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SMOOTH Sp(n)-ACTIONS ON COHOMOLOGY QUATERNION PROJECTIVE SPACES

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0. Introduction. In his paper [6], F. Uchida considered smooth SU(n)actions on rational cohomology complex projective spaces. The purpose of this paper is to study smooth Sp(n)-actions on rational cohomology quaternion projective spaces and to show similar results as in [6].

In fact, for $8 \leq n \leq k \leq 2n - 4$, each smooth Sp(n)-action on rational cohomology $P_k(H)$ is characterized by a 4(k - n + 1)-dimensional rationally acyclic compact manifold with smooth Sp(1)-action.

Professor Uchida, when he visited our Department in 1974, kindly allowed us to look over the preprint of the paper [6] and suggested to investigate this problem. Without his sincere instruction and advice, this paper could not have been prepared.

It is scarcely enough to pay him the usual thanks for fruitful suggestions.

1. Decomposition of certain Sp(n)-manifolds. Throughout this section, we assume $n \ge 3$. Let M be a closed connected manifold with smooth Sp(n)-action. Denote by F(Sp(n), M) the stationary point set. We consider the following condition for each $x \in M - F(Sp(n), M)$, there is $g \in Sp(n)$ such that

(*) $Sp(n-1) \subset Sp(n)_{gx} \subset N(Sp(n-1)) = Sp(n-1) \times Sp(1).$

Here N(Sp(n-1)) is the normalizer of Sp(n-1) in Sp(n), and $Sp(n)_x$ is the isotropy group at x.

THEOREM 1.1. Let M be a closed connected Sp(n)-manifold satisfying the condition (*) and $M \neq F(Sp(n), M)$. Then there is an equivariant defeomorphism

$$M\cong \partial(D(H^n) imes X)/Sp(1)$$
 .

Here X is a compact connected manifold with smooth Sp(1)-action.

If $\partial X \neq \emptyset$, the Sp(1)-action on ∂X is free. Furthermore,

$$F(Sp(n), M) \cong \partial X/Sp(1)$$
.

Here, \mathbf{H}^n is an n-dimensional quaternion vector space on which Sp(n) acts naturally and $D(\mathbf{H}^n)$ is a unit disk of \mathbf{H}^n .

To prove Theorem 1.1, we prepare some lemmas.

LEMMA 1.2. Let $g \in Sp(n)$. If $g Sp(n-1)g^{-1} \subset N(Sp(n-1))$ then $g \in N(Sp(n-1))$.

This is proved easily by linear algebra, so we omit the proof.

LEMMA 1.3. Let E be an Sp(n)-manifold satisfying the condition (*). If F(Sp(n), E) is empty, then

$$E\cong Sp(n){\mathop{ imes}\limits_{_{NSp(n-1)}}} X\cong {Sp(n)\over Sp(n-1)}{\mathop{ imes}\limits_{_{Sp(1)}}} X$$

where X = F(Sp(n-1), E) on which

$$Sp(1) = rac{N(Sp(n-1))}{Sp(n-1)}$$
 acts naturally.

PROOF. $[g Sp(n-1), x] \rightarrow gx$ is bijective by Lemma 1.2, and hence it is an equivariant diffeomorphism.

LEMMA 1.4. Assume $n \ge 3$. If Sp(n) acts on \mathbb{R}^k via a representation $\rho: Sp(n) \rightarrow O(k)$ which satisfies the condition (*) and $F(Sp(n), \mathbb{R}^k) = \{o\}$, then k = 4n and ρ is equivalent to the canonical inclusion $Sp(n) \subset O(4n)$.

PROOF. The unit sphere S^{k-1} is Sp(n)-invariant. By Lemma 1.3, there is an equivariant diffeomorphism

where $F = F(Sp(n-1), S^{k-1})$ is a unit sphere of $F(Sp(n-1), R^k)$.

Since Sp(n) is a simple Lie group, we have

$$2n^2+n=\dim Sp(n)\leq \dim O(k)=rac{k(k-1)}{2}$$

and hence k > 2n.

