INVARIANT SIMPLE CLOSED CURVES ON THE TORUS

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The behavior of the orbits of a continuous flow on the torus is potentially considerably more complicated than that of a continuous flow on a portion of the plane. Specifically, nonperiodic recursion can occur on the torus. However, if there exists a simple closed invariant but not necessarily periodic curve which is not null-homotopic, then this difficulty does not arise, because by cutting along this curve one obtains a flow on a closed annulus in the plane. Our goal is to obtain sufficient conditions for the existence of such a curve.

The main theorems (Theorems 1, 2, and 7) state that such a curve exists if there exists a positive orbit on the torus satisfying the following conditions: (a) its lift to the plane does not deviate too much from a ray with rational slope, and (b) its ω -limit set is locally connected or the fixed points in its ω -limit set are totally disconnected.

An earlier version of Theorem 2 with (b) replaced by the hypothesis that there are only finitely many fixed points in the ω -limit set appears in William O'Toole's master's thesis [4], which the author directed. I wish to thank him for his assistance in organizing and clarifying some of the arguments which are needed for both results.

Let X be a metric space and let \mathbb{R} denote the real numbers. A continuous flow on X is a continuous mapping $\pi: X \times \mathbb{R} \to X$ such that $\pi(x,0) = x$ and

$$\pi(\pi(x,s),t) = \pi(x,s+t),$$
 for all $x \in X$ and $s,t, \in \mathbb{R}$.

We will suppress the π and simply write xt for $\pi(x,t)$. The set of fixed points of a continuous flow is defined by $F = \{x: xt = x \text{ for all } t \in \mathbb{R} \}$, and the orbit and positive semi-orbit of a point x in X are defined by

$$\mathcal{O}(\mathbf{x}) = \{\mathbf{x}t: t \in \mathbb{R}\} \quad \text{and} \quad \mathcal{O}^+(\mathbf{x}) = \{\mathbf{x}t: 5t \ge 0\}$$

respectively. The ω -limit set which is defined by

$$\omega(\mathbf{x}) = \bigcap_{t \ge 0} \overline{\mathscr{O}^+(\mathbf{x}t)} = \bigcap_{t \ge 0} \{\mathbf{x}s : s \ge t\}^-$$

will play an important role in the sequel.

Let (\tilde{X},p) be a covering space of X. Given a continuous flow π on X, there exists a unique continuous flow $\tilde{\pi}$ on \tilde{X} such that

Michigan Math. J. 25 (1978).

Received October 4, 1976. Revision received August 8, 1977.

This research was supported by the National Science Foundation (MPS 75-07078).