ON A METHOD TO CONSTRUCT ANALYTIC ACTIONS OF NON-COMPACT LIE GROUPS ON A SPHERE

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0. Introduction. Let M be a square matrix of degree n with real coefficients, that is, $M \in M_n(\mathbf{R})$. We say that M satisfies the *outward* transversality condition if

 $rac{d}{dt} \| \exp(tM) x \| > 0 ext{ for each } x \in {oldsymbol R}_0^n = {oldsymbol R}^n - \{0\} ext{ and } t \in {oldsymbol R} \; .$

In this case, there exists a unique real valued analytic function τ on \mathbf{R}_0^n such that $||\exp(\tau(x)M)x|| = 1$, and hence we can define an analytic mapping π^M of \mathbf{R}_0^n onto the unit (n-1)-sphere S^{n-1} by $\pi^M(x) = \exp(\tau(x)M)x$.

Let G be a Lie group, $\rho: G \to GL(n, \mathbb{R})$ a matricial representation, and M a square matrix of degree n with real coefficients satisfying the outward transversality condition. We can define an analytic mapping ξ : $G \times S^{n-1} \to S^{n-1}$ by $\xi(g, x) = \pi^{\mathbb{M}}(\rho(g)x)$, and we see that ξ is an analytic G-action on S^{n-1} if $\rho(g)M = M\rho(g)$ for any $g \in G$. We call ξ a twisted linear action of G on S^{n-1} associated to the representation ρ . In particular, if M is the identity matrix, we call ξ a linear action of G on S^{n-1} associated to the representation ρ .

Let G be a compact Lie group and $\rho: G \to GL(n, \mathbb{R})$ a matricial representation. Then we shall show that any twisted linear action of G on S^{n-1} associated to ρ is equivariantly analytically diffeomorphic to the linear action of G on S^{n-1} associated to ρ . On the other hand, if G is a non-compact Lie group, sometimes we can construct uncountably many topologically distinct twisted linear actions of G associated to only one matricial representation (cf. [4, §6]). We shall study such an example in the final section.

1. Outward transversality condition.

1.1. Let $u = (u_i)$ and $v = (v_i)$ be vectors in \mathbb{R}^n . As usual, we denote their inner product by $u \cdot v = \sum_i u_i v_i$ and the length of u by $||u|| = \sqrt{u \cdot u}$.

LEMMA 1.1. Let $M \in M_n(\mathbf{R})$ and assume that M satisfies the outward transversality condition. Then, (i)

 $\lim_{t \to +\infty} \|\exp(tM)x\| = +\infty \quad and \quad \lim_{t \to -\infty} \|\exp(tM)x\| = 0$

for each $x \in \mathbb{R}_0^n$, and (ii) there exists a unique real valued analytic function τ on \mathbb{R}_0^n such that $\|\exp(\tau(x)M)x\| = 1$ for each $x \in \mathbb{R}_0^n$.

PROOF. Put $f(t; x) = ||\exp(tM)x||$. Because *M* satisfies the outward transversality condition, there exists $\varepsilon > 0$ satisfying $f'(0; x) \ge \varepsilon$ for $x \in S^{n-1}$. Then

$$\begin{aligned} f'(t;x) &= f'(0;\exp(tM)x) \\ &= \|\exp(tM)x\| \cdot f'(0;\|\exp(tM)x\|^{-1}\exp(tM)x) \geq \varepsilon \cdot f(t;x) \end{aligned}$$

for each $x \in \mathbb{R}_0^n$ and $t \in \mathbb{R}$. Hence we obtain

$$rac{d}{dt}\log f(t;x) \ge arepsilon \quad x \in {old R}_0^n, \ t \in {old R} \;.$$

Integrating both sides of the inequality, we obtain

$$\begin{split} \| \exp(tM)x\| &\geq \|x\| \exp(arepsilon t) \quad ext{for } t > 0 \text{ ,} \\ \| \exp(tM)x\| &\leq \|x\| \exp(arepsilon t) \quad ext{for } t < 0 \text{ .} \end{split}$$

The condition (i) follows from these inequalities. The function f(t; x) is strictly monotone by the assumption on M. Thus the condition (i) assures the unique existence of $\tau: \mathbb{R}_0^n \to \mathbb{R}$ satisfying $\|\exp(\tau(x)M)x\| = 1$ for each $x \in \mathbb{R}_0^n$. On the other hand, we see that τ is analytic, applying the implicit function theorem to the analytic function $(x, t) \to \|\exp(tM)x\|$, because M satisfies the outward transversality condition. q.e.d.

