

## Homogeneous Kähler manifolds of non-degenerate Ricci curvature

Dedicated to Professor N. Tanaka on his 60th birthday

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### Introduction.

Let  $M$  be a connected homogeneous Kähler manifold. Denote by  $\text{Aut}(M)$  the group of all holomorphic isometries of  $M$ . Let  $G$  be a connected subgroup of  $\text{Aut}(M)$  acting transitively on  $M$  and  $K$  the isotropy subgroup of  $G$  at a point of  $M$ . We denote by  $\mathfrak{g}$  and  $\mathfrak{k}$  the Lie algebras of  $G$  and  $K$  respectively. Then there correspond to the invariant complex structure and the Kähler form of  $M$  a linear endomorphism  $j$  of  $\mathfrak{g}$  and a skew-symmetric bilinear form  $\rho$  on  $\mathfrak{g}$  such that  $(\mathfrak{g}, \mathfrak{k}, j, \rho)$  becomes an effective Kähler algebra. (For the definition of a Kähler algebra, see §1.)

According to Vinberg and Gindikin [8], the Kähler algebra  $(\mathfrak{g}, \mathfrak{k}, j, \rho)$  is called *non-degenerate* if there exists a linear form  $\omega$  on  $\mathfrak{g}$  such that  $\rho = d\omega$  ([8]), where the operator  $d$  means the exterior differentiation under the identification of  $p$ -forms on  $\mathfrak{g}$  with left invariant  $p$ -forms on the Lie group  $G$ . Note that if the Kähler algebra  $(\mathfrak{g}, \mathfrak{k}, j, \rho)$  is non-degenerate, then the system  $(\mathfrak{g}, \mathfrak{k}, j)$  becomes a  $j$ -algebra. (For the definition of a  $j$ -algebra, see §2.)

The purpose of the present paper is to investigate the structure of  $j$ -algebras and prove the following

**THEOREM.** *Let  $M=G/K$  be a connected homogeneous Kähler manifold where  $G$  is a subgroup of  $\text{Aut}(M)$ . Then the Ricci curvature of  $M$  is non-degenerate if and only if the corresponding Kähler algebra  $(\mathfrak{g}, \mathfrak{k}, j, \rho)$  is non-degenerate.*

We explain our method. By [3] every connected homogeneous Kähler manifold  $M$  is a holomorphic fiber bundle over a homogeneous bounded domain in which the fiber is the product of a flat homogeneous Kähler manifold and a compact simply connected homogeneous Kähler manifold. Recall that the Ricci tensor of  $M$  corresponds to the canonical hermitian form introduced by Koszul [4] and it is expressed in terms of the Kähler algebra  $(\mathfrak{g}, \mathfrak{k}, j, \rho)$ . Then by a simple calculation, we can see in §1 that *if the Ricci tensor of  $M$  is non-*

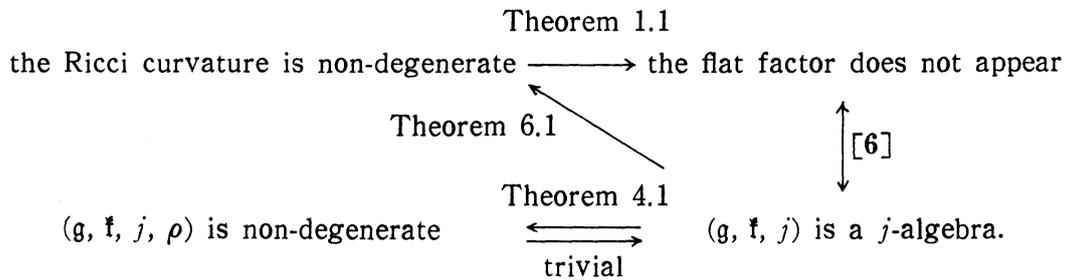
degenerate, then the flat factor of  $M$  does not appear (Theorem 1.1). On one hand we already know from [6, Theorems A and B] and from [7, Proposition 3.1] that the flat part of  $M$  vanishes if and only if the system  $(\mathfrak{g}, \mathfrak{k}, j)$  becomes a  $j$ -algebra. Therefore the study of homogeneous Kähler manifolds of non-degenerate Ricci curvature has great concern with the study of  $j$ -algebras.

In §§ 2 and 3, starting from the decomposition theorem of a  $j$ -algebra in [9] with respect to an abelian ideal and using the structure theorem of a homogeneous convex cone in [3], we will describe the structure of a  $j$ -algebra in more detail. From our descriptions, we can see in § 4 that every closed 2-form  $\rho$  on an effective  $j$ -algebra  $(\mathfrak{g}, \mathfrak{k}, j)$  satisfying the conditions:  $\rho(\mathfrak{k}, \mathfrak{g})=0$  and  $\rho(jx, jy)=\rho(x, y)$  for  $x, y \in \mathfrak{g}$  is an exact form (Theorem 4.1). In particular, for an effective Kähler algebra  $(\mathfrak{g}, \mathfrak{k}, j, \rho)$  the non-degeneracy is equivalent to the condition that  $(\mathfrak{g}, \mathfrak{k}, j)$  is a  $j$ -algebra.

§ 5 is not needed for the proof of our theorem, but is devoted to giving an invariant meaning to the decomposition of a  $j$ -algebra obtained in §§ 2 and 3 (Theorem 5.3).

In §§ 6 and 7 we will prove that the canonical hermitian form of every effective  $j$ -algebra is non-degenerate (Theorem 6.1). This can be done by direct computations, using the root space decomposition due to [9].

Summing up our results, we have the following implications:



Thus we get our theorem. At the same time, we also obtain that the Ricci curvature of a connected homogeneous Kähler manifold  $M$  is non-degenerate if and only if  $M$  is a holomorphic fiber bundle over a homogeneous bounded domain in which the fiber is a compact simply connected homogeneous Kähler manifold. We would like to remark that the last condition is equivalent to say that  $M$  is, as a complex manifold, the product of a homogeneous bounded domain and a compact simply connected homogeneous complex manifold ([6], [3]).

Throughout this paper, we use the following notations: For a Lie algebra  $\mathfrak{g}$ ,  $\text{rad}(\mathfrak{g})$  and  $\text{nil}(\mathfrak{g})$  mean the radical and the nilpotent radical of  $\mathfrak{g}$  respectively. Let  $A$  be a linear endomorphism of a real vector space  $V$ . Then  $A$  is uniquely decomposed as  $A=R+I+N$ , where all  $R$ ,  $I$  and  $N$  commute,  $R$  (resp.  $I$ ) is a semi-simple endomorphism with real (resp. imaginary) eigenvalues and  $N$  is a

nilpotent endomorphism. We denote by  $Re(A)$  the endomorphism  $R$ .

### §1. Kähler algebras.

Let  $\mathfrak{g}$  be a finite dimensional Lie algebra over  $\mathbf{R}$ ,  $\mathfrak{k}$  a subalgebra of  $\mathfrak{g}$ ,  $j$  a linear endomorphism of  $\mathfrak{g}$  and  $\rho$  a skew-symmetric bilinear form on  $\mathfrak{g}$ . We then call the quadruple  $(\mathfrak{g}, \mathfrak{k}, j, \rho)$  or simply  $\mathfrak{g}$  to be a *Kähler algebra* if the following conditions are satisfied:

$$(1.1) \quad j\mathfrak{k} \subset \mathfrak{k}, \quad j^2x \equiv -x \pmod{\mathfrak{k}},$$

$$(1.2) \quad [x, jy] \equiv j[x, y] \pmod{\mathfrak{k}} \quad \text{for } x \in \mathfrak{k}, y \in \mathfrak{g},$$

$$(1.3) \quad [jx, jy] \equiv [x, y] + j[jx, y] + j[x, jy] \pmod{\mathfrak{k}} \quad \text{for } x, y \in \mathfrak{g},$$

$$(1.4) \quad \rho(\mathfrak{k}, \mathfrak{g}) = 0, \quad d\rho = 0,$$

$$(1.5) \quad \rho(jx, jy) = \rho(x, y) \quad \text{for } x, y \in \mathfrak{g},$$

$$(1.6) \quad \rho(jx, x) > 0 \quad \text{if } x \notin \mathfrak{k}.$$

The subalgebra  $\mathfrak{k}$  will be called *the isotropy subalgebra*.

Let  $M=G/K$  be a connected homogeneous Kähler manifold of a Lie group  $G$  by a closed subgroup  $K$ , equipped with a  $G$ -invariant complex structure  $J$  and a  $G$ -invariant Kähler form  $\Psi$ . Denote by  $\mathfrak{g}$  and  $\mathfrak{k}$  the Lie algebras of  $G$  and  $K$  respectively. Then there exists a linear endomorphism  $j$  of  $\mathfrak{g}$  such that  $\pi_*(jx)_e = J_o(\pi_*x_e)$  for  $x \in \mathfrak{g}$ , where  $e$  denotes the identity element of  $G$ ,  $\pi$  denotes the projection of  $G$  onto  $G/K$  and  $o = \pi(e)$ . We also set  $\rho = \pi^*\Psi$ . Then  $(\mathfrak{g}, \mathfrak{k}, j, \rho)$  becomes a Kähler algebra.

Conversely let  $(\mathfrak{g}, \mathfrak{k}, j, \rho)$  be a Kähler algebra and let  $G$  be the simply connected Lie group with  $\mathfrak{g}$  as its Lie algebra. Denote by  $K$  the connected subgroup of  $G$  corresponding to  $\mathfrak{k}$ . Then as is proved in [3, Proposition 1.1], the group  $K$  is closed in  $G$  and the homogeneous space  $G/K$  admits a  $G$ -invariant Kähler structure.

Let  $\mathfrak{g}$  be a Kähler algebra with an isotropy subalgebra  $\mathfrak{k}$  and an operator  $j$ . We call  $\mathfrak{g}$  to be *effective* if  $\mathfrak{k}$  does not contain any non-trivial ideal of  $\mathfrak{g}$ . Let  $j'$  be another endomorphism such that  $j'x \equiv jx \pmod{\mathfrak{k}}$  for all  $x \in \mathfrak{g}$ . Then  $\mathfrak{g}$  is also a Kähler algebra relative to  $j'$ . Changing  $j$  to such a  $j'$  will be said *an inessential change* and we will not distinguish two algebras which are related to each other by inessential changes. A subalgebra  $\mathfrak{g}'$  of  $\mathfrak{g}$  is called a *Kähler subalgebra* if it satisfies  $j\mathfrak{g}' \subset \mathfrak{g}' + \mathfrak{k}$ . In this case after an inessential change of  $j$ , we can assume that  $j\mathfrak{g}' \subset \mathfrak{g}'$ . Then  $\mathfrak{g}'$  itself is a Kähler algebra with the isotropy subalgebra  $\mathfrak{g}' \cap \mathfrak{k}$ . Similarly *Kähler ideals* are defined.

