $(\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^* \xrightarrow{>} (\text{NSS}_{\kappa,\lambda}^+)^2$  if and only if  $\text{NS}_{\kappa,\lambda}^* \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^2$ . Here is the corresponding result for triples:

COROLLARY 5.11. *Suppose  $\lambda^{<\lambda} = \lambda$ . Then the following are equivalent:*

- (i)  $\kappa$  is almost  $\lambda$ -ineffable.
- (ii)  $(\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^* \xrightarrow{>} (\text{NSS}_{\kappa,\lambda}^+)^3$ .
- (iii)  $\text{NS}_{\kappa,\lambda}^* \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^3$ .

PROOF. (i)  $\rightarrow$  (ii): By Lemmas 1.12 and 2.3, we have  $\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}} \subseteq \text{NSh}_{\kappa,\lambda} \subseteq \text{NAIn}_{\kappa,\lambda}$ . Now apply Proposition 4.4.

(ii)  $\rightarrow$  (iii): Trivial.

(iii)  $\rightarrow$  (i): By Theorem 4.19 and Proposition 5.10. □

PROPOSITION 5.12. *Suppose  $\lambda$  is weakly compact. Then there is  $C \in \text{NS}_{\kappa,\lambda}^*$  such that for any  $A \subseteq C$ , the following are equivalent:*

- (i)  $A \xrightarrow{>} (\text{I}_{\kappa,\lambda}^+)^2$ .
- (ii)  $A \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^2$ .
- (iii)  $A \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^3$ .
- (iv)  $A \xrightarrow{>} (\text{I}_{\kappa,\lambda}^+)^3$ .

PROOF. By Lemma 1.4, we may find  $C \in \text{NS}_{\kappa,\lambda}^*$  such that  $\text{NSS}_{\kappa,\lambda}|C = \text{I}_{\kappa,\lambda}|C$ . Then by Lemma 4.8 and Proposition 5.7,  $C$  is as desired. □

### 6. Almost ineffability 3.

This section is concerned with the case  $2^\lambda = \lambda^{<\kappa}$ .

LEMMA 6.1. *Suppose  $2^\lambda = \lambda^{<\kappa}$  and  $P_\kappa(\lambda) \xrightarrow{>} (\text{I}_{\kappa,\lambda}^+)^3$ . Then  $\text{cf}(\lambda) < \kappa$ , and moreover  $\lambda^{<\kappa} = \lambda^+$ .*

PROOF. By Lemmas 1.10 and 1.11. □

Let us show that if  $2^\lambda = \lambda^{<\kappa}$ , then  $(p_{\kappa,\lambda}(\text{NAIn}_{\kappa,\lambda^{<\kappa}}))^+ \xrightarrow{>} (\text{NS}_{\kappa,\lambda}^+)^3$ .

PROPOSITION 6.2. *Suppose  $2^\lambda = \lambda^{<\kappa}$ . Then  $(p_{\kappa,\lambda}(\text{NAIn}_{\kappa,\lambda^{<\kappa}}))^+ \xrightarrow{>} (\text{NS}_{\kappa,\lambda}^+)_\eta^3$  for every  $\eta$  with  $2 \leq \eta < \kappa$ .*

PROOF. The proof is a straightforward modification of that of Proposition 3.4. □

Next we prove that if  $\kappa$  is Mahlo and  $2^\lambda = \lambda^{<\kappa}$ , then there is  $C \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^*$  such that

- (a)  $\{A \subseteq C : A \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda}^+)^3\} \subseteq (p_{\kappa,\lambda}(\text{NAIN}_{\kappa,\lambda^{<\kappa}}))^+$ , and
- (b) for any  $A \subseteq C$  with  $A \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda}^+)^2$ , there is  $B \subseteq A$  with  $B \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda}^+)^2$  and  $B \not\xrightarrow{<} (\mathbb{I}_{\kappa,\lambda}^+)^3$ .

LEMMA 6.3. *Suppose that  $\kappa$  is inaccessible and  $\text{cf}(\lambda) < \kappa$ , and let  $2 \leq n < \omega$  and  $2 \leq \eta < \kappa$ . Then the following hold:*

- (i) Let  $X \subseteq \{x \in \mathcal{X}_{\kappa,\lambda} : |x \cap \kappa| \text{ is an inaccessible cardinal}\}$  be such that  $X \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda^{<\kappa}}^+)_\eta^n$ . Then  $q_{\kappa,\lambda}^{-1}(X) \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda}^+)_\eta^n$ .
- (ii) Let  $A \subseteq P_\kappa(\lambda)$  be such that  $A \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda}^+)_\eta^n$ . Then  $q_{\kappa,\lambda} \text{``} A \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda^{<\kappa}}^+)_\eta^n \text{''}$ .

