

### 53. On Bounded Sets of Holomorphic Germs

By Roberto Luiz SORAGGI

Institute of Mathematics, Federal University of Rio de Janeiro

(Communicated by Kôsaku YOSIDA, M. J. A., Nov. 12, 1977)

Let  $E, F$  be separated, complex locally convex spaces,  $\mathcal{H}(U; F)$  and  $\mathcal{H}(K; F)$  denote the spaces of holomorphic mappings on an open subset  $U$  of  $E$  and of holomorphic germs on a compact subset  $K$  of  $E$ , respectively, endowed with their natural topologies (see Barroso [1], Mujica [4], Nachbin [5]). It is interesting to characterize the bounded subsets of  $\mathcal{H}(K; F)$  in terms of the successive differentials. Such a characterization would be useful, by example, in the study of sequential convergence in  $\mathcal{H}(K; F)$ . Let  $\mathcal{F}$  be a subset of  $\mathcal{H}(K; F)$ ,  $\Gamma$  a family of seminorms defining the topology of  $F$ . We say that  $\mathcal{F}$  has an estimate for the differentials in  $K$  if there exist a continuous seminorm  $\alpha$  on  $E$ , real numbers  $C > 0, c > 0$  such that for every  $\beta$  in  $\Gamma$  we have:

$$\sup_{x \in K} \left\| \frac{1}{m!} \hat{d}^m f(x) \right\|_{\alpha \beta} \leq C c^m \quad \text{for every } \tilde{f} \in \mathcal{F}, f \in \tilde{f}, m \in N.$$

One knows that an estimate for the differentials in  $K$  is not a sufficient condition for boundedness in  $\mathcal{H}(K; F)$ , but a bounded subset of  $\mathcal{H}(K; F)$  has an estimate for the differentials when  $E$  and  $F$  are Banach spaces (Chae [2] and Wanderley [6]). Zame [7] showed that, under a weak local connectedness assumption on  $K$ , when  $K$  is a compact subset of  $C^n$ , an estimate for the differentials in  $K$  implies boundedness in the space  $\mathcal{H}(K)$ . The arguments used by Zame can be used in the general case.

**Definition 1.** Let  $X$  be a topological space,  $K$  a compact subset of  $X$ . We consider the following equivalence relation on  $X$ :  $x, y \in X$ ,  $x \sim y$  iff  $x, y \in K$  or  $x = y$ . We denote by  $X/K$  the quotient space endowed with its natural topology, and  $K/K$  the equivalence class of an element of  $K$ .

**Definition 2.** Let  $X$  and  $K$  be as above. We say that  $K$  is of type LQC if, for every  $x \in K$ , there exists a sequence  $K_1 \subset \dots \subset K_n$  of compact connected subsets of  $K$  such that  $K_1 = \{x\}$ ,  $K_{i+1}/K_i$  is locally connected for  $i = 1, \dots, n-1$  and  $K/K_n$  is locally connected in  $K_n/K_n$ . We say that  $K$  is of type QC if there exists a sequence  $K_1 \subset \dots \subset K_n$  of compact connected subsets of  $K$  such that  $K_1, K_{i+1}/K_i$  (for  $i = 1, \dots, n-1$ ),  $K/K_n$  are locally connected. If  $K$  is locally connected then  $K$  is a compact of types LQC and QC. The class of compact subsets of  $E$  which