By (1.4.1), there is an exact sequence

$$\pi_{\mathbf{4}}(S^{k-1}) \longrightarrow \pi_{\mathbf{4}}(P_{n-1}(\mathbf{H})) \longrightarrow \pi_{\mathbf{3}}(F) \longrightarrow \pi_{\mathbf{3}}(S^{k-1})$$
.

Here $\pi_4(P_{n-1}(H)) = Z$ and $\pi_4(S^{k-1}) = \pi_3(S^{k-1}) = 0$ by k > 2n and $n \ge 3$.

Therefore $\pi_{3}(F) = Z$ and hence $F = S^{3}$. Then k = 4n by (1.4.1). Next we can prove that Sp(1) acts freely and transitively on $F = S^{3}$. Therefore Sp(n) acts transitively on S^{k-1} by (1.4.1). Hence ρ is equivalent to the canonical inclusion $Sp(n) \rightarrow O(4n)$ by Lemma 5.6 of [4].

LEMMA 1.5. Let $V \rightarrow X$ be a real Sp(n)-vector bundle. Assume that

Sp(n) acts trivially on X and each fiber of V is isomorphic to \mathbf{H}^n as real Sp(n)-vector space. Then V is naturally equivalent to $\mathbf{H}^n \otimes_{\mathbf{H}} \operatorname{Hom}^{Sp(n)}(\mathbf{H}^n, V)$ as real Sp(n)-vector bundles. Here $\operatorname{Hom}^{Sp(n)}(\mathbf{H}^n, V)$ is a quaternion line bundle over X via the right scalar multiplication on \mathbf{H}^n .

PROOF. The natural map $H^n \bigotimes_H \operatorname{Hom}^{S_{p(n)}}(H^n, V) \to V$ defined by

$$u \otimes h \to h(u)$$

is an isomorphism as real Sp(n)-vector bundles.

REMARK 1.6. We can prove $F(Sp(n-1), V) = \operatorname{Hom}^{Sp(n)}(H^n, V)$ as Sp(1)-vector bundles. Denote by D(V), S(V) the unit disk bundle and the unit sphere bundle of V, resp.

Then we can prove by Lemma 1.5

$$D(V) = D(\boldsymbol{H}^n) \underset{Sp(1)}{\times} F(Sp(n-1), S(V))$$

as Sp(n)-manifolds.

PROOF OF THEOREM 1.1. If F(Sp(n), M) is empty, then the result is proved in Lemma 1.3. So we assume F = F(Sp(n), M) is non-empty. Let $N \to F$ be an equivariant normal bundle of F in M. Then each fiber of N is isomorphic to H^n as real Sp(n)-vector spaces by Lemma 1.4. Thus we can apply Lemma 1.5 and Remark 1.6 on N.

Let U be a closed invariant tubular neighborhood of F in M. Then U is identified with D(N) as Sp(n)-manifold. Hence there is an equivariant diffeomorphism

$$U \cong D(H^n) \underset{S_{n(1)}}{\times} F(Sp(n-1), \partial U)$$

as Sp(n)-manifolds from Remark 1.6. Applying Lemma 1.3 on E = M - int U, we have an equivariant diffeomorphism

$$E\cong rac{Sp(n)}{Sp(n-1)} {\mathop{ imes}\limits_{\stackrel{\scriptstyle Sp(1)}{\scriptstyle Sp(1)}}} X$$

as Sp(n)-manifolds.

