

VON NEUMANN ALGEBRAS GENERATED BY OPERATORS SIMILAR TO NORMAL OPERATORS

W. R. WÖGEN

A normal operator generates an abelian von Neumann algebra. However, an operator which is similar to a normal operator may generate a von Neumann algebra which is not even type I. In fact, it is shown that if \mathcal{A} is a von Neumann algebra on a separable Hilbert space and \mathcal{A} has no type II finite summand, then \mathcal{A} has a generator which is similar to a self-adjoint and \mathcal{A} has a generator which is similar to a unitary. The restriction that \mathcal{A} have no type II finite summand can be removed provided that it is assumed that every type II finite von Neumann algebra has a single generator.

Let \mathcal{H} be a separable Hilbert space and let \mathcal{A} be a von Neumann algebra on \mathcal{H} . \mathcal{A}' denotes the commutant of \mathcal{A} . For $n \geq 2$, let $M_n(\mathcal{A})$ denote the von Neumann algebra of $n \times n$ matrices with entries in \mathcal{A} . If T is a bounded operator, the $\mathcal{R}(T)$ is the von Neumann algebra generated by T .

We begin with some lemmas.

LEMMA 1. Let $\mathcal{A} = \mathcal{R}(C)$ and suppose $n \geq 3$. Let $\{\lambda_k\}_{k=1}^n$ and $\{a_k\}_{k=1}^{n-1}$ be sequences of complex numbers such that the λ_k are distinct, each $a_k \neq 0$, and $\|(\lambda_1 - \lambda_2)C\| \leq |a_1 a_2|$. Define $A = (A_{i,j})_{i,j=1}^n \in M_n(\mathcal{A})$ by $A_{k,k} = \lambda_k I$, $A_{k+1,k} = a_k I$, $A_{3,1} = C$, and $A_{i,j} = 0$ otherwise. Define $B = (B_{i,j})_{i,j=1}^n \in M_n(\mathcal{A})$ by $B_{k,k} = \lambda_k I$ and $B_{i,j} = 0$ if $i \neq j$. Then A and B are similar, and $\mathcal{R}(A) = M_n(\mathcal{A})$.

Proof. It follows from [11, Lemma 1] that $\mathcal{R}(A) = M_n(\mathcal{A})$. To show that A and B are similar we need only that the λ_k are distinct. We must find an invertible operator S such that $AS = SB$. Such an S of the form $S = I + N$, where N is lower triangular and nilpotent, can be computed easily. Merely perform the matrix multiplications and solve for the entries of S . We omit the details.

REMARK 1. If the operator $S = I + N$ in Lemma 1 is computed, we see that we can make the entries of N small by choosing $\|C\|$, $|a_1|$, $|a_2|$, \dots , $|a_{n-1}|$ suitably small. Hence we can suppose that $\|N\| < 1/2$. Then $\|S\| = \|I + N\| < 3/2$ and $\|S^{-1}\| = \|I - N + N^2 - \dots \pm N^{n-1}\| < 2$. Note also that by choosing $\|C\|$, $|a_1|$, $|a_2|$, \dots , $|a_{n-1}|$ suitably, we can assume that $\|A\| \leq \|B\| + 1$.