Let  $(\mathfrak{g}, \mathfrak{k}, j)$  be a system satisfying (1.1), (1.2) and (1.3). We further assume that  $\text{Trace } \text{ad } x = 0$  for all  $x \in \mathfrak{k}$ . For any  $x \in \mathfrak{g}$ ,  $\text{ad } jx - j \circ \text{ad } x$  leaves  $\mathfrak{k}$  invariant

and hence induces an endomorphism of  $\mathfrak{g}/\mathfrak{k}$ . According to Koszul [4], we define a linear form  $\phi$ , called *the Koszul form*, by

$$\phi(x) = \text{Trace}(\text{ad } jx - j \circ \text{ad } x)|_{\mathfrak{g}/\mathfrak{k}} \quad \text{for } x \in \mathfrak{g}.$$

Let us set

$$\eta(x, y) = \phi([jx, y]) \quad \text{for } x, y \in \mathfrak{g}.$$

We can see that  $\eta$  is a symmetric bilinear form on  $\mathfrak{g}$  satisfying the following properties ([4]):

$$\eta(\mathfrak{k}, \mathfrak{g}) = 0 \quad \text{and} \quad \eta(jx, jy) = \eta(x, y) \quad \text{for } x, y \in \mathfrak{g}.$$

By the above properties, the form  $\eta$  induces a hermitian symmetric bilinear form on  $\mathfrak{g}/\mathfrak{k}$ , which will be called *the canonical hermitian form*. It is standard that for a Kähler algebra  $\mathfrak{g}$ , the canonical hermitian form thus obtained can be identified with the Ricci tensor of the homogeneous Kähler manifold corresponding to  $\mathfrak{g}$ . Using the result of [3], we will calculate the canonical hermitian form and prove the following

**THEOREM 1.1.** *Let  $M$  be a connected homogeneous Kähler manifold. Assume that the Ricci curvature of  $M$  is non-degenerate. Then  $M$  is, as a complex manifold, the product of a homogeneous bounded domain and a compact simply connected homogeneous complex manifold.*

**PROOF.** By [3, Theorems 2.1 and 2.5], we can find a subgroup  $G$  of  $\text{Aut}(M)$  acting on  $M$  transitively and having the following properties: Let us denote by  $(\mathfrak{g}, \mathfrak{k}, j, \rho)$  the Kähler algebra attached to the expression  $M=G/K$ . Then  $\mathfrak{g}$  is decomposed as  $\mathfrak{g}=\mathfrak{a} \oplus \mathfrak{h}$  where

- (1)  $\mathfrak{a}$  is an abelian Kähler ideal of  $\mathfrak{g}$ ;
- (2)  $\mathfrak{h}$  is a Kähler subalgebra containing  $\mathfrak{k}$  and the homogeneous Kähler manifold associated with the Kähler algebra  $(\mathfrak{h}, \mathfrak{k}, j, \rho)$  is, as a complex manifold, the product of a homogeneous bounded domain and a compact simply connected homogeneous Kähler manifold.

In order to prove our theorem it is sufficient to show that  $\mathfrak{a}=0$ . Let  $\Psi$  denote the Koszul form of the Kähler algebra  $(\mathfrak{g}, \mathfrak{k}, j, \rho)$ . After an inessential change of  $j$ , we can assume that  $\mathfrak{a}$  is  $j$ -invariant. Then it is clear that  $\Psi(\mathfrak{a})=0$ . Hence we have  $\Psi([\mathfrak{a}, \mathfrak{g}])=0$ . This means that  $\mathfrak{a} \subset \mathfrak{k}$ , because the canonical hermitian form is non-degenerate in our case. Since  $\mathfrak{k} \cap \mathfrak{a}=0$  holds, we can conclude  $\mathfrak{a}=0$ , proving the theorem. q. e. d.

## §2. The structure of $j$ -algebras.

Let  $(\mathfrak{g}, \mathfrak{k}, j)$  be a system satisfying (1.1), (1.2) and (1.3). We call  $(\mathfrak{g}, \mathfrak{k}, j)$  or simply  $\mathfrak{g}$  a  $j$ -algebra if there exists a linear form  $\omega$  on  $\mathfrak{g}$  such that  $(\mathfrak{g}, \mathfrak{k}, j, d\omega)$

is a Kähler algebra. Such a form  $\omega$  will be called an *admissible form* of the  $j$ -algebra  $(g, \mathfrak{k}, j)$ . Clearly if  $(g, \mathfrak{k}, j, \rho)$  is a non-degenerate Kähler algebra, then  $(g, \mathfrak{k}, j)$  is a  $j$ -algebra. For the  $j$ -algebra  $(g, \mathfrak{k}, j)$ , we can also define effectiveness,  $j$ -subalgebras, the Koszul form, etc, similarly to Kähler algebras. In this section, we first recall a result of [9] concerning to  $j$ -algebras.

Let  $(g, \mathfrak{k}, j)$  be an effective  $j$ -algebra. An abelian ideal  $\mathfrak{r}$  of  $g$  is called of *the first kind* if there exists an element  $e$  of  $\mathfrak{r}$  such that

$$(2.1) \quad [jx, e] = x \quad \text{for all } x \in \mathfrak{r}.$$

The element  $e$  is called *the principal idempotent* of  $\mathfrak{r}$ .

The following fact is standard.

PROPOSITION 2.1 ([9]). *Let  $\mathfrak{r}$  be an abelian ideal of the first kind with the principal idempotent  $e$  and let  $g_\lambda$  be the eigenspace of the operator  $Re(ad_j e)$  with eigenvalue  $\lambda$ . Then  $g$  is decomposed into the sum of subspaces as*

$$g = \mathfrak{r} \oplus j\mathfrak{r} \oplus \mathfrak{w} \oplus \mathfrak{s}$$

in the following way:

- (1)  $g_0 = j\mathfrak{r} \oplus \mathfrak{s}$ ,  $g_{1/2} = \mathfrak{w}$  and  $g_1 = \mathfrak{r}$ .
- (2)  $\mathfrak{s}$  is a  $j$ -subalgebra containing  $\mathfrak{k}$  and given by  $\mathfrak{s} = \{x \in g_0; [x, e] = 0\}$ .
- (3)  $j\mathfrak{w} \subset \mathfrak{w} \oplus \mathfrak{k}$ .

Moreover let us denote by  $\tau$  the adjoint representation of  $g_0$  on  $\mathfrak{r}$  and by  $G_0$  the connected subgroup of  $GL(\mathfrak{r})$  generated by  $\tau(g_0)$ . Then

- (4)  $\Omega = G_0 e$  is an open convex cone in  $\mathfrak{r}$  not containing any straight line.

Assume further that  $\mathfrak{r}$  is a maximal abelian ideal of the first kind. Then

- (5)  $\mathfrak{s}$  is reductive.

In what follows,  $\mathfrak{r}$  always denotes a maximal abelian ideal of the first kind.

Let us denote by  $\mathfrak{F}$  the algebraic hull of  $\tau(g_0)$ . Then by [3, Theorem 6.2], we have

PROPOSITION 2.2 ([3]). *There exist elements  $e_1, \dots, e_m$  of  $\mathfrak{r}$ , commutative elements  $f_1, \dots, f_m \in \mathfrak{F}$ , decompositions  $\mathfrak{r} = \sum_{1 \leq i \leq j \leq m} \mathfrak{r}_{ij}$ ,  $\mathfrak{F} = \sum_{1 \leq i \leq j \leq m} \mathfrak{F}_{ij} \oplus \mathfrak{F}_0$  and irreducible self dual cones  $\Omega_i \subset \mathfrak{r}_{ii}$  such that  $f_i \in \mathfrak{F}_{ii}$  and*

- (1)  $f_i = (\delta_{ij} + \delta_{ik})/2$  on  $\mathfrak{r}_{jk}$  and  $adf_i = (\delta_{ij} - \delta_{ik})/2$  on  $\mathfrak{F}_{jk}$ ;
- (2)  $\mathfrak{F}_0 = \{f \in \mathfrak{F}; fx = 0 \text{ for all } x \in \sum_{i=1}^m \mathfrak{r}_{ii}\}$ ;
- (3)  $[\mathfrak{F}_0, \mathfrak{F}_{ii}] = 0$  for all  $i$  and  $[\mathfrak{F}_{ii}, \mathfrak{F}_{jj}] = 0$ ,  $\mathfrak{F}_{ii} \mathfrak{r}_{jj} = 0$  for  $i \neq j$ .

By the property (1), each  $\mathfrak{r}_{jk}$  is invariant under  $\mathfrak{F}_{ii}$ . Then

(4) *the restriction of  $\mathfrak{F}_{ii}$  to  $\mathfrak{r}_{ii}$  gives an isomorphism between  $\mathfrak{F}_{ii}$  and  $Lie Aut(\Omega_i)$ , the Lie algebra of the group of all automorphisms of the cone  $\Omega_i$ ;*

- (5)  $e = \sum_{i=1}^m e_i$ ,  $e_i \in \Omega_i$  and  $\Omega_1 \times \dots \times \Omega_m = \Omega \cap \sum_{i=1}^m \mathfrak{r}_{ii}$ ;

(6) *the isotropy subalgebra  $\mathfrak{F}_e$  of  $\mathfrak{F}$  at the point  $e$  is decomposed as  $\mathfrak{F}_e = \sum_{i=1}^m \mathfrak{F}_e \cap \mathfrak{F}_{ii} \oplus \mathfrak{F}_0$  and  $\mathfrak{F}_e \cap \mathfrak{F}_{ii} = \{f \in \mathfrak{F}_{ii}; fe_i = 0\}$ .*

From the above properties, we can see

$$(2.2) \quad \mathfrak{F}_e e_i = 0 \quad \text{for all } i=1, \dots, m.$$

Since  $\tau(\mathfrak{f}) \subset \tau(\mathfrak{s}) \subset \mathfrak{F}_e$ , we have

$$(2.3) \quad [\mathfrak{s}, e_i] = 0 \quad \text{and} \quad [\mathfrak{f}, e_i] = 0.$$

Moreover from (4), we also know that  $\mathfrak{F}_{ii}$  is reductive and its center is the 1-dimensional subspace generated by  $f_i$ . Therefore

$$(2.4) \quad \mathfrak{F}_{ii} = \mathbf{R}f_i \oplus \mathfrak{H}_i,$$

where  $\mathfrak{H}_i = [\mathfrak{F}_{ii}, \mathfrak{F}_{ii}]$ .

After an inessential change of  $j$ , we can assume that  $j\mathfrak{r}$  is a solvable subalgebra ([9]). Let  $e_1, \dots, e_m$  be as in Proposition 2.2. We consider the operators  $R_i = \text{Re}(\text{ad} j e_i)$ . We put  $f'_i = \tau(j e_i)$ . Then  $f_i e - f'_i e = e_i - e_i = 0$ . Therefore  $f_i - f'_i \in \mathfrak{F}_e$ . Since  $[f_i, \mathfrak{F}_e] = 0$  and since every element of  $\mathfrak{F}_e$  has only imaginary eigenvalues, we have  $\text{Re}(f_i) = \text{Re}(f'_i)$ . Therefore

$$(2.5) \quad R_i = \frac{1}{2}(\delta_{ij} + \delta_{ik}) \quad \text{on } \mathfrak{r}_{jk}.$$

LEMMA 2.3.  $[j e_i, j e_j] = 0.$

PROOF. Since  $\mathfrak{F}_e e_i = 0$  by (2.2), we have

$$[j e_i, j e_j] = j[j e_i, e_j] + j[e_i, j e_j] = j f'_i e_j = j f'_j e_i = j(f_i e_j - f_j e_i) = 0.$$

q. e. d.

