THE MORDELL-WEIL RANK OF ELLIPTIC CURVES

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Let E be an elliptic curve defined over a number field k (of finite degree). The Mordell-Weil Theorem states that the group E(k) of k-rational points of E is finitely generated. Consequently, the group $E(k)_{tor}$ of points of finite order of E(k) is finite.

Let L be the field obtained by adjoining to k all the roots of unity. It has been shown by Ribet (cf. [1]) that even for the infinite extension L/k, the group $E(L)_{tor}$ is still finite. However, as we will show here, E(L) can never be finitely generated.

We will denote the Mordell-Weil rank of E over k by $r_k(E)$. It is the maximum number of free generators of E(k).

THEOREM. Suppose E is an elliptic curve defined over Q and r_0 is a positive integer. Then there is a finite extension^{*} K of Q, such that $r_{\kappa}(E) > r_0$. Moreover, there is a constant c = c(E), such that the degree of extension $[K:Q] \leq c2^{r_0}$.

PROOF. Suppose E is given in the Weierstrass form

(1)
$$y^2 = x^3 + Ax + B$$
 (A, $B \in Q$).

The polynomial $f(x) = x^3 + Ax + B$ has distinct roots e_1 , e_2 , e_3 and factors as

(2)
$$f(x) = (x - e_1)(x - e_2)(x - e_3)$$

in its splitting field k. We may consider E to be defined over k. Let $P_j = (x_j, y_j), j = 1, \dots, m$, be all the points of finite order of $E(L) - \{O\}$.

For any P = (x, y) in E(k), the factors $x - e_1$, $x - e_2$, $x - e_3$ of y^2 in (2) are almost relatively prime. More precisely, there are finitely many d_1, \dots, d_n in k, such that for any P = (x, y) in E(k), each factor is of the form

$$(3) x - e_i = d_j z^2$$

for some j $(1 \le j \le n)$ and z in k. To prove this let S denote any finite set of primes (including all the Archemedian ones) of k. By Dirichelet's

^{*} See the remark at the end of the paper.

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theorem, the group $U_k(S)$ of S-units of k, i.e.,

$$U_k(S) = \{x \in k \mid \operatorname{ord}_{\mathfrak{p}}(x) = 0, \ \mathfrak{p} \notin S\}$$

is finitely generated, say by η_1, \dots, η_r . For what follows, we may suppose that all $e_i \in \mathcal{O}_k$, the ring of integers of k. Choose a finite S containing all the prime divisors of

$$\prod_{i < j} (e_i - e_j)$$

and with the property that

$$\mathcal{O}_k(S) = \{x \in k \,|\, \mathrm{ord}_{\mathfrak{p}}(x) \ge 0, \,\, \mathfrak{p} \notin S\}$$

is a principal ideal domain.

Now let P = (x, y) be a k-rational point on E. A prime divisor \mathfrak{p} of $x - e_1$ and $x - e_2$ must divide $e_1 - e_2$. Thus for any $\mathfrak{p} \notin S$, the exponent $\operatorname{ord}_{\mathfrak{p}}((x - e_2)(x - e_1)^{-1})$ in the factorization of $(x - e_2)(x - e_1)^{-1}$ is even, say $2a_{\mathfrak{p}}$. If

$$z_{\scriptscriptstyle 1} = \prod_{{\mathfrak p} \, {\mathfrak e} \, {\scriptscriptstyle S}} \pi_{{\mathfrak p}}^{-a_{\mathfrak p}}$$
 ,

where $\pi_{\mathfrak{p}}$ is a uniformizing parameter at \mathfrak{p} , then it is clear that $(x - e_2)(x - e_1)^{-1}z_1^2$ is an S-unit. So for some $m_i \in \mathbb{Z}$

$$(4) x - e_2 = (x - e_1)\eta_1^{m_1} \cdots \eta_r^{m_r} z_1^{-2}$$

Similarly

(5)
$$x - e_3 = (x - e_1) \eta_1^{n_1} \cdots \eta_r^{n_r} z_2^{-2}$$

Substituting (4) and (5) in (2), we get

$$x-e_{\scriptscriptstyle 1}=\eta_{\scriptscriptstyle 1}^{lpha_{\scriptscriptstyle 1}}\cdots\eta_{r}^{lpha_{r}}z^{\scriptscriptstyle 2}\qquad (0\leqlpha_{i}\leq 1)\;.$$

We may suppose that no $d_i d_j^{-1}$ $(i \neq j)$ is a square in k. Now choose t in k, such that

(A) $d_i d_j^{-1} t$ is not a square in k for any pair i, j (including i = j) and (B) $x_0 = e_1 + d_1 t \in \mathbf{Q}$ and is not a root of the polynomial $g_j(x) = f(x) - y_j^2$ for all $j = 1, \dots, m$.

If we put $y_0 = (f(x))^{1/2}$ with x_0 as in (B), then y_0 is not in k, because otherwise (B) and (3) would contradict (A). However, for a root ζ of unity, we have $y_0 \in \mathbf{Q}(\zeta)$. Therefore, the point $P_0 = (x_0, y_0)$ is in E(L). By (B), P_0 is not a point of finite order. If we put $K_1 = k(y_0)$, then $r_{K_1}(E) > r_k(E)$ and $[K_1:k] = 2$. We repeat the process with k replaced by K_1 to get a quadratic extension $K_2 \subseteq L$ of K_1 , such that $r_{K_2}(E) > r_{K_1}(E)$. This process now may be continued until $r_{K_i}(E)$ exceeds r_0 . To prove the last assertion, we take $c = [k: \mathbf{Q}]$.

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COROLLARY. For no elliptic curve E defined over Q, is E(L) finitely generated.

REMARK. The finite extension K is actually the composite of the splitting field k of $x^3 + Ax + B$ and L', where L' is a composite of quadratic fields with galois group $\operatorname{Gal}(L'/Q) \cong (\mathbb{Z}/2\mathbb{Z})^{r_0}$. Moreover, if the discriminant

$$\Delta = -(4A^3 + 27B^2)$$

of E is a square in Q, then k and hence K is abelian.

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Reference

 [1] K.A. RIBET, Torsion points of abelian varieties in cyclotomic extensions (Appendix to N. M. Katz and S. Lang, Finiteness theorems in geometric class field theory), Enseign. Math. (2) 27 (1981), 315-319.

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