

STAR OPERATIONS ON PRÜFER v -MULTIPLICATION DOMAINS

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ABSTRACT. Let D be an integrally closed domain, $S(D)$ the set of star operations on D , w the w -operation, and $S_w(D) = \{ * \in S(D) \mid w \leq * \}$. Let X be an indeterminate over D and $N_v = \{ f \in D[X] \mid c(f)_v = D \}$. In this paper, we show that, if D is a Prüfer v -multiplication domain (PvMD), then $|S_w(D)| = |S_w(D[X])| = |S(D[X]_{N_v})|$. We prove that D is a PvMD if and only if $|\{ * \in S_w(D) \mid * \text{ is of finite type} \}| < \infty$. We then use these results to give a complete characterization of integrally closed domains D with $|S_w(D)| < \infty$.

0. Introduction. Let D be an integral domain that is not a field, and let K be the quotient field of D . Let $S(D)$ be the set of star operations on D and $S_w(D) = \{ * \in S(D) \mid w \leq * \}$; so $S_w(D) \subseteq S(D)$. (Definitions related to star operations will be reviewed in Section 1.) It is easy to see that $S_w(D) = S(D)$ if and only if each maximal ideal of D is a t -ideal (Proposition 1.6). So, if $|S(D)| < \infty$, then $S_w(D) = S(D)$ [16, Proposition 2.1]. But if $D[X]$ is the polynomial ring over a valuation domain D that is not a field, then $|S(D[X])| = \infty$ [16, Corollary 2.3] while $|S(D)| = |S_w(D)| = |S_w(D[X])| \leq 2$ (Theorem 2.6). Note that $d \leq * \leq v$ for any star operation $*$ on D ; so $|S(D)| = 1 \Leftrightarrow d = v$; $|S(D)| = 2 \Leftrightarrow d \neq v$ and $S(D) = \{d, v\}$; $|S_w(D)| = 1 \Leftrightarrow w = v$; and $|S_w(D)| = 2 \Leftrightarrow w \neq v$ and $S_w(D) = \{w, v\}$.

In [13], Heinzer studied the integral domains D with $|S(D)| = 1$; in particular, he showed that if D is integrally closed, then $|S(D)| = 1$ if and only if D is an h-local Prüfer domain whose maximal ideals are

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invertible [13, Theorem 5.1]. As the t -operation analog of Heinzer's result, in [7], El Baghdadi and Gabelli studied the integral domains D with $|S_w(D)| = 1$. Among other things, they showed that, if D is integrally closed, then $|S_w(D)| = 1$ if and only if D is an independent ring of Krull type whose maximal t -ideals are t -invertible [7, Theorem 3.3]. In [16], Houston, Mimouni and Park characterized the integrally closed domains having two star operations. For example, they proved that, if D is integrally closed, then $|S(D)| = 2$ if and only if D is an h -local Prüfer domain with exactly one nondivisorial maximal ideal [16, Theorem 3.3]. In [17, Theorem 5.3], they also gave a complete characterization of an integrally closed domain D with $|S(D)| < \infty$.

The purpose of this paper is to prove the t -operation analogs of Houston, Mimouni and Park's results ([16, Theorems 3.3] and [17, Theorem 5.3]). That is, we give some characterizations for integrally closed domains D with $|S_w(D)| < \infty$. In Section 1, we review definitions and notations related to star operations. We also recall some basic results on the (t -)Nagata ring $D[X]_{N_v}$, Prüfer v -multiplication domains (PvMD) and *e.a.b.* star operations, which are essential in the arguments of Sections 2 and 3. In Section 2, we show that, if D is a PvMD, then there are bijections from $S_w(D)$ onto $S(D[X]_{N_v})$ and $S_w(D[X])$, respectively, and hence $|S_w(D)| = |S_w(D[X])| = |S(D[X]_{N_v})|$. We then use this result with [16, Theorem 3.1] to show that if D is an independent ring of Krull type, then $|S_w(D)| = 2^{|\mathfrak{U}|}$, where \mathfrak{U} is the set of maximal t -ideals of D that are not v -ideals. In Section 3, we study the integrally closed domains D with $|S_w(D)| < \infty$. We show that, if D is integrally closed, then $|S_w(D)| = 2$ if and only if D is an independent ring of Krull type with exactly one nondivisorial maximal t -ideal, if and only if $|S_w(D[X])| = 2$, if and only if $|S(D[X]_{N_v})| = 2$. We prove that if D is integrally closed, then $|\{ * \in S_w(D) \mid * \text{ is of finite type} \}| < \infty$ if and only if D is a PvMD. We then finally give a complete characterization of integrally closed domains D with $|S_w(D)| < \infty$ by using the results of Section 2 and Houston, Mimouni and Park's result [17, Theorem 5.3].

1. Star operations and the ring $D[X]_{N_v}$. Let D be an integral domain with quotient field K . Let $\mathbf{F}(D)$ (respectively, $\mathbf{f}(D)$) be the set of nonzero fractional (respectively, finitely generated fractional) ideals of D ; so $\mathbf{f}(D) \subseteq \mathbf{F}(D)$. A mapping $I \mapsto I^*$ of $\mathbf{F}(D)$ into $\mathbf{F}(D)$ is

called a *star operation* (\star -operation) on D if, for all $0 \neq a \in K$ and $I, J \in \mathbf{F}(D)$, the following conditions are satisfied:

- (1) $(aD)^* = aD$ and $(aI)^* = aI^*$,
- (2) $I \subseteq I^*$; $I \subseteq J$ implies $I^* \subseteq J^*$, and
- (3) $(I^*)^* = I^*$.