By Lemma 2.3, we can decompose  $\mathfrak{g}$  into the sum of root spaces  $\mathfrak{g}^T$  relative to the abelian space of linear endomorphisms generated by  $R_1, \dots, R_m$ . Since all  $\mathfrak{r}, j\mathfrak{r}, \mathfrak{g}_0$  and  $\mathfrak{w}$  are  $\text{ad } j\mathfrak{r}$ -invariant, we also have  $\mathfrak{r} = \sum \mathfrak{r}^T, j\mathfrak{r} = \sum (j\mathfrak{r})^T, \mathfrak{g}_0 = \sum \mathfrak{g}_0^T$  and  $\mathfrak{w} = \sum \mathfrak{w}^T$ . If we define  $\Delta_i$  for  $i=1, \dots, m$  by  $\Delta_i(R_j) = \delta_{ij}$ , then by (2.5) we have immediately the following

LEMMA 2.4.  $\mathfrak{r} = \sum_{1 \leq i \leq j \leq m} \mathfrak{r}^{(\Delta_i + \Delta_j)/2}$  and  $\mathfrak{r}^{(\Delta_i + \Delta_j)/2} = \mathfrak{r}_{ij}.$

Next we show the following

LEMMA 2.5.  $j\mathfrak{r}_{ij} = (j\mathfrak{r})^{(\Delta_i - \Delta_j)/2}$  for  $i < j$  and  $j\mathfrak{r}_{ii} \subset \mathfrak{g}_0^0.$

PROOF. From (2.1), we have  $[j\mathfrak{r}_{ij}, e] \subset \mathfrak{r}_{ij}$ . It is clear that the correspondence:  $\mathfrak{F} \ni f \rightarrow f e \in \mathfrak{r}$  gives a linear map of  $\mathfrak{F}_{ij}$  onto  $\mathfrak{r}_{ij}$ . This means that  $\tau(j\mathfrak{r}_{ij}) \subset \mathfrak{F}_{ij} + \mathfrak{F}_e$ . We then have for  $x \in \mathfrak{r}_{ij}$   $[jx, e_k] \in \mathfrak{F}_{ij} e_k = 0$  if  $j \neq k$  and  $[jx, e_j] = [jx, e] = x$ . Therefore  $[j e_k, jx] = j[e_k, jx] + j[j e_k, x] = -\delta_{jk} x + j[j e_k, x]$ . Hence  $j\mathfrak{r}_{ij}$  is invariant under  $R_k$  and  $R_k = (\delta_{ik} - \delta_{jk})/2$  on  $j\mathfrak{r}_{ij}$ . q. e. d.

By virtue of Lemmas 2.4 and 2.5, we obtain the following fact using the similar argument in [9].

LEMMA 2.6.  $w = \sum_{i=1}^m w^{A_i/2}.$

Next we show

LEMMA 2.7.  $\mathfrak{s} \subset \mathfrak{g}_0^0.$

PROOF. By (2.3),  $[e_i, \mathfrak{s}] = 0.$  Since  $[jx, s] \equiv j[x, s] \pmod{\mathfrak{s}}$  holds for  $x \in \mathfrak{r}$  and  $s \in \mathfrak{s}$  (cf. [9]), we know that  $\text{ad } j e_i$  leaves  $\mathfrak{s}$  invariant. Let  $\mathfrak{c}$  and  $\mathfrak{h}$  denote the center and the semi-simple part of the reductive Lie algebra  $\mathfrak{s}.$  Note that  $\mathfrak{c} \subset \mathfrak{f}$  and we can assume that  $\mathfrak{h}$  is  $j$ -invariant, Both  $\mathfrak{c}$  and  $\mathfrak{h}$  are invariant under  $\text{ad } j e_i.$  Therefore there exists  $k_i \in \mathfrak{h}$  such that  $[j e_i - k_i, \mathfrak{h}] = 0.$  Since  $[j e_i, \mathfrak{f}] \equiv j[e_i, \mathfrak{f}] \equiv 0 \pmod{\mathfrak{f}},$  we know that  $k_i$  is contained in the normalizer of  $\mathfrak{h} \cap \mathfrak{f}$  in  $\mathfrak{h}.$  Since  $(\mathfrak{h}, \mathfrak{h} \cap \mathfrak{f}, j)$  is a semi-simple  $j$ -algebra, the normalizer of  $\mathfrak{h} \cap \mathfrak{f}$  in  $\mathfrak{h}$  coincides with  $\mathfrak{h} \cap \mathfrak{f}$  ([5, p. 59]). Therefore  $k_i \in \mathfrak{f},$  whence  $\text{Re}(\text{ad } j e_i)|_{\mathfrak{h}} = \text{Re}(\text{ad } k_i)|_{\mathfrak{h}} = 0.$

Clearly  $\mathfrak{c}$  is an ideal of the subalgebra  $\mathbf{R}j e_i \oplus \mathfrak{c}.$  Since  $\text{ad } \mathfrak{c}$  is completely reducible, there exists a 1-dimensional subspace  $\mathfrak{v}$  invariant under  $\text{ad } \mathfrak{c}$  such that  $\mathbf{R}j e_i \oplus \mathfrak{c} = \mathfrak{v} \oplus \mathfrak{c}.$  But then  $[\mathfrak{v}, \mathfrak{c}] = 0.$  Therefore  $\mathbf{R}j e_i \oplus \mathfrak{c}$  is abelian, implying  $\mathfrak{c} \subset \mathfrak{g}_0^0.$   
 q. e. d.

Summing up the results, we have proved

PROPOSITION 2.8.  $\mathfrak{g}$  is decomposed as  $\mathfrak{g} = \sum \mathfrak{g}^\Gamma,$  where  $\Gamma \in \{A_i/2, (A_i \pm A_j)/2; 1 \leq i \leq j \leq m\}$  and the following hold;

$$\begin{aligned} \mathfrak{g}^{(A_i + A_j)/2} &= \mathfrak{r}_{ij} \quad (i \leq j), & \mathfrak{g}^{(A_i - A_j)/2} &= j\mathfrak{r}_{ij} \quad (i < j), \\ \mathfrak{g}^{A_i/2} &= w^{A_i/2}, & \mathfrak{g}^0 &= \sum_{i=1}^m j\mathfrak{r}_{ii} \oplus \mathfrak{s}. \end{aligned}$$

We put

$$\mathfrak{r}^\# = \sum_{i=1}^m \mathfrak{r}_{ii}.$$

LEMMA 2.9.  $\tau(\mathfrak{g}^0)|_{\mathfrak{r}^\#} = \sum_{i=1}^m \text{Lie Aut}(\Omega_i).$

PROOF. It is clear that  $\tau(\mathfrak{g}^0) \subset \sum_{i=1}^m \mathfrak{F}_{ii} \oplus \mathfrak{F}_0.$  Therefore

$$\tau(\mathfrak{g}^0)|_{\mathfrak{r}^\#} \subset \sum_{i=1}^m \text{Lie Aut}(\Omega_i).$$

Since  $\mathfrak{F}$  is the algebraic hull of  $\tau(\mathfrak{g}_0),$  we know that  $[\mathfrak{F}, \mathfrak{F}] = [\tau(\mathfrak{g}_0), \tau(\mathfrak{g}_0)].$  Therefore  $\mathfrak{h}_i \subset \tau(\mathfrak{g}_0),$  where  $\mathfrak{h}_i$  is the semi-simple part of  $\mathfrak{F}_{ii}.$  Moreover the eigenvalue of  $\text{ad } j e_k$  has 0 on  $\mathfrak{F}_{ii},$  we have  $\mathfrak{h}_i \subset \tau(\mathfrak{g}^0).$  Let  $g \in (\sum_{i=1}^m \mathbf{R}\tau(j e_i)|_{\mathfrak{r}^\#}) \cap (\sum_{i=1}^m \mathfrak{h}_i)|_{\mathfrak{r}^\#}.$  Then from the equation  $\text{Trace } g|_{\mathfrak{r}_{ii}} = 0$  for all  $i,$  we know that  $g = 0.$  Since  $\mathfrak{F}_{ii} \cong \text{Lie Aut}(\Omega_i)$  and since  $\mathfrak{F}_{ii} = \mathbf{R}f_i \oplus \mathfrak{h}_i,$  we get the assertion.  
 q. e. d.

By Lemma 2.9,  $\tau(\text{nil}(\mathfrak{g}^0))|_{\mathfrak{r}^{\#}}=0$ . In particular,  $\tau(\text{nil}(\mathfrak{g}^0))e=0$ , whence  $\text{nil}(\mathfrak{g}^0)\subset\mathfrak{s}$ . Then  $\text{nil}(\mathfrak{g}^0)$  is a nilpotent ideal of  $\mathfrak{s}$ , whence it is contained in the center  $\mathfrak{c}$  of  $\mathfrak{s}$ . Recall that  $\mathfrak{c}\subset\mathfrak{f}$ . Therefore  $\text{nil}(\mathfrak{g}^0)\subset\mathfrak{f}$  and hence  $\text{nil}(\mathfrak{g}^0)=0$ , proving that  $\mathfrak{g}^0$  is reductive.

It is clear that  $\sum_{\Gamma\neq 0}\mathfrak{g}^{\Gamma}$  is a solvable ideal of  $\mathfrak{g}$  contained in  $[\mathfrak{g}, \mathfrak{g}]$ . Therefore by Proposition 2.8, we have

PROPOSITION 2.10. *The subalgebra  $\mathfrak{g}^0$  is reductive and  $\mathfrak{g}=\text{rad}(\mathfrak{g})\oplus[\mathfrak{g}^0, \mathfrak{g}^0]$ . Moreover*

$$\text{rad}(\mathfrak{g}) = \sum_{\Gamma\neq 0} \mathfrak{g}^{\Gamma} \oplus \text{the center of } \mathfrak{g}^0, \quad \text{nil}(\mathfrak{g}) = \sum_{\Gamma\neq 0} \mathfrak{g}^{\Gamma}.$$

Let us set

$$(2.6) \quad \mathfrak{g}^{\#} = \mathfrak{r}^{\#} \oplus \mathfrak{g}^0 \quad (= \mathfrak{r}^{\#} \oplus j\mathfrak{r}^{\#} \oplus \mathfrak{s}).$$

Then  $\mathfrak{g}^{\#}$  is a  $j$ -invariant subalgebra containing  $\mathfrak{f}$ . Since  $\mathfrak{g}^0$  is reductive, we have

$$(2.7) \quad \mathfrak{r}^{\#} = \text{nil}(\mathfrak{g}^{\#}).$$

Let us put

$$(2.8) \quad \mathfrak{n} = \text{nil}(\mathfrak{g}) \cap (j \text{nil}(\mathfrak{g}) \oplus \mathfrak{f}).$$

By Proposition 2.10, we have

$$(2.9) \quad \mathfrak{n} = \mathfrak{w} \oplus \sum_{i < j} \mathfrak{r}_{ij} \oplus \sum_{i < j} j^i \mathfrak{r}_{ij}.$$

Therefore we get

PROPOSITION 2.11.  $\mathfrak{g} = \mathfrak{g}^{\#} \oplus \mathfrak{n}$ ,  $[\mathfrak{g}^{\#}, \mathfrak{n}] \subset \mathfrak{n}$  and  $[\mathfrak{n}, \mathfrak{n}] \subset \mathfrak{n} \oplus \mathfrak{r}^{\#}$ .

§ 3. The subalgebra  $\mathfrak{g}^{\#}$ .

In this section, we investigate the structure of the  $j$ -algebra  $(\mathfrak{g}^{\#}, \mathfrak{f}, j)$  and give a description of  $\text{rad}(\mathfrak{g}^0)$ .

We define

$$\mathfrak{s}_0^{\#} = \{x \in \mathfrak{s}; [x, \mathfrak{r}^{\#}] = 0\}.$$

Then  $\mathfrak{s}_0^{\#}$  is an ideal of  $\mathfrak{g}^{\#}$ .

LEMMA 3.1. *After an inessential change of  $j$  if necessary, there exists an ideal  $\hat{\mathfrak{s}}^{\#}$  of  $\mathfrak{s}$  satisfying the conditions*

- (1)  $\mathfrak{g}^{\#} = \mathfrak{r}^{\#} \oplus j\mathfrak{r}^{\#} \oplus \hat{\mathfrak{s}}^{\#} \oplus \mathfrak{s}_0^{\#}$ ,
- (2)  $\mathfrak{s} = \hat{\mathfrak{s}}^{\#} \oplus \mathfrak{s}_0^{\#}$ ,  $\mathfrak{f} = (\mathfrak{f} \cap \hat{\mathfrak{s}}^{\#}) \oplus (\mathfrak{f} \cap \mathfrak{s}_0^{\#})$ ,
- (3)  $\mathfrak{r}^{\#} \oplus j\mathfrak{r}^{\#} \oplus \hat{\mathfrak{s}}^{\#}$  is a  $j$ -invariant ideal of  $\mathfrak{g}^{\#}$ .