Here $X = F(Sp(n-1), E), \ \partial X = F(Sp(n-1), \partial U)$ and

$$S(\boldsymbol{H}^n) = \partial D(\boldsymbol{H}^n) = rac{Sp(n)}{Sp(n-1)} \; .$$

Therefore there is an equivariant decomposition

$$M = D(H^n) \underset{Sp(1)}{\times} \partial X \bigcup_f S(H^n) \underset{Sp(1)}{\times} X.$$

Here Sp(1) acts freely on ∂X and f is an equivariant diffeomorphism on

 $S(H^n) \times_{Sp(1)} \partial X$. Because

$$F(Sp(n-1), S(H^n) \underset{Sp(1)}{\times} \partial X)$$

is canonically identified with ∂X , f induces an equivariant diffeomorphism f' on ∂X as Sp(1)-manifold and $f = 1 \times_{Sp(1)} f'$. Therefore f is extendable to an equivariant diffeomorphism on $D(\mathbf{H}^n) \times_{Sp(1)} \partial X$, and hence there is an equivariant diffeomorphism

$$M \cong \partial(D(\boldsymbol{H}^n) \times X) / Sp(1)$$

as Sp(n)-manifolds.

THEOREM 1.7. Let M be a closed connected manifold with smooth Sp(n)-action. Assume $n \ge 8$, dim M < 8n - 16 and $M \ne F(Sp(n), M)$. Then there is a compact connected manifold X with smooth Sp(1)-action, and there is an equivariant diffeomorphism

$$M = \partial (D(oldsymbol{H}^n) imes X) / Sp(1)$$
 .

Here Sp(n)-action is canonical on $D(\mathbf{H}^n)$ and trivial on X, Sp(1)-action on ∂X is free if ∂X is non-empty, and

$$F(Sp(n), M) \cong \partial X/Sp(1)$$
.

PROOF. It is sufficient to prove that M satisfies the condition (*). By the assumption,

(1.7.1)
$$\dim \frac{Sp(n)}{Sp(n)_x} < 8n - 16 \leq (n-1)^2$$

for each point $x \in M - F(Sp(n), M)$. Hence the identity component of $Sp(n)_x$ is conjugate to a subgroup

$$Sp(k) imes K \subset Sp(k) imes Sp(n-k) \subset Sp(n)^{-1}$$

where k > n/2 and K is a closed subgroup of Sp(n - k), by Theorem 1.20 of [2]. Since

$$\dim Sp(n)/Sp(n-2) imes Sp(2) = 8n - 16$$
,

the identity component of $Sp(n)_x$ is conjugate to subgroup $Sp(n-1) \times K$, for each $x \in M - F(Sp(n), M)$. Here K is a closed subgroup of Sp(1). Hence the condition (*) is satisfied.

2. Decomposition of cohomology $P_k(H)$ with smooth Sp(n)-action. Let A be a commutative ring. A closed connected 4k-dimensional manifold M is called to be a cohomology $P_k(H)$ over A if the cohomology ring of M over A is isomorphic to one of $P_k(H)$, a topological space X is called to be acyclic over A if the reduced cohomology ring of X over A is null.

THEOREM 2.1. Let K be a commutative field. Let M be a cohomology $P_k(H)$ over K with smooth Sp(n)-action. Assume $8 \leq n \leq k < 2n - 4$ and $M \neq F(Sp(n), M)$. Then there is a compact connected manifold X with smooth Sp(1)-action, and there is an equivariant diffeomorphism

$$M = \partial (D(H^n) \times X) / Sp(1)$$

as Sp(n)-manifolds.

Here X is a compact connected orientable (4k - 4n + 4)-dimensional manifold which is acyclic over K, the Sp(1)-action is free on ∂X , the Sp(n)-action is standard on $D(\mathbf{H}^n)$ and trivial on X, and $\pi_1(X) = \pi_1(M)$.

Furthermore, if $H^*(M; \mathbb{Z}) = H^*(P_{n+k}(H); \mathbb{Z})$ then X is acyclic over integers, and $H^*(F; \mathbb{Z}) = H^*(P_k(H); \mathbb{Z})$.

PROOF. By Theorem 1.7, there is an equivariant diffeomorphism

$$(2.1.1) M = \partial (D(H^n) \times X)/Sp(1)$$

where X is a compact connected orientable (4k - 4n + 4)-dimensional manifold with smooth Sp(1)-action.

i) First we show that ∂X is non-empty. Assume that ∂X is empty. Then by (2.1.1) there is a smooth fiber bundle

$$X \longrightarrow M \longrightarrow P_{n-1}(H)$$
.