Given any star operation $*$ on D , two new star operations $*_f$ and $*_w$ can be constructed by setting $I^{*}_f = \bigcup\{J^* \mid J \subseteq I \text{ and } J \in \mathbf{f}(D)\}$ and $I^{*}_w = \{x \in K \mid xJ \subseteq I \text{ for some } J \in \mathbf{f}(D) \text{ with } J^* = D\}$ for all $I \in \mathbf{F}(D)$. A star operation $*$ on D is said to be of *finite type* if $*_f = *$. Obviously, $(*_f)_f = *_f$ and $(*_w)_f = *_w = (*_f)_w$, and hence $*_f$ and $*_w$ are of finite type. An $I \in \mathbf{F}(D)$ is called a *$*$ -ideal* if $I^* = I$, while a *$*$ -ideal* is a *maximal $*$ -ideal* if it is maximal among proper integral $*$ -ideals. Let $*\text{-Max}(D)$ denote the set of maximal $*$ -ideals of D . Although it is possible that $*\text{-Max}(D) = \emptyset$ even when D is not a field (e.g., $v\text{-Max}(V) = \emptyset$ if V is a rank-one nondiscrete valuation domain), it is well known that a maximal $*_f$ -ideal is a prime ideal; each prime ideal minimal over a $*_f$ -ideal is a $*_f$ -ideal; $*_f\text{-Max}(D) \neq \emptyset$ if D is not a field; $*_f\text{-Max}(D) = *_w\text{-Max}(D)$ [1, Theorem 2.16]; and $I^{*}_w = \bigcap_{P \in *_f\text{-Max}(D)} ID_P$ for all $I \in \mathbf{F}(D)$ [1, Corollary 2.10]. For two star operations $*_1$ and $*_2$ on D , we mean by $*_1 \leq *_2$ that $I^{*}_1 \subseteq I^{*}_2$ (equivalently, $(I^{*}_1)^{*_2} = (I^{*}_2)^{*_1} = I^{*}_2$) for all $I \in \mathbf{F}(D)$. Clearly, if $*_1 \leq *_2$, then $(*_1)_f \leq (*_2)_f$ and $(*_1)_w \leq (*_2)_w$. Also, $*_w \leq *_f \leq *$ for any star operation $*$ on D . An $I \in \mathbf{F}(D)$ is said to be *$*$ -invertible* if $(II^{-1})^* = D$, where $I^{-1} = \{x \in K \mid xI \subseteq D\}$. Clearly, I is $*_f$ -invertible if and only if $II^{-1} \not\subseteq P$ for all $P \in *_f\text{-Max}(D)$. Note that $I \in \mathbf{F}(D)$ is $*_f$ -invertible if and only if I is $*_w$ -invertible, because $*_f\text{-Max}(D) = *_w\text{-Max}(D)$. Also, if $*_1 \leq *_2$ are star operations on D , then $*_1$ -invertible ideals are $*_2$ -invertible.

The most well-known examples of star operations are the d -, v -, t - and w -operations. The d -operation is just the identity function on $\mathbf{F}(D)$; so $d = d_f = d_w$. The v -operation is defined by $I_v = (I^{-1})^{-1}$ and the t -operation (respectively, w -operation) is given by $t = v_f$ (respectively, $w = v_w$). In particular, a v -ideal is called a *divisorial ideal*. It is known that, if $*$ is a star operation on D , then $d \leq * \leq v$, and hence $d \leq *_f \leq t$ and $d \leq *_w \leq w \leq t \leq v$.

Let $*$ be a star operation on D . As in [3, page 224], we say that an overring R of D is *$*$ -linked* over D if $I^* = D$ implies $(IR)_v = R$ for

all $I \in \mathbf{f}(D)$. Recall that $*$ is *endlich arithmetisch brauchbar* (e.a.b.) if $(AB)^* \subseteq (AC)^*$ for all $A, B, C \in \mathbf{f}(D)$ implies $B^* \subseteq C^*$. It is known that if D admits an e.a.b. star operation, then D is integrally closed [11, Corollary 32.8]. Conversely, if D is integrally closed, then $D = \bigcap V$, where V ranges over all valuation overrings of D , and thus the mapping $I \mapsto I^b = \bigcap IV$ of $\mathbf{F}(D)$ into $\mathbf{F}(D)$ is an e.a.b. star operation of finite type [11, pages 396–398]. More generally, we have

Lemma 1.1. ([4, Lemma 3.1]). *Let D be an integrally closed domain and $\{V_\alpha\}$ the set of $*$ -linked valuation overrings of D . Then the map $*_c : \mathbf{F}(D) \rightarrow \mathbf{F}(D)$, given by $I \mapsto I^{*c} = \bigcap_\alpha IV_\alpha$, is an e.a.b. star operation of finite type on D such that $*_w = (*_c)_w \leq *_c$ and $*_f\text{-Max}(D) = *_c\text{-Max}(D)$. In particular, $d_c = b$.*

Let X be an indeterminate over D and $D[X]$ the polynomial ring over D . For any $f \in D[X]$, we denote by $c_D(f)$ (simply, $c(f)$) the ideal of D generated by the coefficients of f . The next lemma will be used in Section 2 without references.

Lemma 1.2. ([12, Lemma 4.1 and Proposition 4.3]). *Let $*$ = v, t or w and $I \in \mathbf{F}(D)$. Then $(ID[X])^{-1} = I^{-1}D[X]$ and $(ID[X])^* = I^*D[X]$.*

Let $S = \{f \in D[X] \mid c(f) = D\}$. Then $D[X]_S$, denoted by $D(X)$, is called the *Nagata ring* of D [11, Section 33]. For the t -operation analog, let $N_v = \{f \in D[X] \mid f \neq 0 \text{ and } c(f)_v = D\}$. Then $D[X]_{N_v}$, called the (t -)Nagata ring of D , is an overring of $D(X)$, and $D[X]_{N_v} = D(X)$ if and only if each maximal ideal of D is a t -ideal. The (t -)Nagata ring $D[X]_{N_v}$ has many interesting ring-theoretic properties and, in particular, it is very useful when we study the w -operation on D . For more on Nagata rings, the reader can refer to [3, 9, 20].

We next review some basic properties of $D[X]_{N_v}$ that are very useful in the arguments of this paper.

Lemma 1.3. *Let I be a nonzero fractional ideal of D .*

- (i) $ID[X]_{N_v} \cap K = I_w$ and $I_w D[X]_{N_v} = ID[X]_{N_v}$.
- (ii) $(ID[X]_{N_v})^{-1} = I^{-1}D[X]_{N_v}$, and so $(ID[X]_{N_v})_v = I_v D[X]_{N_v}$.
- (iii) $\text{Max}(D[X]_{N_v}) = \{PD[X]_{N_v} \mid P \in t\text{-Max}(D)\}$.

(iv) I is t -invertible if and only if $ID[X]_{N_v}$ is invertible.

Proof. (i) appears in [2, Lemma 2.1] and [9, Proposition 3.4]. For (ii), (iii) and (iv), see [20, Corollary 2.3 (3), Proposition 2.1, Corollary 2.5]. □

We say that D is a *Prüfer $*$ -multiplication domain* (P^*MD) if each nonzero finitely generated ideal of D is $*$ -invertible. Hence, $PdMDs$ are just the Prüfer domains. Obviously, $P^*MD \Leftrightarrow P^*_fMD \Leftrightarrow P^*_{*w}MD$, because $(*_f)_f = *_f$, $(*_w)_f = *_w$, and $I \in \mathbf{F}(D)$ is $*$ -invertible if and only if I is $*_w$ -invertible. Also, if $*_1 < *_2$ are star operations on D , then $P^*_{*1}MDs$ are $P^*_{*2}MDs$; thus, Prüfer domain $\Rightarrow P^*MD \Rightarrow PvMD$ for any star operations $*$ on D .

Theorem 1.4. *If D is integrally closed, the following statements are equivalent.*

- (i) D is a $PvMD$.
- (ii) $v_c = w$.
- (iii) w is an e.a.b. star operation.
- (iv) $w = t$.
- (v) $D[X]$ is a $PvMD$.
- (vi) $D[X]_{N_v}$ is a Prüfer domain.
- (vii) Each ideal of $D[X]_{N_v}$ is extended from D .
- (viii) $fD[X]_{N_v} = c(f)D[X]_{N_v}$ for all $0 \neq f \in D[X]$.
- (ix) D_P is a valuation domain for every maximal t -ideal P of D .