PROOF. Since  $\mathfrak{s}_0^{\#} \subset \mathfrak{s}$ ,  $\mathfrak{s}_0^{\#}$  is reductive. The center  $\mathfrak{c}_0$  of  $\mathfrak{s}_0^{\#}$  is contained in  $\mathfrak{f}$  and  $[\mathfrak{s}_0^{\#}, \mathfrak{s}_0^{\#}]$  is a semi-simple ideal of  $\mathfrak{g}^{\#}$ . Therefore  $\mathfrak{g}^{\#} = [\mathfrak{s}_0^{\#}, \mathfrak{s}_0^{\#}] \oplus \mathfrak{g}'$ , where  $\mathfrak{g}'$

is the centralizer of  $[\mathfrak{s}_0^\#, \mathfrak{s}_0^\#]$  in  $\mathfrak{g}^\#$ . Clearly  $\mathfrak{g}'$  is an ideal of  $\mathfrak{g}^\#$ . By [3, Proposition 5.13], we can assume that both  $\mathfrak{g}'$  and  $[\mathfrak{s}_0^\#, \mathfrak{s}_0^\#]$  is  $j$ -invariant. But then  $\mathfrak{g}' \supset \mathfrak{r}^\# \oplus j\mathfrak{r}^\#$ . Therefore  $\mathfrak{g}' = \mathfrak{r}^\# \oplus j\mathfrak{r}^\# \oplus \mathfrak{s}'$ , where  $\mathfrak{s}' = \mathfrak{s} \cap \mathfrak{g}'$ . Clearly  $\mathfrak{s}' \cap \mathfrak{s}_0^\# = \mathfrak{c}_0$ . Then  $\mathfrak{c}_0$  coincides with the largest ideal of  $\mathfrak{g}'$  contained in  $\mathfrak{k} \cap \mathfrak{g}'$ . Therefore there exists an ideal  $\mathfrak{g}''$  of  $\mathfrak{g}'$  such that  $\mathfrak{g}' = \mathfrak{g}'' \oplus \mathfrak{c}_0$  and  $\mathfrak{g}'' \supset \mathfrak{r}^\#$  ([3, Proof of Lemma 1.4]). After an inessential change of  $j$ , we can assume that  $\mathfrak{g}''$  is  $j$ -invariant. Then  $\mathfrak{g}'' = \mathfrak{r}^\# \oplus j\mathfrak{r}^\# \oplus (\mathfrak{s} \cap \mathfrak{g}'')$ . If we put  $\hat{\mathfrak{s}}^\# = \mathfrak{s} \cap \mathfrak{g}''$ , then  $\mathfrak{s} = \hat{\mathfrak{s}}^\# \oplus \mathfrak{s}_0^\#$ . Since  $\hat{\mathfrak{s}}^\#$  is an ideal of  $\mathfrak{s}$ , we know  $\mathfrak{k} = (\mathfrak{k} \cap \hat{\mathfrak{s}}^\#) \oplus (\mathfrak{k} \cap \mathfrak{s}_0^\#)$  by [1] and [5]. It is now clear that  $\hat{\mathfrak{s}}^\#$  has the desired properties. q. e. d.

Let  $\hat{\mathfrak{s}}^\#$  be as in Lemma 3.1. Then by Lemma 2.6 we have

LEMMA 3.2. *There exists ideals  $\mathfrak{f}_i$  ( $i=1, \dots, m$ ) of  $j\mathfrak{r}^\# \oplus \hat{\mathfrak{s}}^\#$  such that*

$$j\mathfrak{r}^\# \oplus \hat{\mathfrak{s}}^\# = \sum_{i=1}^m \mathfrak{f}_i, \quad [\mathfrak{f}_i, \mathfrak{r}_{jj}] = 0 \quad (i \neq j), \quad [\mathfrak{f}_i, \mathfrak{r}_{ii}] \subset \mathfrak{r}_{ii},$$

and the adjoint representation of  $\mathfrak{f}_i$  on  $\mathfrak{r}_{ii}$  gives an isomorphism of  $\mathfrak{f}_i$  onto  $\text{Lie Aut}(\Omega_i)$ .

Since  $\hat{\mathfrak{s}}^\#$  is identified with the isotropy subalgebra of  $\sum_{i=1}^m \text{Lie Aut}(\Omega_i)$ , we have

$$\hat{\mathfrak{s}}^\# = \sum_{i=1}^m \mathfrak{s}_i, \quad \mathfrak{s}_i \subset \mathfrak{f}_i.$$

Since  $\mathfrak{s}_i$  is an ideal of  $\hat{\mathfrak{s}}^\#$ , we have from [1] and [5]

$$\hat{\mathfrak{s}}^\# \cap \mathfrak{k} = \sum_{i=1}^m \mathfrak{s}_i \cap \mathfrak{k}.$$

LEMMA 3.3. *By a suitable change of  $j$ ,  $j\mathfrak{r}_{ii}$  is contained in  $\mathfrak{f}_i$ .*

PROOF. Let  $x \in \mathfrak{r}_{ii}$ . We decompose as  $jx = y + \sum_{j \neq i} x_j$ , where  $y \in \mathfrak{f}_i$  and  $x_j \in \mathfrak{f}_j$ . Consider the equation  $[jx, e] = [y, e] + \sum_{j \neq i} [x_j, e]$ . Since  $[jx, e] = x \in \mathfrak{r}_{ii}$ , we have  $[x_j, e] = 0$ , whence  $x_j \in \mathfrak{s}_j$  for all  $j \neq i$ . Let  $k_j \in \mathfrak{s}_j \cap \mathfrak{k}$ . Then  $[x_j, k_j] = [jx, k_j] \equiv j[x, k_j] \pmod{\mathfrak{k}}$  and  $[x, k_j] = 0$ . Therefore  $[x_j, k_j] \in \mathfrak{f}_j \cap \mathfrak{k} = \mathfrak{s}_j \cap \mathfrak{k}$ . Note that  $\mathfrak{s}_j$  is an ideal of the reductive Lie algebra  $\mathfrak{s}$ . Then using the result of [1], we can assume that  $\mathfrak{s}_j$  is  $j$ -invariant and  $(\mathfrak{s}_j, \mathfrak{s}_j \cap \mathfrak{k}, j)$  is a  $j$ -algebra. Then the center of  $\mathfrak{s}_j$  is contained in  $\mathfrak{s}_j \cap \mathfrak{k}$ . We then derive from [5] that the normalizer of  $\mathfrak{s}_j \cap \mathfrak{k}$  in  $\mathfrak{s}_i$  coincides with  $\mathfrak{s}_j \cap \mathfrak{k}$ . Therefore  $x_j \in \mathfrak{s}_j \cap \mathfrak{k}$ . We change  $j$  on  $\mathfrak{r}_{ii}$  to  $j'$  as  $j'x = y$ . It is easy to see that  $j'\mathfrak{r}_{ii}$  and  $j'\mathfrak{r}$  are still subalgebra of  $\mathfrak{g}$ . q. e. d.

By Lemma 3.3, we can assume

$$\mathfrak{f}_i = j\mathfrak{r}_{ii} \oplus \mathfrak{s}_i.$$

Since  $\mathfrak{f}_i$  is reductive, we have

$$\mathfrak{f}_i = c_i \oplus \mathfrak{h}_i,$$

where  $c_i$  is the center of  $\mathfrak{f}_i$  and  $\mathfrak{h}_i$  is the semi-simple part of  $\mathfrak{f}_i$ . Note that  $c_i$  is generated by the element  $g_i$  such that  $\text{ad } g_i = 1$  on  $r_{ii}$  and  $\mathfrak{h}_i$  consists of all  $f \in \mathfrak{f}_i$  satisfying  $\text{Trace ad } f|_{r_{ii}} = 0$ . In particular,  $\mathfrak{s}_i \subset \mathfrak{h}_i$  and  $j r_{ii} = \mathbf{R}j e_i \oplus (\mathfrak{h}_i \cap j r_{ii})$  holds. Moreover from  $[j e_i - c_i, e_i] = 0$ , we know that  $j e_i - c_i \in \mathfrak{s}_i$ . Clearly  $[j e_i - c_i, \mathfrak{k} \cap \mathfrak{s}_i] \subset \mathfrak{k} \cap \mathfrak{s}_i$ , whence we know  $j e_i - c_i \in \mathfrak{k} \cap \mathfrak{s}_i$  by the same reason as before.

We can now change  $j$  to  $j'$  on  $r_{ii}$  as follows:

$$j' e_i = c_i \quad \text{and} \quad j' x = j x \quad \text{for } x \in \{r_{ii}; j x \in \mathfrak{h}_i\}.$$

It is clear that  $j' r_{ii}$  is still a subalgebra because  $[j' r_{ii}, j' r_{ii}] \subset \mathfrak{h}_i$ . This inessential change can be extended on whole  $\mathfrak{g}$  keeping the property that  $j' r$  is a solvable subalgebra. Thus we have proved

PROPOSITION 3.4. *By a suitable change of  $j$ , we have*

$$\mathfrak{g}^\# = \sum_{i=1}^m \mathfrak{g}_i \oplus \mathfrak{s}_0^\# \quad (\text{direct sum of ideals}),$$

$$\mathfrak{s} = \sum_{i=1}^m \mathfrak{s}_i \oplus \mathfrak{s}_0^\# \quad (\text{direct sum of ideals}),$$

$$\mathfrak{k} = \sum_{i=1}^m \mathfrak{k}_i \oplus \mathfrak{k}_0 \quad (\text{direct sum of ideals}),$$

where  $\mathfrak{g}_i = r_{ii} \oplus j' r_{ii} \oplus \mathfrak{s}_i$ ,  $\mathfrak{k}_i = \mathfrak{g}_i \cap \mathfrak{k}$  and  $\mathfrak{k}_0 = \mathfrak{s}_0^\# \cap \mathfrak{k}$ . Moreover all  $\mathfrak{g}_i$ ,  $\mathfrak{s}_i$  and  $\mathfrak{s}_0^\#$  are  $j$ -invariant and the following equations hold:

$$j' r_{ii} \oplus \mathfrak{s}_i \cong \text{Lie Aut}(\Omega_i), \quad \mathbf{R}j e_i = \text{the center of } j' r_{ii} \oplus \mathfrak{s}_i.$$

COROLLARY 3.5. *The center of  $\mathfrak{g}^0 = \sum_{i=1}^m \mathbf{R}j e_i \oplus$  the center of  $\mathfrak{s}_0^\#$ .*

Recall that the center of  $\mathfrak{s}_0^\#$  is contained in  $\mathfrak{k}$ . Then the above results combined with Proposition 2.10 yield

PROPOSITION 3.6.  $\text{rad}(\mathfrak{g}) + j \text{rad}(\mathfrak{g}) + \mathfrak{k} = r \oplus j r \oplus \mathfrak{w} \oplus \mathfrak{k}.$

REMARK 1. Let us denote by  $D(\Omega_i)$  the Siegel domain of the first kind associated with the convex cone  $\Omega_i$ . Then  $D(\Omega_i)$  is an irreducible symmetric domain and the Lie algebra  $\mathfrak{g}_i$  in Proposition 3.4 coincides with the Lie algebra of the group of all affine transformations of  $D(\Omega_i)$ .

