

Subrings of a polynomial ring of one variable

By

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The following problem was communicated to the writer by Dr. A. Zaks of the University of Oregon:

We consider the polynomial ring $A[X]$ of one variable X over a normal domain A . Give a criterion for a ring R to coincide with $A[X] \cap K$ with a suitable field K containing A .

In this article, we give an answer as follows:

Theorem 1. *Such an R is characterized by the property that there is a polynomial f which belongs to $XA[X]$ (i.e., the constant term of f is zero) such that R is generated by $S_i = \{g \in A[X] \mid \exists a, b \in A, a \neq 0, ag = bf^i\}$ ($i=1, 2, \dots$).*

As for the proof, if $R=A$, then f is zero, and we assume that $R \neq A$. On the other hand, let k and L be the fields of quotients of A and R , respectively. Then we may assume that $K=L$. First we prove a lemma:

Lemma. 2 *Assume that A is a valuation ring of k and that $f=c_1X^n+c_2X^{n-1}+\dots+c_nX$ is a polynomial over A such that some of the coefficients c_i are units in A . Then a polynomial $h=e_0+e_1f+\dots+e_sf^s$, in f with coefficients e_i in k , is in $A[X]$ if and only if all e_i are in A .*

Proof. The if part is obvious, and we want to prove the only if part. Assume that $h \in A[X]$. $e_0=h(0)$, and therefore $e_0 \in A$. Then $f(e_1+\dots+e_sf^{s-1}) \in A[X]$. Since f is a primitive polynomial, we see that $e_1+\dots+e_sf^{s-1} \in A[X]$. Thus we prove the assertion by induction on s . QED

The if part of Theorem 1 follows from the following result:

Proposition 3. *Under the assumption at the beginning, if $f \in XA[X]$, then $A[X] \cap k(f)$ is the ring generated by S_i ($i=1, 2, \dots$) over A .*

Proof. It is obvious that all the S_i are contained in $A[X] \cap k(f)$. Conversely, let h be an arbitrary element of $A[X] \cap k(f)$. We may assume that $f=c_1X^n+c_2X^{n-1}+\dots+c_nX$, $c_i \in A$, $c_1 \neq 0$. Then X is integral over $A[f, c_1^{-1}]$ and therefore $A[X] \cap k(f) \subseteq A[f, c_1^{-1}]$. This shows that $h=e_0+e_1f+\dots+e_sf^s$ with e_i in $A[c_1^{-1}] \subseteq k$. Since A is normal, A is the intersection of valu-