Proof. (i) \Leftrightarrow (ii) appears in [4, Corollary 3.8].

(i) \Leftrightarrow (iii) was proved in [8, Theorem 3.1] (in a more general setting of semistar operations).

For (i) \Leftrightarrow (iv) \Leftrightarrow (v) \Leftrightarrow (vi) \Leftrightarrow (vii) \Leftrightarrow (viii) \Leftrightarrow (ix), see [20, Theorems 2.12, 3.1, 3.2, 3.5, 3.7]. □

Remark 1.5. Let M be a maximal t -ideal of a $PvMD$. It is well known and easy to show that $M_v = M$ if and only if M is t -invertible. Also, if M is a maximal ideal of a Prüfer domain, then $M_v = M$ if and only if M is invertible. In this paper, we use this fact without any further comments.

The next simple result shows that $S_w(D) = S(D)$ if each maximal ideal of D is a t -ideal. Thus, if $|S(D)| < \infty$, then $S_w(D) = S(D)$ [16, Proposition 2.1]. This result also provides a characterization of DW-domains (i.e., integral domains in which the d -operation coincides with the w -operation) which were introduced and studied by Mimouni [22] and Picozza-Tartarone [23].

Proposition 1.6. *The following statements are equivalent.*

- (i) $S_w(D) = S(D)$.
- (ii) Each maximal ideal of D is a t -ideal.
- (iii) $d = w$ on D .

Proof. (i) \Leftrightarrow (iii). This follows directly from the fact that $d \leq *$ for any star operation $*$ on D .

(ii) \Leftrightarrow (iii). Let $\text{Max}(D)$ be the set of maximal ideals of D . Clearly, each maximal ideal of D is a t -ideal if and only if $t\text{-Max}(D) = \text{Max}(D)$. Hence, if each maximal ideal of D is a t -ideal, then $I_d = \bigcap_{M \in \text{Max}(D)} ID_M = \bigcap_{M \in t\text{-Max}(D)} ID_M = I_w$ for all $I \in \mathbf{F}(D)$. Thus, $d = w$. Conversely, if $w = d$, then $t\text{-Max}(D) = w\text{-Max}(D) = \text{Max}(D)$, and thus each maximal ideal of D is a t -ideal. \square

2. Prüfer v -multiplication domains. Let D be an integral domain with quotient field K , $S(D)$ the set of star operations on D and $S_w(D) = \{ * \in S(D) \mid w \leq * \}$. Let X be an indeterminate over D , $D[X]$ the polynomial ring over D and $N_v = \{ f \in D[X] \mid c(f)_v = D \}$.

In this section, we show that if D is a PvMD, then $|S_w(D)| = |S_w(D[X])| = |S(D[X]_{N_v})|$. This will be proved by a series of lemmas (Lemmas 2.1–2.5).

Lemma 2.1. *Let $* \in S_w(D)$ and $J \in \mathbf{F}(D)$. If J is w -invertible, then $(JI)^* = (JI^*)_w$ for all $I \in \mathbf{F}(D)$.*

Proof. Since $w \leq *$, we have $(JI^*)_w \subseteq (JI^*)^* = (JI)^*$. For the reverse containment, note that $(JJ^{-1})^* = D$ because J is w -invertible and $w \leq *$; so $J^{-1}(JI)^* \subseteq (J^{-1}(JI^*))^* = (J^{-1}JI)^* = ((JJ^{-1})^*I)^* = I^*$, and hence, $(J^{-1}(JI^*)_w) \subseteq (I^*)_w = I^*$. Thus, $(JI)^* \subseteq (JI^*)_w$ by the assumption that J is w -invertible and $w \leq *$. \square

Lemma 2.2. *Let D be a PvMD with quotient field K and $* \in S_w(D)$.*

- (i) *If $A \in \mathbf{F}(D[X]_{N_v})$, then $A = ED[X]_{N_v}$ for some $E \in \mathbf{F}(D)$. Moreover, $A \cap K = E_w$.*
- (ii) *For each $A \in \mathbf{F}(D[X]_{N_v})$, if we let*

$$A^{*N_v} = E^*D[X]_{N_v},$$

*where $E \in \mathbf{F}(D)$ with $A = ED[X]_{N_v}$ as in (i), then $*_{N_v}$ is a star operation on $D[X]_{N_v}$ such that $(ID[X]_{N_v})^{*N_v} \cap K = I^*$ for all $I \in \mathbf{F}(D)$.*

Proof.

- (i) Since $A \in \mathbf{F}(D[X]_{N_v})$, there is an $0 \neq f \in D[X]$ such that $fA \subseteq D[X]_{N_v}$; so $(c(f)D[X]_{N_v})A = fA = ID[X]_{N_v}$ for some $I \in \mathbf{F}(D)$ by Theorem 1.4. Hence, $A = c(f)^{-1}ID[X]_{N_v}$ because $c(f)D[X]_{N_v}$ is invertible. Thus, if we set $E = c(f)^{-1}I$, then $E \in \mathbf{F}(D)$ and $A = ED[X]_{N_v}$. Moreover, $A \cap K = ED[X]_{N_v} \cap K = E_w$ by Lemma 1.3 (i).
- (ii) Clearly, (a) $(D[X]_{N_v})^{*N_v} = D[X]_{N_v}$, (b) if $A, B \in \mathbf{F}(D[X]_{N_v})$, then $A \subseteq A^{*N_v}$, and $A \subseteq B$ implies $A^{*N_v} \subseteq B^{*N_v}$, and (c) $(A^{*N_v})^{*N_v} = A^{*N_v}$ for all $A \in \mathbf{F}(D[X]_{N_v})$. So, to prove that $*_{N_v}$ is a star operation, it suffices to show that, if $0 \neq f, g \in D[X]$ and $A \in \mathbf{F}(D[X]_{N_v})$, then $(f/gA)^{*N_v} = f/gA^{*N_v}$.

Note that $f/gD[X]_{N_v} = (c(f)c(g)^{-1})D[X]_{N_v}$ by Theorem 1.4 and $A = ED[X]_{N_v}$ for some $E \in \mathbf{F}(D)$ by (i); so $f/gA = (c(f)c(g)^{-1}E)D[X]_{N_v}$. Also, by Lemma 2.1, $(c(f)c(g)^{-1}E)^* = ((c(f)c(g)^{-1}E^*)_w$ because $c(f)c(g)^{-1}$ is w -invertible. Thus,

$$\begin{aligned} \left(\frac{f}{g}A\right)^{*N_v} &= (c(f)c(g)^{-1}E)^*D[X]_{N_v} \\ &= ((c(f)c(g)^{-1}E^*)_w)D[X]_{N_v} \\ &= ((c(f)c(g)^{-1}E^*)D[X]_{N_v}) \\ &= ((c(f)c(g)^{-1})D[X]_{N_v})(E^*D[X]_{N_v}) \\ &= \left(\frac{f}{g}D[X]_{N_v}\right)(ED[X]_{N_v})^{*N_v} \\ &= \frac{f}{g}A^{*N_v}. \end{aligned}$$

Moreover, $(ID[X]_{N_v})^{*N_v} \cap K = I^*D[X]_{N_v} \cap K = (I^*)_w = I^*$
 because $w \leq *$. □

Lemma 2.3. *Let D be a PvMD with quotient field K and \star a star operation on $D[X]_{N_v}$. For each $I \in \mathbf{F}(D)$, if we set*

$$I^* = (ID[X]_{N_v})^* \cap K,$$

then $$ is a star operation on D such that $*$ $\in S_w(D)$ and $*_{N_v} = \star$.*

Proof. It is routine to check that $*$ is a star operation on D . Also, note that $E_w = ED[X]_{N_v} \cap K \subseteq (ED[X]_{N_v})^* \cap K = E^*$ for every $E \in \mathbf{F}(D)$. Thus, $w \leq *$.