REMARK 2. Let  $D$  be a homogeneous bounded domain and  $G$  a group of holomorphic transformations of  $D$  acting transitively on  $D$ . We then have  $D = G/K$ . Let  $(\mathfrak{g}, \mathfrak{k}, j)$  be the corresponding  $j$ -algebra. Assume that  $\mathfrak{g} = \text{rad}(\mathfrak{g}) + j \text{rad}(\mathfrak{g}) + \mathfrak{k}$  holds. Then by Proposition 3.6 and [9, § 1, Theorem 2],  $D$  is realized as a Siegel domain of the second kind in such a way that  $G$  acts as an affine transformation group. (J. Dorfmeister also obtained this fact by different method.) Conversely, we can easily see that every  $j$ -algebra  $(\mathfrak{g}, \mathfrak{k}, j)$  corresponding to a

transitive affine automorphism group of a Siegel domain satisfies the equation  $\mathfrak{g} = \text{rad}(\mathfrak{g}) + j \text{rad}(\mathfrak{g}) + \mathfrak{k}$ .

**§ 4. Closed forms on  $j$ -algebras.**

The purpose of this section is to prove the following

**THEOREM 4.1.** *Let  $(\mathfrak{g}, \mathfrak{k}, j)$  be an effective  $j$ -algebra and let  $\rho$  be a skew-symmetric bilinear form on  $\mathfrak{g}$  satisfying*

$$d\rho = 0, \quad \rho(\mathfrak{k}, \mathfrak{g}) = 0 \quad \text{and} \quad \rho(jx, jy) = \rho(x, y) \quad \text{for all } x, y \in \mathfrak{g}.$$

*Then there exists a linear form  $\omega$  on  $\mathfrak{g}$  such that  $\rho = d\omega$ .*

In the special case where  $\mathfrak{k} = 0$  and  $\mathfrak{g}$  is solvable, this fact is obtained by Dorfmeister [2].

Let  $(\mathfrak{g}, \mathfrak{k}, j)$  and  $\rho$  be as in Theorem 4.1. We keep the notations used in the previous sections. The following fact is well known. But we put a proof because we use the similar technique in later.

**LEMMA 4.2.**

- (1)  $\rho(\mathfrak{w}, \mathfrak{r} \oplus j\mathfrak{r} \oplus \mathfrak{s}) = 0$ .
- (2)  $\rho(\mathfrak{r}, \mathfrak{r}) = 0$ .

**PROOF.** Consider the function  $A(t) = \rho(e^{t \text{ad} j e} x, e^{t \text{ad} j e} y)$  for  $x \in \mathfrak{w}$  and  $y \in \mathfrak{r}$ . Roughly speaking,  $A(t)$  grows like  $e^{3t/2}$  if  $A(t) \neq 0$ , because  $x \in \mathfrak{g}_{1/2}$  and  $y \in \mathfrak{g}_1$ . On the other hand, since  $[\mathfrak{w}, \mathfrak{r}] = 0$ , we have  $dA(t)/dt = \rho(je, e^{\text{ad} j e} [x, y]) = 0$ . Therefore  $A(t) \equiv 0$ , proving  $\rho(\mathfrak{w}, \mathfrak{r}) = 0$ . Similarly, we have  $\rho(\mathfrak{r}, \mathfrak{r}) = 0$ . Since  $j\mathfrak{w} \subset \mathfrak{w} + \mathfrak{k}$ , we also have  $\rho(\mathfrak{w}, j\mathfrak{r}) = \rho(\mathfrak{w}, \mathfrak{r}) = 0$ .

Finally we consider the function  $A(t)$  for  $x \in \mathfrak{w}$ ,  $y \in \mathfrak{s}$ . Then  $A(t)$  grows like  $e^{t/2}$ . But  $dA(t)/dt = \rho(je, e^{\text{ad} j e} [x, y]) = 0$ , because  $[x, y] \in \mathfrak{w}$  and  $\rho(je, \mathfrak{w}) = 0$ . Thus we also have  $A(t) \equiv 0$ , proving  $\rho(\mathfrak{w}, \mathfrak{s}) = 0$ . q. e. d.

Next we show

**LEMMA 4.3.**  $\rho(\mathfrak{r}_{ij} \oplus j\mathfrak{r}_{ij}, \mathfrak{g}^*) = 0 \quad \text{for } i < j$ .

**PROOF.** Since  $\mathfrak{g}^*$  is  $j$ -invariant, it is sufficient to show  $\rho(\mathfrak{r}_{ij}, \mathfrak{g}^*) = 0$ . Moreover since  $\rho(\mathfrak{r}, \mathfrak{r}) = 0$  by Lemma 4.2, we only have to show  $\rho(\mathfrak{r}_{ij}, \mathfrak{g}^0) = 0$ . Consider the function  $A(t) = \rho(e^{t \text{ad} j e_i} x, e^{t \text{ad} j e_i} y)$  for  $x \in \mathfrak{r}_{ij}$ ,  $y \in \mathfrak{g}^0$ . Then  $A(t)$  grows like  $e^{t/2}$ . We have  $dA(t)/dt = \rho(je_i, e^{\text{ad} j e_i} [x, y]) \subset \rho(je_i, \mathfrak{r}_{ij}) = \rho(e_i, j\mathfrak{r}_{ij})$ . We consider the function  $B(t) = \rho(e^{t \text{ad} j e_j} z, e^{t \text{ad} j e_j} z)$  for  $z \in j\mathfrak{r}_{ij}$ . Noting that  $[e_i, j\mathfrak{r}_{ij}] = 0$ , we have  $dB(t)/dt = 0$ . Since  $B(t)$  grows like  $e^{-t/2}$ , we have  $B(t) \equiv 0$ . From this, we also have  $A(t) \equiv 0$ . q. e. d.

By Lemmas 4.2 and 4.3 we have

PROPOSITION 4.4.  $\rho(\mathfrak{n}, \mathfrak{g}^\#) = 0$ .

Recall that  $\mathfrak{g}^0$  is reductive. Therefore

$$\mathfrak{g}^0 = \mathfrak{c}^\# \oplus \mathfrak{h}^\#,$$

where  $\mathfrak{c}^\#$  is the center and  $\mathfrak{h}^\#$  is the semi-simple part of  $\mathfrak{g}^0$ . Since the center of  $\mathfrak{s}_0^\#$  is contained in  $\mathfrak{k}$ , we have from Corollary 3.5 the following

LEMMA 4.5.  $\rho(\mathfrak{c}^\#, \mathfrak{c}^\#) = 0$ .

We now define a linear form  $\omega$  on  $\mathfrak{g}$ . We have obtained the decomposition

$$\mathfrak{g} = \mathfrak{n} \oplus \mathfrak{r}^\# \oplus \mathfrak{c}^\# \oplus \mathfrak{h}^\#.$$

Since  $\mathfrak{h}^\#$  is semi-simple, there exists a linear form  $\omega$  on  $\mathfrak{h}^\#$  such that  $\rho = d\omega$  on  $\mathfrak{h}^\#$ . We extend  $\omega$  to a linear form on  $\mathfrak{g}$  by setting

$$\omega(\mathfrak{n} \oplus \mathfrak{c}^\#) = 0 \quad \text{and} \quad \omega(x) = -\omega(je, x) \quad \text{for } x \in \mathfrak{r}^\#.$$

Then from Proposition 4.4

$$(4.1) \quad \omega(x) = -\rho(je, x) \quad \text{for all } x \in \mathfrak{n} \oplus \mathfrak{r}^\#.$$

We have to show that  $d\omega = \rho$ . We can assume that  $j\mathfrak{r}$  is a solvable subalgebra. Then  $(\mathfrak{r} \oplus j\mathfrak{r} \oplus \mathfrak{m}, 0, j)$  is a solvable  $j$ -algebra corresponding to a homogeneous Siegel domain ([9]). Then the following lemma is essentially proved in Dorfmeister [2, § 3].

LEMMA 4.6 ([2]). *Let  $I = \text{ad } je - \text{Re}(\text{ad } je)$ . Then the restriction of  $I$  on  $\mathfrak{r} \oplus j\mathfrak{r} \oplus \mathfrak{m}$  is skew-symmetric relative to  $\rho$  and commutes with  $j$ .*

Using the above lemma, we show

LEMMA 4.7.

- (1)  $\rho(x, y) = d\omega(x, y)$  for  $x, y \in \mathfrak{m}$ .
- (2)  $\rho(x, y) = d\omega(x, y) = 0$  for  $x \in \mathfrak{m}, y \in \mathfrak{r} \oplus j\mathfrak{r} \oplus \mathfrak{s}$ .

PROOF. (1) Since  $[x, y] \in \mathfrak{r}$ , using (4.1) and Lemma 4.6 we have  $d\omega(x, y) = -\omega([x, y]) = \rho(je, [x, y]) = \rho([je, x], y) + \rho(x, [je, y]) = \rho(x/2, y) + \rho(x, y/2) + \rho(Ix, y) + \rho(x, Iy) = \rho(x, y)$ .

(2) In this case,  $[x, y] \in \mathfrak{m}$ . Therefore  $\omega([x, y]) = 0$ . On the other hand  $\rho(x, y) = 0$ , by Lemma 4.2. q. e. d.

Recall that  $\mathfrak{r} \oplus j\mathfrak{r} \oplus \mathfrak{s} = \sum_{i < j} \mathfrak{r}_{ij} \oplus j \sum_{i < j} \mathfrak{r}_{ij} \oplus \mathfrak{g}^\#$ .

LEMMA 4.8.

- (1)  $\rho(x, y) = d\omega(x, y)$  for  $x, y \in \sum_{i < j} \mathfrak{r}_{ij} \oplus j \sum_{i < j} \mathfrak{r}_{ij}$ .
- (2)  $\rho(x, y) = d\omega(x, y) = 0$  for  $x \in \sum_{i < j} \mathfrak{r}_{ij} \oplus j \sum_{i < j} \mathfrak{r}_{ij}, y \in \mathfrak{g}^\#$ .

PROOF. (1) If  $x, y \in \sum_{i < j} \mathfrak{r}_{ij}$ , then  $[x, y] = 0$ , whence  $d\omega(x, y) = 0$ . On the other hand by Lemma 4.2,  $\rho(x, y) = 0$ . If  $x, y \in \sum_{i < j} \mathfrak{r}_{ij}$ , then  $[x, y] \in \sum_{i < j} \mathfrak{r}_{ij}$  and hence  $d\omega(x, y) = 0$ . We also have  $\rho(x, y) = \rho(jx, jy) = 0$ . Finally, if  $x \in \sum_{i < j} \mathfrak{r}_{ij}$  and  $y \in j \sum_{i < j} \mathfrak{r}_{ij}$ , then  $[x, y] \in \mathfrak{r}$ . Therefore  $d\omega(x, y) = \rho(je, [x, y])$  by (4.1). Moreover using Lemma 4.6,  $\rho(je, [x, y]) = \rho([je, x], y) + \rho(x, [je, y]) = \rho(x, y) + \rho(Ix, y) + \rho(x, Iy) = \rho(x, y)$ .

(2) In this case  $[x, y] \in \mathfrak{n}$ , whence  $d\omega(x, y) = -\omega([x, y]) = 0$ . On the other hand  $\rho(x, y) = 0$  by Lemma 4.3. q. e. d.

By virtue of Lemmas 4.7 and 4.8, for the proof of Theorem 4.1, it is enough to show  $\rho(x, y) = d\omega(x, y)$  for  $x, y \in \mathfrak{g}^*$ . Recall that  $\mathfrak{g}^* = \mathfrak{r}^* \oplus \mathfrak{c}^* \oplus \mathfrak{h}^*$ . In the case  $x, y \in \mathfrak{r}^*$ , we already know  $\rho(x, y) = d\omega(x, y) = 0$ . Assume that  $x \in \mathfrak{r}^*$  and  $y \in \mathfrak{c}^* \oplus \mathfrak{h}^*$ . Then  $d\omega(x, y) = \rho(je, [x, y]) = \rho([je, x], y) = \rho(x, y)$ . Here we use the fact that  $je \in \mathfrak{c}^*$  and  $\text{ad } je = 1$  on  $\mathfrak{r}^*$ .