PROOF. Proceed as in the proofs of Propositions 3.4 and 3.5.  $\square$

PROPOSITION 6.4. *Suppose that  $\kappa$  is Mahlo and  $2^\lambda = \lambda^{<\kappa}$ . Then there is  $C \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^*$  such that  $\{A \subseteq C : A \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda}^+)^3\} \subseteq (p_{\kappa,\lambda}(\text{NAIN}_{\kappa,\lambda^{<\kappa}}))^+$ .*

PROOF. We can assume that  $(\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^* \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda}^+)^3$  since otherwise the result is trivial. Then by Lemma 6.1,  $\text{cf}(\lambda) < \kappa$  and  $\lambda^{<\kappa} = \lambda^+$ . Now Proposition 4.14 tells us that there is  $E \in \text{NS}_{\kappa,\lambda^{<\kappa}}^*$  such that  $\{X \subseteq E : X \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda^{<\kappa}}^+)^3\} \subseteq \text{NAIN}_{\kappa,\lambda^{<\kappa}}^+$ . Set  $C = q_{\kappa,\lambda}^{-1}(E)$ . Note that  $C \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^*$  by Lemma 3.1.

Given  $A \subseteq C$  with  $A \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda}^+)^3$ , put  $X = q_{\kappa,\lambda} \text{``} A \text{''}$ . Then clearly  $X \subseteq E$ . Moreover by Lemma 6.3,  $X \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda^{<\kappa}}^+)^3$ , and therefore  $X \in \text{NAIN}_{\kappa,\lambda^{<\kappa}}^+$ . It follows that  $A \notin p_{\kappa,\lambda}(\text{NAIN}_{\kappa,\lambda^{<\kappa}})$ , since by Lemma 3.2  $A = \{x \cap \lambda : x \in X\}$ .  $\square$

PROPOSITION 6.5. *Suppose that  $\kappa$  is Mahlo and  $2^\lambda = \lambda^{<\kappa}$ . Then there is  $C \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^*$  with the following property: For any  $A \subseteq C$  such that  $A \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda}^+)^2$ , there is  $B \subseteq A$  such that  $B \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda}^+)^2$  but  $B \not\xrightarrow{<} (\mathbb{I}_{\kappa,\lambda}^+)^3$ .*

PROOF. Exactly as in the proof of the preceding proposition, we can assume that  $(\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^* \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda}^+)^3$ , which entails that  $\text{cf}(\lambda) < \kappa$  and  $\lambda^{<\kappa} = \lambda^+$ . By Proposition 4.18 and Lemmas 2.2 and 3.1 we may find  $Z \in (\text{NS}_{\kappa,\lambda^{<\kappa}}^{[\lambda^{<\kappa}]^{<\kappa}})^*$  such that

- (a)  $Z \subseteq \{x \in \mathcal{X}_{\kappa,\lambda} : x \cap \kappa \text{ is an inaccessible cardinal}\}$ , and
- (b) for any  $X \subseteq Z$  with  $X \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda^{<\kappa}}^+)^2$ , there is  $Y \subseteq X$  with  $Y \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda^{<\kappa}}^+)^2$  and  $Y \not\xrightarrow{<} (\mathbb{I}_{\kappa,\lambda^{<\kappa}}^+)^3$ .

Put  $C = q_{\kappa,\lambda}^{-1}(Z)$ . Note that  $C \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^*$  by Lemma 3.1. Now let  $A \subseteq C$  be such that  $A \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda}^+)^2$ . Since by Lemma 6.3,  $q_{\kappa,\lambda} \text{``} A \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda^{<\kappa}}^+)^2 \text{''}$ , we may find  $Y \subseteq q_{\kappa,\lambda} \text{``} A \text{''}$  with  $Y \xrightarrow{<} (\mathbb{I}_{\kappa,\lambda^{<\kappa}}^+)^2$  and  $Y \not\xrightarrow{<} (\mathbb{I}_{\kappa,\lambda^{<\kappa}}^+)^3$ . Set  $B = q_{\kappa,\lambda}^{-1}(Y)$ . Then clearly,  $B \subseteq A$ . Moreover

by Lemma 6.3,  $B \xrightarrow{<} (I_{\kappa,\lambda}^+)^2$  but  $B \not\xrightarrow{<} (I_{\kappa,\lambda}^+)^3$ .  $\square$