Next, to prove the equality $*_{N_v} = \star$, let $A \in \mathbf{F}(D[X]_{N_v})$. Then $A = ID[X]_{N_v}$ and $A^* = JD[X]_{N_v}$ for some $I, J \in \mathbf{F}(D)$ by Lemma 2.2 (i). So $I^* = A^* \cap K = JD[X]_{N_v} \cap K = J_w$, and thus $A^{*N_v} = (ID[X]_{N_v})^{*N_v} = I^*D[X]_{N_v} = J_wD[X]_{N_v} = JD[X]_{N_v} = A^*$. Thus, $*_{N_v} = \star$. □

We say that a nonzero prime ideal Q of $D[X]$ is an *upper to zero* in $D[X]$ if $Q \cap D = (0)$. It is known that an upper to zero Q in $D[X]$ is a maximal t -ideal if and only if Q is t -invertible, if and only if $Q \cap N_v \neq \emptyset$ [19, Theorem 1.4]. Also, D is a PvMD if and only if D is integrally closed and each upper to zero in $D[X]$ is a maximal t -ideal [19, Proposition 3.2]. We know that, if Q is a maximal t -ideal of $D[X]$, then either $Q \cap D = (0)$ or $Q \cap D \neq (0)$, $Q \cap D$ is a maximal t -ideal of D , and $Q = (Q \cap D)[X]$ (cf., [19, Proposition 1.1]). So, if D is a PvMD, then $t\text{-Max}(D[X]) = \{P[X] \mid P \in t\text{-Max}(D)\} \cup \{Q \mid Q \text{ is an upper to zero in } D[X]\}$, and hence $A_w = AD[X]_{N_v} \cap AK[X]$, $A_wD[X]_{N_v} = AD[X]_{N_v}$, and $A_wK[X] = AK[X]$ for all $A \in \mathbf{F}(D[X])$.

Lemma 2.4. *Let D be a PvMD with quotient field K and $*$ $\in S_w(D)$.*

- (i) *If $A \in \mathbf{F}(D[X])$, then $A_w = (Q_1^{k_1} \cdots Q_n^{k_n}(ED[X]))_w$ for some Q_i an upper to zero in $D[X]$, k_i a nonzero integer, and $E \in \mathbf{F}(D)$. Moreover, this expression is unique up to the w -operation on $D[X]$.*
- (ii) *For each $A \in \mathbf{F}(D[X])$, if we let*

$$A^{*D[X]} = (Q_1^{k_1} \cdots Q_n^{k_n}(E^*D[X]))_w,$$

where $Q_i, k_i,$ and E are as in (i), then $*_{D[X]} \in S_w(D[X])$ such that $(ID[X])^{*_{D[X]}} \cap K = I^*$ for all $I \in \mathbf{F}(D)$.

Proof.

- (i) Since $A \in \mathbf{F}(D[X])$, there is a $0 \neq f \in D[X]$ such that $fA \subseteq D[X]$. Recall that $t\text{-Max}(D[X]) = \{P[X] \mid P \in t\text{-Max}(D)\} \cup \{Q \mid Q \text{ is an upper to zero in } D[X]\}$ and that fA is contained in only finitely many uppers to zero in $D[X]$ (because $K[X]$ is a principal ideal domain (PID)), say, q_1, \dots, q_k . Note also that each upper to zero in $D[X]$ is t -invertible; hence, there are positive integers e_i such that, if we let $B = q_1^{e_1} \cdots q_k^{e_k}$, then B is t -invertible, $fAB^{-1} \subseteq D[X]$ and fAB^{-1} is not contained in any upper to zero in $D[X]$. Hence, $(fAB^{-1})_w = (fAB^{-1})_{N_v} \cap (fAB^{-1})K[X] = (fAB^{-1})_{N_v} \cap K[X] = ID[X]_{N_v} \cap K[X] = ID[X]_{N_v} \cap IK[X] = (ID[X])_w$ for some $I \in \mathbf{F}(D)$ by Lemma 2.2 (i), and thus $(fA)_w = (B(ID[X]))_w$. The same argument also shows that $fD[X] = (p_1^{n_1} \cdots p_s^{n_s}(JD[X]))_w$ for some p_i an upper to zero in $D[X]$, n_i a positive integer and $J \in \mathbf{F}(D)$. Clearly, J and $p_j^{n_j}$ are t -invertible, and thus $A_w = (q_1^{e_1} \cdots q_k^{e_k} \cdot p_1^{-n_1} \cdots p_s^{-n_s}(J^{-1}I)D[X])_w$.

For uniqueness up to the w -operation on $D[X]$, assume that

$$(Q_1^{k_1} \cdots Q_n^{k_n}(ID[X]))_w = (p_1^{e_1} \cdots p_m^{e_m}(JD[X]))_w,$$

where Q_i and p_j are uppers to zero in $D[X]$, k_i and e_j are nonzero integers, and $I, J \in \mathbf{F}(D)$. Then

$$\begin{aligned} (Q_1^{k_1} \cdots Q_n^{k_n})K[X] &= (Q_1^{k_1} \cdots Q_n^{k_n}(ID[X]))K[X] \\ &= (Q_1^{k_1} \cdots Q_n^{k_n}(ID[X]))_w K[X] \\ &= (p_1^{e_1} \cdots p_m^{e_m}(JD[X]))_w K[X] \\ &= (p_1^{e_1} \cdots p_m^{e_m})K[X]. \end{aligned}$$

Note that $K[X]$ is a PID and both $Q_i K[X]$ and $p_j K[X]$ are prime ideals of $K[X]$; so the expression of $(Q_1^{k_1} \cdots Q_n^{k_n})K[X]$ is unique, and thus $n = m$, $Q_i = p_i$ and $e_i = k_i$ by rearranging the order of p_i, \dots, p_m (if necessary). Also,

$$\begin{aligned} ID[X]_{N_v} &= (Q_1^{k_1} \cdots Q_n^{k_n}(ID[X]))D[X]_{N_v} \\ &= (Q_1^{k_1} \cdots Q_n^{k_n}(ID[X]))_w D[X]_{N_v} \end{aligned}$$

$$\begin{aligned}
 &= (p_1^{e_1} \cdots p_m^{e_m} (JD[X]))_w D[X]_{N_v} \\
 &= JD[X]_{N_v}.
 \end{aligned}$$

Thus, $I_w = ID[X]_{N_v} \cap K = JD[X]_{N_v} \cap K = J_w$.