It remains to show  $\rho(x, y) = d\omega(x, y)$  for  $x, y \in \mathfrak{c}^* \oplus \mathfrak{h}^*$ . By Lemma 4.5 and the definition of  $\omega$  on  $\mathfrak{h}^*$ , it is enough to consider the case  $x \in \mathfrak{c}^*$  and  $y \in \mathfrak{h}^*$ . But in this case  $\omega(x, y) = -\omega([x, y]) = 0$  and  $\rho(x, y) \in \rho(x, [\mathfrak{h}^*, \mathfrak{h}^*]) = \rho([x, \mathfrak{h}^*], \mathfrak{h}^*) = 0$ . This completes the proof of Theorem 4.1.

### § 5. The invariance of $\mathfrak{g}^*$ .

By an automorphism of a  $j$ -algebra  $(\mathfrak{g}, \mathfrak{k}, j)$  we mean an automorphism  $f$  of the Lie algebra  $\mathfrak{g}$  satisfying the following conditions:

$$f\mathfrak{k} = \mathfrak{k}, \quad f j x \equiv j f x \pmod{\mathfrak{k}} \quad \text{for } x \in \mathfrak{g}.$$

We will show that the subalgebra  $\mathfrak{g}^*$  constructed in § 2 is invariant under all automorphisms of the  $j$ -algebra  $(\mathfrak{g}, \mathfrak{k}, j)$ .

We first show the following

PROPOSITION 5.1. *Let  $(\mathfrak{g}, \mathfrak{k}, j)$  be an effective  $j$ -algebra. Then there exists an admissible form  $\omega$  such that  $\omega(fx) = \omega(x)$  for all automorphism  $f$  and  $x \in \mathfrak{g}$ .*

PROOF. Let  $G$  be the simply connected Lie group with  $\mathfrak{g}$  as its Lie algebra and  $K$  the connected subgroup of  $G$  corresponding to the subalgebra  $\mathfrak{k}$ . Then  $K$  is closed and the homogeneous space  $G/K$ , endowed with a natural  $G$ -invariant complex structure corresponding to  $j$ , is biholomorphic to the product of a homogeneous bounded domain  $M_1$  and a compact simply connected homogeneous complex manifold  $M_2$  ([6, Theorem A]). Then every holomorphic transformation  $\Psi$  of  $G/K$  induces a holomorphic transformation  $\phi$  of  $M_1$  such that  $\pi \circ \Psi = \phi \circ \pi$ , where  $\pi$  denotes the projection:  $G/K \rightarrow M_1$ . In particular,  $G$  acts transitively on  $M_1$ . Let  $U$  denote the isotropy subgroup of  $G$  at the point  $\pi(o)$ , where  $o$  is the origin of the homogeneous space  $G/K$ . Every automorphism  $f$  of the  $j$ -

algebra  $\mathfrak{g}$  induces an automorphism of the group  $G$ , which will be denoted by the same letter  $f$ . We want to show that  $f$  leaves  $U$  invariant. Since  $fK=K$ ,  $f$  induces a holomorphic transformation of  $G/K$  in a natural manner, whence it also induces a holomorphic transformation  $\hat{f}$  of  $G/U$ . Clearly,  $\hat{f}$  fixes the origin  $\pi(o)$  of  $G/U$ . Since  $U/K=\pi^{-1}\pi(o)$ , the above fact implies  $fU=U$ , proving our assertion.

Let us denote by  $\mathfrak{u}$  the Lie algebra of the group  $U$ . Clearly  $\mathfrak{u}$  is a  $j$ -subalgebra containing  $\mathfrak{k}$ . Moreover  $[u, jx] \equiv j[u, x] \pmod{\mathfrak{u}}$  holds for all  $u \in \mathfrak{u}$  and  $x \in \mathfrak{g}$ . Therefore for any  $x \in \mathfrak{g}$ ,  $\text{adj}x - j \circ \text{ad}x$  leaves  $\mathfrak{u}$  invariant. We now put for  $x$  in  $\mathfrak{g}$ ,

$$\omega_1(x) = \text{Trace}(\text{adj}x - j \circ \text{ad}x)|_{\mathfrak{g}/\mathfrak{u}}, \quad \omega_2(x) = \text{Trace}(\text{adj}x - j \circ \text{ad}x)|_{\mathfrak{u}/\mathfrak{k}}.$$

It is easy to see that  $\omega_1(fx) = \omega_1(x)$  and  $\omega_2(fx) = \omega_2(x)$  for all automorphism  $f$ . Note that  $\omega_1$  is the Koszul form of the  $j$ -algebra  $(\mathfrak{g}, \mathfrak{u}, j)$  corresponding to the homogeneous bounded domain  $M_1$  and the restriction of  $\omega_2$  on  $\mathfrak{u}$  is the Koszul form of the  $j$ -algebra  $(\mathfrak{u}, \mathfrak{k}, j)$  corresponding the compact simply connected homogeneous space  $M_2$ . Therefore as is proved in [6, §7],  $\omega = \omega_1 - a\omega_2$  becomes an admissible form for large enough positive number  $a$ . Then the form  $\omega$  has the desired properties. q. e. d.

Let  $\omega$  be an admissible form as in Proposition 5.1. Then  $(\mathfrak{g}, \mathfrak{k}, j, -d\omega)$  is a Kähler algebra and the homogeneous space  $G/K$  admits a  $G$ -invariant Kähler structure with the Kähler form corresponding to  $-d\omega$ . Then every automorphism of the  $j$ -algebra  $(\mathfrak{g}, \mathfrak{k}, j)$  acts on  $G/K$  as a holomorphic isometry and it fixes the origin of  $G/K$ . Therefore we have

**COROLLARY 5.2.** *The group of all automorphisms of an effective  $j$ -algebra is compact.*

Let  $(\mathfrak{g}, \mathfrak{k}, j)$  be an effective  $j$ -algebra and  $\mathfrak{g}^*$  the subalgebra as before. Let  $\omega$  be an admissible form. Then by Proposition 4.4, we have  $\mathfrak{g}^* = \{x \in \mathfrak{g}; d\omega(x, \mathfrak{n}) = 0\}$ , where  $\mathfrak{n}$  is the subspace given by (2.8). Assume further that  $\omega$  satisfies the properties in Proposition 5.1. Then for any automorphism  $f$ , we have  $d\omega(f\mathfrak{g}^*, \mathfrak{n}) = -\omega([f\mathfrak{g}^*, \mathfrak{n}]) = -\omega([\mathfrak{g}^*, f^{-1}\mathfrak{n}]) = d\omega([\mathfrak{g}^*, \mathfrak{n}]) = 0$ . Here we use the fact that  $\mathfrak{n}$  is invariant under  $f^{-1}$ . Therefore we know  $f\mathfrak{g}^* = \mathfrak{g}^*$ , proving that  $\mathfrak{g}^*$  is invariant under all automorphisms of the  $j$ -algebra  $(\mathfrak{g}, \mathfrak{k}, j)$ . Noting that  $\mathfrak{r}^*$  coincides with  $\text{nil}(\mathfrak{g}^*)$  (cf. (2.7)), we have from Propositions 2.11 and 3.4 the following

**THEOREM 5.3.** *Let  $(\mathfrak{g}, \mathfrak{k}, j)$  be an effective  $j$ -algebra and let  $\omega$  be an admissible form. We set  $\mathfrak{g}^* = \{x \in \mathfrak{g}; d\omega(x, \mathfrak{n}) = 0\}$ , where  $\mathfrak{n} = \text{nil}(\mathfrak{g}) \cap (j\text{nil}(\mathfrak{g}) + \mathfrak{k})$ . Then  $\mathfrak{g}^*$  is a  $j$ -invariant subalgebra of  $\mathfrak{g}$  containing  $\mathfrak{k}$  and the following hold:*

- (1)  $\mathfrak{g}^*$  is independent to the choice of  $\omega$  and invariant under all automor-

phisms of the  $j$ -algebra  $(\mathfrak{g}, \mathfrak{k}, j)$ .

(2)  $\text{nil}(\mathfrak{g}^*)$  is abelian.

(3)  $\mathfrak{g} = \mathfrak{n} \oplus \mathfrak{g}^*$ ,  $[\mathfrak{n}, \mathfrak{g}^*] \subset \mathfrak{n}$  and  $[\mathfrak{n}, \mathfrak{n}] \subset \mathfrak{n} \oplus \text{nil}(\mathfrak{g}^*)$ .

Moreover after a suitable change of  $j$ ,  $\mathfrak{g}^*$  is decomposed as  $\mathfrak{g}^* = \text{nil}(\mathfrak{g}^*) \oplus j \text{nil}(\mathfrak{g}^*) \oplus \hat{\mathfrak{s}}^* \oplus \hat{\mathfrak{s}}_0^*$  in the following way:

(4) Both  $\text{nil}(\mathfrak{g}^*) \oplus j \text{nil}(\mathfrak{g}^*) \oplus \hat{\mathfrak{s}}^*$  and  $\hat{\mathfrak{s}}_0^*$  are ideals of  $\mathfrak{g}^*$ .

(5)  $\hat{\mathfrak{s}}_0^*$  is a reductive  $j$ -subalgebra.

(6)  $j \text{nil}(\mathfrak{g}^*) \oplus \hat{\mathfrak{s}}^*$  is isomorphic to  $\text{Lie Aut}(\Omega^*)$ , where  $\Omega^*$  is a self dual homogeneous convex cone in  $\text{nil}(\mathfrak{g}^*)$  and  $\hat{\mathfrak{s}}^*$  is a maximal compact subalgebra of  $j \text{nil}(\mathfrak{g}^*) \oplus \hat{\mathfrak{s}}^*$ .

(7)  $\mathfrak{k} = \mathfrak{k} \cap \hat{\mathfrak{s}}^* \oplus \mathfrak{k} \cap \hat{\mathfrak{s}}_0^*$ .

We also have the following fact which is mentioned in [9] without proof under an additional assumption.

**THEOREM 5.4.** *A maximal abelian ideal of the first kind of an effective  $j$ -algebra is unique.*

**PROOF.** Let  $\mathfrak{r}$  and  $\mathfrak{r}'$  be two maximal abelian ideal of the first kind of an effective  $j$ -algebra  $(\mathfrak{g}, \mathfrak{k}, j)$ . Denote by  $e$  and  $e'$  the principal idempotents of  $\mathfrak{r}$  and  $\mathfrak{r}'$  respectively. By Proposition 2.1, it is enough to show that  $e=e'$ . Let  $\mathfrak{g}^*$  be as in Theorem 5.3. Then both  $e$  and  $e'$  are contained in  $\text{nil}(\mathfrak{g}^*)$ . Let  $\omega$  be an admissible form. Then  $\omega([jx, y])$  for  $x, y \in \text{nil}(\mathfrak{g}^*)$  is a positive definite symmetric bilinear form on  $\text{nil}(\mathfrak{g}^*)$ . Note that  $\text{nil}(\mathfrak{g}^*) \subset \mathfrak{r} \cap \mathfrak{r}'$ . Then using (2.1), we have for all  $x \in \text{nil}(\mathfrak{g}^*)$ ,  $\omega([jx, e-e']) = \omega(x) - \omega(x) = 0$ . Therefore we get  $e=e'$ .  
q. e. d.