Thus

$$k+1=\chi(M)=\chi(X)\cdot\chi(P_{n-1}(H))=0\pmod{n}$$
 .

This is impossible by the assumption $n \leq k < 2n - 4$. Consequently, we have that ∂X is non-empty.

Then by (2.1.1) there is an equivariant diffeomorphism

$$M = \partial(D(H^n) \times X)/Sp(1) = D(H^n) \underset{Sp(1)}{\times} \partial X \bigcup_f S(H^n) \underset{Sp(1)}{\times} X$$

as Sp(n)-manifolds. Here the Sp(1)-action is free on ∂X . ii) Next we show that X is acyclic over K. Since

$$D(H^n) \underset{S^{p(1)}}{\times} \partial X \longrightarrow \partial X/Sp(1)$$

is an orientable 4n-disk bundle, there is an isomorphism

$$H^{i}(M, S(H^{n}) \underset{Sp(1)}{\times} X; K) = H^{i-4n}(\partial X/Sp(1); K)$$
.

Then we have

(2.1.2)
$$H^{i}(M; K) = H^{i}(S(H^{n}) \underset{Sp(1)}{\times} X; K) \text{ for } i \leq 4n - 2.$$

Now we show that the Euler class e(p) of the principal Sp(1)-bundle

$$p:\partial(D(\boldsymbol{H}^n)\times X) \longrightarrow M$$

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is non-zero in $H^{4}(M; K)$. Assume e(p) = 0. Then the Euler class of the principal Sp(1)-bundle

$$S(H^n) \times X \longrightarrow S(H^n) \underset{Sn(1)}{\times} X$$

is zero in $H^{*}(S(\mathbf{H}^{n}) \times_{S^{p(1)}} X; K)$, and hence there is an isomorphism $H^{*}(S(\mathbf{H}^{n}); K) \otimes H^{*}(X; K) \cong H^{*}(S^{3}; K) \otimes H^{*}(S(\mathbf{H}^{n}) \times X; K)$

by a Gysin sequence. Therefore, we have the identity;

$$H^{4i}(X; K) = K$$
 for $0 \leq i < n$

by (2.1.2) and the assumption $H^*(M; K) = H^*(P_k(H); K)$. On the other hand,

$$\dim X = 4k - 4n + 4 \leq 4n - 16$$

which contradicts to the above equality. Therefore $e(p) \neq 0$ and hence (2.1.3) $H^*(\partial(D(H^n) \times X); K) = H^*(S^{4k+3}; K)$

by the Gysin sequence of the principal Sp(1)-bundle

$$p: \partial(D(H^n) \times X) \rightarrow M$$
.

There is an isomorphism

$$H^{i}(D(\boldsymbol{H}^{n}) \times X; K) = H_{4k+4-i}(D(\boldsymbol{H}^{n}) \times X, \partial(D(\boldsymbol{H}^{n}) \times X); K)$$

by the Poincaré-Lefschetz duality, and the homomorphism

 $H_{4k+4-i}(D(\mathbf{H}^n) \times X; K) \rightarrow H_{4k+4-i}(D(\mathbf{H}^n) \times X, \partial(D(\mathbf{H}^n) \times X); K)$

is onto for 0 < i < 4k + 4 by (2.1.3). Since X is a connected (4k-4n+4)-dimensional manifold with a non-empty boundary,

$$H_{4k+4-i}(D(\boldsymbol{H}^n) imes X; K) = 0 \quad ext{for} \quad 0 < i \leq 4n$$

and hence

 $H^{i}(X; K) = 0$ for $0 < i \le 4n$.

Therefore X is acyclic over K.

iii) Next we show $\pi_1(X) = \pi_1(M)$.