- (ii) For $I, J \in \mathbf{F}(D)$, if $I_w = J_w$, then $I^* = (I_w)^* = (J_w)^* = J^*$ because $w \leq *$. Hence, $*_{D[X]}$ is well defined by (i). Also, it is clear that $A_w \subseteq A^{*_{D[X]}}$ for all $A \in \mathbf{F}(D[X])$ and $(ID[X])^{*_{D[X]}} \cap K = I^*D[X] \cap K = I^*$ for all $I \in \mathbf{F}(D)$. So it suffices to show that $*_{D[X]}$ is a star operation on $D[X]$.

Let $A, B \in \mathbf{F}(D[X])$ and $0 \neq f, g \in D[X]$. By (i), $A_w = (A_1(E_1D[X]))_w$ and $B_w = (B_1(E_2D[X]))_w$, where both A_1 and B_1 are products of uppers to zero in $D[X]$ and $E_i \in \mathbf{F}(D)$. Clearly, $(D[X])^{*_{D[X]}} = D[X]$, $A \subseteq A^{*_{D[X]}}$ and $(A^{*_{D[X]}})^{*_{D[X]}} = A^{*_{D[X]}}$. By (i), $fD[X] = (C_1(I_1D[X]))_w$ and $gD[X] = (C_2(I_2D[X]))_w$, where C_i are products of uppers to zero in $D[X]$ and $I_i \in \mathbf{F}(D)$. Clearly, I_1 and I_2 are t -invertible. Also, $(f/gA)_w = (C_1C_2^{-1}A_1((I_1I_2^{-1}E_1)D[X]))_w$, where $C_1C_2^{-1}A_1$ is a product of uppers to zero in $D[X]$ and $I_1I_2^{-1}E_1 \in \mathbf{F}(D)$. Thus,

$$\begin{aligned}
 \left(\frac{f}{g}A\right)^{*_{D[X]}} &= (C_1C_2^{-1}A_1((I_1I_2^{-1}E_1)^*D[X]))_w \\
 &= (C_1C_2^{-1}A_1((I_1I_2^{-1}(E_1)^*)_wD[X]))_w \\
 &= (C_1C_2^{-1}A_1((I_1I_2^{-1}(E_1)^*)D[X]))_w \\
 &= ((C_1(I_1D[X]))(C_2(I_2D[X]))^{-1}(A_1((E_1)^*D[X])))_w \\
 &= \left(\frac{f}{g}(A_1((E_1)^*D[X]))\right)_w \\
 &= \frac{f}{g}A^{*_{D[X]}}.
 \end{aligned}$$

where the second equality follows from Lemma 2.1. Finally, assume that $A \subseteq B$. Then $A_w \subseteq B_w$, and so $(E_1D[X])_{N_v} = (A_w)_{N_v} \subseteq (B_w)_{N_v} = (E_2D[X])_{N_v}$. Thus, $(A_1((E_1)^*D[X]))_{N_v} = ((E_1)^*D[X])_{N_v} \subseteq ((E_2)^*D[X])_{N_v} = (B_1((E_2)^*D[X]))_{N_v}$ by Lemma 2.2 (ii). Also,

$$\begin{aligned}
 (A_1((E_1)^*D[X]))K[X] &= (A_1)K[X] \\
 &= (A_1(E_1D[X]))K[X] \\
 &= (A_1(E_1D[X]))_wK[X]
 \end{aligned}$$

$$\begin{aligned} &\subseteq (B_1(E_2D[X]))_wK[X] \\ &= (B_1((E_2)^*D[X]))K[X]. \end{aligned}$$

Thus,

$$\begin{aligned} A^{*D[X]} &= (A_1((E_1)^*D[X]))_w \\ &= (A_1((E_1)^*D[X]))_{N_v} \cap (A_1((E_1)^*D[X]))K[X] \\ &\subseteq (B_1((E_2)^*D[X]))_{N_v} \cap (B_1((E_2)^*D[X]))K[X] \\ &= (B_1((E_2)^*D[X]))_w \\ &= B^{*D[X]}. \end{aligned} \quad \square$$

Lemma 2.5. *Let D be a PvMD with quotient field K and $\star \in S_w(D[X])$. For each $E \in \mathbf{F}(D)$, if we set*

$$E^* = (ED[X])^* \cap K,$$

then $\star \in S_w(D)$ and $\star_{D[X]} = \star$.

Proof. It is routine to check that \star is a star operation on D (or see [15, Proposition 2.1]). Moreover, since $w \leq \star$ on $D[X]$, $E_w = E_wD[X] \cap K = (ED[X])_w \cap K \subseteq (ED[X])^* \cap K = E^*$ for all $E \in \mathbf{F}(D)$. Thus, $\star \in S_w(D)$.

Next, if $A \in \mathbf{F}(D[X])$, then $A_w = (B(ED[X]))_w$ for some B a product of uppers to zero in $D[X]$ and $E \in \mathbf{F}(D)$ by Lemma 2.4 (i), and so $(B^{-1}A)_w = (ED[X])_w = E_wD[X]$. By Lemma 2.1, $(B^{-1}A^*)_w = (B^{-1}A)^* = (ED[X])^*$ and $(B^{-1}A)^* \cap K = E^*$. Note that $(B^{-1}A)^* \in \mathbf{F}(D[X])$ and $E_wD[X] \subseteq (B^{-1}A)^* \subseteq E_wD[X]$, and hence $(B^{-1}A)^* = (JD[X])_w = J_wD[X]$ for some $J \in \mathbf{F}(D)$ by Lemma 2.4 (i). So $E^* = (B^{-1}A)^* \cap K = J_wD[X] \cap K = J_w$, and thus $(B^{-1}A)^* = E^*D[X]$. Therefore, $A^* = (BB^{-1}A^*)_w = (B(B^{-1}A^*)_w)_w = (B(B^{-1}A)^*)_w = (B(E^*D[X]))_w = (B(ED[X]))^{*D[X]} = A^{*D[X]}$. Thus, $\star = \star_{D[X]}$. \square

The next result is the main result of this paper, which is crucial for studying integrally closed domains D with $|S_w(D)| < \infty$. Its proof is now a straightforward consequence of the previous preliminary lemmas.

Theorem 2.6. *Let D be a PvMD.*

- (i) The map $* \mapsto *_{N_v}$ of $S_w(D)$ into $S(D[X]_{N_v})$ is bijective and $S(D[X]_{N_v}) = \{*_ {N_v} \mid * \in S_w(D)\}$.
- (ii) The map $* \mapsto *_{D[X]}$ of $S_w(D)$ into $S_w(D[X])$ is bijective and $S_w(D[X]) = \{*_ {D[X]} \mid * \in S_w(D)\}$.

Hence, $|S_w(D)| = |S_w(D[X])| = |S(D[X]_{N_v})|$.