LEMMA 6.6. *Suppose that  $\kappa$  is Mahlo,  $\text{cf}(\lambda) < \kappa$ , and there is  $Z \in \text{NS}_{\kappa,\lambda}^{* < \kappa}$  such that  $\text{NSS}_{\kappa,\lambda}^{* < \kappa} | Z = I_{\kappa,\lambda}^{* < \kappa} | Z$ . Then there is  $C \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{< \kappa}})^*$  with the property that for any  $A \in I_{\kappa,\lambda}^+ \cap P(C)$ , there is  $B \in I_{\kappa,\lambda}^+ \cap P(A)$  with  $[B]_{<}^2 = [B]^2$ .*

PROOF. Put  $C = q_{\kappa,\lambda}^{-1}(Z \cap \mathcal{X}_{\kappa,\lambda})$ . Then by Lemma 3.1,  $C \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{< \kappa}})^*$ . Let  $A \in I_{\kappa,\lambda}^+ \cap P(C)$ . Set  $X = q_{\kappa,\lambda} " A$ . Then by Lemma 3.1,  $X \in I_{\kappa,\lambda}^{* < \kappa} \cap P(Z \cap \mathcal{X}_{\kappa,\lambda})$ . Hence by Lemma 4.3, we may find  $Y \in I_{\kappa,\lambda}^{* < \kappa} \cap P(X)$  with  $[Y]_{<}^2 = [Y]^2$ . Put  $B = q_{\kappa,\lambda}^{-1}(Y)$ . It is simple to see that  $B \in I_{\kappa,\lambda}^+ \cap P(A)$ , and  $[B]_{<}^2 = [B]^2$ .  $\square$

By a result of [23] and Lemma 6.6, if  $\overline{\text{NS}_{\kappa,\lambda}} \leq \lambda^{< \kappa}$ , then for any  $A \subseteq P_\kappa(\lambda)$ ,  $A \xrightarrow{<} (\text{NS}_{\kappa,\lambda}^+, I_{\kappa,\lambda}^+)^2$  just in case  $A \xrightarrow{<} (\text{NS}_{\kappa,\lambda}^+)^2$ . We now show that the result remains valid when 3 is substituted for 2.

LEMMA 6.7.  $\{A \subseteq P_\kappa(\lambda) : A \not\xrightarrow{<} (\text{NS}_{\kappa,\lambda}^+, I_{\kappa,\lambda}^+)^3\}$  is a (possibly improper) strongly normal ideal on  $P_\kappa(\lambda)$  extending  $\text{NIn}_{\kappa,\lambda}$ .

PROOF. Set  $J = \{A \subseteq P_\kappa(\lambda) : A \not\xrightarrow{<} (\text{NS}_{\kappa,\lambda}^+, I_{\kappa,\lambda}^+)^3\}$ . Clearly,  $P(A) \subseteq J$  for all  $A \in J$ . It is also simple to see that if  $A_1, A_2$  are any two disjoint members of  $J$ , then  $A_1 \cup A_2 \in J$ . It follows that  $J$  is a (possibly improper) ideal on  $P_\kappa(\lambda)$ . If  $A \in P(P_\kappa(\lambda)) \setminus J$ , then by Lemma 2.12  $A \xrightarrow{<} (\text{NS}_{\kappa,\lambda}^+)^2$ , so by Lemma 1.13  $A \in \text{NIn}_{\kappa,\lambda}^+$ . Thus  $\text{NIn}_{\kappa,\lambda} \subseteq J$ .

By Lemma 2.3, it follows that  $\text{NS}_{\kappa,\lambda}^{[\lambda]^{< \kappa}} \subseteq J$ .

Now let  $A \in J^+$  and  $f : A \rightarrow P_\kappa(\lambda)$  with the property that  $f(a) < a$  for every  $a \in A$ . Let  $B$  be the set of all  $a \in A$  such that  $a \cap \kappa$  is an inaccessible cardinal. Note that by Lemma 2.2,  $B \in J^+$ . Further note that for any  $a \in B$ ,  $\text{o.t.}(f(a)) \in a \cap \kappa$ . For  $a \in B$ , let  $h_a : \text{o.t.}(f(a)) \rightarrow f(a)$  be the increasing enumeration of  $f(a)$ . For  $e \in P_\kappa(\lambda)$ , put  $B_e = \{a \in B : f(a) = e\}$ . Suppose toward a contradiction that  $\{B_e : e \in P_\kappa(\lambda)\} \subseteq J$ . For  $e \in P_\kappa(\lambda)$ , pick  $F_e : [B]_{<}^3 \rightarrow 2$  with the property that

- (a) there is no  $H \in \text{NS}_{\kappa,\lambda}^+ \cap P(B_e)$  such that  $F_e$  takes the constant value 0 on  $[H]_{<}^3$ , and
- (b) there is no  $Q \in I_{\kappa,\lambda}^+ \cap P(B_e)$  such that  $F_e$  takes the constant value 1 on  $[Q]_{<}^3$ .