Let
$$U = D(H^n) \underset{Sp(1)}{\times} \partial X$$
, $E = S(H^n) \underset{Sp(1)}{\times} X$.

Then $M = U \bigcup_f E$. There is a smooth fiber bundle $X \to E \to S(H^n)/Sp(1)$. Thus we have an isomorphism $\pi_1(X) \cong \pi_1(E)$. Applying the van Kampen theorem (see [1]) to the following diagram

$$egin{aligned} \pi_1(S(oldsymbol{H}^n) imes_{Sp(1)} \partial X) &= \pi_1(E \cap U) \xrightarrow{j_*} \pi_1(E) \cong \pi_1(X) \ &\cong & \downarrow i_* & \downarrow i_* \ &\pi_1(D(oldsymbol{H}^n) imes_{Sp(1)} \partial X) = \pi_1(U) \xrightarrow{j_*} \pi_1(M) \ , \end{aligned}$$

we have $\pi_{1}(X) = \pi_{1}(M)$.

iv) Finally we show that the assumption $H^*(M; \mathbb{Z}) = H^*(P_k(\mathbb{H}); \mathbb{Z})$ implies

 $\widetilde{H}(X; \mathbf{Z}) = 0$.

Since $H^*(M; \mathbb{Z}) = \mathbb{Z}[x]/(x^{k+1})$, we have $H^*(M; \mathbb{Z}_p) = \mathbb{Z}_p[x]/(x^{k+1})$ for each prime p. Applying ii), we have

 $\widetilde{H}^*(X; \mathbf{Z}_p) = 0$ for each prime p.

Then

$$\widetilde{H}^*(X; oldsymbol{Z}) = 0$$

by the universal coefficient theorem.

3. Construction of cohomology $P_k(H)$ with smooth Sp(n)-action. In this section we construct Sp(n)-actions on cohomology quaternion projective spaces, and we have the following results.

THEOREM 3.1. Let $n \ge 1$, $k \ge 1$ and $p \ge 1$. Then there is a compact orientable 4(n + k)-dimensional manifold M such that

$$\pi_1(M) = Z/pZ \text{ and } H^*(M; Q) = H^*(P_{n+k}(H); Q)$$

and M admits a smooth Sp(n)-action with

$$F(Sp(n), M) = P_k(H)$$
.

Here Q is the field of rational numbers.

THEOREM 3.2. Let $n \ge 1$ and $k \ge 2$. Let G be a finitely presentable group with $H_1(G; \mathbb{Z}) = H_2(G; \mathbb{Z}) = 0$. Then

(a) there is a compact orientable 4(n + k)-dimensional manifold M such that

$$\pi_1(M) = G \text{ and } H^*(M; Z) = H^*(P_{n+k}(H); Z)$$

and M admits a smooth Sp(n)-action with

$$F(Sp(n), M) = P_k(H)$$
,

(b) there is a smooth Sp(n)-action on $P_{n+k}(H)$ such that

$$\pi_{1}(F) = G \text{ and } H^{*}(F; Z) = H^{*}(P_{k}(H); Z)$$
,

where $F = F(Sp(n), P_{n+k}(H))$.

REMARK 3.3. It is known that if G is a finitely presentable group with $H_1(G; \mathbb{Z}) = H_2(G; \mathbb{Z}) = 0$, then for each $m \ge 7$, there is a compact contractible smooth *m*-dimensional manifold P such that

$$\pi_{\scriptscriptstyle 1}(\partial P) = G \quad (ext{see [5]})$$
 .

It is known that there are infinitely many groups satisfying the above

condition.

The above theorems are proved similarly as in the proof of Theorems 3.1 and 3.2 of [6], by making use of the following lemma. So we omit the proof.

LEMMA 3.4. Let X be a compact orientable 4(k + 1)-dimensional manifold which is acyclic over Z (resp. Q). Assume that X admits a smooth semi-free Sp(1)-action which is free on ∂X . If $n \ge 1$, then (a) $M = \partial(D(H^n) \times X)/Sp(1)$ is a cohomology $P_{n+k}(H)$ over Z (resp. Q), (b) $\pi_1(M) = \pi_1(X)$.

