117. Equivariant Annulus Theorem for 3-Manifolds

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1. Introduction. Let $f: (F, \partial F) \rightarrow (M, \partial M)$ be a proper map from a bounded surface F into a 3-manifold M. The map f is called boundary incompressible if it is not properly homotopic to a map g: $(F, \partial F) \rightarrow (M, \partial M)$ such that $g(F) \subset \partial M$. Let F' be a surface properly embedded in M. F' is called *essential* if it is incompressible and inc: $(F, \partial F) \rightarrow (M, \partial M)$ is boundary incompressible.

In this paper we will prove an equivariant essential annulus theorem for the Haken manifolds whose boundary components are all tori.

Theorem 1.*) Let M be a bounded, Haken manifold whose boundary components are all tori and which is not homeomorphic to $T^2 \times I$ where T^2 denotes the 2-dimensional torus and I denotes the unit interval [0, 1]. Suppose that there is an essential annulus A' in M. If G is a finite subgroup of Diff (M) then there exists an essential annulus A^* in M such that either $g(A^*) = A^*$ or $g(A^*) \cap A^* = \phi$ for each element g of G.

Note that for $T^2 \times I$ this theorem does not hold. See the remark of section 2 below.

The examples of 3-manifold admitting no nontrivial, finite group actions are constructed by Raymond-Tollefson [7] and Siebenmann [9]. As an application of Theorem 1 we will give a simple construction of such 3-manifolds by using the knot theory (Theorem 2).

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2. Proof of Theorem 1. Throughout this paper we will work in the C^{∞} -category. For the definitions of standard terms in the three dimensional topology we refer to [3] and [4].

The proof of Theorem 1 depends on the following result which is due to Nakauchi [6]. The author was informed that J. Hass had proved a similar result in his Ph. D. thesis.

Theorem A (Nakauchi). Let M be a compact, orientable, 3-dimensional, Riemannian manifold with convex incompressible boundary and let A be a smooth annulus. Suppose that there is an essential smooth map $f: (A, \partial A) \rightarrow (M, \partial M)$. Then

(1) there exists an essential smooth immersion $f^*: (A, \partial A)$

T. Soma independently obtained the similar result.