Proof.

- (i) This follows directly from Lemmas 2.2 and 2.3.
- (ii) This is an immediate consequence of Lemmas 2.4 and 2.5. \square

Corollary 2.7. *If D is a Prüfer domain, then $|S(D)| = |S(D(X))|$.*

Proof. This follows from Theorem 2.6 and Proposition 1.6 because Prüfer domains are PvMDs in which each maximal ideal is a t -ideal. \square

Remark 2.8.

- (1) Let $*$ be a star operation on D and, for $A \in \mathbf{F}(D[X])$, let

$$A^{\blacktriangle*} = \bigcap \left\{ z^{-1} \left(\sum_{f \in zA} c(f) \right)^* [X] \mid 0 \neq z \in (K[X] : A) \right\}.$$

Then \blacktriangle^* is a star operation on $D[X]$ such that $(E[X])^{\blacktriangle*} = E^*[X]$ for all $E \in \mathbf{F}(D)$, and, moreover, if $* \in S_w(D)$, then $\blacktriangle^* \in S_w(D[X])$ [5, Theorem 2.1 and Corollary 2.4] (or see the proof of [6, Proposition 3.4]). Clearly, if D is an integral domain such that $t = v$ on D but $t \neq v$ on $D[X]$, then $S_w(D[X]) \neq \{\blacktriangle^* \mid * \in S_w(D)\}$ (see [5, Remark 2.7 (b)]). Hence, in general, Theorem 2.6 does not hold.

- (2) A *strong Mori domain* (SM domain) is an integral domain that satisfies the ascending chain condition on integral w -ideals. It was proved in [6, Theorem 3.2 and Corollary 3.21] that, if D is an SM domain with $|S_w(D[X])| < \infty$, then $|S_w(D)| = |S_w(D[X])| = |S(D[X]_{N_v})|$, while there is a one-dimensional local Noetherian domain D (hence an SM domain on which $d = w$) such that $|S(D)| \lessdot |S(D(X))| = \infty$ [6, Example 3.7].

We say that D is of *finite character* (respectively, *finite t -character*) if each nonzero element of D is contained in only finitely many maximal ideals (respectively, maximal t -ideals) of D . We say that D is *h -local* if D is of finite character and each nonzero prime ideal is contained in a unique maximal ideal. The D is called an *independent ring of Krull type* if D is a PvMD of finite t -character and each nonzero prime t -ideal is contained in a unique maximal t -ideal. Clearly, an h -local Prüfer domain is an independent ring of Krull type, and conversely, an independent ring of Krull type whose maximal ideals are t -ideals is an h -local Prüfer domain.

Lemma 2.9. *The following statements are equivalent.*

- (i) D is an independent ring of Krull type.
- (ii) $D[X]$ is an independent ring of Krull type.
- (iii) $D[X]_{N_v}$ is an h -local Prüfer domain.

Proof. This follows directly from Theorem 1.4 and [10, Corollary 2.3]. □

Let D be an h -local Prüfer domain. It is known that, if \mathcal{U} is the set of maximal ideals of D that are not v -ideals, then $|S(D)| = 2^{|\mathcal{U}|}$ [16, Theorem 3.1]. We next give the independent ring of Krull type analog of this result.

Corollary 2.10. *Let D be an independent ring of Krull type. If \mathfrak{U} is the set of maximal t -ideals of D that are not v -ideals, then $|S_w(D)| = |S_w(D[X])| = |S(D[X]_{N_v})| = 2^{|\mathfrak{U}|}$.*

Proof. By Lemma 2.9, $D[X]_{N_v}$ is an h -local Prüfer domain. Note that each maximal ideal of $D[X]_{N_v}$ is of the form $P[X]_{N_v}$ for some maximal t -ideal P of D and $(P[X]_{N_v})_v = P_v[X]_{N_v}$ for all nonzero prime ideals P of D by Lemma 1.3; so $P[X]_{N_v}$ is a v -ideal if and only if P is a v -ideal. Thus, $|S_w(D)| = |S_w(D[X])| = |S(D[X]_{N_v})| = 2^{|\mathfrak{U}|}$ by [16, Theorem 3.1] and Theorem 2.6. □

Corollary 2.10 shows that, if D is an independent ring of Krull type, then $2^{|\mathfrak{U}|} = |S_w(D)|$. We next show that $2^{|\mathfrak{U}|} \leq |S_w(D)|$ for any integral domain D .

Proposition 2.11. *If \mathfrak{U} is the set of maximal t -ideals of an integral domain D that are not v -ideals, then $2^{|\mathfrak{U}|} \leq |S_w(D)|$. Hence, if $|S_w(D)| < \infty$, then $|\mathfrak{U}| < \infty$.*

Proof. For each $P \in \mathfrak{U}$, if we set

$$E^{*P} = (P : (P : E))$$

for all $E \in \mathbf{F}(D)$, then $*_P$ is a star operation [14, Proposition 3.2]. Note that $x \in (P : E) \Leftrightarrow xE \subseteq P \Rightarrow xE_w \subseteq P_w = P \Leftrightarrow x \in (P : E_w)$; so $(P : E) \subseteq (P : E_w)$. Hence, $(P : E) = (P : E_w)$, and thus $E^{*P} = (E_w)^{*P}$. Thus, $w \leq *_P$. Note also that, if $Q \in \mathfrak{U}$ with $P \neq Q$, then $(P : Q) = P$ because $P_v = Q_v = D$, and thus $Q^{*P} = (P : P) = D \neq Q = Q^{*Q}$.

Next, for $\emptyset \neq \Delta \subseteq \mathfrak{U}$, let $E^{*\Delta} = \bigcap_{P \in \Delta} E^{*P}$ for all $E \in \mathbf{F}(D)$. Clearly, $*_\Delta$ is a star operation on D with $w \leq *_\Delta$ by the previous paragraph. Let Δ_1 and Δ_2 be two distinct nonempty subsets of \mathfrak{U} , say, $\Delta_1 \not\subseteq \Delta_2$, and choose $P \in \Delta_1 \setminus \Delta_2$. Then $P^{*\Delta_1} = P \neq D = P^{*\Delta_2}$ by the previous paragraph, and hence $*_{\Delta_1} \neq *_{\Delta_2}$. This also shows that $*_\Delta \neq v$ for every $\emptyset \neq \Delta \subseteq \mathfrak{U}$. Thus, $2^{|\mathfrak{U}|} = |\{v\} \cup \{*_\Delta \mid \emptyset \neq \Delta \subseteq \mathfrak{U}\}| \leq |S_w(D)|$. □

3. Integrally closed domains D with $|S_w(D)| < \infty$. Throughout, D denotes an integral domain with quotient field K , $S(D)$ (respectively, $SF(D)$) be the set of star operations (respectively, star operations of finite type) on D , $S_w(D) = \{* \in S(D) \mid w \leq *\}$, and $SF_w(D) = S_w(D) \cap SF(D)$.