REMARK. Conversely, we can prove that the conditions (i), (ii) are sufficient for M to satisfy the outward transversality condition.

By this lemma, we can define an analytic mapping $\pi^{M}: \mathbb{R}_{0}^{n} \to S^{n-1}$ by $\pi^{M}(x) = \exp(\tau(x)M)x$, if M satisfies the outward transversality condition.

1.2. Let G be a Lie group and $\rho: G \to GL(n, \mathbb{R})$ a matricial representation. Denote by $\operatorname{End}_{G}(\rho)$ the set of all matrices $X \in M_{n}(\mathbb{R})$ satisfying $X\rho(g) = \rho(g)X$ for $g \in G$. The set $GL(n, \mathbb{R}) \cap \operatorname{End}_{G}(\rho)$ is denoted by $\operatorname{Aut}_{G}(\rho)$. If $M \in \operatorname{End}_{G}(\rho)$ and M satisfies the outward transversality condition, we call (ρ, M) a TC-pair of degree n. In this case, we can define an analytic mapping $\xi: G \times S^{n-1} \to S^{n-1}$ by $\xi(g, x) = \pi^{M}(\rho(g)x)$ and we see easily that ξ is an action of G on S^{n-1} . We call ξ a twisted linear action of G on S^{n-1} determined by the TC-pair (ρ, M) . In particular, if M is the identity matrix I_n , we call ξ a linear action of G on S^{n-1} associated to ρ .

Let (ρ, M) and (σ, N) be TC-pairs of degree n. We say that (ρ, M)

is equivalent to (σ, N) if there exist $A \in GL(n, \mathbb{R})$ and a positive real number c such that $cN = AMA^{-1}$ and $\sigma(g)A = A\rho(g)$ for any $g \in G$.

LEMMA 1.2. If (ρ, M) and (σ, N) are equivalent as TC-pairs, then the twisted linear action of G on a sphere determined by (ρ, M) is equivariantly analytically diffeomorphic to the one determined by (σ, N) .

PROOF. It is easy to see that the twisted linear action of G determined by (σ, cN) coincides with the one determined by (σ, N) for any positive real number c. So we assume that there exists $A \in GL(n, \mathbf{R})$ such that

(*)
$$N = AMA^{-1}$$
 and $\sigma(g)A = A\rho(g)$ for any $g \in G$.

Define analytic mappings h_A , k_A of S^{n-1} into itself by $h_A(x) = \pi^N(Ax)$ and $k_A(y) = \pi^M(A^{-1}y)$. Then we see that the composites h_Ak_A and k_Ah_A are the identity mappings on S^{n-1} by the condition $N = AMA^{-1}$, and hence $h_A: S^{n-1} \to S^{n-1}$ is an analytic diffeomorphism. In addition, we see that

$$h_A(\pi^{\mathcal{M}}(\rho(g)x)) = \pi^{\mathcal{N}}(\sigma(g)h_A(x))$$
 for $g \in G, x \in S^{n-1}$

by the condition (*).

LEMMA 1.3. Let $M = (m_{ij})$ be a square matrix of degree n with real coefficients. Then M satisfies the outward transversality condition if and only if the quadratic form

$$x \cdot Mx = \sum_{i,j} m_{ij} x_i x_j$$

is positive definite.

PROOF. The result follows immediately from the equality:

$$\begin{split} 2(\exp(tM)x)\cdot(M\exp(tM)x) &= \frac{d}{dt}||\exp(tM)x||^2\\ &= 2\left||\exp(tM)x||\frac{d}{dt}||\exp(tM)x|| \ . \end{split} \quad \text{q.e.d.} \end{split}$$

2. Positive definite quadratic forms.

2.1. Let F denote the field of real numbers R, complex numbers C, or quaternions Q. As usual, let $M_n(F)$ denote the set of all matrices of degree n with coefficients in F, and let GL(n, F) denote the general linear group consisting of regular matrices in $M_n(F)$. Let $u = (u_i)$ and $v = (v_i)$ be vectors in F^n , the *n*-dimensional cartesian space over the field F. As usual, we define their inner product by $u \cdot v = \sum_i \bar{u}_i v_i$, and the length of u to be the number $||u|| = \sqrt{u \cdot u}$.