Now define  $F : [B]_{<}^3 \rightarrow 2$  by:  $F(a_0, a_1, a_2) = 0$  if and only if either  $f(a_0) = f(a_1)$  and  $F_{f(a_0)}(a_0, a_1, a_2) = 0$ , or  $\text{o.t.}(f(a_0)) < \text{o.t.}(f(a_1))$ , or  $f(a_0) \neq f(a_1)$ ,  $\text{o.t.}(f(a_0)) = \text{o.t.}(f(a_1))$  and  $h_{a_0}(\sigma) < h_{a_1}(\sigma)$ , where  $\sigma =$  the least  $\zeta$  such that  $h_{a_0}(\zeta) \neq h_{a_1}(\zeta)$ .

We may find  $C \subseteq B$  and  $i < 2$  such that

- ( $\alpha$ )  $F$  takes the constant value  $i$  on  $[C]_{<}^3$ , and
- ( $\beta$ )  $C \in \text{NS}_{\kappa,\lambda}^+$ , if  $i = 0$ , and  $C \in I_{\kappa,\lambda}^+$  otherwise.

Case I:  $i = 0$ . There must be  $D \in \text{NS}_{\kappa,\lambda}^+ \cap P(C)$  and  $\alpha \in \kappa$  such that  $\text{o.t.}(f(a)) = \alpha$  for every  $a \in D$ . We inductively define  $\delta_\sigma \in \lambda$  and  $W_\sigma \in \text{NS}_{\kappa,\lambda}^*$  for  $\sigma < \alpha$  so that  $h_a(\sigma) = \delta_\sigma$  for each  $a \in D \cap W_\sigma$ . Suppose  $\delta_\xi$  and  $W_\xi$  have already been constructed for each  $\xi < \sigma$ . Set  $S = \bigcap_{\xi < \sigma} W_\xi$  and  $y = \{h_a(\sigma) : a \in D \cap S\}$ . For  $\beta \in y$ , pick  $d_\beta \in D \cap S$  with  $h_{d_\beta}(\sigma) = \beta$ .

CLAIM 1.  $y$  has a largest element.

PROOF OF CLAIM 1. Suppose otherwise. Let  $T$  be the set of all  $a \in D \cap S$  such that

- (1) for every  $\beta \in a \cap y$ ,  $d_\beta < a$ , and
- (2)  $\text{o.t.}(a \cap y)$  is an infinite limit ordinal.

Then clearly  $T \in \text{NS}_{\kappa,\lambda}^+$ . Pick  $w \in T$ . There must be  $\beta \in w \cap y$  with  $h_w(\sigma) < \beta$ . Now select  $x \in D$  with  $w < x$ . Then  $F(d_\beta, w, x) = 1$ . This contradiction completes the proof of Claim 1.  $\square$

CLAIM 2.  $h_a(\sigma) = \max(y)$  for every  $a \in D \cap S$  with  $d_{\max(y)} < a$ .

PROOF OF CLAIM 2. Suppose otherwise, and pick  $s \in D \cap S$  with  $d_{\max(y)} < s$  and  $h_s(\sigma) \neq \max(y)$ . Select  $s' \in D$  with  $s < s'$ . Then  $F(d_{\max(y)}, s, s') = 1$ . This contradiction completes the proof of Claim 2.  $\square$

Now set  $\delta_\sigma = \max(y)$  and  $W_\sigma = \{a \in S : d_{\max(y)} < a\}$ .

Finally, put  $e = \{\delta_\xi : \xi < \alpha\}$  and  $W = \bigcap_{\xi < \alpha} W_\xi$ . Then  $D \cap W \in \text{NS}_{\kappa,\lambda}^+ \cap P(B_e)$ , and moreover  $F_e$  takes the constant value 0 on  $[D \cap W]_{<}^3$ . Contradiction.

Case II:  $i = 1$ .