**§ 6. The canonical hermitian forms of  $j$ -algebras.**

Let  $(\mathfrak{g}, \mathfrak{k}, j)$  be an effective  $j$ -algebra. In this and the next sections, we calculate the Koszul form of the  $j$ -algebra  $(\mathfrak{g}, \mathfrak{k}, j)$  and prove the following

**THEOREM 6.1.** *The canonical hermitian form of an effective  $j$ -algebra is non-degenerate.*

Let  $\mathfrak{r}$  be the maximal abelian ideal of the first kind with the principal idempotent  $e$  and let  $\mathfrak{g} = \mathfrak{r} \oplus j\mathfrak{r} \oplus \mathfrak{s} \oplus \mathfrak{m}$  be the decomposition as in Proposition 2.1. Consider the subalgebra  $\mathfrak{g}_0 \oplus \mathfrak{g}_1 (= \mathfrak{r} \oplus j\mathfrak{r} \oplus \mathfrak{s})$ . Let us put

$$(6.1) \quad \mathfrak{s}_0 = \{x \in \mathfrak{g}_0; [x, \mathfrak{r}] = 0\}.$$

It is easy to see that  $\mathfrak{s}_0$  is an ideal of  $\mathfrak{g}_0 \oplus \mathfrak{g}_1$  contained in  $\mathfrak{s}$ . The following lemma can be proved by the similar way as Lemma 3.1.

**LEMMA 6.2.** *After an inessential change of  $j$  if necessary, there exists an*

ideal  $\hat{\mathfrak{s}}$  of  $\mathfrak{s}$  satisfying the following conditions:

- (1)  $\mathfrak{g}_0 \oplus \mathfrak{g}_1 = \mathfrak{r} \oplus j\mathfrak{r} \oplus \hat{\mathfrak{s}} \oplus \mathfrak{s}_0$ .
- (2)  $\mathfrak{s} = \hat{\mathfrak{s}} \oplus \mathfrak{s}_0$ ,  $\mathfrak{k} = (\mathfrak{k} \cap \hat{\mathfrak{s}}) \oplus (\mathfrak{k} \cap \mathfrak{s}_0)$ .
- (3)  $\mathfrak{r} \oplus j\mathfrak{r} \oplus \hat{\mathfrak{s}}$  is a  $j$ -invariant ideal of  $\mathfrak{g}_0 \oplus \mathfrak{s}_1$ .

We put

$$\hat{\mathfrak{g}} = \mathfrak{r} \oplus j\mathfrak{r} \oplus \hat{\mathfrak{s}} \oplus \mathfrak{w}.$$

Clearly  $\hat{\mathfrak{g}}$  is a  $j$ -ideal of  $\mathfrak{g}$  and

$$\mathfrak{g} = \hat{\mathfrak{g}} \oplus \mathfrak{s}_0.$$

We can assume that  $\hat{\mathfrak{g}}$  is  $j$ -invariant. Let  $\psi$  denote the Koszul form of  $(\mathfrak{g}, \mathfrak{k}, j)$ . Let  $\mathfrak{n}$  be the subspace given by (2.8). Since  $\mathfrak{w} \subset \mathfrak{n}$  and  $\mathfrak{w} = [je, \mathfrak{w}]$  holds, we have  $\mathfrak{w} \subset [\mathfrak{n}, \mathfrak{g}^*]$ . Therefore applying Proposition 4.4 to the skew-symmetric bilinear form  $d\psi$ , we have

LEMMA 6.3. 
$$\psi(\mathfrak{w}) = 0.$$

Since  $[\hat{\mathfrak{g}}, \mathfrak{s}_0] \subset \mathfrak{w}$  holds, as an immediate consequence of Lemma 6.3 we get

COROLLARY 6.4. 
$$\psi([\hat{\mathfrak{g}}, \mathfrak{s}_0]) = 0.$$

We now consider the adjoint representation of  $\mathfrak{s}$  on  $\mathfrak{w}$ . We have chosen  $j$  so that  $j\mathfrak{w} = \mathfrak{w}$ . Let  $\psi'$  denote the Koszul form of the  $j$ -algebra  $(\mathfrak{r} \oplus j\mathfrak{r} \oplus \mathfrak{k}, j)$ . By [6, Lemma 10], the vector space  $\mathfrak{w}$ , equipped with the complex structure  $j$  and the skew-symmetric bilinear form  $\psi'([\mathfrak{w}, \mathfrak{w}'])$  ( $\mathfrak{w}, \mathfrak{w}' \in \mathfrak{w}$ ), is a symplectic space in the sense of [9] and  $\text{ad } s|_{\mathfrak{w}}$  is a symplectic endomorphism for all  $s \in \mathfrak{s}$ . Furthermore for each  $s \in \mathfrak{s}$ , the equation  $\text{ad } js|_{\mathfrak{w}} \circ j - j \circ \text{ad } js|_{\mathfrak{w}} - \text{ad } s|_{\mathfrak{w}} - j \circ \text{ad } x|_{\mathfrak{w}} \circ j = 0$  holds. Therefore by [7, Lemma 1.1]

(6.2) 
$$\text{Trace } j \circ \text{ad } [js, s]|_{\mathfrak{w}} \leq 0 \text{ for all } s \in \mathfrak{s} \text{ and the equality holds}$$

$$\text{if and only if both } \text{ad } js|_{\mathfrak{w}} \text{ and } \text{ad } s|_{\mathfrak{w}} \text{ commute with } j.$$

LEMMA 6.5. *The restriction of the canonical hermitian form of the  $j$ -algebra  $(\mathfrak{g}, \mathfrak{k}, j)$  to the subspace  $\mathfrak{s}_0/\mathfrak{k} \cap \mathfrak{s}_0 \subset \mathfrak{g}/\mathfrak{k}$  is non-degenerate.*

PROOF. Let  $\phi_0$  denote the Koszul form of the  $j$ -algebra  $(\mathfrak{s}_0, \mathfrak{k} \cap \mathfrak{s}_0, j)$ . We then have

(6.3) 
$$\phi(x) = \text{Trace}(\text{ad } jx - j \circ \text{ad } x)|_{\mathfrak{w}} + \phi_0(x) \text{ for } x \in \mathfrak{s}_0.$$

Consider a Cartan decomposition of the reductive  $j$ -algebra  $\mathfrak{s}_0 = \mathfrak{u} \oplus \mathfrak{m}$ , where  $\mathfrak{u}$  denotes the sum of the center of  $\mathfrak{s}_0$  and a maximal compact subalgebra of  $[\mathfrak{s}_0, \mathfrak{s}_0]$  (=the semi-simple part of  $\mathfrak{s}_0$ ) and  $\mathfrak{m}$  denotes the orthogonal complement of  $\mathfrak{u}$  in  $[\mathfrak{s}_0, \mathfrak{s}_0]$  with respect to the killing form of  $\mathfrak{s}_0$ . Here we can assume that  $\mathfrak{u}$  contains  $\mathfrak{k} \cap \mathfrak{s}_0$ . By [4], we can adjust  $j$  so that both  $\mathfrak{u}$  and  $\mathfrak{m}$  are invariant under  $j$ . We then have from [4] that  $\phi_0([\mathfrak{m}, \mathfrak{m}]) > 0$  for every non-

zero element  $m$  in  $\mathfrak{m}$  and that  $\phi([ju, u]) < 0$  for every element  $u$  of  $\mathfrak{u}$  which is not contained in  $\mathfrak{u} \cap \mathfrak{k}$ . Since  $\text{ad } x|_{\mathfrak{m}}$  is a symplectic endomorphism, we know  $\text{Trace ad } x|_{\mathfrak{m}} = 0$  for all  $x \in \mathfrak{s}_0$ . Moreover since the semi-simple part of  $\mathfrak{u}$  is compact, we know from [3, Lemma 1.6] that  $\text{ad } u|_{\mathfrak{m}}$  commutes with  $j$  for all  $u \in \mathfrak{u}$ . Therefore from (6.2) and (6.3), we have  $\phi([jm, m]) > 0$  for every non-zero element  $m$  in  $\mathfrak{m}$  and  $\phi([ju, u]) < 0$  for every element  $u \in \mathfrak{u}$  such that  $u \notin \mathfrak{k} \cap \mathfrak{u}$ , proving the lemma. q. e. d.

Let  $\hat{\phi}$  denote the Koszul form of the  $j$ -algebra  $(\hat{\mathfrak{g}}, \mathfrak{k} \cap \hat{\mathfrak{g}}, j)$ . From the fact that  $\hat{\mathfrak{g}}$  is a  $j$ -ideal of  $\mathfrak{g}$ , it follows that  $\phi(x) = \hat{\phi}(x)$  holds for all  $x \in \hat{\mathfrak{g}}$ . Therefore the restriction of the canonical hermitian form of the  $j$ -algebra  $(\mathfrak{g}, \mathfrak{k}, j)$  to the subspace  $\hat{\mathfrak{g}}/\hat{\mathfrak{g}} \cap \mathfrak{k}$  coincides with the canonical hermitian form of the  $j$ -algebra  $(\hat{\mathfrak{g}}, \mathfrak{k} \cap \hat{\mathfrak{g}}, j)$ . Hence from Corollary 6.4 and from Lemma 6.5 we obtain

**PROPOSITION 6.6.** *Assume that the canonical hermitian form of the  $j$ -algebra  $(\hat{\mathfrak{g}}, \mathfrak{k} \cap \hat{\mathfrak{g}}, j)$  is non-degenerate. Then the canonical hermitian form of  $(\mathfrak{g}, \mathfrak{k}, j)$  is also non-degenerate.*

**§ 7. Proof of Theorem 6.1.**

We continue the arguments of the previous section. By Proposition 6.6, we only have to prove Theorem 6.1 for the special case where  $\mathfrak{s}_0 = 0$ . Therefore in this section we assume that the adjoint representation of  $\mathfrak{s}$  on  $\mathfrak{r}$  is faithful. But then  $\mathfrak{s}$  is regarded as the isotropy subalgebra of the Lie algebra  $j\mathfrak{r} \oplus \mathfrak{s}$  which generate a linear group acting on the cone  $\Omega$  transitively and effectively. In particular the semi-simple part of  $\mathfrak{s}$  is compact. Therefore by the same reason as in the previous section, we have

$$(7.1) \quad \text{ads}|_{\mathfrak{m}} \circ j = j \circ \text{ads}|_{\mathfrak{m}} \quad \text{for all } s \in \mathfrak{s}.$$

It is easy to see that  $[s, jx] \equiv j[s, x] \pmod{\mathfrak{s}}$  holds for all  $s \in \mathfrak{s}$  and  $x \in \mathfrak{r}$ . From this and from (7.1), we can see that the system  $(\mathfrak{g}, \mathfrak{s}, j)$  satisfies (1.1), (1.2) and (1.3). Clearly  $\text{Trace ads}|_{\mathfrak{g}/\mathfrak{s}} = 0$  holds for all  $s \in \mathfrak{s}$ . Therefore we can consider the Koszul form  $\check{\phi}$  of the system  $(\mathfrak{g}, \mathfrak{s}, j)$ . (We can prove that the system  $(\mathfrak{g}, \mathfrak{s}, j)$  is a  $j$ -algebra corresponding to the homogeneous Siegel domain of the second kind. But this fact is not needed.) Let us denote by  $\phi_{\mathfrak{s}}$  the Koszul form of the  $j$ -algebra  $(\mathfrak{s}, \mathfrak{k}, j)$ . We then have for  $s, s' \in \mathfrak{s}$ ,  $\phi([s, s']) = \check{\phi}([s, s']) + \phi_{\mathfrak{s}}([s, s']) = \phi_{\mathfrak{s}}([s, s'])$ . Therefore the restriction of the canonical hermitian form of  $(\mathfrak{g}, \mathfrak{k}, j)$  to the subspace  $\mathfrak{s}/\mathfrak{k}$  coincides with the canonical hermitian form of  $(\mathfrak{s}, \mathfrak{k}, j)$  which is negative definite because the semi-simple part of  $\mathfrak{s}$  is compact. Therefore for the proof of Theorem 6.1, it is enough to show the following

**PROPOSITION 7.1.**  $\phi([jx, x]) > 0$  for all non-zero element  $x \in \mathfrak{r} \oplus j\mathfrak{r} \oplus \mathfrak{m}$ .