We define $\iota_1: M_n(C) \to M_{2n}(R)$ and $\iota_2: M_n(Q) \to M_{2n}(C)$ by

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q.e.d.

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$$\iota_1(A+iB)=egin{pmatrix} A&-B\ B&A \end{pmatrix} ext{ and } \iota_2(C+jD)=egin{pmatrix} C&-ar{D}\ D&ar{C} \end{pmatrix}$$

where $A, B \in M_n(\mathbb{R})$ and $C, D \in M_n(\mathbb{C})$. Then we see that ι_1 and ι_2 are injective ring homomorphisms. We define $\iota: M_n(\mathbb{F}) \to M_{kn}(\mathbb{R})$ by $(k, \iota) = (1, \text{ id.}), (2, \iota_1)$ and $(4, \iota_1 \iota_2)$ for $\mathbb{F} = \mathbb{R}, \mathbb{C}$ and \mathbb{Q} , respectively.

If $u = x + iy \in \mathbb{C}^n$ and $v = z + jw \in \mathbb{Q}^n$, we assign to u and v the vectors $u' = \begin{pmatrix} x \\ y \end{pmatrix} \in \mathbb{R}^{2n}$ and $v' = \begin{pmatrix} z \\ w \end{pmatrix} \in \mathbb{C}^{2n}$, respectively. Moreover, we assign to $v \in \mathbb{Q}^n$ the vector $v'' = (v')' \in \mathbb{R}^{4n}$. We have the following.

(2.1)
$$\begin{aligned} \operatorname{Re}(u \cdot Xu) &= u' \cdot \iota(X)u' \quad \text{for} \quad X \in M_n(C), \ u \in C^n ,\\ \operatorname{Re}(v \cdot Xv) &= v'' \cdot \iota(X)v'' \quad \text{for} \quad X \in M_n(Q), \ v \in Q^n , \end{aligned}$$

where Re() denotes the real part.

LEMMA 2.2. Let $X \in M_n(\mathbf{F})$ and assume that all the eigenvalues of $\iota(X)$ have positive real parts. Then there exists $P \in GL(n, \mathbf{F})$ such that $\operatorname{Re}(u \cdot PXP^{-1}u) > 0$ for $u \in \mathbf{F}^n - \{0\}$.

PROOF. Notice that if $\lambda_1, \dots, \lambda_n$ are the eigenvalues of $X \in M_n(C)$ then $[\lambda_1, \dots, \lambda_n, \overline{\lambda}_1, \dots, \overline{\lambda}_n]$ are the eigenvalues of $\iota_1(X)$, and hence the result for F = R and C is proved essentially as in the case of Lyapunov functions in [2, §22.3-§22.5]. Here we shall prove the result for F = Qby the same method for completeness. Let $X \in M_n(Q)$ and assume that all the eigenvalues of $\iota_2(X)$ have positive real parts. Let λ be an eigenvalue of $\iota_2(X)$. Then there exists a unit vector $v \in Q^n$ such that $\iota_2(X)v' = v'\lambda$; hence we have $Xv = v\lambda$. There exists $P_0 \in Sp(n)$ such that $P_0^{-1}e_1 = v$ (cf. [3, ch. I, §VII]). Then $P_0XP_0^{-1}e_1 = e_1\lambda$; in other words,

$$P_{\scriptscriptstyle 0} X P_{\scriptscriptstyle 0}^{-1} = egin{pmatrix} \lambda & & \ 0 & & \ dots & * \ 0 & & \end{pmatrix}.$$

By induction on n, we have an element $P_1 \in Sp(n)$ such that

$$P_1 X P_1^{-1} = \begin{pmatrix} \lambda_1 & x_{ij} \\ & \ddots \\ 0 & & \lambda_n \end{pmatrix},$$

where $\lambda_1, \dots, \lambda_n$ are complex numbers and x_{ij} 's are quaternions. Then we see that $\lambda_1, \dots, \lambda_n, \overline{\lambda}_1, \dots, \overline{\lambda}_n$ are the eigenvalues of $\iota_2(X)$. We define positive real numbers a, b by

$$a = \min_{i} \operatorname{Re}(\lambda_i)$$
, $b = n(n-1)(a + \max_{i < j} |x_{ij}|)a^{-1}$.