CLAIM 3. There is  $z \in C$  such that  $\text{o.t.}(f(a)) = \text{o.t.}(f(z))$  for every  $a \in C$  with  $z < a$ .

PROOF OF CLAIM 3. Suppose otherwise. Inductively pick  $b_n \in C$  for  $n < \omega$  so that  $b_n < b_{n+1}$  and  $\text{o.t.}(f(b_n)) \neq \text{o.t.}(f(b_{n+1}))$ . For each  $n < \omega$ ,  $F(b_n, b_{n+1}, b_{n+2}) = 1$ , so  $\text{o.t.}(f(b_n)) > \text{o.t.}(f(b_{n+1}))$ . Thus  $\text{o.t.}(f(b_0)) > \text{o.t.}(f(b_1)) > \text{o.t.}(f(b_2)) > \dots$ . This contradiction completes the proof of Claim 3.  $\square$

Put  $\beta = \text{o.t.}(f(z))$  and  $C' = \{a \in C : z < a\}$ . We define inductively  $\eta_\sigma \in \lambda$  and  $t_\sigma \in C'$  for  $\sigma < \beta$  so that  $h_a(\sigma) = \eta_\sigma$  for each  $a \in C'$  with  $t_\sigma < a$ . Suppose  $\eta_\xi$  and  $t_\xi$  have already been constructed for each  $\xi < \sigma$ . Set  $u = \bigcup_{\xi < \sigma} t_\xi$  and  $R = \{a \in C' : u < a\}$ .

CLAIM 4. There is  $v \in R$  such that  $h_a(\sigma) = h_v(\sigma)$  for every  $a \in R$  with  $v < a$ .

PROOF OF CLAIM 4. Suppose otherwise. Inductively select  $c_n \in R$  for  $n < \omega$  so that  $c_n < c_{n+1}$  and  $h_{c_n}(\sigma) \neq h_{c_{n+1}}(\sigma)$ . For each  $n < \omega$ ,  $F(c_n, c_{n+1}, c_{n+2}) = 1$ , so  $h_{c_n}(\sigma) > h_{c_{n+1}}(\sigma)$ . Thus  $h_{c_0}(\sigma) > h_{c_1}(\sigma) > h_{c_2}(\sigma) > \dots$ . This contradiction completes the proof of Claim 4.  $\square$

Now put  $\eta_\sigma = h_v(\sigma)$  and  $t_\sigma = v$ .

Finally, set  $e = \{\eta_\xi : \xi < \beta\}$  and  $t = \bigcup_{\xi < \beta} t_\xi$ . Then clearly  $\{a \in C' : t < a\} \in \text{I}_{\kappa,\lambda}^+ \cap P(B_e)$ . Moreover  $F_e$  takes the constant value 1 on  $[\{a \in C' : t < a\}]_{<}^3$ . Contradiction.  $\square$

PROPOSITION 6.8. Suppose  $\overline{\text{cof}}(\text{NS}_{\kappa,\lambda}) \leq \lambda^{<\kappa}$ , and let  $A \subseteq P_\kappa(\lambda)$  with  $A \xrightarrow{<} \kappa$

$(\text{NS}_{\kappa,\lambda}^+, \text{I}_{\kappa,\lambda}^+)^3$ . Then  $A \longrightarrow (\text{NS}_{\kappa,\lambda}^+)^3$ .

PROOF. By Lemmas 1.8, 6.6 and 6.7.  $\square$

If  $\kappa$  is Mahlo and  $2^\lambda = \lambda^{<\kappa}$ , then by a result of [23] and Lemma 6.6, for any  $A \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+$ ,  $A \in (p_{\kappa,\lambda}(\text{NSh}_{\kappa,\lambda^{<\kappa}}))^+$  if and only if  $A \longrightarrow (\text{NS}_{\kappa,\lambda}^+)^2$  if and only if  $A \xrightarrow{<} (\text{NS}_{\kappa,\lambda}^+, \text{I}_{\kappa,\lambda}^+)^2$  if and only if  $(\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}} | A)^* \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^2$ . The corresponding result for triples reads as follows:

PROPOSITION 6.9. *Suppose  $\kappa$  is Mahlo and  $2^\lambda = \lambda^{<\kappa}$ . Then for any  $A \in (\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+$ , the following are equivalent:*

- (i)  $A \in (p_{\kappa,\lambda}(\text{NIn}_{\kappa,\lambda^{<\kappa}}))^+$ .
- (ii)  $A \longrightarrow (\text{NS}_{\kappa,\lambda}^+)^3$ .
- (iii)  $A \xrightarrow{<} (\text{NS}_{\kappa,\lambda}^+, \text{I}_{\kappa,\lambda}^+)^3$ .
- (iv)  $(\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}} | A)^* \xrightarrow{<} (\text{I}_{\kappa,\lambda}^+)^3$ .