In this section, we study an integrally closed domain D with $|S_w(D)| < \infty$. First, in Corollaries 3.1 and 3.2, we give some characterizations of the integrally closed domains D with $|S_w(D)| \leq 2$.

Corollary 3.1. *If D is integrally closed, the following statements are equivalent.*

- (i) $|S_w(D)| = 1$.
- (ii) $v_c = v$.
- (iii) D is a PvMD on which $t = v$.
- (iv) D is an independent ring of Krull type whose maximal t -ideals are t -invertible.

- (v) $|S_w(D[X])| = 1$.
- (vi) $D[X]$ is a PvMD on which $t = v$.
- (vii) $|S(D[X]_{N_v})| = 1$.
- (viii) $D[X]_{N_v}$ is an h -local Prüfer domain whose maximal ideals are invertible.

Proof. (i) \Rightarrow (ii) is clear because $w \leq v_c$ by Lemma 1.1.

(ii) \Rightarrow (iii). If $v_c = v$, then $t = v$ and v is an *e.a.b.* star operation, and hence each nonzero finitely generated ideal of D is t -invertible [11, Theorem 34.6]. Thus, D is a PvMD.

(iii) \Rightarrow (i). If D is a PvMD, then $w = t$ by Theorem 1.4, and thus $w = v$.

(iii) \Leftrightarrow (iv). [18, Theorem 3.1].

(iii) \Leftrightarrow (vi). Note that, if D is integrally closed, then $t = v$ on D if and only if $t = v$ on $D[X]$ [18, Proposition 4.6]. Thus, the result follows from Theorem 1.4.

(iv) \Leftrightarrow (viii). This is an immediate consequence of Lemmas 1.3 and 2.9.

(v) \Leftrightarrow (vi). This follows from the equivalence of (i) and (iii) because $D[X]$ is integrally closed.

(vii) \Leftrightarrow (viii). Clearly, $D[X]_{N_v}$ is integrally closed. Thus, the result follows directly from Heinzer's result [13, Theorem 5.1]. \square

The following corollary is the t -operation version of [16, Theorem 3.3] that $|S(D)| = 2$ if and only if D is an h -local Prüfer domain with exactly one non-invertible maximal ideal.

Corollary 3.2. *If D is integrally closed, the following statements are equivalent.*

- (i) $|S_w(D)| = 2$.
- (ii) D is an independent ring of Krull type with exactly one nondivisorial maximal t -ideal.
- (iii) $|S_w(D[X])| = 2$.
- (iv) $D[X]$ is an independent ring of Krull type with exactly one nondivisorial maximal t -ideal.
- (v) $|S(D[X]_{N_v})| = 2$.

(vi) $D[X]_{N_v}$ is an h -local Prüfer domain with exactly one nondivisorial maximal ideal.

Proof. (i) \Rightarrow (v). Note that $w, v_c, t, v \in S_w(D)$ and $w \leq v_c \leq t \leq v$; so, if $|S_w(D)| = 2$, then either $w = v_c$ or $v_c = t = v$. But, if $v_c = v$, then $|S_w(D)| = 1$ by Corollary 3.1. So $w = v_c$, and hence D is a PvMD by Theorem 1.4. Thus, $|S(D[X]_{N_v})| = 2$ by Theorem 2.6.

(v) \Leftrightarrow (vi). Clearly, $D[X]_{N_v}$ is integrally closed. Thus, $|S(D[X]_{N_v})| = 2$ if and only if $D[X]_{N_v}$ is an h -local Prüfer domain with exactly one nondivisorial maximal ideal [16, Theorem 3.3].

(vi) \Leftrightarrow (ii). This is an immediate consequence of Lemmas 2.9 and 1.3 (ii).

(ii) \Rightarrow (i). This follows from Corollary 2.10.

(ii) \Leftrightarrow (iv). Let Q be a maximal t -ideal of $D[X]$. If $Q \cap D = (0)$, then Q is t -invertible [19, Theorem 1.4], and hence Q is a v -ideal. If $Q \cap D \neq (0)$, then $Q \cap D$ is a maximal t -ideal of D and $Q = (Q \cap D)[X]$ [19, Proposition 1.1]. Also, recall that $((Q \cap D)[X])_v = (Q \cap D)_v[X]$; so Q is a v -ideal if and only if $Q \cap D$ is a v -ideal. Thus, the result follows directly from Lemma 2.9.

(iii) \Leftrightarrow (iv). This follows from the equivalence of (i) and (ii) because $D[X]$ is integrally closed. \square

Remark 3.3. If D is an independent ring of Krull type with $|S_w(D)| < \infty$, then $|S_w(D)| = 2^n$ for some integer $n \geq 0$ by Corollary 2.10. But, if we let $D = \mathbb{Z}_{2\mathbb{Z} \cup 3\mathbb{Z}} + X\mathbb{Q}[[X]]$, where $\mathbb{Q}[[X]]$ is the ring of a formal power series over \mathbb{Q} , then D is a Prüfer domain with $|S(D)| = 4$, but D is not h -local [16, Example 3.7]. Hence, if $|S_w(D)| \geq 3$, then D need not be an independent ring of Krull type even though $|S_w(D)| = 2^n$ for an integer $n \geq 0$.

The next result is the PvMD analog of [17, Theorem 3.1] that, if D is integrally closed, then $|SF(D)| = 1$ if and only if $|SF(D)| < \infty$, if and only if D is a Prüfer domain. The proof is a simple modification of that of [17, Theorem 3.1].

Proposition 3.4. *The following statements are equivalent for an integrally closed domain D .*

- (i) $|SF_w(D)| = 1$.
- (ii) $|SF_w(D)| < \infty$.
- (iii) D is a PvMD.

Proof. (i) \Rightarrow (ii). Clear.

(ii) \Rightarrow (iii). Let P be a maximal t -ideal of D and $0 \neq \alpha \in K$. Let $m \geq n \geq 1$ be integers. If $x \in (1, \alpha^m)^{-1}$, then $x, x\alpha^m \in D$, and so $(x\alpha^n)^m = x^{m-n}(x\alpha^m)^n \in D$. Since D is integrally closed, $x\alpha^n \in D$, and hence $x \in (1, \alpha^n)^{-1}$. Hence, $(1, \alpha^m)^{-1} \subseteq (1, \alpha^n)^{-1}$, and thus, $\alpha^n \in (1, \alpha^n)_v \subseteq (1, \alpha^m)_v = (1, \alpha^m)_t$.