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Let P_2 be the diagonal matrix with diagonal entries b, b^2, \dots, b^n , and put $P = P_2 P_1$. Then we have

$$PXP^{-1} = egin{pmatrix} \lambda_1 & a_{ij} \ & \ddots & \ 0 & \lambda_n \end{pmatrix}$$
 ,

where $a_{ij} = b^{i-j}x_{ij}$. We shall show that P is a desired matrix. We have $u \cdot PXP^{-1}u = \sum_{i} \bar{u}_i \lambda_i u_i + \sum_{i \le i} \bar{u}_i a_{ij} u_j$

for $u = (u_i) \in \mathbf{Q}^n$, and hence

$$\operatorname{Re}(u \cdot PXP^{-1}u) = \sum_{i} \operatorname{Re}(\lambda_{i})|u_{i}|^{2} + \sum_{i < j} \operatorname{Re}(\bar{u}_{i}a_{ij}u_{j}) .$$

Therefore

$$|\operatorname{Re}(u \cdot PXP^{-1}u) - \sum_{i} \operatorname{Re}(\lambda_{i})|u_{i}|^{2}| \leq \sum_{i < j} |a_{ij}| ||u||^{2} \leq \frac{a}{2} ||u||^{2}$$

because $|a_{ij}| \leq a/n(n-1)$ for i < j. Consequently, we obtain

$$\operatorname{Re}(u \cdot PXP^{-1}u) \geq \sum_{i} \operatorname{Re}(\lambda_{i}) |u_{i}|^{2} - \frac{a}{2} ||u||^{2} \geq \frac{a}{2} ||u||^{2} > 0$$

for $u \in Q^n - \{0\}$.

2.2. Next we shall show the following.

THEOREM 2.3. The following three conditions are equivalent for $X \in M_n(\mathbf{R})$.

(1) All the eigenvalues of X have positive real parts.

(2) There exists $P \in GL(n, \mathbb{R})$ such that the quadratic form $u \cdot PXP^{-1}u$ is positive definite.

$$(3) \quad \lim_{t\to+\infty} \|\exp(tX)u\| = +\infty , \qquad \lim_{t\to-\infty} \|\exp(tX)u\| = 0 \quad for \quad u \in \mathbf{R}^n_0.$$

PROOF. The condition (1) implies (2) by Lemma 2.2. If $A \in GL(n, \mathbb{R})$ and $x \in \mathbb{R}^n$, then we have

 $\|A^{-1}\|^{-1}\|x\| \le \|Ax\| \le \|A\| \|x\|$,

where $||A||^2 = \text{trace } {}^tAA$. In particular,

$$||P||^{-1} ||\exp(tPXP^{-1})Pu|| \le ||\exp(tX)u|| \le ||P^{-1}|| ||\exp(tPXP^{-1})Pu||$$

for $X \in M_n(\mathbf{R})$, $P \in GL(n, \mathbf{R})$ and $u \in \mathbf{R}^n$. Therefore Lemma 1.1 and Lemma 1.3 assure that the condition (2) implies (3). Finally, we shall show that the condition (3) implies (1). Let $\lambda = a + ib$ be an eigenvalue

q.e.d.

of X, and let z = x + iy be a unit eigenvector of X in C^n belonging to λ . Then

$$\|\exp(tX)x\|^2 + \|\exp(tX)y\|^2 = e^{2ta} \|z\|^2 = e^{2ta}$$
 .

The condition (3) for the matrix X implies a > 0. q.e.d.

3. Twisted linear actions for compact Lie groups. Let $\alpha = (a_{ij})$ and $\beta = (b_{kl})$ be matrices of degrees p and q, respectively. We denote by $\alpha \bigoplus \beta$ the matrix $\begin{pmatrix} \alpha & 0 \\ 0 & \beta \end{pmatrix}$ of degree p + q, and denote by $\alpha \otimes \beta$ the Kronecker product, that is, the matrix (c_{rs}) of degree pq whose coefficients are given by $c_{rs} = a_{ij}b_{kl}$ for r = i + p(k-1), s = j + p(l-1).

Let $\rho: G \to GL(n, \mathbf{R})$ be a matricial representation of a Lie group G. We say that ρ is in standard form, if there exist irreducible representations $\rho_i: G \to GL(n_i, \mathbf{F}_i)$ $(i = 1, 2, \dots, r)$ such that

(3.1)
$$\rho = (\rho_1 \otimes I_{k_1}) \bigoplus \cdots \bigoplus (\rho_r \otimes I_{k_r}) ,$$
$$\operatorname{End}_{G}(\rho) = (I_{n_1} \otimes M_{k_1}(F_1)) \bigoplus \cdots \bigoplus (I_{n_r} \otimes M_{k_r}(F_r))$$

where $F_i = R$, C or Q. It is well known that any matricial representation of a compact Lie group is equivalent to one in standard from (cf. [1, ch. 3], [3, ch. VI]).