Moreover if $n + k \ge 2$ and X is contractible, then $M = P_{n+k}(H)$.

PROOF. Let Sp(n)-action and Sp(1)-action on $D(\mathbf{H}^n)$ be the left standard action and the right scalar multiplication on $D(\mathbf{H}^n)$, resp.

The actions of $g \in Sp(n)$ and $u \in Sp(1)$ on $D(H^n) \times X$ defined by

$$g(q, x) = (g \cdot q, x)$$

 $u(q, x) = (q \cdot u^{-1}, u \cdot x)$,

are compatible.

This Sp(1)-action is free on $\partial(D(H^n) \times X)$, and $\partial(D(H^n) \times X)$ is Sp(n)-invariant.

Therefore, Sp(n)-action on the orbit manifold

$$M = \partial (D(H^n) \times X) / Sp(1)$$

is naturally induced.

Next, M is an orientable manifold, because X is an orientable manifold. The stationary points of Sp(n)-action on $D(\mathbf{H}^n)$ are an only origin, and Sp(n)-action on X is a trivial. Then we have

$$F = F(Sp(n), M) = rac{\{o\} imes \partial X}{Sp(1)} \cong \partial X/Sp(1)$$
 .

i) Since $\widetilde{H}^*(X; A) = 0$ for $A = \mathbb{Z}$ (resp. Q) and

$$\dim (D(\boldsymbol{H}^n) \times \boldsymbol{X}) = 4n + 4k + 4.$$

Applying Poincaré-Lefschetz duality to the pair $(D(H^n) \times X, \partial(D(H^n) \times X))$, we have

$$H_j(D(H^n) \times X, \partial(D(H^n) \times X); A) = 0$$
 for $j \neq 4n + 4k + 4$,

and hence

$$H_j(\partial(D(\boldsymbol{H}^n) \times X); A) = 0 \quad ext{for } j \neq 0, \, 4n + 4k + 3$$
.

Namely, $\partial(D(\mathbf{H}^n) \times X)$ is a homology $S^{4n+4k+3}$ over A. Here $n \ge 1, k \ge 0$.

By the Gysin sequence of the Sp(1)-bundle

$$\partial(D(\boldsymbol{H^n}) imes X) o \partial(D(\boldsymbol{H^n}) imes X)/Sp(1) = M$$
 ,

we have

$$H^i(M;A) = egin{cases} 0 & (i
eq 0 \mod 4) \ A & (i
eq 0 \mod 4) \end{cases} ext{ for } 0 \leq i \leq 4n + 4k.$$

Namely, M is a cohomology $P_{n+k}(H)$ over A. ii) There is an Sp(1)-bundle

$$Sp(1) \longrightarrow \partial(D(H^n) \times X) \longrightarrow M$$
.

Thus we have an isomorphism

$$\pi_{\scriptscriptstyle 1}(\partial(D(H^n) imes X))\cong\pi_{\scriptscriptstyle 1}(M)$$
 .

Applying the van Kampen theorem to the following diagram

we have $\pi_1(X) \cong \pi_1(\partial(D(H^n) \times X))$.

iii) Let U be an Sp(1)-invariant disk around the just one fixed point in $D(H^n) \times X$. We can assume $U = D(H^{n+k+1})$ as Sp(1)-manifolds. Put

 $W = (D(H^n) \times X - \operatorname{int} U)/Sp(1)$.

Then, $\partial W = M \cup P_{n+k}(H)$. Since

$$\pi_1(M) = \pi_1(W) = 0$$

 $H_*(W, M; Z) = 0$

and

dim
$$W = 4n + 4k + 1 \ge 6$$
,

we have

$$M=P_{n+k}(\boldsymbol{H}),$$

by applying the *h*-cobordism theorem (see [3], Theorem 9.1) to the triad $(W; M, P_{n+k}(H))$.

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