Next, for each integer $n \geq 1$, if we set

$$E^{*n} = ED_P[\alpha^n] \cap E_t$$

for all $E \in \mathbf{F}(D)$, then $*_n$ is a star operation of finite type [16, Proposition 2.7]. Also, since $E_w \subseteq E_w D_P \cap E_t = ED_P \cap E_t \subseteq ED_P[\alpha^n] \cap E_t = E^{*n}$, we have $w \leq *_n$. Hence, by (ii), there are integers $m \geq n \geq 1$ such that $*_m = *_n$. Note that $\alpha^n \in (1, \alpha^m)D_P[\alpha^n] \cap (1, \alpha^m)_t = (1, \alpha^m)^{*n}$. So $\alpha^n \in (1, \alpha^m)^{*m} \subseteq (1, \alpha^m)D_P[\alpha^m]$, and thus, $\alpha^n = f(\alpha^m) + \alpha^m g(\alpha^m)$ for some polynomials $f, g \in D_P[X]$. So if we let $h(X) = f(X^m) + X^m g(X^m) - X^n$, then $h \in D_P[X] \setminus PD_P[X]$ and $h(\alpha) = 0$, and thus α or α^{-1} is in D_P [11, Lemma 19.14]. Hence, D_P is a valuation domain. Therefore, D is a PvMD by Theorem 1.4.

(iii) \Rightarrow (i). If D is a PvMD, then $t = w$ by Theorem 1.4, and since t is the largest star operation of finite type, we have $|SF_w(D)| = 1$. \square

Corollary 3.5. *Let D be integrally closed and \mathfrak{U} the set of maximal t -ideals of D that are not v -ideals. If $|S_w(D)| < \infty$, then D is a PvMD and $2^{|\mathfrak{U}|} \leq |S_w(D)| < \infty$.*

Proof. This follows directly from Propositions 2.11 and 3.4. \square

An integral domain is called a *Mori domain* if it satisfies the ascending chain condition on integral v -ideals. Hence, Noetherian domains, SM domains and Krull domains are Mori domains. Also, a Mori domain is a Krull domain if and only if it is a PvMD [21, Theorem 3.2].

Corollary 3.6. *If D is an integrally closed Mori domain with $|S_w(D)| < \infty$, then D is a Krull domain, and hence $|S_w(D)| = 1$.*

Proof. By Proposition 3.4, D is a PvMD, and, since D is a Mori domain, D is a Krull domain and $t = v$. Note that $w = t$ on PvMDs. Thus, $w = v$. \square

We next give a complete characterization of integrally closed domains D with $|S_w(D)| < \infty$. This result can be proved by using Theorem 2.6 and Houston, Mimouni and Park's result [17, Theorem 5.3]; so we first recall their result.

Definition 3.7. ([17, Definition and Notation 3.4]). Let D be a Prüfer domain that is not a field. Two maximal ideals M, N of D are said to be *dependent* if $M \cap N$ contains a nonzero prime ideal. This defines an equivalent relation on $\text{Max}(D)$, the set of maximal ideals of D . Let $\{A_\lambda\}_{\lambda \in \Lambda}$ be the corresponding partition of $\text{Max}(D)$; and, for each $\lambda \in \Lambda$, let P_λ be the largest prime ideal of D contained in $\bigcap_{M \in A_\lambda} M$, and set $S_\lambda = \bigcap_{M \in A_\lambda} D_M$.

Theorem 3.8. ([17, Theorem 5.3]). *The following statements are equivalent for an integrally closed domain D that is not a field.*

- (i) $|S(D)| < \infty$.
- (ii) D is a Prüfer domain satisfying the following conditions:
 - (a) D is of finite character;
 - (b) $|A_\lambda| = 1$ for almost all $\lambda \in \Lambda$;
 - (c) $|\text{Spec}(D/P_\lambda)| < \infty$ for all $\lambda \in \Lambda$;
 - (d) D has only finitely many nondivisorial maximal ideals.

Moreover, under the above equivalent conditions,

$$|S(D)| = \prod_{\lambda \in \Lambda} |S(S_\lambda)|.$$

We next need the PvMD analog of Definition 3.7.

Definition 3.9. Let D be a PvMD that is not a field. For two maximal t -ideals M, N of D , we mean by $M \sim N$ that $M \cap N$ contains a nonzero prime ideal. Clearly, \sim is an equivalent relation on $t\text{-Max}(D)$. Let $\{B_\alpha\}_{\alpha \in \Theta}$ be the corresponding partition of $t\text{-Max}(D)$; and, for each $\alpha \in \Theta$, let P_α be the largest prime ideal of D contained in $\bigcap_{M \in B_\alpha} M$ and set $T_\alpha = \bigcap_{M \in B_\alpha} D_M$.

Theorem 3.10. *The following statements are equivalent for an integrally closed domain D that is not a field.*

- (i) $|S_w(D)| < \infty$.
- (ii) D is a PvMD of finite t -character such that
 - (a) $|B_\alpha| = 1$ for almost all $\alpha \in \Theta$,
 - (b) the number of prime t -ideals of D containing P_α is finite for all $\alpha \in \Theta$,
 - (c) D has only finitely many nondivisorial maximal t -ideals.
- (iii) $|S_w(D[X])| < \infty$.
- (iv) $|S(D[X]_{N_v})| < \infty$.

Moreover, in this case, each T_α is a Prüfer domain with a finite number of maximal ideals and $|S_w(D)| = \prod_{\alpha \in \Theta} |S(T_\alpha)|$.

Proof. (i) \Leftrightarrow (ii). If $|S_w(D)| < \infty$, then D is a PvMD by Proposition 3.4, and so we may assume that D is a PvMD, and hence $D[X]_{N_v}$ is a Prüfer domain with $|S_w(D)| = |S(D[X]_{N_v})|$ by Theorems 1.4 and 2.6. Thus, the result follows from Theorem 3.8 because (1) $\text{Spec}(D[X]_{N_v}) = \{P[X]_{N_v} \mid P = (0) \text{ or } P \text{ is a } t\text{-ideal of } D\}$, (2) $\text{Max}(D[X]_{N_v}) = \{P[X]_{N_v} \mid P \in t\text{-Max}(D)\}$ and (3) $(P[X]_{N_v})_v = P_v[X]_{N_v}$.

(i) \Leftrightarrow (iii) \Leftrightarrow (iv). By Theorem 2.6, it suffices to show that D is a PvMD. Note that $D[X]$ and $D[X]_{N_v}$ are integrally closed. Thus D is a PvMD by Proposition 3.4 (respectively, Theorems 3.8 and 1.4) if $|S_w(D)| < \infty$ (respectively, $|S_w(D[X])| < \infty$ or $|S(D[X]_{N_v})| < \infty$).

For the “moreover” part, note that $|B_\alpha| < \infty$ for all $\alpha \in \Theta$ because D is of finite t -character. So, if we let $B_\alpha = \{M_1, \dots, M_k\}$, then T_α is a finite intersection of valuation domains D_{M_i} . Hence, T_α is a Prüfer domain with maximal ideals $M_i D_{M_i} \cap T_\alpha$ [11, Theorem 22.8], and so $\bigcap_{M \in B_\alpha} (D[X]_{N_v})_{M[X]_{N_v}} = \bigcap_{i=1}^k D[X]_{M_i[X]} = \bigcap_{i=1}^k D_{M_i}(X) = T_\alpha(X)$. Thus, by Theorems 2.6 and 3.8, $|S_w(D)| = |S(D[X]_{N_v})| = \prod_{\alpha \in \Theta} |S(T_\alpha(X))| = \prod_{\alpha \in \Theta} |S(T_\alpha)|$. \square

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