LEMMA 3.2. Let ρ be a matricial representation in standard form of a Lie group G. Let $X \in \text{End}_{G}(\rho)$ and assume that all the eigenvalues of X have positive real parts. Then there exists $P \in \text{Aut}_{G}(\rho)$ such that PXP^{-1} satisfies the outward transversality condition.

PROOF. The result follows immediately from (3.1), (2.1), Lemma 2.2 and Lemma 1.3. q.e.d.

REMARK. If $\rho: G \to GL(n, \mathbf{R})$ is an irreducible representation which has no complex structure, then the linear action is the unique twisted linear action of G on S^{n-1} associated to ρ .

THEOREM 3.3. Let G be a compact Lie group and $\rho: G \to GL(n, \mathbb{R})$ a matricial representation. Then any twisted linear action of G on S^{n-1} associated to ρ is equivariantly analytically diffeomorphic to the linear action of G on S^{n-1} associated to ρ .

PROOF. Let $M \in \operatorname{End}_G(\rho)$ and assume that M satisfies the outward transversality condition. We shall show that the twisted linear action of G on S^{n-1} determined by the TC-pair (ρ, M) is equivariantly analytically diffeomorphic to the linear action of G on S^{n-1} associated to ρ . Since G is compact, there are $P_1 \in GL(n, \mathbf{R})$ and an orthogonal representation

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 σ in standard form satisfying $\sigma(g)P_1 = P_1\rho(g)$ for any $g \in G$. Then $P_1MP_1^{-1} \in G$. $\operatorname{End}_{\mathcal{G}}(\sigma)$ and all the eigenvalues of $P_1MP_1^{-1}$ have positive real parts. Thus there exists $P_2 \in \operatorname{Aut}_{d}(\sigma)$ such that $P_2 P_1 M P_1^{-1} P_2^{-1}$ satisfies the outward transversality condition by Lemma 3.2. Let $P = P_2 P_1$ and $N = PMP^{-1}$. Define analytic diffeomorphisms h_P , k_P of S^{n-1} onto itself by $h_P(x) = \pi^N(Px)$ and $k_P(x) = \pi^{I_n}(P^{-1}x)$. As in the proof of Lemma 1.2, we see that h_P is an equivariant analytic diffeomorphism from S^{n-1} with the twisted linear action determined by the TC-pair (ρ, M) onto S^{n-1} with the one determined by the TC-pair (σ , N), and k_{P} is an equivariant analytic diffeomorphism from S^{n-1} with the linear action associated to σ onto S^{n-1} with the one associated to ρ . Since σ is an orthogonal representation, the twisted linear action of G on S^{n-1} determined by the TC-pair (σ, N) coincides with the linear action associated to σ . Therefore the composite $k_{P}h_{P}$ is an equivariant analytic diffeomorphism from S^{n-1} with the twisted linear action determined by the TC-pair (ρ, M) onto S^{n-1} with the linear action associated to ρ . q.e.d.

4. Typical example. Here we shall study twisted linear actions of $G = SL(n, \mathbf{R})$ on S^{2n-1} associated to $\rho_n \otimes I_2$, where $\rho_n : SL(n, \mathbf{R}) \to GL(n, \mathbf{R})$ is the natural inclusion. We have $\operatorname{End}_G(\rho_n \otimes I_2) = I_n \otimes M_2(\mathbf{R})$. Let e_1, \dots, e_n be the standard base of \mathbf{R}^n .

LEMMA 4.1. Let u, v be vectors in \mathbb{R}^n . If u, v are linearly independent and $n \geq 3$, then there exists $P \in SL(n, \mathbb{R})$ such that $Pu = (1/\sqrt{2})e_1$ and $Pv = (1/\sqrt{2})e_2$.

PROOF. Since u, v are linearly independent, there exists $P_1 \in SO(n)$ such that $P_1u = pe_1$ and $P_1v = qe_1 + re_2$ for some real numbers p, q, rsatisfying $pr \neq 0$. Next, since $n \geq 3$, there exists $P_2 \in SL(n, \mathbb{R})$ such that $P_2e_1 = (1/p\sqrt{2})e_1$ and $P_2e_2 = (-q/pr\sqrt{2})e_1 + (1/r\sqrt{2})e_2$. We are done by letting $P = P_2P_1$. q.e.d.