In order to show the above proposition, we use another root system decomposition due to [9].

LEMMA 7.2 ([9]). *There exists  $r_\alpha \in \mathfrak{r}$  ( $\alpha=1, \dots, q$ ) and a decomposition  $\mathfrak{r} = \sum_{\alpha \leq \beta} \mathfrak{r}_{\alpha\beta}$  satisfying the following:*

- (1)  $\mathfrak{r}_{\alpha\alpha} = \mathbf{R}r_\alpha$ .
- (2)  $[jr_\alpha, jr_\beta] = 0, \quad [jr_\alpha, r_\beta] = \delta_{\alpha\beta}r_\beta \quad \text{and} \quad e = \sum r_\alpha$ .
- (3)  $\mathfrak{r}_{\alpha\beta}$  and  $jr_{\alpha\beta}$  are invariant under  $\text{ad} jr_\gamma$  and  $\text{Re}(\text{ad} jr_\gamma) = (\delta_{\alpha\gamma} + \delta_{\beta\gamma})/2$  on  $\mathfrak{r}_{\alpha\beta}$  and  $\text{Re}(\text{ad} jr_\gamma) = (\delta_{\alpha\gamma} - \delta_{\beta\gamma})/2$  on  $jr_{\alpha\beta}$ .

We remark that this lemma can be obtained also by applying the results in §2 to the effective  $j$ -algebra  $(\mathfrak{r} \oplus jr, 0, j)$ .

By (2) of the above lemma, the Lie algebra  $\mathfrak{g}$  is decomposed into the sum of root spaces as  $\mathfrak{g} = \sum \mathfrak{g}^\Gamma$  relative to the abelian space of endomorphisms generated by  $\{\text{Re}(\text{ad} jr_\alpha); \alpha=1, \dots, q\}$ . Since  $\mathfrak{w}$  and  $jr \oplus \mathfrak{s}$  are invariant under  $\text{ad} jr$ , we also have the decompositions  $\mathfrak{w} = \sum \mathfrak{w}^\Gamma$  and  $jr \oplus \mathfrak{s} = \sum (jr \oplus \mathfrak{s})^\Gamma$ . Let us denote by  $\Delta_\alpha$  the root defined by

$$\Delta_\alpha(\text{Re}(\text{ad} jr_\beta)) = \delta_{\alpha\beta}.$$

Then we know from [9]

$$(7.2) \quad \mathfrak{w} = \sum_{\alpha=1}^m \mathfrak{w}^{\Delta_\alpha/2}, \quad j\mathfrak{w}^{\Delta_\alpha/2} = \mathfrak{w}^{\Delta_\alpha/2},$$

$$(7.3) \quad jr \oplus \mathfrak{s} = \sum_{\alpha, \beta} (jr \oplus \mathfrak{s})^{(\Delta_\alpha - \Delta_\beta)/2},$$

$$(7.4) \quad (jr \oplus \mathfrak{s})^{(\Delta_\alpha - \Delta_\beta)/2} = jr_{\alpha\beta} \oplus \mathfrak{s} \cap \mathfrak{g}^{(\Delta_\alpha - \Delta_\beta)/2} \quad \text{for } \alpha \leq \beta.$$

Therefore we know that

$$(7.5) \quad \text{if } \mathfrak{g}^\Gamma \neq 0, \text{ then } \Gamma \in \left\{ \frac{1}{2}\Delta_\alpha, \frac{1}{2}(\Delta_\alpha \pm \Delta_\beta); \alpha, \beta=1, \dots, q \right\}.$$

We want to improve (7.4). Let  $x \in \mathfrak{s} \cap \mathfrak{g}^\Gamma$ . Then  $\text{ad } x$  is a nilpotent endomorphism if  $\Gamma \neq 0$ . On the other hand we already know that  $\text{ad } x|_{\mathfrak{r}}$  is a semi-simple endomorphism with imaginary eigenvalues. Therefore  $\text{ad } x|_{\mathfrak{r}} = 0$ . This implies that  $x=0$ , because the representation of  $\mathfrak{s}$  on  $\mathfrak{r}$  is faithful. Therefore by (7.4)

$$(7.6) \quad (jr \oplus \mathfrak{s})^{(\Delta_\alpha - \Delta_\beta)/2} = jr_{\alpha\beta} \quad \text{for } \alpha < \beta.$$

We remark also that

$$(7.7) \quad \dim(jr \oplus \mathfrak{s})^{(\Delta_\alpha - \Delta_\beta)/2} \leq \dim \mathfrak{r}_{\beta\alpha} \quad \text{for } \alpha > \beta.$$

In fact, let  $x \in (jr \oplus \mathfrak{s})^{(\Delta_\alpha - \Delta_\beta)/2}$  for  $\alpha > \beta$ . Then  $[x, e] \in \mathfrak{r}_{\beta\alpha}$ . If  $[x, e]=0$ , then  $x \in \mathfrak{s}$ , whence  $x=0$  follows from the fact  $\mathfrak{s} \cap \mathfrak{g}^\Gamma = 0$  for  $\Gamma \neq 0$ . This implies (7.7).

Consider the subalgebra  $\mathfrak{r} \oplus jr$ . It is easy to see that

$$\text{nil}(\mathfrak{r} \oplus jr) \cap j \text{nil}(\mathfrak{r} \oplus jr) = \sum_{\alpha < \beta} (\mathfrak{r}_{\alpha\beta} \oplus jr_{\alpha\beta}).$$

Then applying Proposition 4.4 to the  $j$ -algebra  $\mathfrak{r} \oplus \mathfrak{jr}$  and the skew-symmetric bilinear form  $d\phi|_{\mathfrak{r} \oplus \mathfrak{jr}}$ , we have

LEMMA 7.3.  $\phi(\mathfrak{r}_{\alpha\beta} \oplus \mathfrak{jr}_{\alpha\beta}) = 0$  for  $\alpha < \beta$ .

Next we prove

LEMMA 7.4.  $\phi(r_\gamma) > 0$  for all  $\gamma$ .

PROOF. Since  $\mathfrak{jr} \oplus \mathfrak{k}$  is a subalgebra, we also have the decomposition  $\mathfrak{jr} \oplus \mathfrak{k} = \Sigma(\mathfrak{jr} \oplus \mathfrak{k})^r$ . Let us set  $f_\gamma = \text{ad } jr_\gamma - j \circ \text{ad } r_\gamma$ . Then

$$\begin{aligned} \phi(r_\gamma) &= \text{Trace } f_\gamma|_{\mathfrak{r} \oplus \mathfrak{jr}} + \text{Trace } f_\gamma|_{\mathfrak{m}} + \text{Trace } f_\gamma|_{\mathfrak{jr} \oplus \mathfrak{s}} - \text{Trace } f_\gamma|_{\mathfrak{jr} \oplus \mathfrak{k}} \\ &= 2 \text{Trace ad } jr_\gamma|_{\mathfrak{r}} + \text{Trace ad } jr_\gamma|_{\mathfrak{m}} + \text{Trace ad } jr_\gamma|_{\mathfrak{jr} \oplus \mathfrak{s}} - \text{Trace ad } jr_\gamma|_{\mathfrak{jr} \oplus \mathfrak{k}}. \end{aligned}$$

By simple computations, we have from Lemma 7.2 and (7.2)

$$\begin{aligned} \text{Trace ad } jr_\gamma|_{\mathfrak{r}} &= 1 + \frac{1}{2} \sum_{\alpha < \gamma} \dim \mathfrak{r}_{\alpha\gamma} + \frac{1}{2} \sum_{\gamma < \beta} \dim \mathfrak{r}_{\gamma\beta} \\ \text{Trace ad } jr_\gamma|_{\mathfrak{m}} &= \frac{1}{2} \dim \mathfrak{m}^{d_\gamma/2} \end{aligned}$$

and using (7.3) and (7.6) we have

$$\begin{aligned} &\text{Trace ad } jr_\gamma|_{\mathfrak{jr} \oplus \mathfrak{s}} - \text{Trace ad } jr_\gamma|_{\mathfrak{jr} \oplus \mathfrak{k}} \\ &= \sum_{\alpha > \beta} \text{Trace ad } jr_\gamma|_{(\mathfrak{jr} \oplus \mathfrak{s})^{(d_\alpha - d_\beta)/2}} - \sum_{\alpha > \beta} \text{Trace ad } jr_\gamma|_{(\mathfrak{jr} \oplus \mathfrak{k})^{(d_\alpha - d_\beta)/2}} \\ &= \frac{1}{2} \sum_{\gamma > \beta} (\dim(\mathfrak{jr} \oplus \mathfrak{s})^{(d_\gamma - d_\beta)/2} - \dim(\mathfrak{jr} \oplus \mathfrak{k})^{(d_\gamma - d_\beta)/2}) \\ &\quad - \frac{1}{2} \sum_{\gamma < \alpha} (\dim(\mathfrak{jr} \oplus \mathfrak{s})^{(d_\alpha - d_\gamma)/2} - \dim(\mathfrak{jr} \oplus \mathfrak{k})^{(d_\alpha - d_\gamma)/2}). \end{aligned}$$

Now the lemma follows from (7.7).

q. e. d.

We are now in a position to prove Proposition 7.1. Let  $x \in \mathfrak{r} \oplus \mathfrak{jr} \oplus \mathfrak{m}$ . We decompose as  $x = \sum_{\alpha \leq \beta} r_{\alpha\beta} + \sum_{\alpha \leq \beta} jz_{\alpha\beta} + \sum_{\alpha} w_\alpha$ , where  $r_{\alpha\beta}, z_{\alpha\beta} \in \mathfrak{r}_{\alpha\beta}$  and  $w_\alpha \in \mathfrak{m}^{d_\alpha/2}$ . We then have

$$[jx, x] \equiv \sum_{\alpha \leq \beta} [jr_{\alpha\beta}, r_{\alpha\beta}] + [jz_{\alpha\beta}, z_{\alpha\beta}] + \sum_{\alpha} [jw_\alpha, w_\alpha] \pmod{\mathfrak{m} \oplus \sum_{\alpha < \beta} (\mathfrak{r}_{\alpha\beta} \oplus \mathfrak{jr}_{\alpha\beta})}.$$

Therefore by Lemmas 6.3 and 7.3, it is enough to show  $\phi([jr, r]) > 0$  for every non-zero element  $r \in \mathfrak{r}_{\alpha\beta}$  and  $\phi([jw, w]) > 0$  for every non-zero element  $w \in \mathfrak{m}^{d_\alpha/2}$ . But both  $[jr, r]$  and  $[jw, w]$  are in  $\mathfrak{r}_\alpha$  and hence constant multiples of  $r_\alpha$ . Let  $\phi'$  denote the Koszul form of the  $j$ -algebra  $(\mathfrak{r} \oplus \mathfrak{jr} \oplus \mathfrak{m}, 0, j)$ . This  $j$ -algebra corresponds to a homogeneous Siegel domain ([9]). Therefore  $\phi'([jr, r]) > 0$  and  $\phi'([jw, w]) > 0$  hold. Moreover since  $\phi'(r_\alpha) = \phi'([jr_\alpha, r_\alpha]) > 0$ , the above constants must be positive numbers. Therefore by Lemma 7.4, we have  $\phi([jr, r])$

$>0$  and  $\psi([jw, w]) > 0$ , completing the proof of Proposition 7.1. This finishes the proof of Theorem 6.1.

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