PROOF. By Lemma 6.7 and Propositions 6.2 and 6.4.  $\square$

If  $\lambda^{<\lambda} = \lambda$ , then by Lemma 1.13 and Propositions 2.11 and 2.13,  $P_\kappa(\lambda) \xrightarrow{<} (\text{NS}_{\kappa,\lambda}^+)^2$  just in case  $P_\kappa(\lambda) \xrightarrow{<} (\text{NS}_{\kappa,\lambda}^+, \text{I}_{\kappa,\lambda}^+)^3$ . In contrast to this, if  $2^\lambda = \lambda^{<\kappa}$  and  $\kappa$  is  $\lambda^{<\kappa}$ -Shelah but not almost  $\lambda^{<\kappa}$ -ineffable, then (by a result of [23])  $P_\kappa(\lambda) \xrightarrow{<} ((\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+, \text{NS}_{\kappa,\lambda}^+)^2$  but (by Lemma 6.7 and Propositions 6.4 and 6.8),  $P_\kappa(\lambda) \not\xrightarrow{<} (\text{NS}_{\kappa,\lambda}^+, \text{I}_{\kappa,\lambda}^+)^3$ . (Note that it can be shown that if  $2^\lambda = \lambda^{<\kappa}$  and  $\kappa$  is almost  $\lambda^{<\kappa}$ -ineffable, then the set of all  $a \in \mathcal{A}_{\kappa,\lambda}$  such that  $2^{\text{o.t.}(a)} = \text{o.t.}(a)^{<(a \cap \kappa)}$  and  $a \cap \kappa$  is  $\text{o.t.}(a)^{<(a \cap \kappa)}$ -Shelah but not almost  $\text{o.t.}(a)^{<(a \cap \kappa)}$ -ineffable lies in  $(p_{\kappa,\lambda}(\text{NIn}_{\kappa,\lambda^{<\kappa}}))^+$ ). On the other hand, if  $2^\lambda = \lambda^{<\kappa}$ , then by Proposition 3.4 and Lemma 2.12,  $P_\kappa(\lambda) \xrightarrow{<} ((\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+)^2$  just in case  $P_\kappa(\lambda) \xrightarrow{<} ((\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+, \text{I}_{\kappa,\lambda}^+)^3$ .

Finally, we combine Propositions 2.11 and 3.4 on the one hand, and Propositions 4.4 and 6.2 on the other hand, thus showing that the two cases  $\lambda^{<\lambda} = \lambda$  and  $2^\lambda = \lambda^{<\kappa}$  can be (at least to some extent) handled simultaneously.

PROPOSITION 6.10. *Suppose  $(\lambda^{<\kappa})^{<(\lambda^{<\kappa})} = \lambda^{<\kappa}$ . Then  $(p_{\kappa,\lambda}(\text{NIn}_{\kappa,\lambda^{<\kappa}}))^+ \longrightarrow ((\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+, \text{NSS}_{\kappa,\lambda}^+)^3$  and  $(p_{\kappa,\lambda}(\text{NIn}_{\kappa,\lambda^{<\kappa}}))^+ \longrightarrow (\text{NSS}_{\kappa,\lambda}^+)^3$ .*

PROOF. We prove the first assertion and leave the proof of the second to the reader. Thus let  $A \in (p_{\kappa,\lambda}(\text{NIn}_{\kappa,\lambda^{<\kappa}}))^+$ . If  $\text{cf}(\lambda) \geq \kappa$ , then by Lemma 1.11  $\lambda^{<\lambda} = \lambda$  and  $p_{\kappa,\lambda}(\text{NIn}_{\kappa,\lambda^{<\kappa}}) = \text{NIn}_{\kappa,\lambda}$ , so by Proposition 2.11,  $A \longrightarrow ((\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+, \text{NSS}_{\kappa,\lambda}^+)^3$ . If  $\text{cf}(\lambda) < \kappa$ , then by Lemma 3.3  $2^\lambda = \lambda^{<\kappa} = \lambda^+$  and therefore by Proposition 3.4,  $A \longrightarrow ((\text{NS}_{\kappa,\lambda}^{[\lambda]^{<\kappa}})^+, \text{NSS}_{\kappa,\lambda}^+)^3$ .  $\square$

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