By this lemma, we see that the orbit through $(1/\sqrt{2})(e_1 \oplus e_2)$ is open and dense in S^{2n-1} for any twisted linear action of $SL(n, \mathbf{R})$ associated to $\rho_n \otimes I_2$, because the orbit consists of all $u \oplus v \in S^{2n-1}$ such that u, v are linearly independent.

Let $M \in M_2(\mathbf{R})$ and assume that M satisfies the outward transversality condition. Then $(\rho_n \otimes I_2, I_n \otimes M)$ is a TC-pair. In fact, $I_n \otimes M$ satisfies the outward transversality condition if and only if M satisfies the condition. Denote by $I^n(M)$ the isotropy group at $(1/\sqrt{2})(e_1 \oplus e_2)$ with respect to the twisted linear action of $SL(n, \mathbf{R})$ on S^{2n-1} determined by the TCpair $(\rho_n \otimes I_2, I_n \otimes M)$. We see easily $X \in I^n(M)$ if and only if

(4.2)
$$X = \left(\frac{{}^{*}\exp(\theta M)}{0}\right| {}^{*}_{*}\right)$$

for some $\theta \in \mathbf{R}$.

LEMMA 4.3. With respect to the natural action of $I^n(M)$ on \mathbb{R}^n as a subgroup of $SL(n, \mathbb{R})$, the subspace spanned by $\{e_1, e_2\}$ is the unique invariant 2-dimensional linear subspace.

PROOF. Let V be an invariant linear subspace of \mathbb{R}^n , and assume that V contains a vector which is not a linear combination of e_1 , e_2 . Then we see that V contains e_1 and e_2 , because any matrix of the form

$$egin{pmatrix} I_2 & * \ 0 & I_{n-2} \end{pmatrix}$$

is contained in $I^n(M)$.

Let $M, N \in M_2(\mathbf{R})$. We say that M is similar to N up to positive scalar multiplication, if there exist $P \in GL(2, \mathbf{R})$ and a positive real number c such that $cN = PMP^{-1}$.

LEMMA 4.4. Let $M, N \in M_2(\mathbb{R})$ and assume that M, N satisfy the outward transversality condition. If $n \geq 3$, then the following two conditions are equivalent.

- (1) M is similar to N up to positive scalar multiplication.
- (2) $I^{n}(M)$ and $I^{n}(N)$ are conjugate in SL(n, R).

PROOF. By Lemma 1.2, we see that the condition (1) implies (2). Now we shall show that the condition (2) implies (1). Assume that there exists $A \in SL(n, \mathbb{R})$ such that $I^n(N) = AI^n(M)A^{-1}$. Then, by Lemma 4.3, we see that the subspace spanned by $\{e_1, e_2\}$ is A-invariant, and hence $A = \begin{pmatrix} B & * \\ 0 & * \end{pmatrix}$ for some $B \in GL(2, \mathbb{R})$. By (4.2), we obtain $cN = {}^tB^{-1}M{}^tB$ for a real number c. We see c > 0, because M, N satisfy the outward transversality condition. q.e.d.

By this lemma, if $(\rho_n \otimes I_2, I_n \otimes M)$ and $(\rho_n \otimes I_2, I_n \otimes N)$ are not equivalent as TC-pairs, then there is no equivariant homeomorphism from S^{2n-1} with the twisted linear action of $SL(n, \mathbf{R})$ determined by the TCpair $(\rho_n \otimes I_2, I_n \otimes M)$ onto S^{2n-1} with the one determined by the TC-pair $(\rho_n \otimes I_2, I_n \otimes N)$.

We see easily the following. Let $M = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$. Then M satisfies the outward transversality condition if and only if a > 0 and $4ad - (b + c)^2 > 0$, by Lemma 1.3. The following matrices satisfy the outward transversality

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q.e.d.

condition.

$$egin{pmatrix} 1 & 1 \ 0 & 1 \end{pmatrix}$$
 , $egin{pmatrix} 1 & 0 \ 0 & x \end{pmatrix}$ $(0 < x \leq 1)$, $egin{pmatrix} 1 & y \ -y & 1 \end{pmatrix}$ $(y > 0)$.

Moreover, no two of them are similar up to positive scalar multiplication, and any matrix of degree 2 satisfying the outward transversality condition is similar to one of the above matrices up to positive scalar multiplication.

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